

## Thirteenth-century stained glass windows of the Sainte-Chapelle in Paris: an insight into medieval glazing work practices

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# Thirteenth-century stained glass windows of the Sainte-Chapelle in Paris: an insight into medieval glazing work practices

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#### Abstract 25

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27 The restoration of the four northern windows of the 13th century Sainte-Chapelle in Paris from 28 2011 to 2014 has offered a unique opportunity to investigate the chemical composition and 29 color of medieval glasses. This impressive corpus, covering a total surface of 660m<sup>2</sup>, was 30 created in a record time of a few years. The glasses from ten selected panels were analyzed 31 using non-destructive and non-invasive techniques, with a specific consideration for the color 32 of the glasses. Ion beam analyses performed at the New AGLAE facility enabled revealing that 33 all ancient glasses are potash type glasses made from plant ashes, likely beech, in agreement 34 with previous results on off-site panels. The multivariate analysis of major and minor elements 35 demonstrates the presence of compositional clusters with a small variability suggesting the 36 identification of bundles of glasses. The coloration of the glasses was measured by optical 37 absorption spectroscopy, using a mobile spectrophotometer over the entire UV-visible-NIR 38 energy range. The color palette is made of six colors assigned to typical medieval recipes. The 39 chromophores of the different glasses are identified by combining the chemical composition, 40 optical absorption spectroscopy and colorimetry. Colorless, yellow and purple glasses arise 41 from the subtle redox equilibrium between manganese and iron. Their reduced usage shows their uncertain production. Blue glasses are colored by Co<sup>2+</sup> using saffre from the 42 43 contemporary German mines, green glasses are colored by Cu<sup>2+</sup> and Fe<sup>3+</sup> using high 44 concentrations of copper and red glasses are striated glass colored by metallic copper nano-45 particles. Glass matrix and chromophores form compositional clusters, which are spread 46 among the panels of the four windows suggesting that the glazing of these four windows was 47 run simultaneously by the same atelier using the same supply of glass. 48

## 49 Keywords

Sainte-Chapelle, glass, color, PIXE, optical absorption, colorimetry, stained glass

## 1. Introduction

55 Archaeological and historical glasses provide unique information on the technological development 56 and artistic sensitivities of past societies (Rehren and Freestone, 2015). Some foundations of our 57 modern industrial societies may be found back in the medieval times and contained in the stone 58 walls of the inherited cathedrals.(Musso, 2017; Panofsky, 1946) During the Middle Ages, the rise 59 of Romanesque followed by Gothic architecture introduced a major change: the increasing size of 60 openings in the walls, to be filled by stained glass windows, offered the opportunity to develop the 61 art of glazing, where glass (translucent and colored glass) symbolized divine light. (Grodecki and Brisac, 1984; Lautier and Kurmann-Schwarz, 2010; Pallot-Frossard, 1998) In the mid 12<sup>th</sup> century, 62 both Abbot Suger and the monk Theophilus praised the beauty and preciousness of colored 63 64 glasses, which are similar to gems and would suggest the heavenly Jerusalem.(Grodecki, 1976; 65 Hawthorne and Smith, 1979; Panofsky, 1946) The design and the glazing of larger windows might 66 have been possible thanks to the technological improvement of glass production towards efficiency 67 and high productivity. As a result, France has one of the largest heritage of medieval stained glass 68 windows as revealed by its full inventory recently completed by the Corpus Vitrearum.

Over one century, the Gothic architectural revolution lead to one of the paroxysms of medieval stained glass art: the Sainte Chapelle in Paris, a UNESCO World Heritage Monument, and housing one of the most important corpus of medieval stained glass in the world, described in the first volume of the French Corpus Vitrearum's publications. Built between 1243 and 1248 to receive the relics of the Passion bought by King Louis IX (saint Louis), the exceptional glazing of the upper chapel forms walls of about 660m<sup>2</sup> of well preserved colored glasses.

The prolixity of the images which depict the stories of the Bible, almost reaches saturation of both colors and iconography.(Grodecki and Brisac, 1984) The hundreds of panels assembled in the windows were achieved within the construction time of the Sainte-Chapelle, thus in a span of only 6 years. This impressive efficiency suggests the collaboration of dozens of glaziers from different ateliers to complete the work in such a short time.(Grodecki and Brisac, 1984)

80 While the details of the work of Abbot Suger in the 12<sup>th</sup> century in the management of the construction and glazing of the abbey of Saint-Denis, France, are well documented (Panofsky, 81 82 1946), few original stained glass panels have been preserved. On the contrary, the Sainte-83 Chapelle in Paris is a uniquely well-preserved large corpus of stained glass, yet all archives about 84 the construction and its glazing have been lost. Glass chemistry thus provides a precious insight in 85 glass history, medieval glassmaking technology and glazing work organization. The study of the 86 composition and the coloration of glasses is therefore an essential step in our knowledge of this 87 material, its fabrication, and use in medieval times. Yet these glasses remain largely under-studied 88 for only a few panels could be submitted to chemical analysis so far. To our knowledge, the only 89 available chemical composition data published were obtained on panels removed from the building 90 and belonging to museum collections.(Lagabrielle and Velde, 2005; Verita et al., 2005) This 91 previous work lead to hypotheses suggesting that the glass used for glazing the windows was 92 provided from two different regions of France: Ile-de-France and Normandy. However, these 93 studies were restricted to a few glass samples and to a limited number of chemical elements. The 94 opportunity of the restoration in 2011-2014 of the northern stained glass windows granted access 95 to ten panels from windows 107, 109, 111, ad 113 for non-destructive chemical, spectroscopic and 96 colorimetric characterization providing for the first time a large scale investigation of these glasses.

97 The chemical composition was obtained on 110 glasses from the ten panels by ion-beam analytical 98 (IBA) methods, which allows the characterization of a large set of 35 major and trace chemical 99 elements in a single acquisition.(Calligaro, 2008; Fleming and Swann, 1987; Hunault et al., 2017a; 100 Kuisma-Kursula, 2000; Van Wersch et al., 2016; Vilarigues et al., 2019; Vilarigues and da Silva, 101 2004) The colors of the five panels of windows 111 and 113 were also investigated by optical

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102 absorption spectroscopy using a portable optical spectrometer developed for contactless 103 measurements(Hunault et al., 2016b). The glasses were studied after their restoration, thus 104 allowing the reduction of the effect of surface deposit on the measurements. The handling of the 105 complete panels allowed us to select the significant glasses to be analyzed from aesthetical, 106 historical and chemical points of view.

We first report the chemical composition study of the glass matrix and its interpretation in terms of origin and glass recipes based on a multivariate analysis. We discuss the variability and dispersion of element concentration as a proxy of the glasshouse provenance. Then we describe the recipe of each glass color. Colorless, purple and yellow colors are first described together as they arise from subtle redox equilibria between iron and manganese ions as found in the monk Theophilus' treatise (Royce-Roll, 1994; Schreurs and Brill, 1984; Sellner et al., 1979; Theophilus, 1847). Blue, green and red colors are obtained by the addition of cobalt or copper. The sources for these elements are

114 inferred and discussed.



Figure 1: a) The four windows restored in 2011-2014 and their location in the Sainte-Chapelle in Paris. b) The 10 analyzed panels.

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# 119 2. Methods

## 2.1. Historical identification of the glasses

121 The 15.5m-high windows of the nave, composed of thin lancets and crowned by small roses, were assembled from hundreds of small panels, covering a total surface of 660m<sup>2</sup>. The careful study of 122 123 the windows by art historians both in the 1950's and during the last restoration revealed that most of the glasses date from the 13<sup>th</sup> century, (Aubert et al., 1959; Grodecki and Brisac, 1984) thus 124 125 providing an exceptional corpus of glasses testifying of the medieval glassmaking techniques., 126 The windows of the nave follow a complex iconographic program. (Aubert et al., 1959) The style of 127 these stained glass windows is characterized by a simplification of the lines tending toward 128 elegance. The color palette used is composed of red, blue, purple, green, yellow and colorless 129 glass, the red and blue colors dominating the overall effect created by this colorful pattern. The Page 3 of 27

130 stained glass windows of the nave are attributed to three glazing ateliers based on the differences

131 between the style of the painting.(Grodecki and Brisac, 1984)

Over centuries, they underwent several modifications, including the removal of numerous panels located in the lower part of the windows at the end of the 18<sup>th</sup> century and the beginning of the 19<sup>th</sup>

134 century, when the building was used to accommodate the archives.(Grodecki and Brisac, 1984;

Loisel, 2020) Some panels have been lost, while others are now in museum collections in France, Great-Britain and the USA.(Smith, 2015) An important restoration campaign decided by King Louis-

137 Philippe in 1837 and undertaken between 1848 and 1855 resulted in the completion of the stained

138 glass windows, by the means of new stained glass panels carefully imitating the remaining 139 medieval ones.(Aubert et al., 1959)

The ten studied panels were observed by naked eye to achieve a critical identification of each glass pieces. The dating was achieved using several criteria: color, marks of blowing technique, irregularities, relative thickness variations, cutting pattern of the edges when visible, alterations and eventually the paintings on the glasses. It enabled to distinguish original glasses from the 13<sup>th</sup> century and more recent glasses introduced during the successive restoration campaigns.

During the restoration of 2011-2014, ten panels from the North side, the windows of Joshua,
(window 107), Numbers and Deuteronomy (w. 109), Exodus (w. 111) and Genesis (w. 113) (Figure
1) were studied. According to stylistic analyses these four windows were glazed by the same artist
from the main glazing atelier.(Grodecki and Brisac, 1984)

## 2.2. PIXE-PIGE chemical composition analysis

A total of 110 glasses were analyzed by Particle Induced X-ray Emission and Particle Induced 151 152 Gamma Emission (PIXE and PIGE) techniques at the new AGLAE facility of the C2RMF in the 153 Louvre (Paris, France).(Pichon et al., 2014) These non-destructive analyses were performed 154 directly on the panels without sampling or removing the glass pieces. The repartition of the 155 analyzed samples between the windows and the colors is given in supporting information (SI). 156 PIXE and PIGE analyses were performed simultaneously. PIXE analysis was performed using 157 four SDD detectors: the first one was dedicated to the analysis of low Z elements (10<Z<29) 158 and a helium flux was used to reduce the absorption of incident and remitted beams by air; 159 the three other SDD detectors were dedicated to high Z elements (Z>26) and an aluminum 160 filter (50 $\mu$ m-thick) was placed in front of the detector in order to absorb the low energy X-rays. 161 One HPGe detector was used for PIGE measurements. The incident proton beam was 3 MeV 162 with an intensity of 3 to 4 nA. The chemical composition was averaged over an analyzed area 163 of  $1000\mu$ mx1000 $\mu$ m, using a 50 $\mu$ m-diameter beam. For each glass sample, three 164 measurements were performed at different points. The obtained compositions correspond to 165 the mean composition of all the analyzed area. The analyses were performed on the inner 166 side of the panels, less altered, after cleaning the analyzed area with a water-ethanol solution. 167 The PIXE spectra were extracted using GUPIX software combined with TRAUPIXE software developed at AGLAE(Pichon et al., 2015), assuming that analyzed zones were homogeneous 168 169 and that all elements were present as oxides. The geochemical diorite DR-N sample and Brill 170 glasses were used as reference material to calibrate the PIGE data and control PIXE results. 171 The compositions given in this paper result from the combination of PIXE data and the sodium 172 content obtained by PIGE.

173 Data were analyzed using the R software. Hierarchical cluster analysis (HCA) was performed 174 after renormalization using Ward's method and Euclidean distances (see SI). This data 175 analysis tool has been used previously in several studies of ancient glasses to compare glass 176 compositions.(Cox and Gillies, 1986; Kunicki-Goldfinger et al., 2000; Schalm et al., 2007)

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## 2.3. Optical absorption spectroscopy

The optical absorption spectroscopy measurements were performed in parallel to the chemical analysis on the same glass pieces. We used a mobile setup described elsewhere,(Hunault et al., 2016b) which enabled to measure optical absorption spectra in transmission over the entire UV-visible-NIR energy range (350-2500 nm). The beam size on the sample was smaller than 1 mm<sup>2</sup> and enabled to select a precise spot of analysis, free from alteration and paint. Colorimetric analyses were achieved by computing the CIE L\*a\*b\* values (unit less) in the colorimetric system defined in 1976 by the International Commission on Illumination. The colorimetric CIE L\*a\*b\* values were calculated using D65 illuminant and CIE 1931 2° observer. L\* describes the luminosity of the color (0: black; 100: white) and a\* and b\* describes the color hue: a\* varies from -120 (green) to 120 (red) and b\* varies from -120 (blue) to 120 (yellow), the higher a\* and b\* in absolute value, the more saturated the color.





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# 3. Results and interpretations

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3.1. Dating of the investigated panels

The ten panels of windows 107, 109, 111 and 113 that have been analyzed by portable optical absorption spectroscopy and PIXE-PIGE analysis are presented in Figure 1 and the corresponding restoration charts provided in Supporting information (SI) confirm that the majority of the glasses were original. The artistic and material quality of these windows is well preserved, despite the fact that these 13<sup>th</sup> century glasses have been altered by the atmosphere and air (Godoi et al., 2006; Bernardi et al., 2013). This study focuses on the original glasses from the 13<sup>th</sup> century representative of the different colors present in the four windows.

## **3.2.** The color palette

Medieval stained glass windows are well known for the diversity of their colors. The colors of the glasses are named according to the usual terminology used by art historians: yellow, purple, red, blue, green, and colorless glasses (Figure 2a). This color distinction will be used hereinafter to report and discuss the chemical composition, spectroscopic and colorimetric data. By "colorless" we refer to glass that is not remarkably colored, either with a residual "natural" color or decolorized by the addition of specific chemical elements. Other authors use the word "white" instead of "colorless" (Bidegaray et al., 2020; Gliozzo, 2016; Jackson, 2005).

Figure 2<u>b</u> shows the fraction of glazed surfaces of each color averaged by image analysis using GIMP software, over the ten studied panels. Blue and red glasses are the dominating colors in terms of surface, which confirms the general blue-and-red visual impression created by these stained glass windows. Based on the estimate of the total surface of 660 m<sup>2</sup> of the glazing of the nave windows, this gives a surface of 185 m<sup>2</sup> for red and blue glasses and 110 m<sup>2</sup> for purple glasses. Other colors correspond to a more limited surface: 70 m<sup>2</sup> for colorless glass and 60 and 45 m<sup>2</sup> for green and yellow glasses, respectively.

223 The 1976 CIELAB color space coordinates (L\*, a\*, b\*) provide a way to quantify the variability of 224 the hue and luminance. Figure 2<u>c</u> presents the colorimetric parameters of the studied glasses for 225 each color. Red glass is the most saturated color with high absolute a\* and b\* values. The blue 226 glasses split into two groups, according to a\* value: negative or positive for the greenish or reddish 227 hue, respectively. These two colors span over a wide range of a\* and b\* values together with green 228 glasses and yellow glasses. Purple and colorless glasses consist of a more reduced range of a\* 229 and b\* values. The glasses cover the entire range of luminance values L\*, and we can note that 230 blue glasses are generally darker (lower L\* value) than colorless glasses (higher L\* value).

Color results from the overall absorption of light by the material, thus not only the chromophores, added to the glass to give its color but also surface alteration or paint influence the color. Although we took special care to analyze glasses with minimal influence of alteration and paint, the variation in luminance L\* value may reflect the influence from both factors.

In the followings, we will use the color distinction described above to report and discuss thechemical composition data.

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## 3.3. Composition of the glass matrix





Figure 3: Ternary diagram  $Na_2O-K_2O+MgO-CaO$  showing the relative concentrations of four major elements characteristic from the type of flux used to produce the medieval glasses of the Sainte-Chapelle in Paris. The color of the markers represents the color of the glass in agreement with the colorimetry analysis (see main text). The inset shows the distinction between the five clusters of compositions found within the "potash" group using hierarchical cluster analysis (see main text);

- 245 Chemical compositions of glasses obtained from specific ashes from ref.(Jackson and Smedley, 2004), are indicated (black 246 full circles).
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3.3.1. Medieval recipe: wood-ash glasses

#### • Glass type

Medieval glasses were obtained by melting together silica from sand and a flux. The chemical composition of the resulting glass reveals to some extent the nature of the flux used. Compositions of ancient glasses from the 12<sup>th</sup>-16<sup>th</sup> centuries have been classified by several authors (Adlington and Freestone, 2017; Gratuze, 2013; Kunicki-Goldfinger et al., 2014; Schalm et al., 2004) into:

- "Soda-lime" glasses including natron glasses and halophilic plant ash glasses;
- "Potash" glasses: potash-lime silicate glasses obtained using plant ashes;

- "High Lime-Low Alkali" (HLLA) glasses: these glasses, obtained using plant ashes, contain more
 calcium than alkaline elements.

The complete set of chemical concentrations of all elements analyzed by PIXE-PIGE at AGLAE can be found as Supporting Information. Figure 3 presents the ternary diagram of the relative concentrations of the four major elements characteristic from the type of flux used to produce the glasses: Na<sub>2</sub>O, K<sub>2</sub>O, CaO and MgO. Potassium and magnesium are summed together as both come from plant ashes that could have been used as a source of flux.(Jackson et al., 2005; Jackson and Smedley, 2008; Smedley and Jackson, 2002) On the ternary diagram, we have represented the areas for each glass type.

- Among the 110 analyzed glasses, five glasses originally identified as ancient glasses, were found to be soda-lime glasses with their Na<sub>2</sub>O content higher than 11wt%. These glasses were identified as restorations from the 19<sup>th</sup> century. This is supported by their low magnesium and phosphorous contents, both elements that indicate the use of plant ashes (Jackson et al., 2005; Jackson and Smedley, 2008; Smedley and Jackson, 2002) and by the low impurity level resulting from the use of relatively pure raw materials. *In the following, these glasses will be ignored*.
- 271 All the 105 other glasses from the ten analyzed panels from the Sainte-Chapelle in Paris belong to 272 the "potash" type area and show high potassium oxide and lime contents (Figure 4a). The high 273 magnesia and phosphate contents further confirm the use of wood ashes (Figure 4b and Figure 274 S5). We note that the glasses from the Sainte-Chapelle in Paris belong to the category of low-lime 275 high-magnesia content (LLHM) as distinguished by Adlington et al. (Adlington et al., 2019) (Figure 276 S5). The phosphate content (in the 3-5wt.% range) and the sodium oxide content are relatively 277 high compared to other plant-ash medieval glasses (Figure S5). Altogether, the composition of the 278 glasses from the Sainte-Chapelle in Paris agrees with the general pattern found for North Western 279 France glasses as reviewed by Adlington et al. (Figure S5). Additionally we note that the chemical 280 composition of the 13<sup>th</sup> century glasses of the Sainte-Chapelle is similar to several other medieval 281 glasses in terms of potassium oxide, lime, magnesia and phosphate contents: i) earlier glass compositions from the end of the 8th century eastern France.(Van Wersch et al., 2016); ii) 12th 282 283 century potash glasses from York Minster, UK.(Cox and Gillies, 1986) iii) medieval German woodash glasses from before 1400.(Wedepohl and Simon, 2010) and iv) later 15th century Belgian 284 285 glasses.(Schalm et al., 2007)
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The use of wood ashes as flux agrees with ancient treaties.(Smedley and Jackson, 2002) The fluxing properties of the ashes arise from their alkali and alkaline-earth contents. Depending of the nature of the ashes used in the glass recipe, various chemical compositions were obtained. Despite similar potassium oxide and lime compositions (Figure 4a), the ternary diagram highlights the horizontal spread of the data along the CaO-K<sub>2</sub>O+MgO joint as well as several distinct Na<sub>2</sub>O contents, which can be markers of glass recipe variations.

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#### The recipe

Experimental studies on the relation between the nature of the ashes and the resulting glass composition are difficult as the composition of wood ashes depends on many factors. Yet previous 297 investigations have brought precious information on the influence of the type of ashes on the final 298 glass composition. Jackson et al. compared glasses obtained from beech, oak and bracken 299 ashes. (Jackson and Smedley, 2004) They showed that beech ashes give close  $K_2O$  and CaO 300 contents and high phosphate content; oak ashes give high CaO and low K<sub>2</sub>O contents and low 301 phosphate content; and bracken ashes give high K<sub>2</sub>O and low CaO contents and a medium 302 phosphate content. These compositions are reported in Figures 3 and 4 and S5 for comparison 303 with the present data. Figure 3 clearly suggests the dominant use of beech ashes potentially mixed 304 with bracken ashes. (Jackson and Smedley, 2008, 2004; Smedley and Jackson, 2002) Stern and 305 Geber investigated the chemical composition of glasses made from two different recipes:(Stern 306 and Gerber, 2004) i) beech ashes and sand and ii) leached beech ashes, potash extract and sand. 307 The "potash"-type chemical composition found in the present study with close K<sub>2</sub>O and CaO 308 contents is close to the second recipe suggesting the additional use of potash extract obtained 309 from leached ashes. This is partly in contradiction with the results of the study of Jackson et al. 310 who used unpurified ashes. However, the second recipe from Stern and Geber's study does not 311 account for the high phosphate content. The addition of bracken ashes may explain the observed 312 high phosphate content. Altogether, the chemical composition of the glasses suggests that the 313 glasshouses, which provided the glasses for the Sainte-Chapelle, used beech ashes, possibly 314 mixed with bracken ashes, conforming to the recipe found in the monk Theophilus' 315 treatise.(Hawthorne and Smith, 1979)

The glasses from the Sainte-Chapelle in Paris appear to have among the highest silica content in 316 317 Medieval Europe, with an average 57.6 wt% SiO<sub>2</sub> (Figure 3b and see Table I), which is higher than 318 the values reported in other medieval "potash"-type glasses (Calligaro, 2008; Freestone, 1992; 319 Hunault et al., 2016a). In their experimental work, Smedley et al. (Smedley et al., 1998), discussed 320 the weight ratios between ashes and sand according to the monk Theophilus's treaties, in relation 321 with the melting temperature of the glass as well as its durability. The higher the ash content, the 322 lower the melting temperature and the easier the completion of the melt yet resulting in a less 323 durable final glass. At fixed sand-to-ash ratio, depending on the flux chemical composition, the 324 resulting melting and working properties of the glass may differ. Vice versa, the sand-to-ash ratio 325 might have been adjusted depending on the flux composition to obtain similar melting and working 326 properties of the glass.(Hunault et al., 2017b) Here, the relatively high silica content would suggest 327 the use of high quality, high durability glass in accordance with the final purpose of the Sainte-328 Chapelle building to host the Passion relics.

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Figure 4: Chemical compositions analyzed by PIXE-PIGE at the New AGLAE facility a) K<sub>2</sub>O vs CaO, b) MgO vs Na<sub>2</sub>O
 and c) Na<sub>2</sub>O vs Cl. Markers correspond to the clusters and colors to the glass colors. Error bars are of the size of the markers.

#### • Sodium-enriched potash glasses

A number of "potash" type glasses are enriched in sodium (>1.5wt.% Na<sub>2</sub>O) (Figure 3<u>and</u> Figure 4<u>b</u>). A majority of these glasses corresponds to blue glasses, other to some green glasses, and a few correspond to other colors. The glasses are enriched in both sodium and magnesium, which discards a possible recycling of natron-based glasses that do not contain magnesium.(Phelps et al., 2016; Tite et al., 2006) There is no correlation between the chlorine and the sodium contents (Figure 4c): the CI content is constant in the 0.3-0.5% range, while the Na<sub>2</sub>O content varies by a factor of 6. This supports that salt was not used similarly to other medieval Belgian glasses.(Schalm et al., 2007) Furthermore, none of the laboratory synthesized wood ash glasses contain sodium up to similar ranges as observed here (Figure 4b).(Jackson et al., 2005; Jackson and Smedley, 2008; Smedley and Jackson, 2002; Stern and Gerber, 2004) It is therefore not clear whether this sodium increase is related to the nature of the wood ashes.

347 Is the sodium-enrichment a marker from a specific geographical provenance? Sodium-enriched 348 glasses have been found in various medieval glasses (Adlington et al., 2019), such as panels from 349 other windows of the Sainte-Chapelle in Paris (Lagabrielle and Velde, 2005; Verita et al., 2005), 350 from the abbey of Saint-Denis, France (Calligaro, 2008), and from Westminster, UK and Cluny, 351 France. (Freestone, 1992) Based on the comparison with the chemical composition of other French 352 glasses from the same period and in particular the glasses from the cathedral of Rouen, France, 353 previous authors have further hypothesized that the low Na<sub>2</sub>O/MgO ratio glasses came from Ile-de-354 France, France and the high magnesium and sodium content glasses came from Normandy, 355 France.

What is the origin of this sodium-enrichment? Little inferences have been made so far. We may suggest two possibilities: i) the recycling of ancient soda-type glasses as was available in Europe until the 12<sup>th</sup> century (Cox and Gillies, 1986) in low proportions such as significant characteristic impurities are not detected (Bidegaray and Pollard, 2018) or ii) use of sodium-rich seaweed ashes in addition to wood ashes (Adlington et al., 2019; Tite et al., 2006; Wedepohl et al., 2011a).

Although the majority of blue glasses found in this study would suggest a specific trade from a distinct glasshouse for this color, or a specific recipe related to the cobalt source(Bidegaray and Pollard, 2018), previous data from other panels from the Sainte-Chapelle, revealed the presence of glasses of similar sodium content with other colors (see Figure S10 in SI). The observation of a similar composition pattern among the different windows suggests that the different glaziers used glass from the same stock.

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368 3.3.2. Variability of major and trace elements: different
 369 glasshouses?

The obtained relatively close chemical compositions can suggest a common origin of the glasses. However, some subtle variations are observed. These variations could account for different production origins or variability in the production of one same glasshouse. How close are the chemical compositions of glasses produced in the same glasshouse?

374 Several factors influence the chemical composition of Medieval glasses, independently of the use 375 of coloring additives. The variability within one glasshouse can be separated into "natural" and 376 "behavioral" variations, related to the raw material chemistry and glassmaking techniques, 377 respectively.(Freestone et al., 2009; Jackson et al., 2005) Another factor influencing the chemical 378 composition variation is the time scale over which the compared glasses have been produced in 379 one glasshouse. Indeed, the incidence of "behavioral" and "natural" variations are more likely to be 380 effective over weeks, months and even years than over one single day since glass pots were used 381 over several days. (Freestone et al., 2009) In a recent work, we have estimated the "daily variation" 382 criterion based on the comparison of the chemical composition of four different glasses assembled 383 together in one pane of glass, guarantying that these glasses originated from glass pots operated 384 on the same day.(Hunault et al., 2017a) This criterion corresponds to the variation of glass 385 composition between different glass pots prepared in the same time frame in the same 386 glasshouse. The time unit of one day is supported by the time reference found in Theophilus' 387 treatise, which states that glass could be melted overnight and that it would take only a few hours to observe color changes.(Hawthorne and Smith, 1979; Smedley et al., 1998) We may consider 388 389 this variation as a reference minimum variation of the production of a glass glasshouse.

This "daily variability" criterion can be compared to the composition variation (relative standard deviation) calculated for the major components of all the analyzed ancient glasses of the Sainte-Chapelle in Paris (Table I). We find that the composition variation of the ancient glasses is at least twice larger (for Al<sub>2</sub>O<sub>3</sub> and CaO) than the "daily variability". This agrees with the fact that all the Page 9 of 27 394 glasses could not be produced over one day in one glasshouse. Hence, the larger variability in the 395 chemical composition can be assigned to both "natural variability" and "behavioral variability" 396 across time within the same glasshouse or to different provenances.

398 Yet according to the ternary and binary diagrams (Figure 3 and Figure 4), it is tempting to define 399 clusters of data with close chemical compositions. To define clusters of glasses based on more 400 than two or three chemical composition variables, we used hierarchical cluster analysis (HCA) 401 considering 13 major and trace elements corresponding to the raw materials of the glass: Si, Na, 402 K, Ca, Mg, Al, Cl, P, Ti, Rb, Sr, Zr and Ba as oxides. These elements describe the glass batch 403 independently from coloring chemical elements and other associated elements. Iron and 404 manganese are excluded from the HCA because their content is also influenced by the addition of 405 coloring ores (see below).

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408<br/>409Table 1 : Average values and relative standard deviation (compositional variability) of chemical composition for the ancient glass<br/>corpus for each cluster.

	Na₂O	MgO	Al <sub>2</sub> O <sub>3</sub>	SiO2	P <sub>2</sub> O <sub>5</sub>	K <sub>2</sub> O	CaO	
	Daily glasshouse variability according to Hunault et al. 2017							
Rel. SD (%)	14	4	6	1	3	4	7	
	All ancien	t glasses (N=1	105)					
Av. (wt%)	1.24	4.84	1.95	57.0	3.97	14.5	12.7	
Rel. SD (%)	57	19	11	4	14	13	13	
	Cluster #1 (N=16)							
Av. (wt%)	0.5	4.0	2.2	54.8	4.9	14.8	15.0	
Rel. SD (%)	6.5	12	6.0	4.5	3.5	11.	8.6	
	Cluster #2 (N=26)							
Av. (wt%)	1.0	4.9	1.8	57.3	3.7	14.7	13	
Rel. SD (%)	25	8.7	11	2.9	15	6.1	7.3	
	Cluster #3 (N=30)							
Av. (wt%)	0.79	4.5	1.8	58.3	3.6	16.4	10.9	
Rel. SD (%)	8.5	13	4.8	2.2	5.9	6.6	6.0	
	Cluster #4	Cluster #4 (N=16)						
Av. (wt%)	1.9	4.5	1.9	57.0	4.2	12.4	13.8	
Rel. SD (%)	15	17	6.5	5.2	8.3	11	8.3	
	Cluster #5	5 (N=17)						
Av. (wt%)	2.5	6.4	2.1	56.0	4.0	12.5	12.4	
Rel. SD (%)	8.8	8.9	6.6	2.9	6.5	5.3	5.3	

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412 The obtained dendrogram and the inertia plot are provided in SI and the significance of the chosen 413 method is further discussed in the SI and below. The dendrogram first splits into two branches that 414 mainly correspond to the high and low Na<sub>2</sub>O contents respectively and accordingly to most of the 415 blue glasses and the others. This agrees with the trend observed in the ternary diagram (Figure 3) 416 and in Figure 4, where two main groups of glasses are separated according to their Na<sub>2</sub>O content. 417 Following the inertia plot we define five significant clusters. The clusters are further described in the 418 SI. These clusters, based on these 13 major and trace elements, are reported in all plots as 419 different markers, and agree fairly with the groups of data gualitatively observed in the ternary 420 diagram and the other binary diagrams (Figure 3 and Figure 4 and hereinafter). In Table I, the 421 means and relative standard deviations for each cluster are given. We find that the chemical 422 composition variations for each cluster are very close to the "daily variability". The narrowest Page 10 of 27

423 variations are found for cluster #5. Then cluster #3, though the largest one (30 glasses), shows 424 also a low variability.

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Figure 5: Chemical compositions analyzed by PIXE-PIGE at the New AGLAE facility a) ZrO<sub>2</sub> vs TiO<sub>2</sub>; b) SrO vs. BaO and Rb<sub>2</sub>O and ; Markers correspond to the clusters. Error bars are of the size of the markers

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432 In the HCA approach we have included some minor elements. Are the clusters significant 433 regarding these components? Minor elements arising from raw material impurities might be 434 markers of distinct sources and may help to further distinguish or confirm the common origin of 435 glasses. Several authors have observed correlations between titanium and zirconium from heavy 436 minerals (Neri et al., 2019; Rehren and Brüggler, 2015; Schibille et al., 2016; Wedepohl et al., 437 2011a) or strontium and rubidium from feldspars (Adlington and Freestone, 2017) and assigned 438 them to markers of the raw materials used for making the glass. Figure 5a and Figure 5b show 439 respectively the Ti and Zr and the Sr and Rb and Ba oxide contents. Cluster #1 forms a distinct 440 group regarding these five elements. Cluster #5 forms also a distinct group of glasses regarding 441 the Sr, Ba and Rb oxide contents. Clusters #2, #3 and #4 show similar Ti, Zr, Sr, Ba and Rb oxide 442 contents (Figure 5). Very close trace element contents may suggest a common glasshouse origin. 443 Yet the cluster #4 is quite distinct in terms of sodium oxide and magnesia contents. This 444 observation shines light on the difficulty to distinguish origins based on chemical composition: 445 bundles of glasses with similar trace element contents could be fortuitous, while important 446 variations in major elements could arise from a recipe variation in the glasshouse.

Although, the definition of the clusters is dependent on the method used (see SI), we have shown that we can build significantly large clusters of glasses with low variability of major element composition and corresponding to distinct trace element compositions. It suggests that these subgroups of glasses could, for most of the assigned samples, correspond to distinct bundles of glasses.

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## 454 **3.4.** Origin of the colors



# 3.4.1. Colorless, purple and yellow glasses



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 Figure 6: Optical absorption spectra of typical colorless, purple, and yellow glasses (with respective line color: pink, black and yellow) and assignment of the absorption bands.



Figure 7: : Iron vs. manganese concentrations as total oxides with highlight on the colorless, purple and yellow glasses.
 Markers correspond to the clusters, color of the markers indicates the glass color, error bars are of the size of the markers.

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We first consider the colorless, purple and yellow glasses. The optical absorption spectra (Figure 6) correspond to glass samples assigned to cluster #2. The absorption bands are identified and reveal that these glasses are all colored (or uncolored) by their respective iron and manganese contents (Table II) and corresponding redox states ( $Fe^{2+}/Fe^{3+}$  and  $Mn^{2+}/Mn^{3+}$ ). These three colors represent roughly only one third of the glazed surface (Figure 2b). This agrees with the relative difficulty and uncertainty to obtain these colors (Royce-Roll, 1994;

- Schreurs and Brill, 1984; Sellner et al., 1979), which mainly result from a subtle balance between
- 473 the Fe/Mn ratio and the  $Fe^{2+}/Fe^{3+}$  and  $Mn^{2+}/Mn^{3+}$  relative amounts during glassmaking.
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# Table II: Average iron and manganese contents (in wt.% ± standard deviation) of the colorless, purple and yellow glasses, independently of the cluster.

Color	Fe <sub>2</sub> O <sub>3</sub>	MnO
Colorless	0.52±0.06	1.0±0.08
Purple	0.53±0.07	1.65±0.5
Yellow	0.50±0.10	0.87±0.1

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### Colorless glass

479 In the panels, colorless glasses are used for character's faces, walls, water, and folds. 480 Colorless glasses correspond to glasses with no strong perceptible color hue, which translates 481 in terms of CIE L\*a\*b\* color coordinates as a\* and b\* being close to the center of the diagram 482  $(a^*=0 \text{ and } b^*=0)$  and often the luminance L\* being higher than 50 (Figure 2c). These values 483 agrees with other reported values for colorless glasses. (Bidegaray et al., 2020, 2019; 484 Capobianco et al., 2019) Yet they show a greenish color (negative CIE a\*). The optical 485 absorption spectrum of a typical colorless glass (Figure 6) from the Sainte-Chapelle in Paris 486 agrees with previously published data. (Bidegaray et al., 2020, 2019; Capobianco et al., 2019; Hunault et al., 2017a) The greenish color is due to Fe<sup>2+</sup> that gives a broad absorption band in 487 488 the NIR centered at 9000cm<sup>-1</sup> (1100nm) (Figure 6) and absorbs the red, orange and yellow 489 wavelengths more than the green and blue side of the light spectrum. In glass, iron is present 490 as Fe<sup>2+</sup> and Fe<sup>3+</sup> in various relative amounts depending on the glass chemical composition and redox conditions of fabrication. To remove the green color, the most common process is to 491 492 oxidize Fe<sup>2+</sup> into Fe<sup>3+</sup> by adding an oxidizer to the glass, such as manganese and antimony. 493 Red, yellow and some green glasses show the lowest iron and manganese contents (Figure 7), 494 which allows us to define the impurity level concentrations to <0.5wt.% as total Fe<sub>2</sub>O<sub>3</sub> for iron 495 and to <0.9wt.% as total MnO for manganese (dashed lines in Figure 7). In Antiguity and the 496 middle ages, glassmakers were using manganese in an oxidized form, referred to as 497 "glassmaker's soap". (Bidegaray et al., 2020; Bingham and Jackson, 2008; Gliozzo, 2016; 498 Jackson, 2005) The use of antimony in the glasses of this study is dismissed by the very low 499 concentrations found in these glasses (maximum 200ppm). The colorless glasses of the 500 Sainte-Chapelle in Paris, all belong to cluster #2 (Figure S7), and their manganese content is 501 systematically larger than the impurity level concentrations (Figure 7 and Table II), which 502 suggests the use of the "glassmakers' soap".

#### Purple glass

505 The optical absorption spectrum of purple glass from the Sainte-Chapelle agrees with typical spectra from Mn<sup>3+</sup> colored glass.(Bidegaray et al., 2019; Capobianco et al., 2019; Hunault et al., 506 507 2017a). Compared to the colourless glasses, we observe the disappearance of the absorption band of Fe<sup>2+</sup>, and the presence of Fe<sup>3+</sup> and Mn<sup>2+</sup>, which only give weak absorption bands in the 508 509 blue and UV range (Figure 6). The addition of more oxidized manganese than iron results in all Fe<sup>2+</sup> being oxidized(Schreiber, 1986). The excess of Mn<sup>3+</sup> colors the glass in purple with a 510 broad and intense absorption band around 20800 cm<sup>-1</sup> (480nm) (Figure 6). The color shift from 511 colorless towards purplish hue is observed in the CIE L\*a\*b\* diagram with a change from 512 513 negative to positive a\* parameter. The purple glasses exhibit a large variation in the luminance 514 values, with some strongly absorbing glass pieces (low L\* value).

515 Visually, we observe two main uses of purple glass: characters' complexion using light purple or 516 colorless glass and robes and dresses using more intense or darker purple glass.

517 Figure 7\_reveals two groups of purple glasses according to their Mn content: a first group with 518 Mn content close to the impurity level and a second group with a clear increase of the total 519 manganese content larger than the other colors (>1.5wt.%), while the iron content remains 520 mainly constant around 0.5wt.% (Table II). We observe that all dark purple glasses have high 521 manganese contents.

# 522523 • Yellow glass

524 Yellow glasses were used for clothes, horns, and crowns. The CIE L\*a\*b\* colorimetric 525 coordinates spread in luminance L\* and along the b\* (yellow) direction as a\* remains small and 526 positive. They are bulk colored and show an optical absorption spectrum (Figure 6) with a 527 broad absorption band centered at 9000cm<sup>-1</sup> (1100nm) assigned to the presence of Fe<sup>2+</sup> as in 528 the colorless glasses. An additional intense band in the UV creates the absorption deep 529 centered ca. 16000cm<sup>-1</sup> (625nm) resulting in the yellow color. The lack of efficiency of the 530 optical spectrometer source at high energy is responsible for the drop of absorption in the UV 531 range in the recorded data. The addition of a complementary UV light source can improve the 532 sensitivity in this energy range of the spectrum(Capobianco et al., 2019). The observed spectra 533 differ from the yellow glasses of the rose of the cathedral of Reims (France) in the relatively more intense Fe<sup>2+</sup> absorption band. (Capobianco et al., 2019) The bulk coloring, the dating of 534 535 the glass and the optical absorption spectrum altogether converge with the exclusion of the use 536 of yellow silver stain, a painting technique that appeared only at the end of the 13<sup>th</sup> century or at 537 the very beginning of the 14<sup>th</sup> century.(Jembrih-Simbürger et al., 2002; Lautier and Sandron, 2008; Molina et al., 2013; Pérez-Villar et al., 2008) The chemical composition of the yellow glasses is 538 539 close to that of the colorless glasses and prevents us from identifying without any ambiguity a 540 specific coloring element. According to Figure 7, iron and manganese contents are among the 541 lowest. There are little data in the literature about bulk medieval yellow glasses. The optical 542 absorption spectrum is consistent with the coloring species being the ferric iron-sulfide 543 chromophore similarly to amber glasses. Yet, the sulfur content does not show any significant 544 pattern in relation with the yellow color (Figure S12). Specific reducing conditions as encountered 545 in the elaboration of amber glasses could explain how such colors were obtained. (Paynter and 546 Jackson, 2018; Schreurs and Brill, 1984) In his treatise, Theophilus' description of the making of 547 yellow glass seems rather circumstantial.(Hawthorne and Smith, 1979) More detailed information can be found in the 18<sup>th</sup> century treatise of Georges Bontemps (Bontemps, 1868) who provided two 548 recipes for bulk colored vellow glasses based on: i) a particular balance between iron and 549 550 manganese concentrations or ii) the use of wood still containing sap. Altogether, it suggests that 551 the color is obtained by several steps of melting and refining.

### 552 3.4.2. Blue glasses

553 As illustrated with panel c13 from window B111 (Figure 8a), we can distinguish two types of 554 blue glass: light blue glass, used for clothes, walls and dark blue glass used mainly for the sky 555 or background. This distinction can be further confirmed in terms of colorimetry (Figure 8b): 556 dark blue glass pieces show luminance L\* values all smaller than 40, while light blue glass 557 pieces spread over the entire luminance range. Both types can also be distinguished in terms 558 of color hue: while all blue glasses have a negative b\* value in agreement with the blueish hue, 559 the light blue glasses have a negative a\* value, showing a green contribution to the color, while 560 the dark blue glasses have a positive a\* value revealing the red component of the color hue. 561



Figure 8: a) Panel c13 (w. 111) showing the two types of blue glass; b) Colorimetric parameters L\* vs. a\*; c) Optical absorption spectra of typical dark blue glass and light blue glass and assignment of the absorption bands.

563 564 565

567 Figure 8c presents a selection of two optical absorption spectra representative of the two types of 13<sup>th</sup> century blue glasses of the Sainte-Chapelle in Paris: light blue and dark blue. We 568 569 observe the contribution of the two triplet absorption bands in the NIR range at 5500-8000 cm<sup>-1</sup> (1200-2000 nm) and the visible range at 15420, 16800 and 18800 cm<sup>-1</sup> (532, 595 and 650 nm) 570 571 regions assigned to Co<sup>2+</sup> (Bamford, 1977; Hunault et al., 2016a, 2014) These visible range bands are more intense for dark blue glass than light blue glass. The chemical composition 572 analyses (Figure 9) confirm that Co<sup>2+</sup> is the main coloring element in all blue glasses despite its 573 574 relatively low concentration (maximum 1500ppm CoO). This is a result of its strong molar 575 extinction coefficient.(Hunault et al., 2014) According to the Lambert-Beer law, the color 576 intensity and darkness is proportional to the cobalt concentration assuming a constant glass 577 thickness. Accordingly, we find that light blue glasses all correspond to low cobalt concentrations (Figure 9). The optical absorption spectra explain the color difference between 578 the two types of blue: the Co<sup>2+</sup> absorption bands are relatively narrow, resulting in sharp 579 580 absorption of the yellow and green wavelengths, while the blue and red wavelengths are not 581 absorbed thus resulting in a positive a\* parameter for dark blue glasses. In addition, we observe a broad absorption band between 7000 cm<sup>-1</sup> and 13000 cm<sup>-1</sup> (1428nm and 770nm) 582 centered around 9000 cm<sup>-1</sup> (1100nm), superimposed on the near infrared absorption band of 583 Co<sup>2+</sup>. We can attribute this contribution to Fe<sup>2+</sup> similarly to the absorption band observed in the 584 colorless glass.(Bingham and Jackson, 2008; Capobianco et al., 2019; Hunault et al., 2016a, 585 2017a) The contribution from the  $Fe^{2+}$  band with respect to the  $Co^{2+}$  bands is higher in the light 586 blue glasses. The overlap with the relatively intense Fe<sup>2+</sup> absorption band results in a stronger 587 588 absorption of the red wavelength and hence a dominant greenish-blueish hue (negative a\*). The small absorption bands around 24000 cm<sup>-1</sup> (417nm) attest for the presence of Mn<sup>2+</sup> and 589 590 Fe<sup>3+</sup>.(Nelson and White, 1980; Vercamer et al., 2015) No significant increase in the Mn content 591 is observed (average MnO content in blue glasses: 0.96±0.40 wt.%) suggesting that manganese 592 arises only from the raw materials used for the glass (Figure 7). 593





Figure 9: Chemical composition of the glasses obtained by PIXE at the new AGLAE facility in CoO v. a) ZnO,  $In_2O_3$ ,  $Fe_2O_3$ 598 and b) CuO v. ZnO and c) CoO v. PbO. Unless indicated, error bars are of the size of the markers. Redlines indicate the 599 linear regression fitting of the data for ancient colorless and blue glasses only (other colors excluded from the fit). 600 Equations of the lines are given. The dotted line corresponds to a slope of 0.66 in agreement with the chemical composition 601 of saffre.

603 Blue glasses show clear correlations between cobalt and zinc and cobalt and indium contents (Figure 9a). These results agree with the sources of cobalt used in the 13<sup>th</sup> century: it has been 604 demonstrated by previous works that from the 12<sup>th</sup> to the 13<sup>th</sup> century a change in the cobalt supply 605 occurred and thus from the 13<sup>th</sup> century, cobalt was extracted from the Freiberg mines in Germany, 606 607 characterized by the presence of specific trace elements: Zn, Pb and In. (Bidegaray and Pollard, 2018; Gratuze et al., 2018, 1995; Neri et al., 2019) No significant amounts of nickel are found 608 (less than 50ppm, see SI) in agreement with the absence of Ni<sup>2+</sup> absorption bands in the 609 610 optical spectrum.(Galoisy et al., 2005)

The mined cobalt was traded and probably added to the glass pot as saffre: a burnt cobalt ore 611 mixed with sand. (Delamare, 2008; Gratuze et al., 2018) The composition of the saffre calculated 612 from the analysis of ceramics decorations for the 13<sup>th</sup>-14<sup>th</sup> centuries period (Gratuze et al., 1996) 613 614 suggests the following ratios between the mass compositions: m(CoO) / m(ZnO) = m(CoO) /615 m(PbO) = 0.66,  $m(CoO) / m(Fe_2O_3) = 0.2$ ;  $m(CoO) / m(In_2O_3) = 10.2$ . The results of the linear 616 regression fitting of the composition data from the blue glasses and colorless glasses are given in 617 Figure 9 and agree with the calculated concentration ratios according to the estimated composition 618 of saffre. These strong correlations across all samples of blue glass suggest the use of the same 619 cobalt source for all of them. We note however that although the slope of the linear correlation 620 between the iron and cobalt contents agrees with the saffre composition, the correlation factor is 621 rather low. This might be explained by the fact that iron is also an important impurity from other raw 622 materials and as a result strong variations arise from the raw materials. The relation between 623 cobalt and lead contents does not show a clear correlation either, compared with the expected 624 saffre composition (the expected relation is plotted in Figure 9b with a dashed line). In particular, 625 we observe that for light blue glasses, the lead to cobalt ratio is larger than the ratio found in *saffre*. 626

627 Copper is another blue colorant of blue glass. In the present case, we demonstrate that copper is 628 not playing any role as blue colorant in these glasses and is an impurity associated to Zn. The 629 chemical composition of the blue glasses indicates that the Cu content varies between 0.1-0.2wt.%. Copper can be present in the glass in 3 different oxidation states: Cu<sup>0</sup>, forming metallic 630 nano-particles (Kunicki-Goldfinger et al., 2014) (see the red glass section), Cu<sup>+</sup> which is colorless 631 (Hunault et al., 2016a, 2017a) and Cu<sup>2+</sup> which gives a blueish or greenish hue.(Hunault and Loisel, 632 2020) The possible contribution of Cu<sup>2+</sup> absorption, which is expected around 13000cm<sup>-1</sup> (see 633 green glass section), is difficult to assess since it overlaps with Fe<sup>2+</sup> (Figure 8c). According to 634 635 previous studies,(Hunault et al., 2016a) the redox conditions of blue medieval glasses favor Cu<sup>+</sup> oxidation state and a negligible contribution of Cu<sup>2+</sup>. Therefore, the presence of copper in the 636 637 blue glasses is likely not directly related to the color. We observe some correlation between Cu 638 and Zn in blue glasses (Figure 9c) suggesting that both elements might be bound to the same 639 origin. Although the association of zinc with cobalt has been well described, (Gratuze et al., 2018, 640 1995) the origin of these impurities has been less discussed. So far, copper was not identified as a 641 significant impurity from the cobalt source along with zinc. Here, the association of copper and zinc 642 suggests a common source, for instance as brass. Hence, we could hypothesize that copper and 643 zinc co-occur with cobalt either as impurities from the original ore or as impurities coming from 644 brass tools used in the saffre elaboration process.

646 Most blue glasses belong either to clusters #4 or #5. They are characterized by a relatively high 647 sodium content compared to the other glasses (Figure 4b). However, the absence of any 648 significant correlation between the Na and Co contents prevents us from concluding on a relation 649 between the additions of these elements. This supports that, as mentioned above, the sodium-650 enriched glasses are not specifically blue glasses and the presence of glasses of similar sodium 651 content but of different colors in the Sainte-Chapelle in Paris. Regarding the cobalt source, we 652 cannot distinguish between the two clusters. Furthermore the two ancient blue glasses with the lowest sodium content (clusters #2 and #3) follow the same saffre impurity content. This suggests 653 654 that, if different, the glasshouses that produced these blue glasses used the same cobalt supply 655 from Germany. This would thus suggest that either these glasshouses were geographically close 656 or that the German provider had a monopole of supply over a large region of France.

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658 3.4.3. Green glasses

659 Green glass was mainly used for clothes and in fewer cases for the walls of buildings, trees, or shoes. All ancient green glasses show similar optical absorption spectra (Figure 10) with a 660 characteristic absorption band from divalent copper ions centered at 13000cm<sup>-1</sup> (770nm), 661 corresponding to the absorption of red wavelengths. This assignment agrees with the high 662 concentration of copper found in the green glasses (between 1.5-2 wt.% of total CuO) (Figure 663 10). Although Cu<sup>2+</sup> alone gives a blue color to glass, (Bamford, 1977; Hunault and Loisel, 2020; 664 665 Smirniou and Rehren, 2013) the combination with the absorption of the blue wavelengths revealed by the optical spectrum results creates a light transmission window in the green 666 around 18000cm<sup>-1</sup> (550nm). The high energy absorption is assigned to Fe<sup>3+</sup>.(Hunault and 667 Loisel, 2020) Compared to blue glasses, the absorption from Fe<sup>3+</sup> is more intense. This is 668 assigned to the higher Fe<sup>3+</sup> concentration in green glass in agreement with the redox 669 670 interaction between iron and copper. Indeed, high copper concentration is necessary to oxidize 671 completely all iron in the green glass such as it remains an excess of Cu<sup>2+</sup> to color the glass. 672



673 674 675 Figure 10: Optical absorption spectra of green and red glasses with the corresponding assignment of the features. Inset: Detail of a panel showing the red and green glasses;



677 678 679

Figure 11: Chemical composition of the glasses obtained by PIXE at the New AGLAE facility a) Copper vs. silver, tin and arsenic contents; b) Zinc vs copper, lead and tin contents. Unless indicated, the error bars are of the size of the markers.

681 The use of copper to color glass in green is confirmed by the ancient treatises of Eraclius and 682 Neri.(Vassas, 1971) Both texts report the use of calcined brass (copper-zinc alloy). It is of 683 interest to compare the concentration of trace elements (Figure 11) in light of the clusters identified according to the glass matrix. Silver, tin and zinc, present as Ag<sup>+</sup>, Zn<sup>2+</sup> and Sn<sup>4+</sup> 684 respectively, do not contribute to the color of the glass. Thus those elements are not added on 685 686 purpose for the color but are likely brought by the copper source. In the Sn<sub>2</sub>O-ZnO graph, we 687 can distinguish five groups of green glasses. These groups fairly agree with the clusters 688 defined by HCA according to the glass matrix. We find that the co-occurrence of copper and

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689 silver, zinc and arsenic at higher concentrations than in colorless glasses for green glasses 690 from clusters #2, #3 and #5. However, green glasses from clusters #1 and #4 show low zinc 691 content similar to colorless glasses, ruling out the use of brass in favor of another copper alloy. 692 Green glasses from cluster #1 contain high tin content suggesting the use of a bronze alloy. 693 The presence of up to 60ppm of Ag in the green glasses suggests that the copper arises from 694 silver mines.(Bourgarit and Thomas, 2012; Craddock, 1985) Lead, another element part of the 695 copper metallurgy, is also found in significant amounts in green glasses (Figure 11b). It is anti-696 correlated with the zinc content, forming two distinct groups: low zinc-high lead and high lead-697 low zinc. It is the zinc-tin oxide binary plot that most clearly presents distinct groups of glasses: 698 we can define five groups of green glasses that have specific glass matrix compositions and 699 trace element contents (labeled from I to V in Figure 11b). Altogether we find that the green 700 glasses are marked by significantly different trace element contents. However, these groups do 701 not correlate with a particular panel or window but correspond to glasses from different panels 702 and different windows. This suggests that the four windows were glazed simultaneously using 703 the same glass stock supporting the existence of a large glazing atelier where an important 704 number of workers were collaborating.

### 705 3.4.4. Red glasses

706 In the studied windows, red glass was used for the branches and leaves of trees, clothes and 707 boots and for ornamental edges of the panels. All red glasses show a heterogeneous flame-like pattern (Figure 10) that is called striated or "feuilleté". (Kunicki-Goldfinger et al., 2014) This 708 709 technique was used from the 12<sup>th</sup> to the 14<sup>th</sup> century. Another technique for making red glass is called "plaqué": a flashed glass consisting in a thin layer of red on a thick layer of supporting 710 711 colorless glass. The latter was not found among the stained glass of the Sainte-Chapelle in 712 Paris. Both glass manufacturing techniques have one common goal: allowing red glass to be 713 translucent. Indeed, the red glasses show the characteristic absorption band of the surface plasmon resonance (SPR) at 17800cm<sup>-1</sup> (562nm) (Figure 10). The SPR is assigned to metallic 714 715 copper nanoparticles. The average size of the nanoparticles can be derived from the shape of the plasmon resonance, using the equation  $R = V_f \lambda_p^2 / (2\pi c \Delta \lambda)$ , where R is the average radius of the 716 metallic nanoparticles, V<sub>f</sub> is the Fermi velocity of the electrons in bulk metal (for Cu, V<sub>f</sub> =  $15.7 \times 10^5$ 717 718 cm s<sup>-1</sup>)(Kaye and Laby, 1948),  $\lambda_p$  is the peak position wavelength of the resonance,  $\Delta\lambda$  is the full 719 width at half-maximum of the absorption band (here 20 nm), and c is the speed of light. This 720 equation predicts that the average size of Cu nanoparticles should be around 15 nm, in agreement 721 with the transmission electron microscope (TEM) observations from Kunicki et al.(Kunicki-722 Goldfinger et al., 2014)

The absorption from the SPR is intense and therefore the making of translucent red glasses requires a low concentration of nanoparticles or the elaboration of thin layers. Because of this strong light absorption, the luminance L\* is lower than 50 for most red glasses (Figure 2). The optical absorption spectrum also shows the absorption band of  $Fe^{2+}$  centered near 9000cm<sup>-1</sup> (1100nm).

728 Compared to the green glasses, in most red glasses the measured concentration is as low as 729 in colorless glasses (Figure 11), except two glasses reaching a maximum of 4500ppm. This 730 value is lower than the concentration in other studies.(Hunault et al., 2017a; Kunicki-Goldfinger 731 et al., 2014) The impossibility to detect significantly high Cu concentrations likely results from 732 the complex layered microstructure of the type-A "feuilleté" glasses (Kunicki-Goldfinger et al., 733 2014) compared to the PIXE probing depth: the concentration determined by PIXE is an 734 average over both the colorless and the red layers. The chemical composition of the red layer 735 that could be probed does not reveal any specific presence of reducing species in agreement 736 with the fabrication process of Type-A red glasses. Eventually, we note that the red glasses 737 from the Sainte-Chapelle in Paris have a low CaO content similarly to most of the "Type-A" 738 glasses reported by Kunicki et al. (Kunicki-Goldfinger et al., 2014). All these glasses belong to the same medieval period, the 12 and 13<sup>th</sup> centuries. 739

# 741 4. Conclusions

742

743 The restoration of the windows of the nave of the Sainte-Chapelle in Paris was a unique 744 opportunity to perform an in depth investigation of the chemistry and color of these glasses. With 745 105 glasses analyzed, we report the first extensive investigation of the links between chemistry 746 and color of Thirteenth Century glasses from the Saint-Chapelle in Paris. These data were 747 obtained using a combination of non-destructive techniques: PIXE-PIGE provides access to a wide 748 range of chemical elements, and optical absorption spectroscopy determines the nature of the 749 coloring species. Our results significantly complete the few other dataset already 750 published.(Lagabrielle and Velde, 2005; Verita et al., 2005)

- 751
- The conclusions can be further summarized as follows:
- All ancient glasses have a potash-type chemical composition arising from a wood-ash glass
   recipe in agreement with ancient medieval glassmaking treatises. The concentrations of major
   and minor elements suggest the use of beech and bracken ashes.
- Hierarchical cluster analysis (HCA) allowed to define clusters of glasses of distinct glass matrix
   chemical compositions. The low composition variability within each cluster, suggests that it
   corresponds to a distinct bundle of glass. The chromophores and related impurities for a given
   color form various sub-groups, which often correlate with the clusters, supporting that glasses
   within a cluster originate from the same glasshouse. Whether or not these different bundles
   originate from the same glasshouse is still difficult to confirm yet cannot be ruled out.
- A distinct compositional sub-group of glasses shows enriched sodium content. All but a few of
   these glasses are blue glasses. The origin of the sodium remains unclear but might indicate a
   distinct glasshouse origin, in Normandy, France. However, the fact that this characteristic
   composition pattern is found in other windows in other colors supports that this is not specific to
   the production of blue glasses and that the entire glazing project, although achieved by different
   ateliers (glaziers), was supported by a unique glass supply.
- Colorless, purple and yellow colors arise from a subtle mastering of the manganese and iron redox ratios.
- 770 Blue glasses form two distinct color-hue groups: dark blue glasses are colored by cobalt and 771 light blue glasses are colored by lower cobalt contents and reduced iron. In all cases, Co, Zn 772 and In contents are correlated suggesting the use of *saffre* from the German mines of Freiberg. 773 The blue glasses are mainly split into two chemical composition clusters but the Co source 774 impurities follow a unique correlation suggesting that both sub-groups of blue glasses could 775 originate from the same glasshouse. It could alternatively imply that different glasshouses used 776 the same cobalt source and would confirm the monopole of Germany as a cobalt supplier during the 13<sup>th</sup> century. 777
- Green glasses are colored by divalent copper. The impurities arising from the copper source enable to distinguish bundles of glass that fairly agree with the HCA clusters. This further supports that the clusters correspond to bundles of glass that were used to glaze the different windows simultaneously.
- 782 Red glasses are "striated" glasses colored by metallic copper nanoparticles as observed in the
   12<sup>th</sup>-13<sup>th</sup> centuries.
- Former painting style analyses published by art historians, suggest that the same atelier glazed the four northern windows.(Grodecki and Brisac, 1984) The clusters and sub-groups of glasses are not specific to a panel or a window, which confirms that the glazing work of at least these four windows was conducted in parallel.
- 788

Altogether, the obtained chemical data overcome the lack of historiography and provide substantial additional evidences of the organization of the glazing process conducted in a record time of a few years: the glazing work of all the windows of the nave, although achieved probably by the cooperation of several ateliers, used a common glass supply.

794 Other analytical techniques like electron micro-probe analysis (EMPA) or LA-ICP-MS could provide 795 additional compositional information on trace elements such as rare-earth, already used for 796 determining the origin of ancient glasses.(Wedepohl et al., 2011a, 2011b) However, this method 797 requires the sampling of the glasses. Even if this could be considered to some extent, then only a 798 restrained number of glasses would be selected to allow sampling the edge of the glass piece for 799 instance. Hence sampling a glass piece from the center of the panel would require dismantling the 800 panel or process to a very delicate endeavor of extracting one specific glass piece. Anyway, such 801 procedure would not allow the access to a large number of glasses as it has been done in the 802 present study thanks to the non-invasive IBA techniques at the New AGLAE facility.

803

804 Further investigations are required to provide a complete archaeological significance to the 805 chemical composition data. Comparison of these results with those of other contemporary 806 buildings should allow to complete our understanding of the history of medieval art and stained 807 glasses across France: trades of materials, sharing of knowledge and evolution of techniques, 808 which are already acknowledged in the studies of the art historians for the inventory of stained 809 glass. In particular, further studies on the chemical composition variations within glasshouses as 810 found in excavations in combination with investigations of the influence of the raw materials would 811 complete with quantitative definitions what Jackson et al. (Jackson et al., 2005) had defined earlier 812 as the "natural" and "behavioral" variability.

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818

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- 825

### 826 Supporting information 827

828 Restoration charts; dendrograms obtained using R and analysis of the clusters; comparison with 829 previous data. Chemical composition raw data; R code used for interpretation.

- 830
- 831

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