

# **No HIV-1 molecular evolution on long-term antiretroviral therapy initiated during primary HIV-1 infection**

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### **Introduction**

 Primary-HIV1 (PHI) infection is the initial phase of infection. It represents the time when the virus is first disseminating throughout the body and induces host immune responses [1–3] The HIV reservoir is established very rapidly during this stage, due to the provirus integrated into the genome of cells that enables the persistence and the establishment of a latent reservoir which remains the major obstacle to eliminate the virus [4]. Several studies have demonstrated that early antiretroviral therapy (ART) initiation can be particularly effective for long term control of HIV-1 replication and it is associated with a faster decay of the latent reservoir, a restriction of its size and an optimal immune restoration [5–7]. Whilst, large clinical trials demonstrated that the benefits of earlier treatment mentioned above were limited at these specific criteria because treatment interruption is usually followed by rapid

viral rebound, CD4+ T-cells loss and increased risk of morbidity and mortality [8–11].

In case of clinical effective ART, residual viremia has been evidenced and could be caused by

- ongoing low-level virus replication or by release of viral particles and/or viral genome from
- infected cells [12]. Effectively, it has been suggested that persistent virus replication at low
- levels may be an important contributor to the maintenance of the reservoir particularly in



 as detectable plasma HIV-RNA and an incomplete HIV-1 Western blot, irrespective of the [ELISA](https://www-sciencedirect-com.gate2.inist.fr/topics/medicine-and-dentistry/enzyme-linked-immunosorbent-assay) result (positive or negative) and p24 antigenaemia (positive or negative).

 Patients received antiretroviral therapy very early after infection and were identified with a strict viral suppression (HIV plasma RNA < 20 copies/mL without any blips) for at least 5 years afterward under ART. Analyses were performed retrospectively on frozen samples of whole blood taken during 5 years of standard follow-up.

# **Ethical considerations**

 This retrospective study was conducted in accordance with Good Clinical Practices and the ethical principles of the Helsinki declaration, and following ANRS standard practices for clinical research. Patients had written consent that the remnant of their samples could be used for research purpose.

### **Total HIV-DNA quantification**

 Cell-associated HIV-1 DNA was quantified by ultrasensitive real-time PCR (Generic HIV-DNA assay, Biocentric, Bandol, France) as previously described [16].

#### **HIV-RNA quantification and Ultra-Sensitive Viral Load**

 Plasma Viral Load (pVL) was quantified using the Cobas AmpliPrep/CobasTaqMan HIV-1 assay (Roche Diagnostics; lower detection limit of 20 copies/mL). Ultra-sensitive viral load (USVL) in the range of 1–20 copies/mL was indicated qualitatively (presence or absence of detectable signal).

## **Ultra-Deep-Sequencing**

# **RNA and DNA extraction, amplification and ultra-deep sequencing**

 HIV RNA was extracted from 1 ml of plasma using easyMAG® (bioMérieux Clinical Diagnostics, France) and HIV DNA was extracted from 400 mL of PBMC using the MagnaPure LC DNA Isolation Kit from Roche according to the manufacturer's instructions.

 UDS was performed using Illumina Miseq technology (Illumina, San Diego, CA, USA). We deep sequenced 2 fragments RT1 and RT2 of reverse transcriptase (RT) gene (413pb: 2618- 3031 and 446pb: 2877-3323 relative to HXB2 genome, respectively) and C2V3 region of gp 120 gene (367pb: 7011-7378 relative to HXB2 genome). Details of primers and PCR procedures used for UDS are described in Supplemental Data.

 Three samples were sequenced per patient: one RNA-HIV: plasma at baseline prior ART initiation, two HIV DNA associated with peripheral blood cells under effective ART: first point of pVL < 20 copies/mL and 5 years follow-up period. Library construction from purified PCR products (RT1, RT2 and C2V3 amplicons) and 2x300 bp Illumina Miseq paired-end sequencing were performed at the Genotyping and Sequencing Platform, ICM Brain and Spine Institute (Paris, France). Sequences were demultiplexed automatically on the MiSeq platform as part of the data processing steps and two paired fastq files were generated for each sample representing the two paired-end reads.

#### **HIV drug resistance testing by UDS**

 To identify Drug Resistance Associated Mutations (DRAMs), the sequence reads were 123 analyzed with IDNS<sup>® ©</sup> SmartGene 2019 (Advanced sequencing platform) and resistance (Cutoff detection of minority resistant variant of UDS sequences =2%) was interpreted using the latest ANRS resistance algorithm [\(http://www.hivfrenchresistance.org\)](http://www.hivfrenchresistance.org/). Variants present in more than 20% of the quasispecies were considered to be majority resistant variants and variants present at a proportion between 2% and 20% were considered to be minority variants.

## **Phylogenetic analysis**

 We used Geneious research software (version 11) for phylogenetic analysis [17]. The paired- end reads are merged and quality-filtered to remove noise. Alignment is performed using a target-specific profile and a consensus is produced based on a user-selected ambiguity threshold. We used PhyML for maximum-likelihood (ML) phylogenetic reconstruction (Generalized time-reversible model (GTR)). The best subtree pruning and regrafting (SPR) and the nearest neighbor interchange (NNI) heuristic options were selected. The reliability of tree 136 topologies was assessed by bootstrapping using 1000 replications (values  $\geq 70\%$  were considered significant). ML trees were rooted on an outgroup: HIV subtype B consensus (HxB2: K03455; [www.hiv.lanl.gov\)\)](http://www.hiv.lanl.gov)/). Tree figures were viewed and modified with FigTree software [\(http://tree.bio.ed.ac.uk/software/figtree/\)](http://tree.bio.ed.ac.uk/software/figtree/).

# **Diversity analysis**

 To look for evidence of ongoing viral replication during ART, HIV populations in samples taken at baseline were compared with the populations present during and after long-term ART in RT and gp120 genes. Phylogenetic trees were constructed for each patient with sequences  obtained from plasma at baseline prior ART initiation and HIV DNA associated with peripheral blood cells under effective ART (first point of pVL < 20 copies/mL and 5 years follow-up period). Population genetic diversity was calculated as average pairwise difference (APD) using MEGAX ( [http://www.megasoftware.net](http://www.megasoftware.net/) ) [18].

 Viral evolution was established when temporal structure on ML maximum-likelihood phylogenetic trees and significant change over time of HIV-1 genetic diversity (APD) were observed.

#### **HIV-1 Tropism**

 HIV-1 co-receptor usage was predicted by a genotypic method that used the Geno2phenoreceptor rule [\(https://coreceptor.geno2pheno.org/\)](https://coreceptor.geno2pheno.org/) and according to ANRS rules [\(http://www.hivfrenchresistance.org/hiv-tropism.html\)](http://www.hivfrenchresistance.org/hiv-tropism.html).

#### **Statistical analysis**

 All reported values are medians with interquartile range (IQR) for continuous variables and frequencies and percentages for categorical variables. Changes in cell associated HIV-DNA and CD4 cell count were compared between baseline and month 60 using paired Wilcoxon test and the Mann-Whitney test was for comparison between participants with DRAMs dynamics and those with not. Univariable model was used to identify factors associated with DRAMs: age, sex, transmission group, duration of ART, baseline CD4 and CD8 cell counts, CD4/CD8 ratio, nadir CD4 cell count, time since HIV diagnosis, duration of suppressed viraemia, time to ART initiation, time to undetectable viral load under ART, duration of infection, pre-ART pVL,

 peak of pVL, baseline HIV cell-associated DNA, HIV-1 subtype, CD4 cell count and HIV cell-associated DNA during follow up.

 All reported P values are two-tailed, with significance set at 0.05. Analyses were performed with SPSS statistics version 23.0 for Windows.

**Results**

# **Patients' characteristics at time of PHI**

 Twenty patients with strict viral suppression (HIV viral load <20 copies/mL without any blips) were included in the study with a median age of 47 years (IQR 34-53). Eighteen (90%) were male. Nine of the patients (45%) and 11/20 (55%) were diagnosed at Fiebig stages III and IV, respectively. Fifteen patients (75%) were symptomatic at time of PHI. Time to ART initiation, time to undetectable viral load under ART and duration of infection was in median 5 days (IQR 1-12), 95 days (IQR 40-119) and 6 years (IQR 6-7), respectively. Of the 20 patients, 12 (60%) were infected with the clade B virus. Main characteristics of patients are summarized in table 1.

# **Evolution of immulogical and virological parameters**

 Evolution of immunological and virological parameters is presented in figure 1. A median of 10 longitudinal plasma HIV-1 RNA was evaluated per patient (197 samples in total). The 187 median HIV-RNA viral load (VL) at time of PHI was 5.7 log<sub>10</sub> copies/mL (IQR: 4.94-6.26) and decreased quickly with all patients reaching VL < 20 copies/mL in a median of 95 days (IQR:  40 -119) of ART initiation. Ultrasensitive VL (presence of detectable signal between 1-20 copies/mL) was found at least once during the 5 years follow-up in 13/20 patients (65%).

 The total cell-associated HIV-1 DNA level was assayed for a median of 8 longitudinal blood samples per participant (158 samples in total). The median HIV-1 DNA load at PHI and over 5 193 years of follow up was 3.24  $\log_{10}$  copies/10<sup>6</sup> cells (IQR: 2.72 to 3.49) and 1.60  $\log_{10}$  copies/10<sup>6</sup> cells (IQR:1.60), respectively. The analysis revealed a global and significant decrease in total 195 HIV-1 DNA during follow up period ( $p= 0.02$ ). The total cell-associated HIV-1 DNA load was 196 not detectable  $(<$ 40 copies/10<sup>6</sup> cells) for 14 (70%) patients at the end of follow up.

# **Resistance analysis and tropism**

 At baseline, DRAMs were detected in RT gene in 3 (15%) patients with 2 majority resistant variants: 2 K103N (98%) and 1 minority resistant variant Y188H (2%). Regarding longitudinal dynamics of NRTI and NNRTI DRAMs, DRAMs at baseline were compared with archived DRAMs during follow up period (table 2). New DRAMs were detected in 9 patients (45%) despite fully sustained suppression of HIV-RNA in plasma with new archived DRAMs in 4/20 (25%) patients at the first point of pVL < 20 copies/mL: patients 4, 5, 16 and 17. Seven (35%) individuals had at least 1 emerging DRAM in peripheral blood cells after 5 years of follow up (most of them were detected at less than 10%): patients 3, 4, 9, 10, 11, 12 and 16 (table 2). Fifty-five percent (5/9) of patients who showed emergence of DRAMs had one residual viremia at least once during follow up. The comparison between the characteristics of patients with new archived DRAMs and those without revealing that only transmission group is associated with the dynamics of archived DRAMs. Effectively, all patients who had variants with new DRAMs 211 were MSM ( $P = 0.02$ ).

Five patients (25%) had at least one G-to-A mutation resistance associated mutation: patient 4:

D67N (9.9%) and M184I (2.7%); patient 5: M230I (98.9%); patient 10: M184I (2.3%); patient

12: D67N (7.2%) and patient 16: D67N (4.4%), M184I (98%) and M230I (100%) (table 2).

 Fifteen patients (75%) harbored a CCR5-tropic virus. The genotypic prediction of C2V3 co-receptor tropism did not vary over time in all patients.

# **Search of potential HIV genetic evolution - Phylogenetic studies**

 Phylogenetic analyses were processed in the 20 individuals, in RT and gp120 gene. Analysis showed that in all patients, sequences were intermingled: in each patient, sequences obtained from three different time points were highly homogenous. Tree topologies showed an absence of segregation between sequences in HIV-1 RNA at baseline prior to ART and in cell-associated HIV-DNA during 5 years of ART (figure 2).

 The average nucleotide pairwise distance (APD) was estimated between the reads obtained from each time point. In the first sample (in HIV-RNA at baseline prior to ART), the median of APD was 1% (IQR: 1-1), 1% (IQR: 1-1) and 2% (IQR: 1-2) in RT1 fragment, RT2 fragment and gp120 gene respectively. In the second sample (in cell associated HIV-DNA; first time 228 point of plasma  $VL < 20$  copies/mL) the median of APD was  $1\%$  (IQR: 1-2),  $1\%$  (IQR: 1-1) and 2% (IQR: 1-3.75) respectively in RT1 fragment, RT2 fragment and gp120. In the third sample (in cell associated HIV-DNA after 5 years of follow up), the median of APD was 1% (IQR: 1-2), 1% (IQR: 1-1) and 2% (IQR: 1-2) in RT1 fragment, RT2 fragment and gp120 gene respectively. This comparison of the APD in sequences obtained from samples taken at different times showed the absence of arguments of significant viral diversity evolution between primary infection and during the following 5 years.

#### **Discussion**

 To our knowledge, it is the first study to report quantitative and qualitative analysis by UDS of HIV-1 reservoir in twenty patients diagnosed in the acute phase of infection, treated very early and had strict effective long term suppressive ART (during at least 5 years of follow up). There was a significant decay of HIV-RNA and cell-associated HIV DNA in our study in participants who started ART during the first month of their infection. Phylogenetic analysis showed the absence of genetic divergence and diversity in the RT and gp120 genes over time. However, despite sustained virological control under ART, some minor variations (emergence or disappearance) of DRAMS were evidenced associated or not with the current antiretroviral treatment.

Our results are in line with a number of studies showing the faster decrease of HIV DNA in

247 patients starting ART during acute HIV-1 [19–21] infection . However, some clinical trials

evidenced a viral rebound after prolonged virologic suppression with no difference in

virological and immunological parameters between immediate and delayed treatment in the

vast majority of cases [8–11,22].

 In this study, 15% of NNRTI DRAMs (2 K103N and 1 Y188H) were revealed at the time of primary HIV infection. This prevalence is similar to the latest data (2014-2016) from the French cohort of primary infections showing that 18.6% of patients had DRAMS at baseline with the highest level of resistance to the NNRTI class (13.4%) [23].

 Concerning longitudinal dynamics of minority variants, new DRAMs, not detected at baseline, appeared during follow-up in some patients treated early during the acute phase of HIV-1 infection despite a fully controlled vireamia < 20 cp/mL and absence of detected residual viremia in 45% (4/9) of cases. This finding is consistent with the assessments carried out by

 Gantner et al; they used the UDS technique to assess the longitudinal dynamics of viral resistant quasispecies archived in blood and demonstrated that, despite virological control, the diversity of the quasispecies continued to evolve [24]. This could be the result of persistence of a residual viremia below the limit of standard quantification in some patients. Other researchers suggested that the new variants have most probably been selected directly in the blood compartment or other reservoirs because of insufficient drug penetration [24–26]. In addition, some of these new DRAMs in blood cells were G-to-A mutations implicating APOBEC3 editing, a cellular enzyme action and not viral replication [27].

 The results of phylogenetic analysis, as well phylogenetic tree and average pairwise distance, suggested the absence of genetic changes in archived HIV-1 DNA in our patients treated during the acute phase of infection with effective ART. Our findings support the majority of the studies reporting the lack of viral evolution during suppressive ART in chronically HIV infected adults, as well as in children treated shortly after birth when viral diversity is low [14,15,28]. This absence of sequence divergence is indicative of long-lived cells infected and argues against viral replication being the major source of persistent viremia [14,15,28–30]. On another side, Lorenzo *et al.* reported that anatomical sanctuary sites such as the lymph nodes can allow residual viral replication on ART, contributing to the maintenance of the HIV reservoir [31]. These findings were strongly criticized by Kearney *et al*. who re-analyzed data and reported limits of data according to the low number of samples, the short time of the survey and absence of evidence of viral evolution using more complex analyses [29]. There are several reasons to explain controversy results such as differences in study populations (children treated shortly after birth, adults with chronic infection, patients diagnosed in acute phase of infection), in sampling (plasma, cell associated HIV-DNA, lymph nodes), in sequencing (Sanger sequencing, Single Genome Sequencing, UDS: 454 Roche and Illumina technology) and in analysis  (phylogenetic analysis, measure of the APD, test of panmixia and others mathematics methods...) [13–15,28–30,32].

 Phylogenetic analysis allowed the comparison of the integrality of sequences which is more informative about viral diversity than the study of few resistance positions in the RT sequence. Then, the impact of rare resistant variants could be diluted and doesn't impact phylogenetic analysis and could explain the apparent discrepancy between the DRAMs variation and the stability in phylogenetic analysis in our study.

### **Conclusion**

 In conclusion, this study is the first to use the UDS technique to assess the longitudinal dynamics of viral populations in plasma prior to ART and in archived blood cells in sustained ART in patients diagnosed with a primary HIV-1 infection and treated very early. Despite a slight variation of minority resistance-associated mutation variants, there was no clear evidence of viral evolution during a prolonged period of time. Our results underlined that ART initiation during PHI is fundamental to positively impact quantitative and qualitative biological parameters related to the HIV-1 reservoir in order to reduce its size and to control the viral diversity in the perspective to design of HIV-1 cure strategies.

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#### **TRANSPARENCY DECLARATION**

All other authors: none to declare.



- B.A., C.S., A.G.M., V.C. designed the study; R.P., A.S., R.T., M.A.V., C.K provided medical
- care to the participants and collected clinical data; B.A., T.N., S.S collected biological data
- and performed experiments; B.A., T.N., N.D., S.B., G.A., L.A., analyzed results; B.A., C.S.
- wrote the paper; All authors reviewed and accepted the final version of the manuscript.



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# **Table 1 : Patients' characteristics at the time of primary infection**

PHI=primary HIV-1 infection; ND: Not Determined; NRTI=nucleoside reverse transcriptase

inhibitor; NNRTI= non-nucleoside reverse transcriptase inhibitor; PI= protease inhibitor;

MVC=maraviroc;

Patient	Time	<b>Ongoing ART</b>	<b>NRTI DRAMs</b>	<b>NNRTI DRAMs</b>
	point		(% quasispecies)	(% quasispecies)
$\overline{3}$	<b>RNA</b>			$\overline{Y188H}$ (2.0%)
	$DNA*$	TDF/FTC/DRV/RTV		
		TDF/FTC/RPV		
	$DNA**$	TDF/FTC/DTG	$M41L (9.1\%)$ , T69S (13.6%),	
			$L74V(6.0\%)$	
$\overline{4}$	<b>RNA</b>			
	$DNA*$	ABC/3TC/RAL		K103N(5,5%)
	$DNA**$	TDF/FTC/RPV	D67N (9.9%), $K70R$ (2.4%),	$V90I(2,2\%)$ , $K103N$
			M184I (2,7%), M184V	$(7.1\%)$ , E138A $(2.7\%)$
			$(4.8\%)$	
$\overline{5}$	<b>RNA</b>			
	$DNA*$	TDF/FTC/DRV/RTV	M230I (98.9%)	
	$DNA**$	TDF/FTC/DRV/RTV		
$\overline{\mathbf{2}}$	<b>RNA</b>			
	$DNA*$	TDF/FTC/DRV/RTV		
	$DNA**$	TDF/FTC/RPV	M184V (8.8%)	Y188L(2%)
10	<b>RNA</b>			
	$DNA*$	TDF/FTC/DRV/RTV		
	$DNA**$	TDF/FTC/RPV	M184I (2.3%), M184V	G190V(2.1%)
			$(4.5\%)$	
11	<b>RNA</b>			
	$DNA*$	TDF/FTC/MVC		
	$DNA**$	TDF/FTC/RPV TDF/FTC/DTG		$V179I(10.2\%)$ , $V179D(2.3\%)$
		3TC/DTG		
12	<b>RNA</b>			
	$DNA*$	TDF/FTC/RAL		
		TDF/FTC/RPV		
	$DNA**$	TAF/FTC/EVG/COB	$M41L (3.2\%)$ , D67N (7.2%)	K103N(7.9%)
16	<b>RNA</b>			
	$DNA*$	TDF/FTC/RAL		E138A (45.4%)
	DNA**	TDF/FTC/RPV	D67N (4.4%), M184I (98.0%),	M230I (100.0%)
		3TC/DTG	M184V (2.0%)	
17	<b>RNA</b>			
	$DNA*$	TDF/FTC/DRV/RTV/MVC	M41L (7.8%), T69N (3.7%),	K103N(11.6%)
			T69S (4.9%)	
	$DNA**$	TDF/FTC/DRV/RTV		
		TDF/FTC/EFV		

**Table 1 : UDS evolution of archived Drug Resistance Associated Mutations in plasma**

**(RNA) at baseline and cell-associated HIV-1 DNA (DNA) under effective antiretroviral** 

**Therapy**: results in % (mutation frequency among all reads); ; NRTI=nucleoside reverse transcriptase inhibitor; NNRTI= non-nucleoside reverse transcriptase inhibitor; 3TC: lamivudine; ABC: abacavir; TDF: tenofovir disoproxil fumarate; FTC: emtricitabine; RPV: rilpivirine; EFV: efavirenz; DRV/RTV: darunavir/ritonavir; RAL: raltegravir; DTG: dolutegravir, EVG: elvitégravir;; MVC, maraviroc; COB:cobicistat DNA\*: first point of plasma viral load < 20 copies/mL, DNA\*\*: 5 years of follow-up period Underlined: mutations associated with prescribed treatment; Italic: mutations not related with prescribed treatment; Bold: G-to-A mutations; Bold and Underlined: patients with at least 1 residual vireamia during follow up.



**Figure 1: Median of longitudinal plasma HIV-1 RNA, cell associated HIV-1 DNA levels and CD4 cells count in 20 patients**: All available samples for 5 years in 20 patients are displayed; D0= Day with confirmed diagnosis of primary HIV-1 infection; M=Month; HIV-1 RNA (Log<sub>10</sub> copies/mL); HIV-1 DNA (Log<sub>10</sub> copies/10<sup>6</sup> cells); CD4 cells count (cells/mm<sup>3</sup>)



**Figure 1 : Example of phylogenetic tree for patient 18 constructed from HIV-RNA at baseline prior ART initiation and HIV-DNA associated with peripheral blood sequences**: We used PhyML for maximum-likelihood (ML) phylogenetic reconstruction (Generalised time-reverse model (GTR)); ML tree was rooted on an outgroup: HIV subtype B consensus (HxB2: K03455); phylogenetic tree showing intermingled sequences in RT gene.

# **Supplemental Data**

We deep sequenced RT gene into 2 fragments: RT1 (413pb), RT2 (446pb) and gp120 gene (367pb). These genes were amplified using two rounds of PCR amplification.

Details of primers used for amplification are listed in table 1.

PCR1 Forward (for) and PCR1 Reverse (rev) for PCR round one, and PCR 2 for and PCR2 rev for PCR round 2 (nested PCR). To amplify RT1 and RT2 fragments, the following thermocycler parameters were used for PCR1: 50 °C for 30 minutes (mn), 94 °C for 7 mn, 94 °C for 10 seconds (s), 55 °C for 30s, 68 °C for 1 mn, 35 cycles of steps 3–5 and 68 °C for 7 mn. We used a touchdown PCR for round 2 with the following parameters: 98 °C for 1 mn, 3cycles (98°C for 10s; 66-64 °C for 30s; 72°C for 15s), 3cycles (98°C for 10s; 64-62 °C for 30s; 72°C for 15s), 3cycles (98°C for 10s; 62-60 °C for 30s; 72°C for 15s), 30 cycles (98°C for 10s; 60 °C for 30s; 72°C for 15s), and 72 °C for 7 mn.

To amplify ENV C2V3 region, the following thermocycler parameters were used for PCR1: 50 °C for 30 minutes (mn), 94 °C for 7 mn, 94 °C for 10 seconds (s), 53 °C for 30s,

68 °C for 1 mn, 35 cycles of steps 3–5 and 68 °C for 7 mn. Parameters used for PCR2 were: 98 °C for 1 mn, 98°C for 10s, 60 °C for 30s; 72°C for 15s, 40 cycles of steps 2-4 and 72 °C for 7 mn.



# **Table 1 : Primers used for Ultra Deep Sequencing**

for=forward; rev=reverse