

# Ultrafast ultrasound coupled with cervical magnetic stimulation for non-invasive and non-volitional assessment of diaphragm contractility

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**Title:** Ultrafast ultrasound coupled with cervical magnetic stimulation for non-invasive and non-volitional assessment of diaphragm contractility

Authors: Thomas Poulard Martin Dres Marie-Cécile Nierat Isabelle Rivals Jean-Yves Hogrel Thomas Similowski Jean-Luc Gennisson Damien Bachasson

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**Author Contribution:** Thomas Poulard: Conception or design of the work; Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Martin Dres: Conception or design of the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Marie-Cécile Nierat: Conception or design of the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for Isabelle Rivals: Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Jean-Yves Hogrel: Conception or design of the work; Drafting the work or revising it critically

for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Thomas Similowski: Conception or design of the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Jean-Luc Gennisson: Conception or design of the work; Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Damien Bachasson: Conception or design of the work; Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all approval of the work; Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work

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# 1 Ultrafast ultrasound coupled with cervical magnetic

# 2 stimulation for non-invasive and non-volitional 3 assessment of diaphragm contractility

4	Thomas Poulard <sup>1,2</sup> , Martin Dres <sup>3,4</sup> , Marie-Cécile Niérat <sup>3</sup> , Isabelle Rivals <sup>5</sup> , Jean-Yves
5	Hogrel <sup>2</sup> , Thomas Similowski <sup>3,4</sup> , Jean-Luc Gennisson <sup>1#</sup> , Damien Bachasson <sup>2#*</sup>
6	# equally contributing authors
7	
8	
9	<sup>1</sup> Laboratoire d'Imagerie Biomédicale Multimodale, BioMaps, Université Paris-Saclay,
10	CEA, CNRS UMR 9011, Inserm UMR1281, SHFJ, 4 place du général Leclerc, 91401, Orsay,
11	France
12	<sup>2</sup> Institute of Myology, Neuromuscular Investigation Center, Neuromuscular Physiology
13	Laboratory, Paris, France
14	<sup>3</sup> Sorbonne Université, INSERM, UMRS1158 Neurophysiologie respiratoire expérimentale
15	et clinique, Paris, France
16	<sup>4</sup> AP-HP. Sorbonne Université, Hôpital Pitié-Salpêtrière, Service de Pneumologie, Médecine
17	intensive – Réanimation (Département "R3S"), F-75013, Paris, France
18	<sup>5</sup> Equipe de Statistique Appliquée, ESPCI Paris, PSL Research University, UMRS 1158, 10
19	rue Vauquelin, 75005, Paris, France
20	
21	*Corresponding author: Damien Bachasson, PhD. Institut de Myologie, Laboratoire de
22	Physiologie et d'Evaluation Neuromusculaire, Hôpital Universitaire Pitié Salpêtrière, Paris
23	75651 Cedex 13, France. Tel: +33 1 42 16 66 41; fax: +33 1 42 16 58 81. E-mail:

24 <u>d.bachasson@institut-myologie.org</u>

### Ultrafast ultrasound imaging of the diaphragm

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# 28 Key points summary

- Twitch transdiaphragmatic pressure elicited by cervical magnetic stimulation of the
   phrenic nerves is a fully non-volitional method for assessing diaphragm contractility
   in humans, yet it requires invasive procedures such as esophageal and gastric catheter balloons.
- Ultrafast ultrasound enables a very high frame rate allowing the capture of transient
   events, such as muscle contraction elicited by nerve stimulation (twitch). Whether
   indices derived from ultrafast ultrasound can be used as an alternative to the invasive
   measurement of twitch transdiaphragmatic pressure is unknown.
- Our findings demonstrate that maximal diaphragm tissue velocity assessed using
   ultrafast ultrasound following cervical magnetic stimulation is reliable, sensitive to
   change in cervical magnetic stimulation intensity, and correlates to twitch
   transdiaphragmatic pressure.
- This approach provides a novel fully non-invasive and non-volitional tool for the
  assessment of diaphragm contractility in humans.

# 43 Abstract

44 Measuring twitch transdiaphragmatic pressure (Pditw) elicited by cervical magnetic stimulation (CMS) is considered as a reference method for the standardized evaluation of 45 diaphragm function. Yet, the measurement of Pdi requires invasive esophageal and gastric 46 catheter-balloons. Ultrafast ultrasound is a non-invasive imaging technique enabling frame 47 48 rates high enough to capture transient events such as evoked muscle contractions. This study 49 investigated relationships between indices derived from ultrafast ultrasounds and Pditw, and 50 how these indices may be used to estimate Pditw. CMS was performed in 13 healthy 51 volunteers from 30 to 100 % of stimulator intensity in units of 10 % in a randomized order. 52 Pditw was measured and the right hemidiaphragm was imaged using a custom ultrafast 53 ultrasound sequence with 1 kHz framerate. Maximal diaphragm axial velocity (Vdimax) and 54 diaphragm thickening fraction (TFditw) were computed. Intra-session reliability was 55 assessed. Repeated-measures correlation (R) and Spearman correlation coefficients (p) were 56 used to assess relationships between variables. Intra-session reliability was strong for Pditw 57 and Vdi<sub>max</sub> and moderate for TFdi<sub>tw</sub>. Vdi<sub>max</sub> correlated with Pdi<sub>tw</sub> in all subjects ( $0.64 < \rho <$ 58 1.00, R = 0.75; all p < 0.05). TFdi<sub>tw</sub> correlated with Pdi<sub>tw</sub> in 8 subjects only (0.85 <  $\rho$  < 0.93, 59 R = 0.69; all p<0.05). Coupling ultrafast ultrasound and CMS show promise for the non-60 invasive and fully non-volitional assessment of diaphragm contractility. This approach opens 61 up prospects for both diagnosis and follow-up of diaphragm contractility in clinical 62 populations.

63

Key Words: Diaphragm, ultrafast ultrasound imaging, cervical magnetic stimulation,
skeletal muscle, contractility, phrenic nerves

# 66 Introduction

67 Sixty years ago, Agostoni & Rahn, (1960) introduced a novel method to measure the 68 specific contribution of the diaphragm to the intrathoracic pressure generated during 69 inspiratory efforts, namely, transdiaphragmatic pressure (Pdi). Pdi is defined as the difference 70 between gastric (Pga) and esophageal (Pes) pressures measured using gastric and esophageal 71 probes. Twitch Pdi (Pditw) elicited by cervical magnetic stimulation (CMS) was introduced 72 30 years ago and is considered as a reference method for the non-volitional assessment of 73 diaphragm contractility (Similowski et al., 1989). Yet, measuring Pditwis considered invasive 74 and requires a high level of expertise (Laveneziana et al., 2019). Twitch mouth pressure (Pmotw) or nasal mask twitch pressure have been developed as an alternative to Pditw (Yan et 75 76 al., 1992; Teixeira et al., 2007). However, this approach requires some degree of cooperation from the subjects because small inspiratory/expiratory efforts (Similowski et al., 1993; 77 78 Hamnegaard et al., 1995; Windisch et al., 2005; Kabitz et al., 2007) are required prior the 79 stimulation to prevent upper airway collapse and/or glottis closure and ensure adequate 80 transmission. Moreover, these procedures required proper mouth occlusion, which cannot be 81 performed in many patients such as patients with neuromuscular disorders.

82 Ultrasound (US) imaging has emerged as a tool for assessing the diaphragm (Ueki et 83 al., 1995) and is increasingly used in clinical settings such as the intensive care unit (Dres & 84 Demoule, 2020). Imaging of the zone of apposition of the right-hemidiaphragm is classically 85 performed to investigate diaphragm behavior. Various indices can be derived from 86 diaphragm US such as diaphragm excursion or thickening fraction (Goligher et al., 2015; 87 Tuinman et al., 2020), diaphragm strain (Oppersma et al., 2017), or more recently changes in diaphragm stiffness assessed with US shear wave elastography (Bachasson et al., 2019). 88 89 However, these methods offer limited frame rate (i.e. a few tens of frames per second for 90 standard US and a few frames per second for US shear wave elastography). Therefore, these 91 methods cannot be used for capturing fast transient phenomena, such as diaphragm response 92 elicited by CMS (~300 ms).

Ultrafast US is a fairly recent imaging technique enabling very high frame rates (up
to 20 kHz, (Sandrin et al., 1999). This technique has previously been used in the biceps

95 brachii to visualize muscle behavior during short-lasting contractions (Deffieux et al., 2008; 96 Gronlund et al., 2013). By performing a radio frequency-based speckle tracking, ultrafast US 97 allows the quantification of transient velocities of mechanical waves induced by 98 transcutaneous electrical stimulation (Deffieux et al., 2008). Maximal tissue velocity has 99 been reported to increase linearly with stimulation intensity. However, the relationship 100 between tissue velocity and the force generated by the muscle during stimulation is unknown. 101 In a recent pilot work, we reported that diaphragm response elicited by CMS can be imaged 102 using ultrafast US and that responses elicited at high and low stimulation intensity can be discriminated (Bachasson et al., 2018). However, the relationship between diaphragm 103 104 pressure generation and indices derived from ultrafast US during CMS remains to be thoroughly investigated. 105

106 Therefore, this study aimed at imaging the diaphragm during CMS at different 107 intensity levels using ultrafast US. By investigating the relationships between Pditw and 108 indices derived from ultrafast US imaging (i.e. thickening fraction, maximal tissue velocity), 109 we hypothesized that diaphragm thickening fraction and diaphragm tissue velocity following 110 CMS were correlated to Pditw, and that these indices may be used as a surrogate to Pditw.

# 111 Methods

#### 112 Ethical approval

This study conformed to the Declaration of Helsinki. It was approved by the local ethics committee (Comité de Protection des Personnes Île-de-France VI, France, February 22<sup>nd</sup> 2016, ID-RCB 2015-A00949-40) and was publicly registered before the first inclusion (ClinicalTrials.gov, NCT03313141). All participants gave written informed consent. Some of the data from this study have already been published elsewhere, regarding the use of diaphragm shear wave elastography in healthy subjects during ventilation (Bachasson et al., 2019).

120 Participants

121 Thirteen healthy participants (5 males and 8 females, median (Q1-Q3) - age = 24 (22-122 27) years, height = 171 (167-183) cm, BMI = 20.6 (19.7-22.6) kg.m<sup>-2</sup>) were studied. 123 Participants had to be 18 and over with no history of respiratory or neuromuscular disorders, 124 and no contraindication to CMS (Rossi et al., 2011).

#### 125 **Pressure measurements**

126 Participants were studied in a semirecumbent position (~45 degrees) with uncast 127 abdomen. Pes and Pga were measured using 8 cm balloon catheters (Marquat Genie 128 Biomedical, Boissy-Saint-Léger Cedex, France). Balloons were introduced through the 129 participant's nostril and both placed in the stomach so that a positive pressure deflection was 130 monitored when gently pressing the participant's stomach. Subsequently, one balloon was 131 slowly withdrawn toward the esophagus until the pressure deflection was no more monitored 132 when pressing the participant's stomach, and was then withdrawn an additional 10 cm. 133 Esophageal balloon position was adjusted using the Baydur maneuver (Baydur et al., 1982). 134 Balloons were then connected to differential pressure transducers (MLT0380/D, 135 ADInstruments, Bella Vista, Australia) and filled with 4 and 5.5 ml of air in the esophageal 136 and gastric balloons, respectively (Mojoli et al., 2015). All signals were digitized at a 4 kHz 137 frequency using a PowerLab system (16/35, ADInstruments, Bella Vista, Australia) and 138 recorded on the LabChart software. Pdi was computed as the difference between Pga and 139 Pes.

#### 140 Cervical Magnetic Stimulation

CMS was performed using a Magstim 200 stimulator (Magstim, Whitland, Dyfed, UK) driving a 90-mm circular coil (1 Tesla maximum output) as previously described (Similowski et al., 1989). Briefly, participants were asked to bend their neck forward and the central hole of the coil was positioned on the spinous process of the seventh cervical vertebra. Optimal coil position was determined by performing a series of stimulation at 100 % of stimulator intensity. The spot where Pditw was the highest was skin-marked and kept constant during the whole experiment.

## 148 Ultrafast ultrasound imaging

149 The zone of apposition of the right hemidiaphragm was imaged using a 6 MHz central 150 frequency linear transducer (SL 10-2) driven by an ultrafast ultrasound device (Aixplorer 151 V12, Supersonic Imagine, Aix-en-Provence, France). The probe was placed on the mid-152 axillary line, vertical to the chest wall, at the 8th-10th intercostal space. The site of the probe 153 placement was skin-marked to ensure that the same region of interest was imaged during the 154 whole protocol. The diaphragm was identified as a three-layers structure superficial to the 155 liver, with two hyperechoic layers (*i.e.* the *pleura* and *peritoneum*) surrounding a hypoechoic 156 muscular layer (Figure 1). As the duration of Pditw is ~300 ms, a custom ultrafast US sequence was designed to track diaphragm movements during this time window. The 157 sequence was composed of 9 plane-wave US with different angles (-7° to 7° with a 2° 158 incremental steps) at 9 kHz frame rate, yielding a compounded frame rate of 1 kHz and a 500 159 160 ms (Montaldo et al., 2009). This sequence followed the Food and Drugs Administration 161 guidelines for acoustics norms (Mechanical index = 0.5, Thermal index = 0.2). Because 162 diaphragm depth rarely exceed 4 cm (Shahgholi et al., 2014), the US sequence was developed in order to maintain the same spatial and temporal resolution of to this depth of 4 cm. Such 163 164 sequence allows the imaging of the diaphragm in overweight patients. Signals were 165 synchronized using an output trigger sent from the ultrafast US device to the Powerlab 166 system. A fixed delay of 100 ms was set between the onset of US recordings and CMS, after 167 which the stimulator was triggered by the Powerlab for delivering the stimulation. Recording of pressure signals was started 1 s before the US trigger. The experimental setup and 168 169 procedure for recording pressure and US frames is displayed in Figure 2. Of note, we 170 investigated whether diaphragm excursion elicited by CMS may be imaged during subcostal 171 scanning during pilot works. We measured very small excursion values that were highly 172 variable between trials. This finding was expected as diaphragm response elicited by CMS 173 is not associated with substantial change in pulmonary volume. This may be mainly 174 explained by glottis closure. Consequently, the measurement of diaphragm excursion during 175 CMS was not further explored.

176 **Experimental protocol** 

177 Cervical magnetic stimulations. Participants were stimulated on the predefined optimal 178 stimulation spot from 30 to 100 % of stimulator intensity in units of 10 %, in a randomized 179 order. All stimulations were delivered at functional residual capacity (FRC). Lung volume 180 prior stimulation, estimated through Pes, was checked to be consistent across all stimulations. 181 A minimum of three stimulations, separated by at least one minute, were performed at each 182 stimulation intensity. Two to three validated trials (i.e. as indicated by appropriate Pes before 183 CMS) per intensity were considered for further analysis.

184 Maximal voluntary maneuvers. Participants were asked to perform maximal inspiratory effort at residual volume. Maximal Pdi (Pdimax) was measured using a unidirectional valve 185 186 allowing expiration only. Participants were asked to empty their lungs before being strongly encouraged to generate maximal inspiratory effort. Visual feedback of Pdi was provided 187 188 during the maneuver. Three to five trials were performed and maximal pressure measured over a 1 s period was recorded as Pdimax. Sniff nasal inspiratory pressure (SNIP) was 189 determined as follows. Participants were asked to make a short and maximal sniff at FRC. 190 191 As recommended (American Thoracic Society/European Respiratory, 2002), participants 192 performed 8-10 attempts with a  $\sim 30$ -s rest in-between sniffs until a plateau of peak pressure 193 values was reached.

#### 194 Data analysis

All data were analyzed offline using standardized Matlab scripts (Mathworks, Natick,
MA, USA). Pes, Pga, and Pdi signals were low-pass filtered (30 Hz) using a second-order
Butterworth filter. Esophageal twitch pressure (Pestw), gastric twitch pressure (Pgatw), and
Pditw following stimulation were calculated as the difference between maximal (for Pdi and
Pga) or minimal (for Pes) pressure and pressure at the onset of CMS.

Vertical speckle tracking was performed by computing the axial (*i.e.* perpendicular to the ultrasound probe) relative displacements within the diaphragm. This technique consists in comparing consecutive images using one-dimensional cross-correlations to measure the relative displacement of a pixel between two consecutive frames (Loupas et al., 1995). Diaphragm tissue velocity profile is then computed by dividing the measured displacement by the time difference between two frames (i.e. 1 ms). As an example, Figure 3 shows how the velocity within the diaphragm evolves over time. Diaphragm velocity was computed over each column of pixels within the diaphragm. The central third of each image was then averaged to obtain a single value of diaphragm velocity over time. This value was assumed to be representative of the whole diaphragm. Maximal diaphragm velocity (Vdi<sub>max</sub>) was then determined as the maximal (i.e. positive) velocity within this signal.

For each trial, a time-motion image was generated using the central pixel line of each ultrasound image, referred to as M-Mode in the following. The position of the *pleura* and *peritoneum* layers was then drawn manually over the full length of the M-Mode image. By doing so, diaphragm thickness (i.e. the difference between the *peritoneum* and *pleura* positions) was computed at each time of the US acquisition. Maximal diaphragm thickening fraction (TFditw) was computed using resting diaphragm thickness prior stimulation (Tdirest) and maximal diaphragm thickness following stimulation (Tdimax) as follows:

218 
$$TFdi_{tw} (\%) = \frac{Tdi_{max} - Tdi_{rest}}{Tdi_{rest}} \times 100$$
[1]

All TFdi<sub>tw</sub> measurements were performed by a single trained operator (TP), blinded to the stimulation intensity. A movie clip showing pressure signals, M-mode images, and indices derived from ultrafast US is available in supplementary materials S1.

#### 222 Statistics

223 Results are presented as median (Q1-Q3) unless otherwise stated. Normality was assessed by 224 visual inspection (*OO plots* and density distributions) and by significance tests (*Shapiro-Wilk* 225 test). Because all variables failed the normality test, Friedman repeated measures ANOVAs 226 were used. ANOVAs were conducted to compare Pes prior to each stimulation at all 227 stimulation intensities. Within-day reliability of Pditw, Vdimax, and TFditw was investigated. 228 Standard errors of measurement (SEM) and intraclass correlation coefficients (ICC) were 229 used to study absolute and relative reliability, respectively (Hopkins, 2002). The overall 230 relationship between variables (R) was determined using repeated measure correlation 231 (Bakdash & Marusich, 2017). This technique considers the independence of repeated 232 measures between individuals, so that potential confounding factors, such as between-233 participant variability, do not interfere. Data are presented as R [95 % CI]. Spearman

234 correlation coefficients ( $\rho$ ) were calculated to investigate within-individual relationships 235 between variables. ANOVAs were used to assess the effect of stimulation intensity on Pditw, 236 Vdimax, and TFditw. Tukey's post-hoc tests were conducted if a significant main effect of 237 intensity was found. Within individuals, Pditw, Vdimax, and TFditw were considered 238 supramaximal if the average Pditw, Vdimax or TFditw at submaximal and maximal stimulation 239 intensities was inferior or equal to the coefficient of variation of the variable at each 240 stimulation intensities (Welch et al., 2018; Geary et al., 2019). Supramaximality was reached 241 if greater stimulation intensity did not result in further increase in Pditw, Vdimax or TFditw. 242 Analyses were performed in the computing environment R (R Core Team, 2020). 243 Significance was set at p < 0.05 for all tests.

# 244 **Results**

All participants completed the protocol. Pdi<sub>max</sub> was 113 (71-115) cmH<sub>2</sub>O 90 (56-117) cmH<sub>2</sub>O in men and women, respectively. Overall, Pdi<sub>max</sub> was 108 (71-117) cmH<sub>2</sub>O. SNIP was 116 (109-130) cmH<sub>2</sub>O in men and 103 (90-118) cmH<sub>2</sub>O in women. Overall, SNIP was 109 (96-123) cmH<sub>2</sub>O. The one-way repeated measures ANOVA showed that Pes at the onset of CMS was similar across all stimulations at all intensities (p=0.2430). Within-day SEM and ICC of Pdi<sub>tw</sub>, Vdi<sub>max</sub>, and TFdi<sub>tw</sub> are presented in Table 1. Typical B-Mode images over the course of the 500 ms US acquisition are presented in supplementary materials S2.

### 252 Effect of stimulation intensity on indices derived from ultrafast ultrasound

M-Mode images and temporal evolution of the displacements of the *pleura* and *peritoneum*, Vdi<sub>max</sub>, and recorded pressures in one individual are displayed in Figure 4 (also see movie clip in Supporting Information S1). Pes<sub>tw</sub>, Pga<sub>tw</sub>, and Pdi<sub>tw</sub> at all tested stimulation intensities are shown in Figure 5 and Figure 6A. Vdi<sub>max</sub> and TFdi<sub>tw</sub> at all tested stimulation intensities are displayed in Figure 6B-C. Within individual relationships between stimulation intensity and Pdi<sub>tw</sub>, Vdi<sub>max</sub>, and TFdi<sub>tw</sub> are shown in Figure 6D-F.

259 Pdi<sub>tw</sub> was significantly related to stimulation intensity in all subjects ( $\rho$  ranged from 260 0.83 to 1.00, all p<0.0100; R = 0.91, 95 % CIs [0.86 0.94], p<0.0001). At the group level, 261 there was a significant main effect of stimulation intensity on Pdi<sub>tw</sub>. *Post-hoc* tests indicated that Pdi<sub>tw</sub> significantly increased up to 100 % of stimulation intensity (all p<0.05). Within individuals, Pdi<sub>tw</sub> plateaued at 90 % of stimulation intensity in two participants. In other participants, Pdi<sub>tw</sub> increased until 100 % of stimulation intensity.

265 Vdi<sub>max</sub> correlated to stimulation intensity in all participants (p ranged from 0.79 to 266 1.00, all p < 0.0500; R = 0.83, 95 % CIs [0.75 0.89], p < 0.0001). At the group level, there was a significant main effect of stimulation intensity on Vdimax. Post-hoc tests indicated that 267 268 Vdi<sub>max</sub> did not significantly differ between 90 and 100 % of stimulation intensity (*p*=0.9997). 269 No significant differences in Vdimax was found between consecutive stimulation intensities, 270 except between 80 and 90 % of stimulation intensity (p=0.0080). Within individuals, Vdi<sub>max</sub> 271 plateaued at 90 % of stimulation intensity in 6 participants, at 80 % in one participant, and at 70 % in one participant. 272

273 TFdi<sub>tw</sub> correlated to stimulation intensity (R = 0.72, 95 % CIs [0.60 0.80], p<0.0001). 274 Individual correlations were significant in 10 out of 13 subjects (p ranged from 0.67 to 0.95, all p < 0.05;  $\rho$  ranged from 0.33 to 0.52 in the three remaining participants, all p > 0.2200). At 275 276 the group level, there was a significant main effect of stimulation intensity on TFditw. Posthoc tests showed that TFditw did not significantly differ between 60 to 100% of stimulation 277 intensity (all p>0.1155). No significant differences in TFditw was found between consecutive 278 279 stimulation intensities. Within individuals, TFditw plateaued at 90 % of stimulation intensity in 5 participants, at 80 % in two participants, at 70 % in two participants, at 50 % in one 280 participant and at 30 % in one participant. TFditw and Vdimax at all stimulation intensities are 281 282 shown in Figure 6B and 6C, respectively.

#### 283 Relationships between Pditw and indices derived from ultrafast ultrasound

Within-individuals' relationships between Pdi<sub>tw</sub> and Vdi<sub>max</sub>, and between Pdi<sub>tw</sub> and TFdi<sub>tw</sub> are presented in Figure 7. Vdi<sub>max</sub> correlated to Pdi<sub>tw</sub> in all participants ( $\rho$  ranged from 0.64 to 1.00, all *p*<0.05; R = 0.75, 95 % CIs [0.65 0.83], *p*<0.0001). TFdi<sub>tw</sub> positively correlated to Pdi<sub>tw</sub> (R = 0.69, 95 % CIs [0.57 0.79], *p*<0.0001) and individual correlation coefficients were significant in 8 out of 13 participants ( $\rho$  ranged from 0.85 to 0.93, all *p*<0.05;  $\rho$  ranged from -0.27 to 0.70, in the five remaining participants, all *p*>0.06).

# 290 **Discussion**

This study is the first to image the diaphragm contraction induced by CMS using ultrafast US. The main results are as follow: i) maximal tissue velocity within the diaphragm significantly increased with stimulation intensity while diaphragm thickening fraction plateaued at low stimulation intensity, ii) intra-session reliability of maximal tissue velocity within the diaphragm was high and intra-session reliability of diaphragm thickening fraction was poor iii) twitch transdiaphragmatic pressure strongly correlated with maximal tissue velocity within the diaphragm and moderately correlated with diaphragm thickening fraction.

# Vdi<sub>max</sub> is sensitive to changes in stimulation intensity and correlates to twitch transdiaphragmatic pressure

300 We found that Vdi<sub>max</sub> increased with stimulation intensity in all participants. These 301 results are in line with previous works that reported a gradual increase in tissue velocity with 302 stimulation intensity during contractions elicited in the *biceps brachii* (Deffieux et al., 2008; 303 Gronlund et al., 2013). To the best of our knowledge, this study is the first to report the 304 relationship between a muscle's tissue velocity and the force/pressure it produces. We found 305 that Vdi<sub>max</sub> correlated to Pdi<sub>tw</sub> in all participants, supporting that the magnitude of Vdi<sub>max</sub> is associated with the diaphragm contractility. Interestingly, Pditw was supramaximal in two 306 307 subjects only, whereas Vdi<sub>max</sub> was supramaximal in 8 subjects. The inability to reach 308 supramaximal Pditw values in some subjects has been addressed before (Man et al., 2004; 309 Spiesshoefer et al., 2019). This may be partly explained by insufficient magnetic stimulation 310 power to fully activate the phrenic nerves. It cannot be ruled out that supramaximality of 311 Pditw occurred between 90 and 100 % of stimulation intensity. Nonetheless, it is known that 312 CMS at highest stimulation intensities stimulates neck muscles (Attali et al., 1997). Thus, 313 Pditw is likely to increase not because of a higher activation of the diaphragm, but because 314 the recruitment of neck muscles increases the deflation of twitch Pes (Wragg et al., 1994; Laghi et al., 1996). Regarding Vdimax, supramaximality was reached in 8 out of 13 315 316 participants. The fact that Vdimax plateaued while Pditw continued to increase may be related 317 to specificity of Vdimax measurement, which directly probe the diaphragm. Therefore, Vdimax 318 may be considered as a specific index of diaphragm contractility following CMS, ruling out 319 the confounding effects related to the recruitment of extra diaphragmatic muscle. Vdimax did 320 not meet supramaximality criteria in 5 subjects. Interestingly, 4 out of these 5 subjects did 321 not reach supramaximality for Pditw either. It can thus be suggested that the absence of Vdimax 322 supramaximality is directly related to the absence of Pditw supramaximality. Also, one may 323 observe that Vdi increases in the milliseconds following stimulation, while Pdi peaks ~150 324 ms after stimulation (Figure 4). This supports that the rapid change in Vdi correspond to 325 diaphragm contraction and that this lag reflects the time needed for the diaphragm to transfer 326 its force generation into an actual pressure generation. Importantly, Vdimax was found to be strongly reproducible, as indicated by low SEM and high ICC that were comparable to those 327 328 observed for Pditw (Table 1). This high reliability build confidence regarding the potential of Vdi<sub>max</sub> for non-volitional monitoring of diaphragm contractility over time. This study is also 329 330 the first to report TFditw values during CMS. Repeated measure correlations showed a 331 significant correlation between TFditw and stimulation intensity. Within individuals, 10 (77 332 %) participants presented with a significant relationship between TFditw and stimulation 333 intensity. As compared to Vdimax, TFditw was shown to be less sensitive to changes in 334 stimulation intensity. At the group level, TFditw was moderately correlated to Pditw. Our 335 results are in line with previous studies that reported significant relationship between 336 diaphragm thickening fraction and changes in Pdi during spontaneous breathing, inspiratory 337 efforts, or in mechanically ventilated patients (Ueki et al., 1995; Vivier et al., 2012; Goligher 338 et al., 2015; Umbrello et al., 2015). However, when looking at individual relationship, the 339 correlation between TFditw and Pditw reached significance in 8 subjects (62%) only. We also found that TFditw plateaued at low stimulation intensity (60%). Importantly, the intra-session 340 341 reliability of TFditw was rather poor. There are several potential explanations for these 342 findings. First, TFditw was computed manually by drawing the position of the *pleura* and 343 peritoneum. Imprecisions during this manual step might also be amplified by the lower image 344 quality found using ultrafast US as compared to that of conventional US imaging. More 345 specifically, conventional US imaging uses focused pulses, allowing for high image quality but a relatively low sampling rate (a few tens per second). On the other hand, ultrafast US 346 347 plane wave imaging allows very high sampling rate (here, 1 kHz) but image quality is lower 348 (Montaldo et al., 2009). Indeed, ultrafast US prevents the focusing of US beams to a specific

349 tissue (i.e. in this case, the diaphragm) and negatively impacts the signal to noise ratio of the 350 resulting image. As a result, the ultrafast US sequence developed for the current experiment 351 does not allow strong contrast of anatomic structures in comparison to standard US imaging 352 (Figure 1A). This may disrupt the measurement of TFdi<sub>tw</sub> (Figure 1B) and contribute to 353 explain the low intra-session reliability of TFditw indicated by the substantial SEM (~10%) 354 and moderate ICC (<0.6). Noteworthy, TFdi during ventilation was previously shown to be 355 moderately reliable using traditional ultrasound imaging (Goligher et al., 2015). This low 356 reliability may explain, at least in part, the absence of increase in TFditw with increasing stimulation intensity and increasing Pditw in some participants. Indeed, we found in some 357 358 participants that TFditw plateaued at intensities as low as 40-60 %. Because of the large increase in Pditw between 60 and 100 % of stimulation intensity, it is very unlikely that 359 360 supramaximal TFditw values depicts full diaphragm recruitment. All together, these findings 361 suggest that TFditw may be of limited help to assess diaphragm contractility in response to 362 CMS.

363 **Perspectives and limitations** 

364 We demonstrated that Vdimax was strongly related to Pditw in all subjects. This could have important implications for monitoring temporal changes in diaphragm contractility in 365 366 patients presenting with diaphragm dysfunction. In other words, Vdimax elicited by CMS 367 could be monitored over time using ultrafast US, allowing iterative, specific, fully non-368 invasive and non-volitional assessment of diaphragm contractility. It is worth noting that 369 between-subject variability was relatively important. In turn, one may question how Vdimax 370 may be used to identify diaphragm dysfunction. Further studies will focus on this specific point, with the perspective that Vdimax may be one parameter, among others, guiding 371 372 clinicians through the assessment of diaphragm contractility. Inter-operator and between day 373 reliability of Vdimax remains to be investigated. Assessing the delay between CMS and 374 diaphragm response as assessed using Vdi<sub>max</sub> may also be promising to investigate both 375 phrenic conduction and electromechanical delay. Unfortunately, EMG was not available in 376 the current study and this shall be investigated in future works. As mentioned above, we 377 cannot ensure that supramaximality was achieved in all subjects. It is possible that 378 maximality occurred between 90 and 100 % of stimulation intensity in some subjects. This 379 problem has been addressed before (Man et al., 2004; Spiesshoefer et al., 2019), but can be 380 considered negligible if a rigorous and standardized study-design is routinely used. Also, as the primary aim of this study was to detect changes in diaphragm contractility according to 381 382 stimulation intensity and relationships between variables, supramaximality should not be considered as an important concern. We also emphasize that only the right hemidiaphragm 383 384 was imaged in this study and that future works shall thoroughly investigate this approach in 385 the left hemidiaphragm. Lastly, the ultrafast US sequence used in this study was custommade so that the present approach cannot be readily generalized to clinical environments as 386 387 it required a specific US scanner, US sequences that are not available commercially, specific training, and represent a non-negligible costs. 388

#### 389 Conclusion

These study shows that ultrafast US may be used to image diaphragm behavior following CMS. Diaphragm tissue velocity is strongly correlated with twitch transdiaphragmatic pressure and appears to be highly specific to diaphragm contractility. Further research is warranted to investigate how ultrafast US may be used in patients, in particular those with diaphragm dysfunction. Coupling ultrafast US with CMS opens prospect for a fully non-invasive, non-volitional assessment and follow-up of diaphragm contractility in clinical populations.

# 397 Additional information

#### **398 Data Availability Statement**

The data that support the findings of this study are available from the correspondingauthor upon reasonable request.

#### 401 **Competing interests**

JLG is a scientific consultant for Supersonic Imagine, Aix-en-Provence, France. MD
 received personal fees from Lungpacer.

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#### 408 Author contributions

All authors participated in the conception and design of the study. TP and DB performed experiments. TP, JLG and DB analyzed the data and drafted the original version of the manuscript. All authors critically revised and approved the final version of the manuscript. All persons designated as authors qualify for authorship, and all those who qualify for authorship are listed.

#### 414 Supporting information

S1. A movie clip, slowed down 40 times, showing pressure signals, M-mode images,
and indices derived from ultrafast US is available at the following link:
<u>https://figshare.com/s/fe55c9aa033cb6a42617</u>.

S2. A movie clip, in real-time, showing B-Mode and M-Mode images during a typical
500-ms ultrafast ultrasound acquisition is available at the following link:
<u>https://figshare.com/s/79fb82dd0361075df33e</u>

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#### Tables 575

576 Table 1. Within day reliability of twitch transdiaphragmatic pressure (Pditw), maximal 577 diaphragm tissue velocity (Vdimax) and diaphragm thickening fraction (TFditw) for all stimulations. SEM, standard error of measurement; ICC, intraclass correlation coefficient; 578 [95% CI], 95% confidence interval. 579

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Variable	Mean (SD)	SEM [95 % CI]	ICC [95 % CI]
$Pdi_{tw}(cmH_2O)$	11.6 (9.5)	1.55 [1.39 ; 1.75]	0.97 [0.96 ; 0.98]
Vdi <sub>max</sub> (mm.s <sup>-1</sup> )	5.6 (5.0)	1.89 [1.70 ; 2.13]	0.86 [0.81 ; 0.90]
TFdi <sub>tw</sub> (%)	18.7 (15.6)	10.41 [9.38 ; 11.76]	0.56 [0.43 ; 0.66]

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# 583 Figures

584 Figure 1. A. Typical B-Mode image of the diaphragm using conventional ultrasound 585 imaging. Conventional ultrasound uses focused pulses, allowing high image quality but 586 relatively low sampling rate (a few tens per second). The diaphragm can be identified as a 587 three-layers structure superficial to the liver. The echogenic *pleura* and *peritoneum* layers 588 surround the muscular layer of the diaphragm. B. The diaphragm is imaged using the custom 589 ultrafast ultrasound sequence used in this study. Noteworthy, ultrafast ultrasound allows very 590 high frame rate but limited contrast of anatomic structures in comparison to standard US 591 imaging.

**Figure 2.** Experimental setup and procedure for recording pressure and ultrafast ultrasound images. The participants were asked to bend their neck forward and the central hole of the coil was positioned on the spinous process of the seventh cervical vertebra. Recording of pressure signals was initiated 1000 ms before the onset of ultrasound recording. Cervical magnetic stimulation was applied 100 ms after the onset of a 500-ms ultrafast ultrasound acquisition.

598 **Figure 3.** Diaphragm tissue velocity (Vdi) over time along the longitudinal axis of the 599 ultrasound probe. Cervical magnetic stimulation occurs at 100 ms and is indicated by the 600 grey ribbon.

**Figure 4.** Typical ultrasound and physiological recordings at 40 (left), 70 (center) and 100 % (right) of stimulator intensity. The central pixel of each B-Mode image was used to generate the M-Mode images (upper panel). *Pleura* (dashed) and *peritoneum* (solid) layers displacement are presented in the second panel. Diaphragm tissue velocity (Vdi) is presented in the third panel. Lastly, the transdiaphragmatic (Pdi), esophageal (Pes) and gastric (Pga) pressures are displayed in the bottom panel. The dotted vertical lines at 100 ms indicate the onset of cervical magnetic stimulation.

Figure 5. Esophageal (Pestw, A.) and gastric (Pgatw, B.) twitch pressures at different
stimulation intensities. Box plots present first and third quartiles, in addition to the median.
The range over which the data spread out is defined by the whiskers.

611 Figure 6. Twitch transdiaphragmatic pressure (Pditw, A.), maximal diaphragm tissue velocity (Vdimax, B.), and diaphragm thickening fraction (TFditw, C.) according to stimulation 612 613 intensities. Box plots present first and third quartiles, in addition to the median. The range 614 over which the data spread out is defined by the whiskers. Repeated measure ANOVAs were 615 used to assess the effect of stimulation intensity on Pditw, Vdimax, and TFditw. Tukey's post-616 hoc tests were conducted if a significant main effect of intensity was found. \*, significant 617 difference with the preceding stimulation intensity (i.e. -10 %); #, significant difference with 618 the second preceding stimulation intensity (i.e. -20 %). Averaged data points for each 619 participant are displayed for Pditw (D.), Vdimax (E.), and TFditw (F.). Red points on panels D,

620 E, and F indicate supramaximality for the given parameter.

621 Figure 7. Averaged data points for each participant regarding the relationships between

622 twitch transdiaphragmatic pressure (Pditw) and maximal diaphragm tissue velocity (Vdimax,

623 A.) and between Pditw and diaphragm thickening fraction (TFditw, B.).















