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¹ Spectrum of kinetic plasma turbulence at 0.3-0.9 astronomical units from the Sun

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We investigate spectral properties of turbulence in the solar wind that is a weakly collisional astrophysical plasma, accessible to *in-situ* observations. Using the Helios search coil magnetometer measurements in the fast solar wind, in the inner heliosphere, we focus on properties of the turbulent magnetic fluctuations at scales smaller than the ion characteristic scales, the so-called *kinetic plasma turbulence*. At such small scales, we show that the magnetic power spectra between 0.3 and 0.9 AU from the Sun have a generic shape $\sim f^{-8/3} \exp(-f/f_d)$ where the dissipation frequency f_d is correlated with the Doppler shifted frequency $f_{\rho e}$ of the electron Larmor radius. This behavior is statistically significant: all the observed kinetic spectra are well described by this model, with $f_d = f_{\rho e}/1.8$. Our results indicate that the electron gyroradius plays the role of the dissipation scale and marks the end of the electromagnetic cascade in the solar wind.

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I. INTRODUCTION

Astrophysical plasmas are often very rarefied so that 15 ¹⁶ the Coulomb collisions are infrequent [e.g., 37, 52]: in 17 contrast to the usual neutral fluids, the collisional dissipation (viscous and resistive) channels are weak, and the 18 Kolomogorov's dissipation scale [23] is ill-defined. Fur-19 thermore, the presence of a background magnetic field 20 B_0 introduces a preferred direction [e.g., 42, 43, 54, 58] 21 and allows the existence of propagating incompressible 22 modes (Alfvén waves). The different plasma ion and 23 electron constituents have a number of characteristic (ki-24 netic) scales at which properties of turbulent fluctuations 25 change. 26

²⁷ Considering all this complexity, one may wonder
²⁸ whether there is a certain degree of generality in space
²⁹ plasma turbulence. In particular, does the dissipation
³⁰ range have a general spectrum, as is the case in neutral
³¹ fluid turbulence [18, 23]?

The solar wind plasma, which is accessible to *in-situ* 32 ³³ space exploration, has proven to be a very useful laboratory to study the astrophysical plasma turbulence [e.g., 34 5, 12]. Since the first early in-situ measurements, [e.g., 35 19], our knowledge of the large-scale turbulence in the 36 solar wind has greatly improved, [e.g., 12, 30]. There 37 ³⁸ is an extended inertial range of scales at which incom-³⁹ pressible magnetohydrodynamics (MHD) phenomenolo-40 gies [9, 13, 24], similar in spirit to Kolomogorov's phe-⁴¹ nomenology, may be invoked to understand the forma-⁴² tion of a Kolmogorov-like spectrum of magnetic fluctu-43 ations $\sim k^{-5/3}$. (Note that satellite measurements are 44 time series, thus, in Fourier space one gets frequency ⁴⁵ spectra. At the radial distances from the Sun studied ⁴⁶ here, any characteristic plasma velocity, except whistler ⁴⁷ wave phase speed, is less than the solar wind speed V. ⁴⁸ Thus, one can invoke Taylor's hypothesis and convert a ⁴⁹ spacecraft-frame frequency f to a flow-parallel wavenum-⁵⁰ ber k in the plasma frame $k = 2\pi f/V$.)

At the short wavelength end of the inertial domain, 51 ⁵² i.e., at scales of the order of the proton inertial scale $_{\rm 53}~\lambda_p~=~c/\omega_{pp}$ (where c is the speed of light and ω_{pp} is ⁵⁴ the proton plasma frequency) the spectrum steepens. At 55 these scales (~ 100 km at 1 AU from the Sun [40]), ⁵⁶ the MHD approximation is no longer valid; the "heavy" ⁵⁷ ion (basically, a proton in the solar wind) fluid and the ⁵⁸ "light" electron fluid behave separately, [e.g., 26, 36, 44]. ⁵⁹ It is still not completely clear whether the spectral steep-60 ening at ion scales is the beginning of the dissipation ⁶¹ range or a transition to another cascade taking place between ion and electron scales or a combination of both 62 63 [e.g., 5, 14, 33]. Recent von Kármán-Howarth analyses 64 of direct numerical simulations and *in-situ* observations 65 [7, 26] indicated that the transition from the MHD iner-⁶⁶ tial range to the sub-ion range is due to a combination of 67 the onset of the Hall MHD effect and a reduction of the 68 cascade rate likely due to some dissipation mechanism. ⁶⁹ Then, the question arises as to how much of the dissi-⁷⁰ pation of the turbulent energy is flowing into the ions ⁷¹ and how much is flowing into the electrons. In the vicin- $_{72}$ ity of the electron scales (~ 1 km at 1 AU), the fluid ⁷³ description no longer holds, and the electrons should be ⁷⁴ considered as particles. The present paper focuses on this ⁷⁵ short wavelength range, i.e., between the ion scales and 76 a fraction of the electron scales.

The first solar wind observations of turbulence at scales smaller than ion scales (the so-called *sub-ion scales*) were ⁷⁹ reported by Denskat et al. [21], using the search coil mag-¹³⁷ sub-ion scales [6]. In a statistical study of turbulent specnetometer (SCM) on Helios space mission at radial dis- 138 tra up to 100 Hz, Bowen et al. [11] determined spectral 80 tances $R \in [0.3, 0.9]$ AU from the Sun. From this pio- 139 indices up to 30 Hz, confirming a power law usually ob-81 neering work we know that between the ion and electron $_{140}$ served at 1 AU ~ $f^{-2.8}$ [2, 4, 15, 29, 51]. The PSP-SCM 82 scales, the magnetic spectrum follows an $\sim f^{-3}$ power 141 data products up to 100 Hz used in [6, 11] and the instru-83 law. 84

85 tuations (STAFF) instrument on Cluster space mission 144 the Sun-spacecraft distances sampled by PSP to date. 86 [20, 22], which is the most sensitive SCM flown in the 145 87 88 89 90 91 92 to another, suggesting that the spectrum is not universal ¹⁵² erality of the phenomenon. 94 ⁹⁵ at kinetic scales [34, 50, 51]. However, as was shown in [31, 35, 48], most of these spectral variations are due to 96 ⁹⁷ the presence, or absence, of quasi-linear whistler waves 153 ⁹⁸ with frequencies at a fraction of the electron cyclotron ⁹⁹ frequency $f_{ce} = eB_0/(2\pi m_e)$ (where e and m_e are the ¹⁰⁰ charge and the mass of an electron, respectively) and wave vectors k quasi-parallel to B_0 [31]. These waves 101 may result from the development of some instabilities 102 associated with either an increase of the electron temper-103 ature anisotropy or an increase of the electron heat flux 104 in some regions of the solar wind [56]. In the absence ¹⁰⁶ of whistlers, the background turbulence is characterized 107 by low frequencies in the plasma frame and wave vec-108 tors mostly perpendicular to the mean field $\mathbf{k} \perp \mathbf{B}_0$ [32]. This quasi-2D turbulence is convected by the solar wind 109 (with the speed V) across the spacecraft and appears in 110 the satellite frame at frequencies $f = k_{\perp} V/2\pi$. It hap-111 pens that these frequencies are below but close to f_{ce} , 112 exactly in the range where whistler waves (with $k \parallel B_0$ 113 and $f \simeq (0.1 - 0.2) f_{ce}$ may appear locally. Therefore, 114 the superposition of turbulence and whistlers at the same 115 frequencies is coincidental. If we could perform measure-116 ments directly in the plasma frame, these two phenomena ¹¹⁸ would be completely separated in k and f. A possible 119 interaction between turbulence and whistlers is out of ¹²⁰ the scope of the present paper. We focus here on the background turbulence at kinetic scales only. 121

A statistical study by Alexandrova et al. [4] of so-122 lar wind streams at 1 AU under different plasma con-123 ditions showed that, in the absence of parallel whistler 124 waves, the quasi-2D background turbulence forms a 125 126 127 128 129 stant and $T_{e\perp}$ is the electron perpendicular temperature). 184 Such bumps are the signatures of parallel whistler waves 130 132 133 the Sun than 1 AU? 134

135 ¹³⁶ wind at 0.17 AU show a spectrum close to $\sim f^{-8/3}$ at ¹⁹¹ ual spectra of Helios–SCM. Here, we analyze background

142 mental noise level do not allow the resolution of electron Thanks to the Spatio-Temporal Analysis of Field Fluc- 143 scales at 0.17 AU, at least for the types of solar wind and

In this paper, we analyze magnetic spectra within the solar wind to date, the small scale tail of the electro-¹⁴⁶ [7,700] Hz range at radial distances between 0.3 and magnetic cascade at 1 AU could be explored down to a 147 0.9 AU thanks to Helios measurements. Here, for the fraction of electron scales $\sim 0.2 - 1$ km [1, 2, 4, 5, 32, 148 first time, we provide a turbulent spectrum at electron 34, 35, 50, 51], i.e., up to 1/5 of electron scales. These 149 scales and its simple empirical description at distances observations seem confusing at first glance: the spectral ¹⁵⁰ from the Sun smaller than 1 AU. The spectrum follows shape of the magnetic fluctuations varies from one record 151 a function similar to that found at 1 AU, indicating gen-

DATA II.

154 The SCM instrument on Helios space mission [38] con-155 sists of three orthogonally oriented search coil sensors ¹⁵⁶ which are mounted on a boom at a distance of 4.6 m $_{157}$ from the center of the spacecraft with the z-sensor par-158 allel to the spin axis and x and y sensors in the spin ¹⁵⁹ plane. The wave forms from the sensors are processed ¹⁶⁰ in an on-board spectrum analyzer. They pass through 8 ¹⁶¹ band-pass filters which are continuous in frequency cover-¹⁶² age and logarithmically spaced. The central frequencies 163 of the 8 channels are 6.8, 14.7, 31.6, 68, 147, 316, 681 ¹⁶⁴ and 1470 Hz. The novel feature for the time of construc-¹⁶⁵ tion of the instrument was that the filter outputs were ¹⁶⁶ processed by a digital mean-value-computer on board of 167 Helios [39].

Thus, the instrument provides magnetic spectra for 168 ¹⁶⁹ two of three components, (B_y, B_z) and rarely (B_x, B_z) , ¹⁷⁰ in the Spacecraft Solar Ecliptic reference frame, which ¹⁷¹ is equivalent to the Geocentric Solar Ecliptic frame [41]. 172 The available Helios-SCM products are the spectra inte-¹⁷³ grated over 8 s. For the present study we use only the $_{174}$ spectra of B_y . Indeed, the pre-flight noise level for the $_{175}$ B_y spectra matches well the post-flight noise level, which $_{176}$ is not the case for B_z . More details on the instrument ¹⁷⁷ and data processing can be found in [39].

178 We have analyzed 246543 individual B_{μ} -magnetic 179 spectra as measured by SCM on Helios-1 with signal-to-180 noise ratios (SNR) larger than or equal to 2 up to 100 Hz, spectrum $\sim k_{\perp}^{-8/3} \exp(-k_{\perp}\ell_d)$, with a cut-off scale ℓ_{d-181} at radial distances from the Sun $R \in [0.3, 0.9]$ AU Among well correlated with the electron Larmor radius $\rho_e = \frac{1}{182}$ them, about 2% of the spectra show spectral bumps be- $\sqrt{2k_BT_{e\perp}}/m_e/(2\pi f_{ce})$ (where k_B is the Boltzmann con- 183 tween the lower hybrid frequency f_{lh} and $\sim 0.25 f_{ce}$ [28]. Such a spectrum with an exponential correction indicates 185 as was shown in [31]. The analysis of these spectra with a lack of spectral self-similarity at electron scales, as in 186 bumps, shows that the signatures of whistlers are mostly the dissipation range of the neutral flow turbulence. How $_{187}$ present in the slow wind (V < 500 km/s) and their apgeneral is this kinetic spectrum? Is it observed closer to 188 pearance increases with the distance from the Sun [28]. 189 In the fast wind (V > 600 km/s) and close to the Sun, Parker Solar Probe (PSP) observations in the slow 190 we do not observe signatures of whistlers in 8-s individ¹⁹² turbulence spectra in the fast solar wind, i.e., without ¹⁹³ signatures of whistler waves.

On the basis of this first analysis of 246543 B_y -spectra 194 with a SNR ≥ 2 up to 100 Hz, we can already say that the 195 background turbulence without signatures of whistlers is 196 commonly observed (98% of the analyzed spectra) and its 197 ¹⁹⁸ spectral shape is very similar at different radial distances ¹⁹⁹ as we will see below, just the amplitude changes. Tur-²⁰⁰ bulent level decreases with radial distance [8, 10, 17, 21] ²⁰¹ and thus further from the Sun, fewer SCM frequencies ²⁰² are resolved. For the statistical study, we will consider ²⁰³ 3344 spectra with a SNR larger than or equal to 3 up to 316 Hz and among them 39 spectra with a SNR \geq 3 up 204 to 681 Hz. All these 3344 spectra are at 0.3 AU. 205

III. SPECTRAL ANALYSIS

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Figure 1(a)-(c) show examples of the most intense B_{μ} -207 ²⁰⁸ spectra as measured by SCM on Helios-1 at 0.3, 0.6 and 0.9 AU, respectively. For the 3 radial distances from the 209 ²¹⁰ Sun the raw power spectral densities (PSDs) are shown by red diamonds. The dotted line indicates the noise level 211 of the instrument for the B_{y} -component. The spectra 212 corrected for the noise contribution by the subtraction of 213 the noise level are shown by blue dots. Vertical red lines 214 give the Doppler shifted kinetic scales. Plasma parame-215 ters, characteristic lengths and frequencies corresponding 216 to these spectra are given in Table I. 217

²¹⁸ We perform a least square fit of the 3 corrected spectra ²¹⁹ with the model function known to describe the kinetic ²²⁰ spectrum at 1 AU [4]:

$$P_{\text{model}}(f) = A f^{-8/3} \exp\left(-f/f_d\right).$$
 (1)

²²¹ This model has two free parameters: the amplitude of ²²² the spectrum A and the dissipation frequency f_d . The ²²³ result of this fitting is shown by a black solid line in the 224 3 cases. The corresponding maximal physical frequencies $_{225} f_{max}$ (the highest frequency where the SNR is ≥ 3 still verifies [3]) together with the results of the fit are given 226 227 at the end of Table I. At 0.3 AU, the spectrum is well ²²⁸ resolved up to $f_{max} = 681$ Hz (the 7th out of the 8 SCM ²²⁹ frequencies). The electron Larmor radius $\rho_e \simeq 0.4$ km ap-₂₃₀ pears at $f_{\rho e} = V/(2\pi\rho_e) = 325$ Hz (see the right vertical ²³¹ red line). Thus, in this case, turbulence is resolved up to a $_{\rm 232}$ minimal scale of about $\ell_{min}=V/(2\pi f_{max})=0.47\rho_e$ (see $_{233}$ the bottom row of Table I). As expected [8, 10, 17, 21], 234 further from the Sun the intensity of the spectra de- $_{235}$ creases with R: at 0.6 AU, the spectrum is resolved up to ²³⁶ 316 Hz and at 0.9 AU, it is resolved only up to 147 Hz. ²³⁷ In both cases, nonetheless, the electron Larmor radius 238 is resolved as $\rho_e \sim 1/B_0$ increases with R and the corre-²³⁹ sponding frequency $f_{\rho e}$ decreases (see vertical red lines in ₂₄₀ Figure 1(b) and (c): $f_{\rho e} = 130$ Hz at 0.6 AU and 110 Hz ²⁴¹ at 0.9 AU). The observed spectra at 3 radial distances ²⁴² from the Sun are well described by the model, and the ²⁴³ dissipation frequency f_d decreases from (183 ± 5) Hz at ²⁴⁴ 0.3 AU to (56 ± 4) Hz at 0.9 AU, following $f_{\rho e}$.



FIG. 1. Examples of the most intense Helios–SCM spectra of B_y component, as functions of the spacecraft-frame frequency f, at (a) 0.3 AU, (b) 0.6 AU and (c) 0.9 AU. For the 3 radial distances, the raw-spectrum is shown by red diamonds, the corrected spectrum, after the subtraction of the noise – by blue dots, the black solid line gives the fit with the model function (1), the dashed line gives $f^{-2.8}$ power-law for comparison and the dotted line indicates the noise level of the Helios-SCM- B_y . Vertical red lines give the Doppler shifted kinetic scales: in (a), ρ_p and ρ_e appear at $f_{\rho p} \simeq 1$ Hz and $f_{\rho e} = 130$ Hz, respectively; and in (c) they appear at $f_{\rho p} \simeq 1$ Hz and $f_{\rho p} \simeq 1$ Hz and $f_{\rho e} = 110$ Hz, respectively.

TABLE I. Plasma parameters, characteristic scales and frequencies, maximal resolved frequency by Helios/SCM, f_{max} , and results of the fit to Eq.(1) at 3 radial distances from the Sun, corresponding to the spectra in Figure 1. The two bottom rows indicate a fraction of ℓ_d and ρ_e -scales resolved by these spectra.

R (AU)	0.9	0.6	0.3
B_0 (nT)	8.5	11.6	32.2
V (km/s)	720	710	740
$n_{n} (\text{cm}^{-3})$	4.8	7.0	28.4
T_n (eV)	34.3	51.1	61.2
T_e (eV)	9.3	12.7	12.9
$T_{p\perp}$ (eV)	41.2	67.8	80.3
$T_{e\perp}$ (eV)	7.0	9.0	12
$\beta_{p,\perp}$	1.1	1.4	0.9
$\beta_{e,\perp}$	0.2	0.2	0.13
$\lambda_p \ (\mathrm{km})$	99	82	41
$\rho_p \ (\mathrm{km})$	109	102	40
$\lambda_e \; (\mathrm{km})$	2.3	1.9	1
$\rho_e \ (\mathrm{km})$	1.0	0.9	0.4
f_{cp} (Hz)	0.10	0.2	0.5
$f_{\lambda p}$ (Hz)	1.2	1.4	2.9
$f_{\rho p}$ (Hz)	1.0	1.1	2.9
$f_{\lambda e}$ (Hz)	50	59	124
$f_{\rho e}$ (Hz)	110	130	325
f_{ce} (Hz)	238	325	900
f_{max} (Hz)	147	316	681
$A (nT^2/Hz)Hz^{8/3}$	0.04	0.34	1.63
$\Delta A/A$	2	0.2	0.03
f_d (Hz)	56	58	183
$\Delta f_d/f_d$	0.07	0.04	0.03
f_d/f_{max}	0.38	0.27	0.27
$f_{ ho e}/f_{max}$	0.74	0.40	0.47

245 $_{246}$ the relative errors on free parameters of the fit, $\Delta f_d/f_d$ 277 These conditions are verified for 3344 spectra at 0.3 AU in 247 248 249 250 of frequencies to fit; thus, we get higher errors. 251

Now let us consider the most intense spectra, i.e., with 252 $_{253}$ a SNR that is ≥ 3 up to 681 Hz and with simultaneous measurements of B_0 . These conditions are verified for 254 $39~{\rm spectra}$ at $0.3~{\rm AU}$ in the fast wind, measured during 255 the closest approach of Helios to the Sun. 256

All these spectra are similar to that shown in Fig- 289 257 258 ure 1(a). We perform a least squares fit of the 39 spectra 290 by crosses. The 39 most intense spectra are marked by 259 $_{260} \Delta f_d/f_d$ and $\Delta A/A$, vary between 0.01 and 0.14. The $_{292}$ cated by the dotted line. Figure 4(b) shows these 3344 $_{261}$ dissipation scale ℓ_d can be estimated using the Taylor hy- $_{293}$ spectra corrected for the noise contribution, P(f) = 262 pothesis $\ell_d = V/(2\pi f_d)$. It is found to be correlated with 294 $P_{\rm raw}(f) - P_{\rm noise}(f)$, and as functions of f normalised $_{263}$ the ρ_e scale with a correlation coefficient C = 0.68. The $_{295}$ to the Doppler shifted electron Larmor radius frequency, $_{264}$ relation $\ell_d \sim 1.8\rho_e$ is observed (see Figure 2). There is no $_{296} f_{\rho e} = V/(2\pi\rho_e)$. Let us now superpose all spectra to- $_{265}$ correlation with the electron inertial length λ_e (C = 0.02, $_{297}$ gether. Figure 4(c) shows a 2D histogram calculated with



FIG. 2. Results of the fitting procedure of the most intense spectra at 0.3 AU with Eq. (1): dissipation scale $\ell_d = V/2\pi f_d$ as a function of the electron Larmor radius ρ_e ; the linear dependence $\ell_d = 1.8\rho_e$ is indicated by the dashed line, with the correlation coefficient C = 0.68.

²⁶⁶ not shown). Thus, we can fix f_d in Eq. (1):

$$P_{\text{model}}(f) = A f^{-8/3} \exp\left(-1.8f/f_{\rho e}\right).$$
(2)

267 Let us now verify whether this simpler model describes a larger statistical sample. 268

To increase the number of spectra analysed, we now 270 also consider less resolved spectra, i.e., with a signalto-noise ratio larger than 3 up to 316 Hz, and with 271 ²⁷² plasma measurements in the vicinity of the spectra (i.e., 273 the mean field at most within 16 s around the mea- $_{274}$ sured SCM spectrum, the electron temperature T_e within $_{275}$ about 30 min; and when not available, T_e is taken within From Table I one can see that further from the Sun, 276 a longer time interval but within the same wind type). and $\Delta A/A$, increase, while the f_{max} decreases. This error 278 the fast wind. Probability distribution functions (PDFs) increase is expectable: f_{max} is proportional to the turbu- 279 of the mean plasma parameters for the 3344 spectra are lence level, and the lower turbulence level corresponds to 200 shown in Figure 3 with black lines and those for the 39 the smaller SNR and automatically to a smaller number ²⁸¹ most intense spectra analyzed above, are shown by green ²⁸² lines. The proton β_p (electron β_e) plasma beta is the ra-²⁸³ tio between the proton (electron) thermal pressure and the magnetic pressure. From these PDFs, we see that the 39 most intense spectra are observed for the solar wind 285 $_{286}$ with V > 650 km/s, for the proton thermal pressure $_{\rm 287}~n_pk_BT_p \geq 0.2$ nPa and for the largest β_p and β_e values 288 of the analyzed data set (for $\beta_p \ge 0.3$ and $\beta_e \ge 0.1$).

Figure 4(a) displays the 3344 raw B_y spectra, $P_{\text{raw}}(f)$, with the model function, Eq. (1). The relative errors, $_{291}$ green crosses; the noise level for B_y , $P_{\text{noise}}(f)$ is indi-



FIG. 3. Probability distribution functions (PDF's) of the mean plasma parameters at 0.3 AU for the 3344 spectra shown in Figure 4 (black lines) and for the 39 most intense spectra (green lines): (a) proton density n_p , (b) solar wind speed V, (c) proton temperature T_p , (d) electron temperature T_e , (e) magnetic field magnitude B_0 , (f) proton thermal pressure $n_p k_B T_p$, (g) proton plasma beta β_p , (h) electron beta β_e .

²⁹⁸ the spectra of the middle panel and rescaled by their am-²⁹⁹ plitude at $f/f_{\rho e} = 0.051$, i.e., $P(f)P_0/P(f_0)$. This means $_{\tt 300}$ that by construction all spectra pass through the point $(f_0, P_0) = (0.051 f_{\rho e}, 10^{-4} \text{nT}^2/\text{Hz});$ the spectrum ampli-301 tudes at f_0 are linearly interpolated from the two nearest 302 points. The results do not change if we choose another 303 way to adjust the amplitudes in order to bring the spec-304 tra together. This rescaling allows us to fix the last free 305 parameter in Eq. (2), the amplitude to a value A_0 , which 306 307 is now related to P_0 at f_0 . Thus, we can compare the ³⁰⁸ shape of 3344 spectra with the function

$$P_{\text{model}}(f/f_{\rho e}) = A_0 (f/f_{\rho e})^{-8/3} \exp\left(-1.8f/f_{\rho e}\right).$$
(3)

³⁰⁹ This model passes through the data without any fitting;



FIG. 4. (a) 3344 individual Helios–1 SCM spectra of B_y as functions of the spacecraft-frame frequency f at 0.3 AU in the fast wind; the 39 most intense spectra are marked by green crosses; the SCM noise for B_y component is indicated by a dotted line. (b) These 3344 spectra corrected for the noise contribution as functions of f normalised to the Doppler shifted electron Larmor radius frequency $f_{\rho e} = V/(2\pi\rho_e)$. (c) The same spectra, rescaled by their amplitude at $f_0 =$ $0.051 f/f_{\rho e}$ (see the text); the result is shown as a 2D histogram with the number of the data points proportional to the darkness of the red colour. The dashed line displays the model function, Eq.(3).

 $_{310}$ only the frequency is normalized to $f_{\rho e}$, and the am-³¹¹ plitude is rescaled at the point (f_0, P_0) , see the dashed $_{312}$ line in Figure 4(c). Note that the dispersion of the data ³¹³ points at the lowest and highest frequency ends can be $_{314}$ due to the non simultaneous T_e measurements. More-315 over, the lowest frequency can be affected as well by the ³¹⁶ proximity of the ion characteristic scales, and the highest ³¹⁷ frequencies can be affected by the SCM noise.

IV. CONCLUSION AND DISCUSSION 318

These results together with the previous observations 319 320 at 1 AU [4], indicate that at kinetic scales smaller than the ion characteristic scales, the spectrum in the fast wind keeps its shape $\sim f^{-8/3} \exp\left(-f/f_d\right)$ independently 322 of the radial distance from the Sun, from 0.3 to 1 AU, 323 with an exponential falloff, reminiscent of the dissipa-324 tion range of the neutral fluid turbulence. The equiv-325 $_{326}$ alent of the Kolmogorov scale ℓ_d , where the dissipation 327 of the electromagnetic cascade is expected to take place, ³²⁸ is controlled by the electron Larmor radius ρ_e for these 329 radial distances. Precisely, here, with Helios we find $_{330}$ $\ell_d \simeq 1.8 \rho_e$, and previously, with Cluster at 1 AU, we ob- $_{365}$ these theoretical and numerical works, the particle dis- $_{331}$ served $\ell_d \simeq 1.4 \rho_e$ [4]. The constant in front of ρ_e seems $_{366}$ tributions are assumed to be Maxwellian, which is not $_{332}$ to be weakly dependent on R. This will be verified in a $_{367}$ the case in solar wind. ³³³ future study with PSP and Solar Orbiter.

334 $_{335}$ sult. First, the electron Larmor radius is not the only $_{370}$ observed that the spectral curvature at electron scales is 336 $_{337}$ Sun, the electron inertial length λ_e becomes larger than $_{372}$ simulations, where the direction parallel to B_0 is not re- $_{338}$ the Larmor radius ρ_e , but as observed here, it is still $_{373}$ solved, so that the Landau damping cannot be effective. ³³⁹ with ρ_e and not with λ_e that the "dissipation" scale cor- ³⁷⁴ Rudakov et al. [49] studied the weak KAW turbulence 340 relates. Second, in neutral fluids, the dissipation scale 375 and showed that a non-Maxwellian electron distribution $_{341}$ ℓ_d is much larger than the mean free path, so that the $_{376}$ function has a significant effect on the cascade: the lin-³⁴² dissipation range is described within the fluid approxi-³⁷⁷ ear Landau damping leads to the formation of a plateau $_{343}$ mation. In the solar wind between 0.3 and 1 AU, as we $_{378}$ in the parallel electron distribution function $f(V_{e\parallel})$, for $_{344}$ showed, ℓ_d is defined by ρ_e scale. In the vicinity of ρ_e the $_{379}$ $V_A < V_{e\parallel} < V_{e,th}$, which reduces the Landau damping 345 protons are completely kinetic, and electrons start to be 380 rate significantly. These authors studied the nonlinear ³⁴⁶ kinetic. Third, it appears puzzling that the dissipation ³⁸¹ scattering of waves by plasma particles and concluded 347 scale in space plasma is fixed to a given plasma scale. It is 382 that, for the solar wind parameters, this scattering is $_{348}$ well known in neutral fluids that the dissipation scale ℓ_d $_{383}$ the dominant process at kinetic scales, with the dissipa- $_{349}$ depends on the energy injection rate ε and thus on the $_{384}$ tion starting at the λ_e scale. To date, we have not mea- $_{350}$ amplitude of turbulent spectrum in the following way: $_{385}$ sured in the solar wind a plateau in $f(V_{e\parallel})$ between the $_{351} A \sim \varepsilon^2/3 \sim \ell_d^{-8/3}$ [e.g., 2, 23]. Is ρ_e independent of the $_{386}$ Alfvén speed V_A and the electron thermal speed $V_{e,th}$. $_{352}$ energy injection? We found previously that the turbulent $_{387}$ Such a distribution may exist, but would be very diffi- $_{353}$ spectrum amplitude is anticorrelated with ρ_e [2]; that is, $_{388}$ cult to observe because of instrumental effects such as 354 it seems that the electron Larmor radius is sensitive to 389 the spacecraft potential and photoelectrons. However, it 355 the turbulence level and thus to the energy injection. We 390 is not clear to what extent the quasi-linear results based 356 expect to verify this point with PSP and Solar Orbiter 391 on the Landau damping or the weakly non-linear model data in future studies. 357

358 the ρ_e scale the electron Landau damping is at work 394 turbulent power spectrum on kinetic scales. 359 360 to dissipate magnetic fluctuations into electron heating: 395 Let us now put our observations in a more general con-₃₆₁ this is found in 3D gyrokinetic simulations [57] and in ₃₉₆ text of the solar wind turbulence. Figure 5 shows a com-362 analytical models of strong kinetic Alfvén wave (KAW) 397 plete turbulent spectrum covering the energy containing

TABLE II. Mean plasma parameters at 4 radial distances from the Sun, corresponding to the spectra in Figure 5.

ne san, corresponding to the spectra in Figure							
R (AU)	0.9	0.3	0.1	0.05			
$B_0 (nT)$	7 ± 2	41 ± 3	280	990			
$V (\rm km/s)$	705 ± 35	650 ± 40	510	410			
$n_p \ ({\rm cm}^{-3})$	4 ± 1	31 ± 4	350	1700			
$T_p (\mathrm{eV})$	21 ± 5	50 ± 9	120	230			
T_e (eV)	9 ± 2	15 ± 2	19	25			
$T_{p\perp}$ (eV)	24 ± 5	65 ± 10	-	-			
$T_{e\perp}$ (eV)	7 ± 1	12 ± 1	-	-			
β_p	0.8 ± 0.2	0.5 ± 0.1	0.2	0.15			
β_e	0.2 ± 0.1	0.10 ± 0.02	0.04	0.02			
$\lambda_p \ (\mathrm{km})$	108 ± 14	39 ± 3	12	6			
$\rho_p \ (\mathrm{km})$	101 ± 31	28 ± 3	6	2			
$\lambda_e \; (\mathrm{km})$	2.5 ± 0.3	0.9 ± 0.1	0.3	0.1			
$\rho_e \ (\mathrm{km})$	1.3 ± 0.4	0.3 ± 0.02	0.05	0.02			
f_{cp} (Hz)	0.10 ± 0.03	0.6 ± 0.05	4	15			
$f_{\lambda p}$ (Hz)	1.0 ± 0.1	2.6 ± 0.3	7	12			
$f_{\rho p}$ (Hz)	1.1 ± 0.3	3.6 ± 0.5	14	30			
$f_{\lambda e}$ (Hz)	44 ± 6	110 ± 10	300	500			
$f_{\rho e}$ (Hz)	90 ± 30	360 ± 40	1530	3800			
f_{ce} (Hz)	200 ± 60	1150 ± 80	7800	28000			

It seems that the electron Landau damping is not the 368 The equivalence between ℓ_d and ρ_e is not a trivial re- $_{369}$ only possible dissipation mechanism. Parashar et al. [45] characteristic length at such small scales. Closer to the 371 sensitive to the ρ_e scale (i.e., to β_e) in 2D Particle-in-cell ³⁹² of Rudakov et al. [49] are relevant when non-linear co-The results presented here may suggest that around 393 herent structures [25, 47] importantly contribute to the

³⁶³ turbulence [46, 53] and can be explained by the weak-³⁹⁸ scales ($\sim f^{-1}$ spectral range), the inertial range at MHD ³⁶⁴ ened cascade model of Howes et al. [27]. However, in ³⁹⁹ scales ($\sim f^{-5/3}$ range), and the kinetic scales, as ob-



FIG. 5. The complete turbulent spectrum from energy injection scales up to the sub-electron scales at 0.3 and 0.9 AU as measured by Helios. The energy containing scales (which correspond to $\sim f^{-1}$ spectrum) and the MHD inertial range (~ $f^{-5/3}$) are covered by the Helios–MAG instrument (gray lines). The Helios-SCM instrument covers the kinetic scales (blue dots), studied in the present paper. The black solid lines indicate model functions \hat{f}^{-1} , $f^{-5/3}$ and $f^{-8/3} \exp\left(-1.8f/f_{\rho_e}\right)$ at different frequency ranges. The two most energetic spectra at high frequencies are the extrapolations of the kinetic spectrum in the fast wind that we expect to measure with PSP at 0.05 and 0.1 AU. The dashed line gives Helios-SCM noise, the dashed-dotted and dotted lines the transition from the inertial to the kinetic range; the electron Larmor radius ρ_e (red diamonds) marks the dissipation cutoff.

 $_{400}$ served at 0.3 and 0.9 AU by Helios in the fast wind. The 401 mean plasma parameters for the time intervals used here 447 402 are given in Table II.

403 404 are generic for plasma turbulence at sub-ion to electron 450 ence Foundation. O.A. thanks F. Neubauer and L. Mat-405 cies in Figure 5 are the extrapolations of the kinetic spec- $_{\rm 452}$ manuscript. trum that we expect to observe in the fast solar wind with 453 407 408 PSP at 0.05 and 0.1 AU (see the Appendix for more de- 454 data archive (http://helios-data.ssl.berkeley.edu/). 409 tails). Indeed, the beginning of this kinetic spectrum 455 following an $f^{-8/3}$ -law between ~ 10 and 100 Hz was 456 model, Eq.(1), is optimize.curve_fit from scipy/python $_{411}$ recently observed by PSP at 35.7 solar radii (0.166 AU) $_{457}$ [59]. 412 [6, 11]. Future PSP observations closer to the Sun will 458 ⁴¹³ show how the empirical picture of the kinetic turbulence ⁴⁵⁹ to O. Alexandrova (email: olga.alexandrova@obspm.fr).

⁴¹⁴ given here may change.

APPENDIX: EXTRAPOLATION OF 415 TURBULENT SPECTRA CLOSER TO THE SUN 416

To plot the extrapolations of the kinetic spectra at 0.05 417 ⁴¹⁸ and 0.1 AU in Figure 5, we assume that the turbulence 419 level will increase together with the mean field, keep-420 ing $\delta B/B_0 \sim \text{const}$, as observed in the solar wind, [e.g., 421 8, 10]. In the inner heliosphere, where $\beta < 1$, the end of the Kolmogorov scaling is expected to happen at the pro-422 ton inertial length λ_p [10, 16] (see green stars). The exponential falloff at the end of the electromagnetic cascade 424 $_{425}$ is defined by the local ρ_e , as we confirm in this study. To ⁴²⁶ determine the Doppler shifted frequencies where λ_p and ⁴²⁷ ρ_e will appear in the extrapolated spectra $(f_{\lambda p} = V/2\pi\lambda_p)$ ⁴²⁸ and $f_{\rho e} = V/2\pi\rho_e$, we use plasma parameters (proton ⁴²⁹ density n_p , electron temperature T_e , magnetic field B_0 , $_{430}$ and solar wind speed V) extrapolated from the in-situ ⁴³¹ Helios measurements (from 0.3 to 0.9 AU). These latter 432 extrapolations have been performed by connecting the 433 gradient of the Helios density measurements to the one ⁴³⁴ measured remotely from coronal white light eclipse ob-435 servations. More precisely, we have retrieved the radial 436 variations of both the electron density $n_e(R)$ (which we 437 assume for simplicity to be equal to $n_n(R)$ and bulk ⁴³⁸ speed V(R) all the way down to the low corona by (i) $_{439}$ imposing that the density matches both the 0.3 to 1 AU 440 Helios density observations and the coronal density observations obtained remotely by Sittler and Guhathakurta 441 ⁴⁴² [55] and (ii) imposing the conservation of the mass flux indicate noise levels of the different magnetic sensors on PSP. 443 $n_e(R)V(R)R^2 = \text{const.}$ The plasma parameters used for The Doppler shifted ion inertial length λ_p (green stars) marks 444 the extrapolated spectra as well as for the time intervals ⁴⁴⁵ of the Helios measurements are summarized in Table II.

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Data The Helios–1 data are available on the Helios

Software The routine used to fit the data with the

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- Note1. One astronomical unit (AU) is the distance from 712 [54] [40]648 Earth to the Sun, which is about 1.5×10^{11} m. 649
- Note2. The Geocentric Solar Ecliptic (GSE) frame has its 714 650 41 x-axis pointing from the Earth towards the Sun, the y- 715 651 axis is chosen to be in the ecliptic plane pointing towards 716 652 dusk (thus opposing planetary motion), z-axis is normal 717 653 to the ecliptic plane, northwards. 654 718
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