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Reelin functions beyond neuronal migration: from synaptogenesis to network activity modulation

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11 12 **Abstract**

13 14 Reelin, a glycoprotein of the extracellular matrix, has been the focus of several studies over 15 the years, mostly for its role in cell migration. Here we report the role of this molecule and of 16 its downstream pathways in post-mitotic neurons and how they contribute to neural circuit 17 assembly, refinement and function. Accumulating evidence has pointed at a major role for 18 Reelin in axonal guidance, synaptogenesis and dendritic spine formation. In particular new 19 evidence points at a direct role in axonal targeting and refinement at the target site. In addition, 20 recent advances highlight new functions of Reelin in the modulation of synaptic activity, 21 plasticity and behavior and it directly regulates GABA receptors expression and stability. We 22 discuss these findings in the context of neurodevelopmental disorders. 23

25 Highlights

- Reelin signaling is required for axonal targeting and refinement at the target site.
- Reelin signaling regulates dendritogenesis and spine formation in mature neurons.
- Reelin modulates GABA receptors expression and stability, pre-and-post-synaptically.
- Reelin is important for hippocampal integrity, synaptic plasticity and behavior.

36 Introduction

37 38 Functional neural circuits arise through a series of sequential steps including neurogenesis, 39 cell migration, axonal navigation and synaptogenesis. Perturbations of these events, which 40 start during early embryogenesis, have been linked with the etiology of neurodevelopmental 41 disorders. Reelin is an extracellular matrix (ECM) glycoprotein that acts as a key regulator of 42 different steps of brain wiring. In the mammalian neo-cortex, Reelin regulates neuronal 43 migration and laver formation, dendritic arborization and synaptogenesis¹. It regulates these 44 distinct processes by acting through two receptors, ApoER2 and VLDLR, which are 45 differentially expressed throughout the nervous system and display specific functions^{2,3}. 46 Although it is well established that Reelin and its pathway play an essential role in neuronal migration, accumulating evidence support additional functions in multiple other distinct 47 48 biological processes such as axon guidance, dendritogenesis and synaptogenesis. In addition, 49 recent work shows that Reelin signaling and its interactors are essential for the modulation of 50 synaptic function and network activity as well as synaptic plasticity. This short review will focus 51 on these recent findings and highlight the importance of Reelin signaling in the regulation of 52 learning, memory and behavior abilities in adulthood, all implicated in Reelin-associated 53 neurological disorders.

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57 **Reelin role in axon guidance**

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59 Until recently, the role of Reelin in axon guidance was dismissed as early reports failed to 60 detect any involvement in this process⁴. However, accumulating evidence point at a role for 61 Reelin in axon growth and synaptic targeting, essentially analyzing the role of this ECM protein 62 in the entorhinal cortex and the visual system.

63 64 In the entorhino-hippocampal and commissural projections of reeler mice, several alterations including reduced axonal branching, decreased number of synapses, and abnormal 65 topography of synapses were observed^{5,6}. Other defects such as the presence of misrouted 66 67 fibers and the formation of ectopic termination patches were also reported. These early studies 68 therefore hinted at new role for Reelin in axon guidance. However a parallel study rather 69 suggested that the observed abnormalities of hippocampal cells projections onto the entorhinal 70 cortex were instead primarily independent of the Reelin pathway⁴. Nevertheless, later work 71 performed in *dab1*-deficient mice revealed a similar phenotype characterized by entorhinal 72 afferents targeting inappropriate layers in the hippocampus, thus indicating that Reelin can in fact act as guidance factor via Dab17. Moreover, by using combinations of entorhinal and 73 74 hippocampal organotypic co-cultures from wild type and mutants, this same study showed that 75 Dab1 is required for both ingrowing axons and for the target tissue to ensure a normal 76 projection pattern⁷. In addition, exposure to Reelin of entorhinal and dorsal root ganglia axons 77 lead to the reduced axonal growth in explant cultures⁷.

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79 In the visual system, several studies have implicated Reelin and its pathway in the proper 80 patterning of synaptic connectivity of the visual circuits. In 2001, Rice and colleagues showed 81 the first evidence of an involvement of Reelin pathway in the regulation of the visual circuits 82 anatomy and function by analyzing the effect of Reelin and Dab1a loss of functions^{8,9}. In this 83 work, the authors observed alterations in the projection patterns of rod bipolar cells and All 84 amacrine cells in the retinal inner plexiform layer of *reelin* and *dab1* mutant mice. Later studies 85 performed in the reeler mouse and reelin mutant rat reported defects in the trajectories of 86 retinal afferents to the superior colliculus but could not link these effects directly to the loss of 87 Reelin function as the architecture of this tissue is itself affected in these animals^{10,11}. However 88 a subsequent study reported misrouted axonal projections of intrinsically photosensitive retinal 89 ganglion cells to their appropriate partners in the lateral geniculate nucleus, which rather 90 project to other non-retino-recipient thalamic regions of the reeler and dab1 mice brains¹². 91 Unlike the previous observations made in the superior colliculus, these defects and the mis-92 targeting phenotype observed in these mutants are unlikely to arise from lamination defects or 93 neural mis-positioning. More recently, a study in zebrafish showed that reelin is expressed as 94 a gradient in the fish brain retino-recipient, the optic tectum, to differentiate the laminae where 95 RGC axons project¹³. This work elucidated the role of Reelin in the proper establishment of 96 synaptic stratification in the tectal neuropil, where RGCs axons and dendrites from 97 periventricular neurons form both synaptic contacts. Through loss-of-function analysis of 98 components of the Reelin pathway, the authors demonstrated that alterations of Reelin. Dab1a 99 and VIdIr in zebrafish lead to the disruption of RGCs targeting in the optic tectum as well as 100 the stratification of periventricular neurons in the tectal neuropil, both in an independent manner¹³ (Fig 1). Reelin signaling is required in this context in a cell autonomous manner to 101 102 guide precise axonal lamination. The general similarity in the global organization of the teleost 103 optic tectum and the mammalian cortex, where incoming axonal inputs contact apical dendrites 104 or neuropils of recipient neurons, raises the intriguing possibility that Reelin acts, after 105 migration, in the orchestrated wiring of layer 1 of the mammalian neocortex (Fig 2). In this 106 structure, Reelin is produced by two neuronal types: the superficially located Cajal-Retzius 107 cells that secrete Reelin throughout embryogenesis and early postnatal life in mouse and a 108 subset of interneurons that start expressing Reelin from birth. In this brain region Reelin could 109 act similarly to what observed in the zebrafish optic tectum to regulate the precise stratification 110 of incoming thalamocortical axons that project into layer 1.

112 At the cell intrinsic level, other recent studies have also implicated Reelin in axonal wiring via the identification of its crucial regulatory interaction with Cofilin^{14,15}, a central hub for axon 113 elongation and axonal growth cone steering¹⁶⁻¹⁸. In this context, Reelin's role in the stabilization 114 115 of the Actin cytoskeleton through Cofilin phosphorylation was shown to be an important prerequisite for the stability of pyramidal cells leading process. Another recent study further 116 117 implicated Reelin-Cofilin interaction in cortical neuron dendritic growth dynamic and branching by evaluating the effect of alcohol exposure on Reelin-Dab1 signaling¹⁹. Time-lapse imaging 118 119 revealed that cortical neurons exposed to ethanol displayed similar phenotypic defects as 120 observed in the case of Reelin-deficiency (through the blockade of the Reelin-Dab1 tyrosine 121 kinase signaling pathway). This phenotype is mediated by the sustained dephosphorylation 122 and activation of Cofilin.

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124 Altogether these results indicate that Reelin signaling plays an important role both in the 125 targeting and refining of axonal connections at the target site.

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Reelin physiological function in synaptogenesis and dendritic spine formation

130 During development, Reelin mediates its neuronal guidance action through the binding to the 131 lipoprotein receptors VLDLR and ApoER2 that results in cytoplasmic phosphorylation of Dab1 via the Src family of tyrosine kinases (reviewed in²⁰). Once neurons have reached their 132 133 destination, Reelin continues to modulate synaptic signaling pathways, regulates synaptic 134 plasticity as well as axonal and dendritic outgrowth. However, since these anatomical studies 135 were performed on mice in which Reelin signaling components were disrupted from the early 136 embryonic stages, it could not be determined if Reelin signaling regulates the formation, the 137 maturation and/or the maintenance of dendrites or spines in mature neurons.

138 To test these hypotheses, in vitro and in vivo analyses of juvenile and adult reeler mutant mice 139 revealed a reduction in dendritic tree complexity and dendritic spine density in neurons²¹⁻²³. 140 These studies together indicate that Reelin is important for circuit establishment, thus impacting dendrite and spine development (reviewed in²⁴). Indeed, interference with Reelin 141 142 signaling was shown to strongly perturb dendritogenesis and reduce spine density in mature 143 hippocampal neurons. In particular, the expression of a mutant ApoER2 form, which blocks its 144 interaction with postsynaptic density protein 95 (PSD-95) and hence cannot transduce Reelin 145 signaling, resulted in the reactivation of dendritogenesis in mature hippocampal neurons²⁵. By 146 immunofluorescence, the authors observed that Reelin-signaling impairment reduced synaptic 147 PSD-95 levels. Together these results indicate that Reelin/ApoER2/PSD-95 signaling is 148 important for neuronal structure maintenance in mature neurons.

149 A concurrent study demonstrated the impact of the Reelin/Dab1 pathway on the 150 synaptogenesis of newborn granule cells (GCs) in the young-adult mouse hippocampus²⁶. The 151 authors showed that neither reelin overexpression nor the inactivation of its intracellular 152 adapter Dab1 substantially altered dendritic spine numbers in these neurons. In contrast, by 153 3D-electron microscopy, they revealed that the mis-regulation of the Reelin/Dab1 pathway 154 leads to both transient and permanent changes in the types and morphology of dendritic 155 spines, mainly altering mushroom, filopodial, and branched GC spines. Furthermore, they 156 found that the Reelin/Dab1 pathway controls synaptic configuration of presynaptic boutons in 157 the dentate gyrus and that its deregulation leads to a substantial decrease in multi-synaptic 158 boutons innervation. In addition, in astroglia cells, Reelin/Dab1 pathway was shown to control ensheathment of synapses²⁶. Other work also pointed at a possible role for Reelin in dendrites 159 and spine formation in the neocortex by comparing the subcellular localization of Reelin in the 160 161 cortex and hippocampus in wild-type mice and in heterozygous Reeler mice²⁷. Thus, the Reelin pathway is a key regulator of adult-generated GC integration, by controlling dendritic spine 162 163 types and shapes, their synaptic innervation patterns, and glial ensheathment. These findings 164 reveal a new mode for reactivating dendritogenesis in neurological disorders where dendritic 165 arbor complexity is limited, such as in depression, Alzheimer's disease (AD), and stroke.

166 Interestingly it should be known that in recent years, mounting evidence has linked the Reelin 167 pathway to AD. For instance, Reelin levels are elevated in the brain of AD patients, but a lower 168 amount of intracellular ApoER2 fragments is detected, suggesting that Reelin signaling is 169 altered in AD^{28,29}. Furthermore, the amyloid-β peptide 1–42 alters Reelin glycosylation and 170 compromises its capacity to bind to ApoER2 and the γ-secretase component Presenilin-1 can 171 modulate Reelin signaling by directly processing ApoER2 intracellular domain³⁰⁻³².

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174 Reelin modulates synaptic function and network activity175

176 Increasing evidence suggest that Reelin signaling is involved in the regulation of neuronal177 activity and synaptic function, both at the level of single synapses and network activity.

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179 At the glutamatergic synapses, it is well established that Reelin signaling mediates the 180 composition, the number and the trafficking of both AMPA and NMDA receptors (AMPAR and 181 NMDAR respectively), both pre- and -post synaptically, thus playing an important role in the 182 maturation, organization, stabilization, functionality and plasticity of excitatory synapses³³⁻³⁵. 183 Although these roles for Reelin at the excitatory glutamatergic synapse have been extensively 184 identified, less attention has been devoted to shed light on the implication of the glycoprotein 185 in modulating GABAergic inhibitory synaptic activity. Recently, several studies have unraveled 186 a novel synaptic function of Reelin on GABA synapses and circuits. It was suggested for the 187 first time a potential interaction between Reelin and GABA receptors (GABAAR and 188 GABA_BR)³⁶. In the prefrontal cortex of *reeler* haplo-insufficient mice, postsynaptic GABA-189 currents into pyramidal neurons were measured and it was shown that GABAergic 190 transmission mediated by ionotropic GABAAR is altered in absence of *reelin* and is associated 191 with a disruption of the Excitation/Inhibition (E/I) balance, which in turn affects information 192 processing. Another study demonstrated the link between Reelin and postsynaptic GABA_AR 193 by performing an accurate and high-resolution analysis of GABAAR subunits expressed 194 specifically in different cell types including *reelin*-expressing neurons³⁷. This study indicated 195 that Reelin-positive cells, that are also grid cell candidates, express selectively the α 3 subunit 196 of the GABA_AR and that this selective pattern is responsible for both tonic and phasic inhibition. 197 In addition to its involvement in inhibitory postsynaptic activity, a new role of Reelin in modulating presynaptic GABA function has been identified³⁸. In this study, the conditional 198 199 absence of *reelin* (ReIncKO) induced an alteration of spontaneous release in glutamatergic 200 neurons via pre-synaptic GABA_BRs, leading to hyper-excitable network. The main function of 201 metabotropic GABA_BR at presynaptic sites is to inhibit Ca²⁺ channels, which in turn blocks 202 neurotransmitter release. Accordingly, Ca2+ spiking activity in RelncKO were increased. 203 Interestingly, these results indicate that an absence of Reelin is responsible for a down-204 regulation of GABA_BRs at the presynaptic cell surface, due to alteration of their 205 phosphorylation status as well as proteolytic receptor processing. This in turn leads to a 206 possible disruption of an interaction between the non-receptor receptor type tyrosine kinase 207 Src and the G-protein of the GABA_BR, $G\alpha_0$ (Fig 3A). This is in agreement with a previous study 208 reporting that Reelin signaling induces a crosstalk between Src and G protein-coupled 209 receptors³⁹. Taken together, these findings argue for a novel function for Reelin signaling in 210 controlling presynaptic activity and excitability through the modulation of GABA_BRs expression 211 and their stability at the neuronal surface.

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213 In addition to the modulation of presynaptic GABA transmission mentioned above, another 214 Reelin-mediated presynaptic mechanism has been reported in hippocampal slices in vitro, 215 where Reelin regulates presynaptic spontaneous neurotransmission. Here, Reelin signaling 216 through both ApoER2 and VLDLR receptors, present at the presynaptic membrane, induces an elevation of intracellular Ca²⁺ which specifically increases the fusion of vesicles containing 217 218 vesicle-associated membrane protein 7 (VAMP7), and is associated with activity of the PI3 kinase^{33,34,40} (Fig 3B). Importantly, presynaptic neurotransmission regulation mediated by 219 220 VAMP7 has been shown to modulate synaptic strength and plasticity⁴¹.

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223 Different studies investigated how Reelin controls and modulates brain network activity, 224 integrity and function. In particular, a recent study showed a link between hippocampal 225 oscillations, dopamine and Reelin⁴². This work revealed that dopamine influences oscillations 226 in the v-band, and that their modulation, mediated by NMDAR-PI3K signaling, is altered in 227 reelin haplo-insufficient mice. These results as such highlight an important implication of Reelin 228 in Schizophrenia where v oscillations and NMDA transmission are affected¹. Finally, a role for 229 Reelin signaling in promoting network integrity and activity, especially in the hippocampus was 230 described^{43,44}. *NDEL1* mutant mice, a gene encoding for a cytoskeleton protein, showed Reelin 231 depletion together with a loss of both excitatory and inhibitory synapses, leading to marked 232 alteration in integrity and connectivity within the CA1 area of the hippocampus. Importantly, a 233 single application of Reelin in CA1 improved ultra-structural, cellular, morphological, and 234 anatomical defects as well as spatial learning and memory.

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Altogether these recent findings illustrate that Reelin signaling is crucial for the proper E/I balance of brain networks, acting both on glutamatergic and GABAergic neurotransmission, and at both pre-and-post synaptic sites. Importantly, an imbalance between the E/I ratio leads to neurological disorders such as epilepsy, autism, schizophrenia and AD, pathologies where Reelin has been shown to be affected¹. In addition, these results highlight the role of Reelin in controlling and regulating the integrity of neuronal networks, especially in a highly plastic region like the hippocampus.

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245 Reelin modulates synaptic plasticity, learning and behavior

A variety of studies demonstrated the importance of Reelin signaling in synaptic plasticity, memory formation and cognitive function. Indeed, it is well documented that Reelin regulates the insertion of AMPAR and the phosphorylation of NMDAR, resulting in enhancement of longterm potentiation in the cortex and hippocampus (reviewed in^{33,45}). Nevertheless, synaptic plasticity also involves the interaction between Reelin and other partners as described below.

253 Recently, an interesting component of Reelin signaling involved in the modulation of hippocampal synaptic plasticity was identified⁴⁶. The multi-scaffold protein Intersectin-1 254 255 (ITSN1) was indeed shown to be directly associated with elements of the Reelin canonical 256 pathway, and mice lacking ITSN1 revealed alterations of NMDA-long-term potentiation in 257 response to Reelin stimulation. Molecularly, it has been suggested that ITSN1 acts as a 258 molecular bridge that facilitates the interaction between VLDLR and its intracellular adaptor 259 Dab1, favoring its phosphorylation and downstream signaling, with the potential help of other 260 factors such as Ephrin B, known to be associated with both ITSN1 and Reelin signaling (Fig 3C). In accordance with these results, ITSN1 was shown to be involved in hippocampal-261 dependent functions such as learning and memory⁴⁷. 262

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It was recently shown that Notch1, a transmembrane receptor and substrate of the y-264 265 secretase, contributes to Reelin-mediated synaptic potentiation via a non-canonical pathway which stimulates Erk1/2 activity and CREB-dependent transcription⁴⁸. In the CA region of the 266 267 hippocampus, Notch1 functionally interacts with ApoER2 and NMDAR at the postsynaptic 268 sites, and the targeted loss of Notch1 resulted in an impairment of Reelin signaling. To date, it 269 is not clear how the two functional complexes (Notch1/ApoER2/Dab1 and Notch1/NMDAR) 270 are connected or if they are part of the same synaptic super-complex. Furthermore, Reelin 271 bath application in these mutants failed to promote long-term potentiation, in contrast to wildtype animals. These results highlight a crosstalk of Notch1 and Reelin pathways in regulating 272 273 mechanisms involved in synaptic plasticity and memory formation (Fig 3D).

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275 Components of the extracellular matrix, and in particular Chondroitine-and-Heparan-sulfate 276 proteoglycans (CSPG and HSPG respectively), are also known to be involved in brain stability and plasticity^{49,50}. Specialized extracellular matrix structures called Perineuronal Nets (PNNs), 277 278 which enwrap *parvalbumin*-expressing interneurons, play a crucial role in visual plasticity. As such, a disruption of PNNs reactivates visual plasticity^{51,52}. Importantly, Reelin interacts and 279 280 co-localizes with both CSPGs and HSPGs, as shown in two recent papers^{13,53}. Along this line, 281 an interesting recent study demonstrated a new role for Reelin in modulating cortical 282 plasticity⁵⁴. The lack of *reelin* prolonged experience-dependent plasticity in the visual cortex 283 into adulthood, similarly to the effect of PNN enzymatic degradation on visual plasticity. This 284 work, once again, puts Reelin at the center of brain plasticity modulation in the postnatal brain. 285

Finally, accordingly with previous and more recent studies^{55,56-58}, the contribution of Reelin signaling to the genetic and behavioral expression of learning and memory has been confirmed^{59,60}. Indeed, an interesting work using epigenomic analysis revealed that the binding of Reelin to its receptor results in the expressions of immediate early genes involved in synaptic plasticity, and that these epigenomic changes possibly involve nuclear translocation of the intracellular domain of ApoER2⁶¹.

Altogether, these results indicate that Reelin signaling regulates synaptic plasticity, learning and memory - mainly in the adult hippocampus - and that alterations of Reelin pathways can drastically affect these neural processes and behavior, leading to irreversible neurological diseases.

297 298

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305 306

307 **Conflict of interest statement**

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- 309 Declarations of interest: none
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511 the activation 512 513 514 **FIGURE CAPTIONS** 515

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516 Fig 1. Reelin and its pathways are required for the proper patterning of synaptic connectivity of retinal ganglion cell axons. (A) In mouse, Reelin and Dab1 are required for 517 518 the proper retinogeniculate targeting of RGC axons. In wild-type animals, RGC axons target 519 two retino-recipient nuclei of the mouse cortex: the lateral geniculate nucleus (LGN) subdivided 520 in the dorso (D) and ventro (V) LGN and the intergeniculate leaflet (IGL). In the reelin mutant 521 (reln^{-/-}) and dab1^{-/-} mutants, reduced pattern of innervation as well as mistargeting of the vLGN 522 and IGL to the dLGN were observed. (B) In zebrafish, alterations of Reelin, Dab1a and VldIr 523 lead to the disruption of RGCs targeting in the optic tectum that cross over several sublaminae of the neuropil unlike in the wild-type condition where RGC axons only project to a single 524 525 lamina. PhL, photoreceptor layer; OPL, outer plexiform layer; ONL, outer nuclear layer; IPL, 526 inner plexiform layer; GCL, ganglion cell layer. 527

528 Fig 2. Schematic representations of the anatomical architectures of the zebrafish optic 529 tectum (A) and the mouse upper cortical layers, highlighting their global organization 530 similarities (B). In blue (SIN and CR neurons) and orange (PVN and interneurons) are 531 represented reelin-expressing cells in both structures. Note how in both cases RGC and 532 cortical axons project to the most superficial layers in defined synaptic laminae. These 533 similarities in architecture and organization raise the possibility that, like in the optic tectum, 534 Reelin could orchestrated the proper wiring of layer 1 of the mammalian neocortex. SO, 535 startum opticum; SIN, superficial inhibitory neurons; RGC, retinal ganglion cell; PVN, periventricular neuron; CR, Cajal-Retzius cells; SPV, stratum periventriculare; SFGS, stratum 536 537 fibrosum et griseum superficiale; SAC, stratum album centrale; SGC, stratum griseum 538 centrale.

539 540 Fig 3. Pre and post -synaptic roles of Reelin in controlling network excitability, synaptic 541 plasticity, learning and memory. (A) In wild-type animals, Reelin controls network excitability 542 via the modulation of presynaptic GABA_BR: the binding of Reelin to both receptors (1) and 543 Dab1 phosphorylation (2) activate members of the Src family tyrosine kinases (SFK, 3) which 544 interact with the alpha subunit of the G-protein (G α) of GABA_BR (4). This induces the 545 maintenance of GABA_BR at the cell surface, whose role is to inhibit NMDAR and thus Ca²⁺ influx (5), resulting in a decrease of excitability. In conditional mutants for reelin (Reln^{cKO}), non-546 547 activated SFK may disrupt the interaction between SFK and G α (1) and AMPK phosphorylates

548 GABA_BR at the Serine site S783 (2). This induces the degradation of GABA_BR at the cell 549 surface (3) which results in the dis-inhibition of NMDAR and thus in an increase in Ca2+ influx 550 (4) and neurotransmitter release. (B) Reelin enhances presynaptic spontaneous neurotransmission through the mobilization of VAMP7: Reelin binds to ApoER2 (1) leading to 551 552 the phosphorylation of Dab1 (2) and activation of PI3K (3) which in turn increases presynaptic 553 Ca²⁺ influx (4). This triggers the fusion of VAMP7-containing synaptic vesicles. (C) Reelin 554 signaling regulates synaptic plasticity in the hippocampus: here, the large scaffold protein Intersectin 1 (ITSN1) acts as a molecular bridge that enhances the interaction between VIdIr 555 556 and Dab1 (1) after Reelin binding (2), favoring Dab1 phosphorylation and downstream 557 signaling (3, 4) which result in an increase in long-term potentiation (LTP, 5). (D) Crosstalk 558 between Reelin and Notch1 pathways to regulate synaptic plasticity and memory formation: at the postsynaptic site, Notch1 functionally interacts with both ApoER2/Dab1 (1) and NMDAR 559 (2). These complexes activate downstream effectors (3 and 4), which induce the activation of 560 CREB signaling (5), essential for the establishment of memories. 561









POSTSYNAPTIC FUNCTIONS



