

Current and future chemical treatments to fight biodeterioration of outdoor building materials and associated biofilms: moving away from ecotoxic and towards efficient, sustainable solutions

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1 Current and future chemical treatments to fight biodeterioration of outdoor

2 building materials and associated biofilms: moving away from ecotoxic and

3 towards efficient, sustainable solutions.

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Abbreviations

AHL: acyl-homoserine lactone – AOP: advanced oxidation process – ATMAC: alkyl trimethylammonium compounds – BAC: benzalkonium chloride – BIT: 1,2-benzisothiazol-3(2H)-one – BPR: Biocidal Products Regulation – CMC: critical micelle concentrations – CMIT: 5-5-chloro-2-methyl-2H-isothiazol-3-one – DB: benzalkonium bromide – DCOIT: 4,5-dichloro-2-octyl-2H-isothiazol-3-one – DDMAC: didecyldimethylammonium chloride – DMSO: dimethyl sulfoxide – ECHA: European Chemicals Agency – ENT: eye nose throat – EO: essential oil – EU: European Union – FDA: Food and Drug Administration – FHWA: Federal Highway Administration – ILs: ionic liquids – IT: isothiazolinone – MIC: microbial induced corrosion – MIT: 2-methyl-2H-isothiazol-3-one – *MRSA*: Methicillin-resistant *Staphylococcus aureus* – MVOC: microbial volatile organic compounds – NACE: National Association of Corrosion Engineers – OEO: oregano essential oil – OIT: 2-octyl-2H-isothiazol-3-one – PAMAM: quaternary ammonium functionalized polyamidoamine – PT: product type – QAC: quaternary ammonium compound – QS: quorum sensing – ROS: reactive oxygen species – SAILs: surface active ionic liquids – TiO₂: titanium dioxide – ZnO: zinc oxide.

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83 Abstract

84 All types of building materials are rapidly colonized by microorganisms, initially through 85 an invisible and then later a visible biofilm that leads to their biodeterioration. Over centuries, 86 this natural phenomenon has been managed using mechanical procedures, oils, or even wax. In 87 modern history, many treatments such as high-pressure cleaners, biocides (mainly isothiazolinones and quaternary ammonium compounds) are commercially available, as well 88 89 as preventive ones, such as the use of water-repellent coatings in the fabrication process. While 90 all these cleaning techniques offer excellent cost-benefit ratios, their limitations are numerous. 91 Indeed, building materials are often quickly recolonized after application, and microorganisms 92 are increasingly reported as resistant to chemical treatments. Furthermore, many antifouling

93 compounds are ecotoxic, harmful to human health and the environment, and new regulations 94 tend to limit their use and constrain their commercialization. The current state-of-the-art 95 highlights an urgent need to develop innovative antifouling strategies and the widespread use 96 of safe and eco-friendly solutions to biodeterioration. Interestingly, innovative approaches and 97 compounds have recently been identified, including the use of photocatalysts or natural 98 compounds such as essential oils or quorum sensing inhibitors. Most of these solutions 99 developed in laboratory settings appear very promising, although their efficiency and 100 ecotoxicological features remain to be further tested before being widely marketed. This review 101 highlights the complexity of choosing the adequate antifouling compounds when fighting 102 biodeterioration and proposes developing case-to-case innovative strategies to raise this 103 challenge, relying on integrative and multidisciplinary approaches.

104

105 Keywords

biodeterioration, building materials, cleaning procedures, biocides, water-repellents, naturalcompounds

108

109 **1. Introduction**

Biodeterioration of mineral building materials (*i.e.*, stone, ceramics, cement, concrete) is a global and expensive problem for manufacturers and building owners (Romani et al., 2019; Saiz-Jimenez, 2001; Warscheid and Braams, 2000). Biodeterioration consists of the natural degradation of these materials by (micro)organisms (Guiamet et al., 2013; Warscheid and Braams, 2000), which grow as multispecies biofilms that develop on all types of surfaces (Di Martino, 2016; Romani et al., 2019). Such biofilms are usually composed of multiple interacting microorganisms (bacteria, fungi, algae) (Krakova et al., 2015) embedded in a 117 protective and very resistant polymeric matrix (Figure 1) (Flemming and Wingender, 2010). 118 Vital microbial activities, such as cell division, biofilm formation, acid production, and redox 119 activities, lead to the long-term degradation of materials (Guiamet et al., 2013). This 120 phenomenon is also known as microbiologically-influenced or microbially-induced corrosion 121 (MIC) (Enning and Garrelfs, 2014). Biofilms that grow on building materials are initially 122 invisible but turn visible with time, as their development leads to thick and pigmented 123 structures. Finally, the last step of biodeterioration implicates the colonization of such a mature 124 biofilm by mosses or higher plants, especially on stones (Coutinho et al., 2015; Gulotta et al., 125 2018; Li et al., 2018; Q. Li et al., 2016; Norma Italiana UNI 11182, 2006).

126 According to the building materials industry, the first problem with microbial colonization 127 is its unsightly aspect (Coutinho et al., 2015; Di Martino, 2016). Indeed, the biofilm that 128 develops on the materials is often pigmented, which forms unaesthetic dark or green streaks or 129 spots (Di Martino, 2016), which is undesirable since customers expect their product to stay 130 stable over time (The Brick Industry Association, 2018). The unaesthetic aspect of the 131 darkening of buildings and other materials is also an essential aspect of historic monument 132 conservation (Di Martino, 2016; Grabek-Lejko et al., 2017; Saiz-Jimenez, 2001). Therefore, 133 manufacturers of building materials invest massively in strategies to prevent their products to 134 rapidly turn green or black, and building owners face significant expenses to clean and restore 135 materials that have deteriorated over time. Thus, in addition to the unsightly appearance, 136 biodeterioration causes less visible but more significant damages, including the modification or 137 loss of physical properties of many types of materials (Coutinho et al., 2015). Indeed, as the 138 biofilm grows, surface and deep cracks increasing the porosity of materials are observed 139 (Berdahl et al., 2008; Coutinho et al., 2015). In addition, this increased porosity facilitates the 140 colonization of materials by other microorganisms and promotes their growth. Furthermore, 141 many colonizing microorganisms accelerate deterioration due to the metabolites they produce,

such as organic acids (Dubosc, 2000). Overall, the weakening of materials caused by biofilms
development leads to faster decay by accelerating the effects of rain or freeze/thaw events
(Berdahl et al., 2008; Gladis and Schumann, 2011; Joseph, 2021). Finally, advanced
biodeterioration results in the loss of the structural or thermal insulation, drainage, or solar
reflectance (proportion of light reflected from the surface of a material) of the materials
(Berdahl et al., 2008; Pena-Poza et al., 2018).

148 Because of the aesthetic issues they cause and their impact on the durability of materials 149 described above, biofilms colonizing building materials must be regularly eliminated (Tiano, 150 2016). Cleaning techniques have been developed over centuries (Cappitelli et al., 2020; 151 Sanmartín et al., 2018), and some were even reported and documented in antiquity (Plinius 152 Secundus, 77AD; Vitruvius Pollio, 27BC). Such mechanical cleaning strategies are still widely 153 used even though they slowly disappear nowadays to favor low-cost and efficient chemical 154 compounds. However, these latest treatments have a high environmental and economic cost 155 due to their intensive (Scheerer et al., 2009). For example, biocides applied on facades and roofs 156 runoff in soils and are frequently persistent in the environment. Many (like terbutryn or 157 isoproturon) are poorly biodegradable and lead to long-term soil and water pollution (Bollmann 158 et al., 2017; Chand et al., 2018). Also, the degradation products issued from these biocides 159 remain poorly understood and are suspected to be extremely ecotoxic (Paijens et al., 2020). 160 Finally, these marketed broad-spectrum and harsh biocides cause human health issues for 161 customers, especially when used without basic personal protections (Garcia-hidalgo et al., 162 2016; Wieck et al., 2018, 2016).

The ecotoxicity of commercial large-spectrum and aggressive biocides is a significant factor associated with their use on outdoor building materials, and the regulations concerning these compounds are increasingly restrictive (Scheerer et al., 2009; Tiano, 2016; Warscheid and Braams, 2000). The biocidal products that can be used for preventive or curative treatments of 167 outdoor building materials are classified in product type (PT) 2 of main group 1 corresponding 168 to disinfectants, and PT 10 of main group 2 corresponding to preservatives in annex V to the 169 Biocidal Products Regulation (BPR), Regulation (EU) 528/2012 (Commission Européenne, 170 2012). All these products target both microbial and algal organisms. While the costs of the fight 171 against biodeterioration are challenging to evaluate, they will undoubtedly rise in the future 172 since it will include not only the cost of treatments (i.e., biocides, paints) but also the costs 173 associated with their application in safe conditions for customers and likely the costs associated 174 with their environmental impact (Gaylarde et al., 2003; Guézennec, 2017).

175 This review aims to provide a comprehensive understanding of the different commercially 176 available methods to clean outdoor building materials, focusing on chemical treatment 177 strategies as well as their environmental and health impacts. We will discuss the potential of 178 future and more eco-friendly solutions leading to "greener" and sustainable antifouling 179 compounds and strategies, even though most of these upcoming solutions still require a wider 180 efficacy and more thorough ecotoxicological evaluations. Overall, our primary goal is to 181 provide a case-by-case analysis of chemical control strategies against biodeterioration of 182 outdoor building materials based on multidisciplinary approaches combining the viewpoint of 183 microbiologists, eco-toxicologists, chemists, and material engineers.

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185

2. Mechanical cleaning approaches

Building materials have always biodeteriorated over time, and over centuries humankind has tried to prevent or limit this phenomenon. For example, the famous French architect Violletle-Duc reported on the preoccupation with tile colonization since the 10th century. Also, he pointed out that as early as the 11th century that the shape of the tiles in the North of France (plain) was different from that of the South (barrel) to limit the development of "mosses and vegetation" (Viollet-le-Duc, 1854).

192 Mechanical cleaning has been, since, the first type of treatment applied to building materials 193 to remove the deteriorating biofilm and is currently often conducted before chemical treatments 194 (Table 1). The utilization of water pressure and steam systems is the widest spread and easy to 195 employ. They have shown their efficacity on various types of microorganisms, such as 196 Apatococcus lobatus (Sanmartín et al., 2020). However, if these methods are efficient in the 197 short term on superficial biofilms, they can also spread the microorganisms on the substrate 198 (Favero-Longo and Viles, 2020). In addition, a difficulty associated with these strategies is to 199 correctly adjust the water pressure, which must be sufficient to remove the biofilm but not too 200 high and leading to the degradation of the materials (Slaton and Normandin, 2005). Abrasive 201 systems are another mechanical strategy employed to clean the materials through abrasion, 202 roughening, or erosion (Table 1). For example, sandblasting methods have shown their 203 efficiency on lichens as Diploschistes scruposus (Pozo-Antonio et al., 2021). Unfortunately, 204 these methods also damage building materials: high-pressure sand or grit blasting are no longer 205 used to restore historic buildings because of their induced severe damages (Slaton and 206 Normandin, 2005). For the cleaning of historical monuments, other mechanical methods based 207 on lasers are sometimes applied (Di Martino, 2016). These devices operate by applying a laser in a pulse frequency while the output power is controlled (Slaton and Normandin, 2005). The 208 209 use of these lasers on objects and monuments has shown promise (Table 1). For instance, laser 210 cleaning is efficient on black removal, including Desulfovibrio desulfuricans (Elhagrassy et al., 211 2018); Verrucaria nigrescens (Speranza et al., 2013), Circinaria hoffmanniana (Pozo-Antonio 212 et al., 2019). However, their large-scale application has so far been minimal, partly due to the 213 high costs (Di Martino, 2016; Slaton and Normandin, 2005). Their use has other drawbacks 214 since it does not eliminate the encrusted part of the biofilm. Even worse, laser treatment can 215 increase the porosity of materials such as tiles, facilitating their recolonization (Barberousse et 216 al., 2006; Di Martino, 2016).

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218

3. The extensive use of wide-spectrum biocides.

219 According to the BPR, Regulation (EU) 528/2012, biocidal products are substances or 220 mixtures used to destroy, prevent the action of, or exert a controlling effect on harmful 221 organisms by a mechanism that is not purely physical or mechanical (Commission Européenne, 222 2012). In this review, biocides will be defined as chemical molecules that are widely and 223 commonly used to kill and remove macro- and microorganisms on the surfaces of building 224 materials. As described below, it is worth noting that the same families of biocides are used in 225 a wide variety of industrial fields and also in the household (i.e., cosmetic, medical care, food 226 industry, metalworking fluids, building cleaning, etc.). The market for these antiseptics and 227 disinfectants never ceases to grow, and customer demand is continuously increasing. For 228 instance, it was expected in 2016 that this market will increase by more than 6 % (Merchel 229 Piovesan Pereira and Tagkopoulos, 2019) and will generate more than US\$8.1 billion by 2021 230 (Zion Market Research, 2016). However, the use of biocides is also increasingly being 231 questioned, as more and more research and publications are demonstrating their toxic effects 232 on humans and the environment.

233 <u>3.1 Isothiazolinones</u>

• 3.1.1 Nature and commercially available compounds

The isothiazolinones (ITs) constitute a major class of antifouling and biocides compounds used to treat biofilms growing on building materials chemically (Table 1). ITs are sometimes used as reference biocides to test the efficacy of new antimicrobials for the treatment of biodeteriorated stones (Boccalon et al., 2021). ITs are heterocyclic organic compounds containing vicinal sulfur and nitrogen atoms. The four most commonly used molecules in these products are 2-methyl-2H-isothiazol-3-one (MIT, CAS: 2682-20-04), 5- 5-chloro-2-methyl-2H-isothiazol-3-one (CMIT, CAS: 26172-55-4), 1,2-benzisothiazol-3(2H)-one (BIT, CAS: 242 2634-33-5) and 2-octyl-2H-isothiazol-3-one (OIT, CAS: 26530-20-1) (Table 2) (Garcia243 hidalgo et al., 2016). These compounds are found in common commercially available products
244 to treat and prevent biodeterioration, such as Biotin R (CTS) or Algicid-Plus (Keim).

245

• 3.1.2 Historical and current use

246 ITs have been marketed since the 1970s as cleaning chemicals for home or professional 247 usage, as well as preservatives, and these applications are still ubiquitous (Schwensen and 248 Johansen, 2018). ITs can be used directly for their biocide effects as in household products 249 (laundry, detergents) and marine antifouling paints (Amara et al., 2018; Batista-Andrade et al., 250 2018; Callow and Callow, 2011; Soroldoni et al., 2017), but also as preservatives in products 251 containing water such as cosmetics and personal care products (i.e., shower gels, soaps, creams, 252 infant products) (Garcia-hidalgo et al., 2016; Wieck et al., 2018). This domestic application is 253 responsible for a large part of releasing these compounds into the environment and the 254 environmental impact (Wieck et al., 2018).

Finally, ITs are very frequently used to prevent the biodeterioration of buildings, roofs, stones, and facades. For example, ITs and especially OIT and its derivative the 4,5-dichloro-2octyl-2H-isothiazol-3-one (DCOIT, CAS: 64359-81-5) are utilized in paints that are sold to protect house facades (Vermeirssen et al., 2018), such as Lichenicida 468 (Bresciani) (Favero-Longo et al., 2017) or Algi 201 (Algimouss Pro, ITs concentration 0.45%). They can be employed alone or combined with other antifouling compounds, such as quaternary ammonium compounds (see below).

• 3.1.3 Efficacy

ITs are wide-spectrum biocidal molecules, particularly effective in combating the biodeterioration of building materials. They are typically reported as more bioactive when compared to quaternary ammoniums (benzalkonium chloride), especially at low (<5%) concentration ranges (Favero-Longo et al., 2018). The biocidal efficiency of ITs is based on 267 their electrophilic nature. They are transported into cells by active transport, where they 268 interfere with many cell processes (Williams, 2007). In particular, ITs block O₂ consumption 269 of cells, and consequently, all aerobic processes cease; energy production (ATP) is reduced, 270 slowing down metabolism and growth (Williams, 2007). ITs also inhibit several types of 271 enzymes, including dehydrogenases (*i.e.*, pyruvate, NADH-, lactate dehydrogenase) as well as 272 the adenosine triphosphatase. The inhibition of these enzymes interrupts the TCA cycle, thus 273 perturbating the entire cell metabolism (Williams, 2007). Besides, ITs also oxidizes thiol-274 containing cytoplasmic and membrane-bound molecules, including those in numerous 275 enzymes' active sites (Williams, 2007). These oxidations also lead to inhibition of metabolism 276 (Favero-Longo et al., 2018). Collectively, all these effects induced by ITs actively damage cells, 277 leading to the production of many free radicals or reactive oxygen species (ROS), which, 278 combined with the inhibition of cell repair mechanisms, quickly leads to the death of 279 (micro)organisms (Williams, 2007).

280

• 3.1.4 Advantages and drawbacks

281 One of the major advantages of ITs is that they act on a wide range of (micro)organisms 282 (*i.e.*, bacteria, fungi, lichens, algae) over a wide pH range (pH 1 to 11) (Favero-Longo et al., 283 2017; Garcia-hidalgo et al., 2016; Silva et al., 2020). Thus, these compounds can attack very 284 diverse types of multispecies biofilms under numerous environmental conditions. For example, 285 ITs are efficient on filamentous fungi such as *Cladosporium* sp., *Penicillium* sp., and *Fusarium* 286 sp.; but ITs are not effective on cyanobacteria (Table 1) (Barrionuevo and Gaylarde, 2011). As preservatives, the MIT/CMIT combination is one of the most cost-effective solutions currently 287 288 known (Karsa and Ashworth, 2007). However, some commercial products containing ITs may cause alterations to stone, especially limestone and granite surfaces, after application (Tirado 289 290 Hernández et al. 2015; Sanmartín et al. 2020). Moreover, the safety of these products has now 291 been questioned by different studies (Thomsen et al., 2018; Wieck et al., 2016). ITs are known to be responsible for contact allergies, called contact dermatitis (Garcia-hidalgo et al., 2016).
Humankind is increasingly affected by ITs but understanding this impact remains challenging
to estimate due to the diversity of exposure sources (Garcia-hidalgo et al., 2016; Wieck et al.,
2018, 2016). For instance, occupational sources of exposure remain difficult to determine due
to the lack of information on the composition of marketed products (Garcia-Hidalgo et al.,
2018; Ripert et al., 2012).

298 Though, the safety of these molecules must be reassessed and challenged with the 299 deployment of wider ecotoxicological testings. However, the current state of affairs suggests 300 that their usage will be reduced in the future (Wieck et al., 2016). Since 2016 the European 301 Union (EU) and Swiss legislations are quite restrictive for cosmetics use. Commission 302 Regulation (EU) 2017/1224 of 6 July 2017 limits the usage of MIT at 0,0015% (15 ppm) in 303 rinse-off products and prohibits its use in leave-on products; BIT and OIT are now totally 304 forbidden (European Commission, 2017a; Garcia-Hidalgo et al., 2018). Further, ITs are 305 considered as "Dangerous Substances" by the EU Ecolabel criteria [EU. Substances Rendering 306 Goods Ineligible for EU Ecolabel, Art. 6(6), Reg. 66/2010/EC, L 27/1, 30 January 2010 (T. 3 307 of Anx VI to CLP; Candidate List of SVHCs)]. For example, the EU Ecolabel criteria for hard 308 surface cleaning products limit MIT and BIT concentrations at 0.0050% and CMIT at 0.0015% 309 weight by weight (Commission Decision (EU) 2017/1217 of 23 June 2017) (European 310 Commission, 2017b). Contrastingly, currently, there are no regulations for using ITs to prevent 311 building biodeterioration, and the estimated employed concentrations are higher than 0.5% (5) 312 000 ppm) in most commercially available products (Garcia-Hidalgo et al., 2018). Moreover, 313 ecotoxicological evaluations have recently reported the high toxicity of OIT and DCOIT against 314 environmental bacteria leaching from facade paints, demonstrating potential deleterious effects 315 on biodiversity and ecosystems (Vermeirssen et al., 2018). In addition, photodegradation 316 products of ITs were found more toxic than ITs themselves in a few studies (Varga et al., 2020),

which is very concerning given their extensive applications on outdoor building materials
(Vermeirssen et al., 2018). However, ecotoxicity studies concerning degradation products
available today are scarce, and further investigations should be conducted to better characterize
the potential cytotoxic effects of ITs.

321

• 3.1.5 Future and perspectives

322 The 2016 changes in EU cosmetic legislation seemed to stabilize the occurrence of contact 323 allergic reactions to MIT and CMIT (Garcia-Hidalgo et al., 2018; Wieck et al., 2018). 324 Nevertheless, the widespread use of OIT and the lesser-studied DCOIT by the chemical 325 industry could lead to a new contact dermatitis outbreak (Herman et al., 2019). Moreover, cross-326 reactions between MIT and OIT may occur (*i.e.*, exposure to OIT may induce allergic reactions 327 to MIT that would not occur without this sensitization) (Aerts et al., 2017). Therefore, new 328 regulations to limit the usage of ITs as anti-biodeterioration agents are expected in a near future, 329 and this risk has to be considered by the industry before expanding the commercialization of 330 these products. To address these concerns, the concentrations of these compounds should also 331 be reduced in marketed products, for example, by the combined use with other less toxic 332 biocides. Such modifications are essential for manufacturers to anticipate and adapt their 333 industrial processes for potential upcoming legislative changes and develop greener and 334 sustainable industrial processes and compounds. In the same vein, more studies evaluating the 335 ecotoxicological risks of these molecules are needed, and recommendations towards using 336 adapted protective devices when spraying such products on buildings have also to be reinforced 337 to protect customer's health.

338 <u>3.2 Quaternary ammonium compounds</u>

• 3.2.1 Nature and commercially available compounds

Quaternary ammonium compounds (QACs) are the most commonly used biocides after ITs
(Table 1). QACs are composed of a quaternary nitrogen atom surrounded by four organic

342 functional groups, so the nitrogen is permanently positively charged (Mulder et al., 2017). An 343 anion balances this charge, usually a chloride or bromide ion, and therefore, OACs exist in the 344 form of water-soluble salts (Mulder et al., 2017; Nunez et al., 2004). The functional groups (R) 345 include at least one long-chain alkyl group, while the others are methyl, benzyl, or ester groups 346 (Tezel and Pavlostathis, 2011). The most commonly employed biocide QACs are 347 benzalkonium chloride (BAC, CAS: 121-54-0) (Gadea et al., 2017a; Mulder et al., 2017; Nuńez 348 et al., 2004); benzalkonium bromide (DB, CAS: 91080-29-4) (Gadea et al., 2017a), 349 didecyldimethylammonium chloride (DDMAC, CAS: 7173-51-5) (Nuńcz et al., 2004), alkyl 350 CAS: dimethylbenzyl ammonium chloride (ADMAC, 8001-54-5), and alkyl 351 trimethylammonium compounds (ATMAC, CAS: 61789-18-2) (Table 2) (Mulder et al., 2017; 352 Nunez et al., 2004). In BAC-homolog compounds, the nitrogen atom is surrounded by a benzyl 353 group, two methyl groups, and an alkyl chain of variable length (C8 to C18). The highest 354 biocidal activity is associated with C12-C14 homologs, which are the main components of 355 disinfection products (Mulder et al., 2017). The most commonly consumed BACs are C12-356 BAC, C14-BAC, and C16-BAC. Each homolog has different biocidal ranges. Indeed, C12-357 BAC has been demonstrated to be effective against yeasts and fungi, while C14-BAC against 358 Gram-positive bacteria and C16-BAC targets Gram-negative bacteria (Nunez et al., 2004).

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3.2.2 Historical and current use

BACs were introduced in 1935 by Gerhard Domagk as zephiran chlorides (Price, 1950). Since they were first commercialized, their use has continuously grown, and their applications diversified. Today, BACs are widely used for domestic applications (softeners, conditioners, or medication for the ENT (eye, nose, throat) therapy); and as disinfectants for industrial, agricultural, and clinical areas (Merchel Piovesan Pereira and Tagkopoulos, 2019; Zhang et al., 2015). Finally, BACs are applied to building materials (walls, floors, roofs, pools, woods) to prevent their biodeterioration (Merchel Piovesan Pereira and Tagkopoulos, 2019). Thus,

367 protocols using DDMAC are effective for the preventive and curative control of the greening 368 of historic building materials (Nowicka-Krawczyk et al., 2019). DDMAC associated with OIT in ROCIMATM 103 (Dow[®]) induces in-depth killing of fouling microorganisms and prevents 369 370 most biological recolonization of sandstone and marble tombs surfaces for a period of five years 371 after treatment (Mascalchi et al., 2020). The effectiveness of benzalkonium chloride treatment 372 in preventing bacterial recolonization of stone was also observed after the treatment of the 373 granite walls of the San Martiño Pinario Monastery in Santiago de Compostela (NW Spain) 374 (Sanmartín and Carballeira, 2021). QACs are components of several cleaning and disinfection 375 solutions such as Algicid-Plus (Keim, DDMAC 0.36% and OIT 0.081%), Preventol® RI50 and 376 R180 (Lanxess), Organcide QC50 (formerly Vitalub QC50, France Organo Chimique, 377 ADMAC 50%, used in solution between 3 and 5%), Algidal (Algimouss Pro, CAQ C12-C16 378 20%), Sikagard[®] Stop Algues Pro (Sika[®]), and Dalep PE (Dalep[®]).

• 3.2.3 Efficacy

380 The biocidal effects of QACs rely on their ability to lyse cells by disrupting lipid bilayers. 381 The cationic nitrogen interacts with the phospholipid head *via* ionic interactions, while the alkyl 382 chain of quaternary ammonium integrates into the hydrophobic tails of phospholipids through 383 hydrophobic interactions (Heerklotz, 2008). Such interplays increase surface pressure in the 384 exposed part of the membrane and decrease its fluidity. Thus, the cell membrane is rapidly 385 disintegrated (Tezel and Pavlostathis, 2011). Even when QACs are present at non-lytic or sub-386 inhibitory concentrations in the environment (*i.e.*, below the minimum inhibitory 387 concentration), they are reported to disrupt microorganisms by inhibiting specific membrane 388 processes such as solute transport and cell wall biosynthesis (Tezel and Pavlostathis, 2011). 389 However, at these low concentrations, QACs can also increase biofilm formation and induce 390 oxidative stress, amplifying genetic variation in some microorganisms that may lead to microbial resistance (Gadea et al., 2017a; Houari and Di Martino, 2007; Tezel and Pavlostathis,
2015).

393 •

• 3.2.4 Advantages and drawbacks

394 The success of QACs relies on their multiple biological targets and their wide range of 395 applications. They also have shown efficiency on numerous microorganisms, like fungi as 396 Aspergillus sp., Penicillium sp., Chaetomium sp. (Isquith et al., 1972), and lichens as 397 Diploschistes actinostomus, Parmelia conspersa, Parmelia ioxodes (Table 1) (Pinna et al., 398 2012). Their multiple usages and low production cost make these molecules the best-selling 399 biocides along with ITs (Merchel Piovesan Pereira and Tagkopoulos, 2019; Tezel and 400 Pavlostathis, 2011). As they are widely consumed, they are also released in large amounts into 401 the environment during their production and use. It is estimated that approximately 25% of the 402 QACs are directly discharged into the environment as a result of their multiple usages (Tezel 403 and Pavlostathis, 2011). For example, QACs are released into nature at high concentrations (5 404 to 30 mg.L⁻¹) from roof runoffs, even after several months after tiles' treatment (Gromaire et 405 al., 2015). Their long-term effects on ecosystems and human health are still poorly understood 406 (Zhang et al., 2015). Nevertheless, it has been shown that their accumulation in the environment 407 leads to the development of drug-resistant microorganisms (Merchel Piovesan Pereira and 408 Tagkopoulos, 2019; Mulder et al., 2017). The main mechanisms of microbial adaptation to 409 QACs are the same as those responsible for antibiotic resistance, such as modification of 410 membrane structure and composition, improvement of biofilm formation (which protects 411 microorganisms), lateral acquisition of genes coding various types of efflux pumps, and 412 overexpression of these efflux pump systems (Gadea et al., 2017a; Slipski et al., 2017; Tezel 413 and Pavlostathis, 2015). Consequently, the development of bacterial populations resistant to 414 QACs also potentially increases antibiotic resistance in ecosystems (Gadea et al., 2017a; Kim 415 et al., 2018). It has already been shown that some nosocomial outbreaks were due to resistant

416 pathogens to antiseptics or contaminated disinfectants, especially those based on OACs and 417 those using BACs as major active compounds (Weber et al., 2007). Microorganisms on building 418 materials exposed to QACs can adapt and thus promote biodeterioration by resisting current 419 treatments (Gadea et al., 2017a; Tezel and Pavlostathis, 2015). The effectiveness of quaternary 420 ammoniums is influenced by the chemical composition and the physical properties of the 421 material to which they are applied (Favero-Longo et al., 2017). The application of solutions 422 containing OACs to porous materials like sandstone can lead to their penetration and their 423 gradual release, resulting in the exposure of microorganisms and other algae to low 424 concentrations of biocides over a relatively long period (Favero-Longo et al., 2017). This 425 process may facilitate the induction of a decrease in the sensitivity of the target organisms to 426 the biocides. Finally, QACs are considered one of the most toxic products among biocides with 427 ITs and antifouling products (Roy, 2020). BACs are responsible, for instance, for skin and 428 respiratory irritations, and they are considered to be skin sensitizers (Merchel Piovesan Pereira 429 and Tagkopoulos, 2019). As with ITs, the application of commercial products containing QACs 430 can lead to alterations in the stone material (Sanmartín et al., 2020; Tirado Hernández et al., 431 2015).

• 3.2.5 Future and perspectives

As with ITs, the use of QACs will be increasingly regulated in a near future. For example, 433 434 since 2016, the EU has drastically reduced the number of BACs allowed in food products and 435 has banned them in antiseptic hand and body soaps. Similarly, the US Food and Drug 436 Administration (FDA) is considering banning BACs in these same products (Merchel Piovesan 437 Pereira and Tagkopoulos, 2019). Moreover, CAQs have been reported to be toxic to various 438 aquatic organisms, such as Daphnia magna and Criodaphnia dubia, where they are highly poisonous at lower concentrations (0.4 to 4 ng.L⁻¹) than those found in the environment (on the 439 440 order of mg.L⁻¹) (Lavorgna et al., 2016). The long-term effects of CAQs are also of serious 441 concern due to their ecotoxicity, bioavailability, and possible interactions with other chemicals
442 (Lavorgna et al., 2016; U.S. Environmental Protection Agency (EPA), 2006).

443 Consequently, the proven toxicity of QACs for the environment and especially aquatic 444 ecosystems (Di Nica et al., 2017; Merchel Piovesan Pereira and Tagkopoulos, 2019) may lead 445 authorities to limit their application on outdoor surfaces in the coming years. As for IT's, such 446 ecotoxicological effects have to be considered before widespread commercialization and launch 447 of new products. The use of adapted personal protection devices has to be strongly 448 recommended to avoid impact on users' health. Decreasing the usage of QAC's, reducing their 449 concentrations at their minimum, and combining QACs products with less toxic biocides is 450 essential before considering any use of these products. Finally, more ecotoxicological studies 451 should be conducted to better precise the effects of these widely marketed products on health 452 and the environments

453 <u>3.3 Other types of widely-used biocides</u>

454 Many other chemical products are commercialized to prevent biodeterioration. They are all 455 employed as wide-spectrum biocides, but they are less commonly used than ITs and QACs 456 (Tezel and Pavlostathis, 2011). Their mode of action, their potentially hazardous effects on 457 health and the environment are also much less documented.

• 3.3.1 Sodium hypochlorite

Among those other types of broad-spectrum biocides utilized to clean building materials is sodium hypochlorite (CAS: 7681-52-9) (Table 2), also known as bleach (Table 1). This compound is, for example, commercialized under the names Clorox® or 30 seconds® outdoor cleaner. Sodium hypochlorite is cheap and rapidly efficient on a wide range of microorganisms (Fukuzaki, 2006). For instance, sodium hypochlorite is effective on filamentous green algae and cyanobacteria (Pozo-Antonio et al., 2017). However, this application could have deleterious effects on metals or stones, as its degradation can lead to the formation of 466 chlorinated compounds that are toxic to aquatic organisms (Fukuzaki, 2006). Bleach may also
467 affect energy metabolism and damage DNA (Emmanuel et al., 2004). Besides, sodium
468 hypochlorite is a harmful compound responsible for mucosal irritations (Fukuzaki, 2006).

• 3.3.2 Acid compounds

470 Some other biocides, like Biorox®, commercialized to clean natural stones are based on 471 oleic acid activity (CAS: 112-80-1) (Table 2). These molecules were first employed as 472 herbicides in agriculture. The most common oleic acid is the nonanoic acid (also named 473 pelargonic acid, CAS: 112-05-0), and is included in many commercialized products like 474 Natria® (Bayer), Premiumgreen total (Finalsan plus) mixed with maleic hydrazide by CTS, or 475 Dalep eco (Dalep[®]). The use of pelargonic acid seems to be efficient in preventing 476 biodeterioration of building materials, and this molecule is quickly degraded in soils (Marin et 477 al., 2016), limiting its ecotoxicity. Norstictic (CAS: 571-67-5) and usnic (CAS: 7562-61-0) 478 acids, which are lichen secondary metabolites, have also shown efficiency and low toxicity on 479 various microorganisms as cyanobacteria (Chroococcus minutus) and fungi (Coniosporium 480 perforans, Phaeococcomvces chersonesos) (Table 1) (Fidanza and Caneva, 2019; Gazzano et 481 al., 2013) However, there are few available studies on these compounds, and more research is 482 needed to confirm these preliminary observations. As with QACs (especially BACs), 483 pelargonic acid causes slow onset irritancy, erythema and is an irritant compound (Frosch and 484 John, 2011). Other families of acids are employed and commercialized to prevent 485 biodeterioration like lactic acid (CAS: 50-21-5) as MossKade® supplied by HortiPro. However, 486 some studies have also highlighted the effects of lactic acid on human health, which has been 487 shown to be responsible for erythema and stinging when used at 5% in water (Frosch and John, 488 2011). For this reason, the supplier recommends that customers wear gloves and eye protection 489 to avoid its potential hazards (Hortipro B.V., 2014).

• 3.3.3 Chlorinated phenol compounds

491 Besides, some biocides containing chlorinated phenol compounds are also commercialized 492 to prevent biodeterioration, such as Atagol sodique from CTS containing *p*-chlorocresol (4-493 chloro-3-méthylphenol, CAS: 59-50-7) and Panacide® containing dichlorophen (2,2'-494 Methylenebis(4-chlorophenol), CAS: 97-23-4) (Table 2) (Rosado et al., 2017). For example, 495 Panacide® has shown to be efficient on filamentous fungi as Aspergillus sp., Cladosporium sp., 496 and Penicillium sp. (Table 1) (Rosado et al., 2017). However, as the other biocides, they are 497 also mentioned as an irritant if not used with personal protection gear (Frosch and John, 2011), 498 and in 2019 government of Canada has assessed *p*-chlorocresol as posing a risk to human health 499 and has recommended a reduction in its usage (Government of Canada, 2019).

500

• 3.3.4 Other compounds broad-spectrum biocides

Finally, among the other biocides used to prevent biodeterioration, disodium metasilicate (CAS: 229-912-9) has been commercialized as in AlgiClean (Algimouss pro), and dimethyl sulfoxide (DMSO, CAS: 67-68-5) can be found in "Solvent Gels" utilized to restore historical monuments (Table 2) (Toreno et al., 2018). However, both molecules are noted for their toxicity and corrosive effects, and personal protection gears (gloves and goggles) are recommended when handling these compounds (Frosch and John, 2011).

507 <u>3.4 Innovative use of biocides</u>

508 Among innovative biocidal compounds are gemini surfactants (Table 1). These are 509 amphiphilic compounds composed of two conventional surfactant molecules covalently 510 bonded together by a spacer. Geminis are characterized by very low critical micelle 511 concentrations (CMC), low surface tension (γ) , anti-adhesive activities, and low minimal 512 inhibitory concentrations as compared to the related monomeric surfactants. For these reasons, 513 such compounds are very attractive as biocides in materials science, especially when they 514 expose ammoniums as polar groups (Brycki et al., 2017; Tyagi and Tyagi, 2009). For instance, 515 the antifungal activity of cationic gemini surfactants has been recently demonstrated against

516 Aspergillus brasiliensis by measuring the content of ergosterol, a method used to estimate the 517 level of mold infestation in buildings (Koziróg et al., 2018). Additionally, it has been shown 518 that the antimicrobial efficiency of these compounds depends on the length and type of spacer 519 as well as their hydrophobic counterpart. As expected, diquaternary ammonium geminis of 520 structure $[C_{12}H_{25}N^+(CH_3)_2CH_2CONH]_2Y \cdot 2Cl^-]$ where $Y = -(CH_2)_4$ or $-(CH_2)_2SS(CH_2)_2$ -were 521 shown to exhibit a higher antimicrobial activity against gram-positive/negative organisms and 522 Candida albicans than "plain" hexadecyltrimethyl ammonium bromide (Diz et al., 1994). Also, 523 Winnicki *et al.* showed the anti-algal activity of pentylene-1,5-bis(dimethyl dodecylammonium) 524 bromide) against *Chlorella*, single-celled green freshwater algae also known to foul industrial 525 cooling systems and building facades (Table 1) (Winnicki et al., 2021).

526 Another way to expose antimicrobial moieties is to derive the end groups of dendrimers 527 (Table 1). Once functionalized with quaternary ammonium salts, these highly branched and 528 star-shaped globular macromolecules exert a more potent biocidal activity than their small-529 molecule counterparts (Chen and Cooper, 2000; Karthikeyan et al., 2016). Chen et al. 530 demonstrated that dimethyl dodecylammonium chloride functionalized poly-(propylene imine) 531 dendrimers were over two orders of magnitude more potent than their mono-functional 532 counterparts against E. coli (Chen et al., 1999). Another dendrimer scaffold based on quaternary 533 ammonium functionalized polyamidoamine (PAMAM) has been successfully used against a 534 group of common Gram-negative and Gram-positive bacteria (Zainul Abid et al., 2016) and 535 Staphylococcus aureus as a coating on textile fabrics (Ghosh et al., 2010). However, to the best 536 of our knowledge, such promising architectures have never been applied yet to prevent the 537 biodeterioration of building materials.

538 <u>3.5 Conclusions about the usage of biocides to prevent biodeterioration of building materials</u>

539 To conclude, it is essential to note that the composition of marketed biocidal products used 540 to control the biodeterioration of building materials is unclear and difficult to obtain. Moreover,

541 many commercialized products mix several families of molecules such as Biotin T (CTS), 542 Algicid-Plus (Keim), which are mixtures of OIT and OACs, or Net 9 Anti-Lichen (Dalep®), 543 which is a mixture of sodium hypochlorite with other compounds. Many, if not all, of these compounds are now recognized by many research as harmful to human and environmental 544 545 health. In the future, regulations for many biocidal molecules could follow the same path as ITs 546 and QACs. For instance, this is already the case for *p*-chlorocresol, as the EU and Canada have 547 limited their use in cosmetics (Government of Canada, 2019). Even in the case of ITs and 548 CAQs, their effect remains to be better understood, and multidisciplinary efforts (combining 549 expertise in microbiology, building materials, chemistry, ecotoxicology, medicine) remain to 550 be conducted in order to evaluate their usage and effects. Environmental and legal risks have 551 to be considered by major industries commercializing these products to maintain their economic 552 profitability and by customers to limit their environmental footprint. In this perspective, 553 massive investments in research and development of more sustainable and environmentally-554 friendly antifouling compounds appear to be an urgent need and a promising economic strategy. 555 After comparative studies of the effectiveness of commercial biocidal solutions to control 556 outdoor colonization of stone in historical monuments and consider their toxicity, some authors 557 recommend water-based treatments and brushing to remove the colonizing biomass (Sanmartín 558 et al., 2020). There remains the problem of controlling microbial regrowth and recolonization 559 of the substrate after cleaning.

560

4. Water-repellent compounds and surfaces offer interesting antifouling properties.

563 Throughout the ages, the biodeterioration of building materials, especially stone, has been 564 of significant concern to builders. Over time and civilizations, many different treatments have

been developed and used, such as oils, waxes, or sacrificial layers (Charola, 2001; Rossi-565 566 Manaresi, 1996). Sacrificial layers are paints, renders, or plasters deposited on building 567 materials to protect them from weather and the time effects (Charola, 1995). For instance, Pliny the Elder explained that the Carthaginian pitch-coated their walls made of tuff, a stone highly 568 569 sensitive to biodeterioration (Plinius Secundus, 77AD; Rossi-Manaresi, 1996). Vitruvius also 570 described that Roman coated their walls with three layers of plaster and two layers of stucco to 571 protect fragile materials (limestone and tuff) from biodeterioration and to mimic at the same 572 time more precious stones like marble (Rossi-Manaresi, 1996; Vitruvius Pollio, 27BC). It has 573 also been reported that water repellent coatings such as wax or oils have been used in ancient 574 times (Rossi-Manaresi, 1996), and to date, waterproofing strategies are still widely employed 575 alone or in combination with biocidal treatments (Moreau et al., 2008; Pinna et al., 2012; Urzì 576 and De Leo, 2007). The development of innovative superhydrophobic materials remains a 577 major industrial challenge.

578 <u>4.1 Conventional water-repellent treatments</u>

• 4.1.1 Nature and commercially available compounds

580 Different water-repellents are available on the market, but the most popular ones are all 581 silicone derivatives (Table 1) (Roos et al., 2008). These compounds consist of an inorganic 582 network of Si-O-Si bonds with an organic pendant group bearing by silicon atoms. In 583 antifouling applications and water-repellent treatments, organic pendant groups are usually 584 alkyl chains or aromatic groups due to their intrinsic hydrophobic character. Whatever the 585 precursors used in antifouling or water-repellent treatments, the chemistry involved in the 586 production of silicone derivatives remains the same and is the sol-gel chemistry implying 587 hydrolysis and condensation reactions (Brinker and Scherer, 2013; Iler, 1979). Thus, silicone 588 derivatives could be produced starting with organosilanes, siloxanes, and/or siliconates. 589 Organosilanes are monomeric species containing at least one hydrolytically sensitive group,

590 mainly chloride atom or alkoxy group (OR), which could undergo hydrolysis (formation of 591 reactive silanol Si-OH) and condensation reactions allowing the growth of Si-O-Si backbone 592 and/or covalent bonding on a substrate. Organosilanes also possess one or more organic 593 substitutions linked to a silicon atom with a hydrolytically stable Si-C bond, silicon atom being 594 tetravalent. Siliconates are their basic salts, and siloxanes correspond to their oligomeric or 595 polymeric forms.

596 Regarding antifouling building materials. alkyltrialkoxysilanes of such as 597 triethoxyisobutylsilane (CAS: 17980-47-1), N-octyltriethoxysilane (CAS: 2943-75-1), and iso-598 octyltriethoxysilanes (CAS: 35435-21-3) are the most utilized compounds (Table 2) (Roos et 599 al., 2008). Interestingly, when the alkyl chain is long (C12-C16), the resistance of these 600 molecules to alkalis is more important, making them able to penetrate deeply into a substrate 601 such as concrete (Roos et al., 2008; Urzì and De Leo, 2007). In comparison, siloxanes have a 602 much more complex structure due to their oligomeric or polymeric state (Roos et al., 2008). 603 Siloxanes, which are liquid due to their low intermolecular forces, have better thermal stability 604 than silanes. Still, they cannot penetrate a substrate as deeply as monomeric silanes because of 605 a steric hindrance (Roos et al., 2008). As they are also alkali-resistant, they can be used on 606 concrete. However, they are much better suited to porous substrates such as ceramics (i.e., 607 bricks, ceramic roof tiles) and stone (Roos et al., 2008; Urzì and De Leo, 2007). Organo-608 modified siloxanes are mainly used for building material protection, as their methyl groups 609 around the silicon atom are replaced by other organic groups (Roos et al., 2008). Finally, 610 siliconates are smaller molecules than silanes and siloxanes; therefore, they provide the deepest 611 penetration (Urzì and De Leo, 2007). They also present no water sensitivity, unlike 612 organosilane and siloxane species, and they are water-soluble, meaning that they are fully 613 compatible with water-based processes and less expensive. Commercially available water-614 repellents include the following molecules: alkyl alkoxysilane (Hydrophase superfici supplied

by Phase), alkyltrialkoxysilane (Hydrophase malta PH91503, Phase) (Urzì and De Leo, 2007),
trimethoxy-(2,4,4-trimethylpentyl)silane (CAS: 34396-03-7) (Sikaguard® Roof Protection,
Sika), polydimethylsiloxane (Rhodorsil RC80, Phase) (Urzì and De Leo, 2007), or potassium
methylsiliconate (or potassium methylsilanetriolate, CAS: 031795-24-1) (Table 2) (SILRES®
BS 16, Wacker).

In addition to silicon derivatives, fluoropolymer coatings are also marketed for their waterrepellent properties. For example, some of them have lowered biofilm formation by some bacteria, such as *Bacillus subtilis* (Table 1) (Bao et al., 2017). However, they also have shown high toxicity for both human health and the environment. Consequently, these compounds will not be described in this review, as their use is not recommended on building materials (Daubert et al., 2009; Hekster et al., 2003; Sznajder-Katarzyńska et al., 2019).

626

• 4.1.2 Historical and current use

627 To protect building materials, the most popular water-repellents are alkyl-silicone products 628 like alkyl-silanes, alkyl-siliconates (Charola, 2001), oligomeric and polymeric siloxanes 629 (Khoshnevis et al., 2020; Roos et al., 2008). These products are derivatives of ethyl-silicate (CAS: 78-10-4) (Table 2), discovered by the French chemist Ebelmen in 1845 (Charola, 2001; 630 631 Ebelmen, 1855). Shortly after this discovery, in 1861, tetraethoxysilane (developed by 632 Ebelmen in 1846) (Ebelmen, 1855) was suggested by W. von Hoffman as a protectant to the 633 House of Parliament in London. However, it was not until the 1920s that the use of ethyl-silicate 634 as a stone treatment was revived with the work of A.P. Laurie (Laurie, 1926a, 1926b, 1926c, 1925, 1923; Wheeler, 2005). It was only in the 1950s that silicone-based solutions gained 635 636 popularity, and their applications were multiplied for both industry and consumers (Noll, 1954). 637 For instance, these silicone-based solutions have been used to protect building materials, as 638 shown in the patent by Bayard R. Brick, for the treatment with a non-aqueous solution of 639 organo-siloxane resins (Bayar R. Brick, 1951). Until the late 1980s, water-repellents were

solvent-based, but due to environmental concerns, their composition was modified to a waterbased emulsion form (Charola, 2001; Roos et al., 2008). Today, silicones are widely used in
the preservation of building materials, as well as in domestic applications (shampoos,
conditioners) (Roos et al., 2008).

• 4.1.3 Efficacy

Water-repellent coatings consist of depositing a hydrophobic substance on targeted materials to prevent liquid water penetration (Charola, 2001; Fassier, 2009). The goal is to obtain a contact angle between 90° and 150° on the treated surface. Hydrophobic substances increase the solid-liquid interfacial tension and thus limit wetting and liquid water penetration into the material (Fassier, 2009) necessary for biofilm establishment and growth. The extent of water-repellency depends on the substrate (Roos et al., 2008) and the nature of the alkyl group(s) attached to the silicon atom (Charola, 2001).

652

• 4.1.4 Advantages and drawbacks

653 Water-repellents reduce water availability for microorganisms, thus slowing down their 654 growth and, in turn, the biodeterioration of building materials (Fassier, 2009; Urzì and De Leo, 655 2007). For example, silanes and siloxanes efficiently reduce building material colonization by 656 photosynthetic microorganisms, such as filamentous Nostoc-like cyanobacteria (Urzì and De 657 Leo, 2007), and Stichococcus bacillaris (Romani et al., 2021b). Potassium methyl siliconate 658 has also shown in vitro effects on the growth of *Cladosporium cladosporioides* (Table 1) 659 (Romani et al., 2021b). Additionally, silicone-based water-repellents are insensitive to UV 660 radiations, making them particularly stable through time (Charola, 2001; Fort González et al., 661 2000; Wendler, 1997). Water-based silicone formulations also offer adequate protection for 662 granites and low-porosity limestones, while siloxanes are mostly used for the treatment of 663 facades, despite their low affinity with alkali substrates and the fact that they exhibit a lesser hydrophobicity in comparison with silanes (Bruchertseifer et al., 1995; Roos et al., 2008). 664

665 However, the use of water-repellents also has some drawbacks. Indeed, it has been reported 666 that they increase the sensitivity of some materials to freezing (Snethlage and Wendler, 1996) 667 and impact their surface rigidity (Sasse and Snethlage, 1997). These properties lead to a higher 668 erosion and biodegradation of the building materials over time (Charola, 2001). Moreover, 669 water-repellent treatments have a short lifespan since they are poorly resistant to decay and bad 670 weather (Fassier, 2009; Ferreira Pinto and Delgado Rodrigues, 2000; Pinna et al., 2012). They 671 are less effective than solvent-based formulations when facing many freeze-thaw periods. They 672 are also destabilized by salts in the material (Charola, 2001) and thus less efficient when used 673 on buildings constructed in coastal areas.

674 Evaluation of advantages and drawbacks of water repellents remains a complex task as there 675 is a gap between nature as well as the importance of biofilms' development and the type of 676 water-repellent treatments sprayed on the construction or the cultural heritage preservation 677 fields. The effectiveness of some of these water-repellents to prevent microbial colonization of 678 stone or mortars has been tested in various studies (Moreau et al., 2008; Pinna et al., 2012; Urzì 679 and De Leo, 2007). The main results of the work published by Urzì and De Leo pointed out that 680 applying a hydrophobic compound alone was not sufficient to stop the development of biofilm 681 over 15 months of exposure and that only the combined use of water-repellent and a biocidal 682 substance reduced the colonization of materials by algae and bacteria (Pozo-Antonio et al., 683 2016; Urzì and De Leo, 2007). Moreover, they do not lower the colonization of materials by 684 "black" fungi such as *Alternaria* sp. or *Cladosporium* sp., which are the most resistant to 685 chemical treatments (Hallmann et al., 2011; Krause et al., 2006; Romani et al., 2021b; Urzì and 686 De Leo, 2007). Combining polysiloxane with copper nanoparticles reduces biocolonization and 687 blackening of marble surfaces (Pinna et al., 2012). When treating successively with a water 688 repellent and a biocide, the question of the order of application of each chemical arises (Moreau 689 et al., 2008). A water-repellent applied after a quaternary ammonium treatment loses

690 effectiveness. When the biocide is used after the water repellent, the surface hydrophobicity of 691 the stone is reduced, and the biocide penetrates little into the material. Treating a surface 692 previously treated with a water repellent with a quaternary ammonium compound can remove 693 the biofouling that has developed at a given time but cannot prevent recolonization in the longer 694 term (Moreau et al., 2008). Nevertheless, we have some clues about the efficiency of silicone-695 based coatings towards the development of biofilms in marine fouling since they are 696 commercially used as fouling release coatings (Lejars et al., 2012).

Evaluating the ecotoxicity of water-repellents remains a major challenge, and more research and assessment are urgently needed. On the one hand, coating building surfaces with water repellents reduced microbial growth and protected materials. Thus, the use of water-repellent coatings leads to a reduction in the use of biocides compounds. On the other hand, water repellent molecules also present ecotoxicological effects, as reported in a few studies, and their runoff with time could damage humans and environmental health. Again, multidisciplinary studies would be required to fully address these yet unsolved questions.

704

• 4.1.5 Future and perspectives

705 The use of water-repellents is widespread in the construction industry, which has 706 successfully adapted to environmental concerns to propose safer products (Charola, 2001; Roos 707 et al., 2008). However, to properly apply water-repellents and avoid risk for the materials 708 (Sauder, 1999), a "holistic approach" is needed. This means that it is necessary to consider the 709 treatment, application, and the substrate for successful waterproofing (Charola, 2001, 1995). 710 Otherwise, an innovative approach consists of creating superhydrophobic surfaces on the 711 building materials (see below). In this regard, some silicones are promising, such as 712 poly(dimethylsiloxane) (CAS: 70131-67-8) (Table 2) (Amara et al., 2018). From a regulation 713 and environmental point of view, since oligomeric-polymeric silicone derivatives could be considered as microplastics, their release from substrates could be limited and even forbiddenin the future (ECHA, 2019).

716 <u>4.2 The case of superhydrophobic materials</u>

717 The abovementioned water-repellents offer hydrophobic properties to building materials, 718 which means that the resulting apparent contact angle is between 90° and 150°. In the case of superhydrophobic compounds, the apparent contact angle is higher than 150° on material 719 720 surfaces with a low hysteresis or a low tilting angle (less than 10°) (Table 1) (Jeevahan et al., 721 2018). Research on superhydrophobic materials is relatively recent and has rapidly grown over 722 the last ten years, from around 100 publications per year in 2009 to more than 700 in 2018 723 (Dalawai et al., 2020). This section of this review will mainly focus on silicones used to develop 724 this type of coating. As described above, water repellent compounds have been known for a long time, have an excellent cost-benefit ratio, and are widely used. Other techniques exist to 725 726 develop superhydrophobic materials, but they are more complex and less developed, and at this 727 time, they remain promising research strategies that needed to be developed and widely tested 728 (Dalawai et al., 2020; Li et al., 2017).

729

• 4.2.1 Nature and commercially available compounds

730 The design of silicone-based superhydrophobic surfaces is generally achieved by two 731 different pathways: the top-down approach and the bottom-up strategy (Dalawai et al., 2020; 732 L. Li et al., 2016; Niu et al., 2020; Wang et al., 2020). The top-down allows the formation of 733 superhydrophobic surfaces by selective matter removal, while the bottom-up approach 734 corresponds to the assembly of precursors (monomeric, oligomeric, or polymeric chemical 735 species, nanoparticles, microparticles ...). The top-down approach is generally a two-step 736 procedure involving first surface etching (either physical or chemical) leading to a patterned 737 master and then forming a master negative replica with silicone derivatives. The second step 738 usually implies pouring silicone prepolymers over the master, followed by curing and peeling

739 off phases (Xia and Whitesides, 1998). Alternatively, some authors have directly modified 740 silicone rubbers by laser irradiation and/or chemical etching (Wang et al., 2020). Concerning 741 the second strategy, *i.e.*, the bottom-up approach, it generally involves the preparation of a 742 solution containing silicon precursors, solvents, particles and nanoparticles, and catalysts. This 743 solution is next deposited on the surface of the substrate, and evaporation of volatile species 744 (solvent) leads to the formation of the superhydrophobic surface by a multiscale component 745 assembly. A subsequent treatment (often thermal or UV curing) allows the stiffening of the 746 superhydrophobic coating. The deposition step could be achieved by dip-, spin- or spray-747 coating. It appears thus that the bottom-up approach is much more convenient for treating 748 building materials since its synthesis procedure is fully compatible with outdoor treatments, 749 especially when spray-coating on large surfaces with commercial equipment is required (Faustini et al., 2018). Several commercialized superhydrophobic paint are currently marketed, 750 751 such as SuperCNTM (NEI Corporation), NeverWet[®] anti-icing coating, HydroFoeTM (LotusLeaf 752 Coatings), Lotusan[®], (Sto) (Sto, 2020). Today, in addition to building materials, 753 superhydrophobicity is under development for many applications such as photovoltaic devices, 754 fabrics, and textiles, anti-corrosion, anti-snow, and freeze and anti-reflective (Dalawai et al., 755 2020).

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4.2.2 Historical and current use

Superhydrophobicity was first studied in 1756 by the German physician Leidenfrost by depositing a water drop on a hot solid surface (>200°C) and observing the drop flowing everywhere. The water drop had an apparent contact angle of 180°, constituting what was then named "the Leidenfrost effect" (Leidenfrost, 1756; Quéré and Reyssat, 2008). Also, the superhydrophobic property of lotus (*Nelumbus* sp.) leaves is due to their unique nanostructuration combined with the production of a hydrophobic coating (a wax made of various non-polar methyl groups, such as nonacosane diols) (Ensikat et al., 2011; Sanchez, 2012; Sanchez et al., 2010). The lotus "self-cleaning" phenomenon has been known in Asia for at least 2000 years, where the lotus is a symbol of purity in many cultures (Cheng and Rodak, 2005). Nevertheless, it was not until the early 1970s that the lotus phenomenon was studied and then luckily understood by the German botanist Barthlott after realizing that he did not need to dust off the lotus leaves before observing them under a microscope, unlike other plants (Barthlott and Neinhuis, 1997; Cheng and Rodak, 2005). This discovery led to the "Lotus-Effekt" patent in cooperation with Sto, producer of the Lotusan® paint (Sto, 2020).

771 Thanks to 3.8 billion years of evolution, various organisms, such as lotus, have developed 772 non-wetting traits that protect them from microbial colonization and pathogenic agents. For 773 example, these properties were depicted in ramee leaves (contact angle 164°) or insects like 774 some Homoptera (Meimuna opalifera, contact angle 165°) (Wolfs et al., 2013). Researchers 775 and industrials aim to develop materials with superhydrophobic properties to allow their self-776 cleaning and protect them against biodeterioration, following a modern and very promising 777 approach called biomimicry (Zhang et al., 2017). Indeed, observation of natural phenomena is 778 frequently recognized as a promising way of developing eco-friendly solutions (Scardino and 779 de Nys, 2011; Wolfs et al., 2013; Zhang et al., 2017).

• 4.2.3 Efficacy

To the best of our knowledge, no studies have evaluated the effectiveness of superhydrophobic coatings in preventing long-term microbial colonization and biodeterioration of building materials (Xiang et al., 2019). Again, integrative and multidisciplinary research is needed to assess better the efficiency of these antifouling strategies, which, for the moment, mostly rely on empirical observations and assessments.

786

• 4.2.4 Advantages and drawbacks

Superhydrophobic coatings under development provide amphiphobic properties to building
materials, which means that they prevent water (hydrophobic) and oil (oleophobic) uptakes

789 (Facio et al., 2017). Moreover, superhydrophobicity provides self-cleaning properties that avoid 790 microbial and dirt attachment, delaying building material biodeterioration (Dalawai et al., 2020; 791 Jeevahan et al., 2018). However, the real-world applications of superhydrophobic coatings are 792 minimal due to low durability, expensive and toxic reagents, and complex preparation processes 793 (Li et al., 2017). These coatings may also be toxic for the environment and human health due 794 to some of their components, such as methanol (Zulfigar et al., 2017) or fluorinated molecules 795 (Arabzadeh et al., 2017; Facio et al., 2017; Guo et al., 2005). However, determining the toxicity 796 level of such compounds remains an open question, as previously discussed for conventional 797 water-repellents.

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• 4.2.5 Future and perspectives

799 Superhydrophobic coatings appear to be promising by conferring self-cleaning properties 800 to building materials. However, their durability and environmental impacts remain to be 801 assessed. They appear at first sight as interesting alternatives to avoid applying biocides. 802 However, a few studies also report that the toxicity of such water repellents could cause health 803 or environmental issues (Arabzadeh et al., 2017; Facio et al., 2017; Guo et al., 2005; Zulfigar 804 et al., 2017). To the best of our knowledge, these coatings have only been evaluated under 805 laboratory conditions (Zulfigar et al., 2017), and comprehensive tests under various in situ 806 conditions are needed to evaluate the toxicity of these compounds (Arabzadeh et al., 2017; 807 Facio et al., 2017; Guo et al., 2005; Zulfigar et al., 2017). This nature-inspired approach to 808 prevent biodeterioration is attractive and recent compared to the use of biocide or silicones. 809 Consequently, more research is needed to improve superhydrophobic coatings before 810 commercializing durable and eco-friendly products (Dalawai et al., 2020).

811

5. The efficacy of the photocatalysis mechanisms

A promising and eco-friendly way to develop self-cleaning building materials relies on using the photocatalysis process (Table 1) (Wang et al., 2014). This approach was discovered more than a century ago in Germany (Coronado et al., 2013; Eibner, 1911). Its principle relies on an advanced oxidation process (AOP) based on the absorption of photons by a photocatalyst and the subsequent production of ROS (Ameta et al., 2018). Thanks to the high stability of photocatalysts, this AOP has many applications in different fields: from converting water to hydrogen gas to disinfection and use in antifouling coatings (Ameta et al., 2018).

820

• 5.1. Nature and commercially available compounds

821 Most of the employed photocatalysts are semiconductors, as they can conduct electricity in 822 the presence of light and at room temperature (Ameta et al., 2018; Wang et al., 2014). 823 Semiconductors are defined by their bandgap comprised between 1.5 and 3.0 eV (the bandgap 824 is the energy difference between the valence band and the conduction band (Ameta et al., 2018). 825 For the photocatalysis-induced AOP, the semiconductor can simultaneously drive reduction 826 and oxidation of the substrate when the redox level of the substrate is lower than the conduction 827 band and higher than the valence band (Ameta et al., 2018; Wang et al., 2014). The most 828 commonly commercialized semiconductors are binary oxides such as titanium dioxides (TiO₂, 829 CAS: 13463-67-7) or zinc oxides (ZnO, CAS: 1314-13-2) (Chen et al., 2010). For example, 830 270 patents registered for ceramic roof tiles include the use of binary oxides for photocatalysis 831 (Ranogajec and Radeka, 2013). For example, this is the case with Erlus Lotus air® tiles, which 832 are coated with TiO₂ to reduce nitrogen oxide pollution ("Erlus Lotus Air®," n.d.).

833

• 5.2 Historical and current use

Photocatalysis was first mentioned in 1911 by German chemist Eibner, who studied the effect of illumination of ZnO on the bleaching of Prussian blue, a dark blue pigment (Coronado et al., 2013; Eibner, 1911). However, it was not until 1938 that Goodeve and Kitchener discovered the photocatalytic effect of TiO₂ (Goodeve and Kitchener, 1938). These authors provided the first description of ROS production induced by UV absorption and leading to
photooxidation (Coronado et al., 2013). Today, photocatalysis is employed in many different
fields (*i.e.*, wastewater treatment (Cantiello et al., 2020), air purification ("Erlus Lotus Air®,"
n.d.), antifouling coatings (Gladis et al., 2010)).

• 5.3 Efficacy

843 The efficiency of photocatalysts relied on ROS production coupled with the oxidation and 844 reduction process. These photochemical properties are intrinsic to semiconductors like TiO₂ or 845 ZnO (Ameta et al., 2018; Carretero-Genevrier et al., 2012; Coronado et al., 2013). The 846 interesting property which is exploited in the context of the present review is that ROS produced 847 during photocatalysis have deleterious effects on many types of microorganisms by degrading 848 coenzyme A or disrupting the cell wall membranes. For that reason, photocatalysts are also 849 used as antifouling or in disinfection treatments (Ganguly et al., 2018). The use of TiO₂ and 850 ZnO to prevent the biodeterioration of buildings and heritage monuments has been recently 851 reviewed (Ganguli and Chaudhuri, 2021). For instance, ZnO has shown better efficiency than 852 TiO₂ to prevent the biodeterioration of building materials, mainly by fungal growth, even 853 without light exposure (Loh et al., 2018). Moreover, higher efficiency of photocatalysts has 854 been shown when they are combined with metallic nanoparticles as silver (Becerra et al., 2020, 855 2018; Fellahi et al., 2013; Goffredo et al., 2019, 2017). Such TiO₂ association with Ag can be 856 used as coatings for outdoor treatment of limestone facades to prevent microalgal fouling 857 (Becerra et al., 2020). When a TiO₂ treatment is applied after a biocide treatment on stone, there 858 is a reduction in recolonization of the treated area (Ruffolo et al., 2017).

859

• 5.4 Advantages and drawbacks

860 One of the significant advantages of photocatalysts, compared to biocides or water-861 repellents, is that they resist the firing along with the industrial production of building materials 862 (*i.e.*, around 1,000°C for ceramic roof tiles). Thus, they can be added during the manufacturing

863 process, and their usage does not need an additional coating step at the end of fabrication 864 (Gladis et al., 2010; Gladis and Schumann, 2011; Ranogajec and Radeka, 2013), facilitating the industrialization of antifouling solutions based on photocatalysts. Moreover, photocatalysts and 865 866 especially TiO₂ are very stable over time (Hashimoto et al., 2005) and thus have shown suitable 867 antimicrobial activity and prevent biodeterioration over a long time (Dyshlyuk et al., 2020). For 868 example, photocatalysts are efficient on lichens, such as Diploschistes actinostomus, Parmelia 869 conspersa, Parmelia ioxodes (Table 1) (Pinna et al., 2012). However, TiO₂ is a white powder 870 that can alter building material color over time (Hashimoto et al., 2005). Photocatalysts also 871 increase the hydrophily of building materials, promoting microbial colonization due to a higher 872 water uptake level of the materials (Ranogajec and Radeka, 2013). The effectiveness of TiO₂ 873 coatings is highly dependent on the substrate material and, in particular, its porosity and 874 roughness. However, many stone materials in historic buildings are very porous and rough 875 (Gladis and Schumann, 2011; Graziani et al., 2016, 2014; Quagliarini et al., 2018).

876 On the negative side, some studies revealed that some photocatalysts applied to prevent 877 building biodeterioration (especially facades) were detected at significant concentrations in the 878 aquatic environments where they are potentially harmful to many organisms (Kaegi et al., 879 2008). Also, as pointed out by a few studies, the photocatalysts' size and nature could constitute 880 a serious issue for both human health and the environment (Remzova et al., 2019). The risks of 881 photocatalysts used as TiO₂ or ZnO nanoparticles in cosmetics to human health have been 882 pointed out, especially when inhaled (Dréno et al., 2019; Subramaniam et al., 2019). Regarding 883 the risks associated with their inhalation, some studies suggested a potential link between 884 exposure to TiO₂ nanoparticles and certain pulmonary and cardiovascular conditions in workers 885 exposed to these nanoparticles (Dréno et al., 2019; Pelclova et al., 2018, 2015; Rossnerova et 886 al., 2020).

• 5.5 Future and perspectives

888 Photocatalysis is clearly an up-and-coming solution for air-purification and self-cleaning of 889 building materials to prevent their colonization and biodeterioration (Loh et al., 2018; Nath et 890 al., 2016). The choice of photocatalysts, their size, and how they are incorporated in the process 891 of manufacturing materials remain to this day a matter of debate. Photocatalytic small 892 (nano)particles appear as the most efficient; however, the smaller the particles are, the higher 893 the risk that they will be inhaled during the industrial process, which may be harmful to the 894 health of operators (Remzova et al., 2019; Tobaldi et al., 2017). Nevertheless, the 895 ecotoxicological risks of nanoparticles remain a large subject of debate, and clearly, much more 896 scientific studies to evaluate their potentially hazardous effects remain to be conducted. 897 Moreover, managing the durability and efficiency of photocatalytic building materials is still 898 challenging since it depends on the main microbial colonizers, nature of the substrate, and 899 environmental conditions (Boonen et al., 2016; Goffredo et al., 2017). Finally, it is essential to 900 note that further studies are really needed to develop innovative and more efficient 901 photocatalytic building materials at supportable costs for this industry.

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6. Ionic liquids: promising eco-friendly synthetic molecules

904 Lo Schiavo et al. recently underlined that ionic liquids could be valid alternatives to 905 conventional biocides for stone-built cultural heritage (Table 1) (Lo Schiavo et al., 2020). Ionic 906 liquids (ILs) are salts with a melting point <100°C comprising organic cations (imidazolium, 907 ammonium, pyrrolidinium, etc.) associated with inorganic anions (Cl⁻, AlCl⁴⁻, PF⁶⁻, BF⁴⁻, NTf²⁻ 908 , etc.) or organic anions (CH₃COO⁻, CH₃SO₃⁻, etc.) which are liquid around room temperature. 909 Their structure can be tuned to access physicochemical properties such as wide electrochemical 910 window, high thermal stability, and null vapor pressure. Due to their structural analogies with 911 conventional amphiphilic quaternary ammonium (QACs) species, ILs have an intense 912 antimicrobial activity since the cation is responsible for the primary electrostatic interactions

913 with cell walls (Pendleton and Gilmore, 2015). Consequently, ILs have been successfully 914 applied to clean calcium crust (CaCO₃, CaSO₄, CaC₂O₄) from stained glasses (Machado et al., 915 2011) as well as from synthetic and natural varnishes (Pacheco et al., 2013). Phosphonium-916 based ILs were also tested for corrosion crust removal from medieval glasses (Delgado et al., 917 2017). For instance, it has been recently demonstrated that surface active ionic liquids (SAILs), 918 based on cholinium and dodecylbenzenesulfonate, have been tested to prevent the 919 biodeterioration of stone-built cultural heritage (Table 1). These SAILs have shown 920 antimicrobial activity on pure Gram-positive (Micrococcus luteus) and Gram-negative 921 (Stenotrophomonas maltophilia) bacteria, yeasts (Cladosporium sp.), hyphomycetes 922 (Aureobasidium sp.), and algae (Chlorella sp.) (De Leo et al., 2021).

923 Polyoxometalates (POMs) are also being considered as biocidal agents. POMs are a large 924 group of anionic polynuclear metal-oxo clusters with discrete and chemically modifiable 925 structures (Gumerova and Rompel, 2020; Miras et al., 2012). Generally based on group 6 926 transition metals (Mo, W), and sometimes group 5 (V, Nb, Ta), these closed 3-dimensional 927 frameworks are characterized by a wide and versatile range of physicochemical properties that 928 can be specifically tailored at a molecular level. Their polyanionic feature allows them to form 929 complexes with many proteins or peptides containing cationic residues through ionic bonds. 930 For these reasons, many reports have reported both a direct antibacterial activity and a 931 synergistic one with conventional antibiotics (Bijelic et al., 2018). For instance, 932 polyoxotungstates have been shown to exhibit antibacterial activities against Helicobacter 933 pvlori (Inoue et al., 2005). Moreover, Gumerova et al. demonstrated that of the 29 different POMS tested, the polyoxotungstate $[NaP_5W_{30}O_{110}]^{14-}$ showed the highest activity against 934 935 Moraxella catarrhalis (Gumerova et al., 2018). However, most of the inorganic POMs do not 936 exhibit biocidal activities strong enough to be exploited yet as coatings for building materials. 937 It is also worthy of mention that attempts have been made to combine the properties of polyoxametalated anions and the organic cations coming from ionic liquids. In this way,
silicotungstate and phosphotungstate-based POM-ILs fused with long-chain quaternary
alkylammonium cations have been shown to exhibit an enhanced antifungal activity on
historical bricks (Rajkowska et al., 2020). Another study demonstrated that hydrophobic
polyoxotungstate POM-ILs could be used as suitable anti-corrosion and antibacterial coatings
for natural stones (Misra et al., 2018).

944

945 7. "Natural compounds": on the path to the discovery of sustainable946 antifoulings?

947 We explained above that Nature is a source of inspiration for manufacturers and researchers, 948 particularly through the design of biomimetic materials like, for example, those imitating the 949 "lotus effect". The natural environment is also a source of antifouling compounds, and more 950 especially plants and algae that are naturally resistant to microbial damage. Thus, naturalist 951 observations also inspire another type of biomimetic approach to discover efficient antifouling 952 compounds: observing nature to identify bioactive compounds before producing them at the 953 industrial scale. "Natural compounds", i.e., compounds that are not molecules purely 954 synthesized by industrial processes but primarily by natural organisms, are hypothesized to be 955 less toxic than purely synthetic alternatives. They thus appear as promising molecules to fight 956 biodeterioration. The scientific literature on natural biocides that can be used against 957 biodeterioration of stone cultural heritage is extensive (Fidanza and Caneva, 2019). More than 958 sixty natural substances, mainly essential oils and substances of plant origin, have been the 959 subject of published scientific evaluations for this type of application. However, in most cases, 960 their substantial cost of production makes them, for the moment, non-adapted to fight 961 biodeterioration at large scales.

962 <u>7.1 Plants and essential oils</u>

963

• 7.1.1 Nature and commercially available compounds

964 Many plants have shown antimicrobial properties, such as Chili peppers, Eucalyptus globulus, Melaleuca alternifolia (tea tree), or Thymus vulgaris (Jeong et al., 2018; Omolo et 965 966 al., 2014; Palla et al., 2020) (Table 1). For peppers and especially species related to the genus 967 *Capsicum*, the active molecule has been identified and is called capsaicin (8-Methyl-N-vanillyl-968 trans-6-nonenamide, CAS: 404-86-4) (Table 2) (Omolo et al., 2014). This compound has shown 969 antibacterial activities against many pathogens, such as Vibrio cholerae and Staphylococcus 970 aureus (Mokhtar et al., 2017; Omolo et al., 2014), and also antifungal properties (Martini et al., 971 2014). Often, the active molecule produced by plants is available through the use of essential 972 oils (EOs) or purified secondary metabolites such as thymol (2-isopropyl-5-methylphenol, 973 CAS: 89-83-8), eugenol (2-Methoxy-4-(2-propenyl)phenol, CAS Number: 97-53-0) or 974 cinnamaldehyde (3-Phenylprop-2-enal, CAS: 104-55-2) (Table 2) (Jesus et al., 2015; Marchese 975 et al., 2016; Palla et al., 2020; Veneranda et al., 2018). EOs and secondary metabolites are 976 readily available commercially or from specialized chemical companies (e.g., Sigma-Aldrich). 977 The capsaicin and its synthetic equivalent, the N-vanillynonanamide (CAS: 2444-46-4), are 978 also easily purchased (Table 2).

• 7.1.2 Historical and current use

Plants and their derivatives as EOs have been employed for centuries for their natural antimicrobial properties (Algburi et al., 2017; Nazzaro et al., 2013). The earliest documented traces of the medicinal application of plants date back 5,000 years (Petrovska, 2012). Today, in addition to being used in the pharmaceutical and aromatherapy industries, EOs are also applied in the food industry (Gadea et al., 2017b; Palla et al., 2020). EOs and their component (secondary plant metabolites) are also tested in conservation due to the need for new cleaning procedures using less harmful molecules for both human health and cultural heritage 987 preservation (Fidanza and Caneva, 2019; Palla et al., 2020; Sasso et al., 2016; Veneranda et al.,
988 2018).

• 7.1.3 Efficacy

990 Some EOs and plant secondary metabolites are very effective against bacteria, algae, and 991 fungi at low concentrations (Gómez de Saravia et al., 2018; Nazzaro et al., 2013; Owen and Laird, 2018; Sasso et al., 2016; Veneranda et al., 2018). For instance, only 0,4 mg.mL⁻¹ of 992 993 oregano essential oil (OEO) is sufficient to inhibit the bacterial growth of methicillin-resistant 994 Staphylococcus aureus (MRSA) (Cui et al., 2019). Moreover, EOs have shown synergistic 995 interactions with antibiotics reducing drug resistance (Owen and Laird, 2018). However, their 996 action mechanisms remain poorly understood due to their high chemical variability and broad-997 spectrum activities (Algburi et al., 2017; Owen and Laird, 2018). For example, OEO has been 998 demonstrated to affect cell membrane permeability, respiratory metabolism, energy 999 metabolism, and gene expression of MRSA (Cui et al., 2019). Essential oils are complex 1000 mixtures of active substances whose antimicrobial activities vary from one substance to 1001 another. OEO and its components, carvacrol (5-Isopropyl-2-methylphenol, CAS number 499-1002 75-2) and thymol, are also described as putative efflux-pump blockers (Table 2) (Cirino et al., 1003 2015). Finally, some EOs as of Eucalyptus sp. are quorum sensing (QS) inhibitors, and QS is a 1004 key bacterial mechanism involved in biofilm formation (more details below) (Algburi et al., 1005 2017; Nazzaro et al., 2013; Szabó et al., 2010).

1006

7.1.4 Advantages and drawbacks

Due to their wide range of targets, EOs are promising in many different applications to avoid the use of antibiotics and biocides, such as cultural heritage preservation (Algburi et al., 2017; Fidanza and Caneva, 2019; Gadea et al., 2017b; Owen and Laird, 2018; Veneranda et al., 2018). Some EOs appear very efficient, even at low concentrations as described above (Nazzaro et al., 2013; Owen and Laird, 2018). Some of EOs or plant extracts such as Lavendin oil (CAS: 1012 91722-69-9) and geraniol (CAS: 106-24-1) are already included in the European Biocidal 1013 Products Regulation list (Article 95 of the Biocidal Products Regulation (BPR), as amended by 1014 Regulation (EU) No 334/2014 of 11 March 2014) (European Chemicals Agency, 2014; 1015 Veneranda et al., 2018). The most recently added biocidal plant compound was garlic extract 1016 (CAS: 8008-99-9) in April 2020 (European Chemicals Agency, 2020). These additions to the 1017 BPR list also highlight the interest of private companies in the development of new natural 1018 biocidal products. Many EOs have shown antimicrobial properties against various types of 1019 microorganisms, like cyanobacteria as Nostoc sp. and Gloeocapsa sp. (Table 1) (Bartolini and 1020 Pietrini, 2016; Cuzman, 2009; Devreux et al., 2015). For example, EO from Melaleuca 1021 alternifolia had been efficient against bacteria like Micrococcus luteus and Bacillus subtilis; 1022 and against fungi as Aspergillus sp. and Cladosporium sp. (Fidanza and Caneva, 2019; 1023 Mansour, 2013; Rotolo et al., 2016). In addition, EO from *Thymus vulgare* has also shown anti-1024 algal effect on Chlorella sp. and Aptacoccus sp. (Bartolini and Pietrini, 2016; Fidanza and 1025 Caneva, 2019).

1026 Despite their enormous potential, the safety of EOs must be assessed. Especially in the 1027 medical field, where EO-antibiotic combinations need to be more closely studied and their 1028 potential toxicity measured before further development (Algburi et al., 2017; Owen and Laird, 1029 2018). For building materials, most of the studies were carried out in-vitro (Gómez de Saravia 1030 et al., 2018; Veneranda et al., 2018). Therefore, it is necessary to explore the possible 1031 interactions between EOs and building materials before considering their usage at larger scales. 1032 Finally, some natural products are pointed to their deleterious effects on human health. For 1033 example, while capsaicin and chili peppers derivatives are very efficient as antimicrobials or 1034 pain relievers (Martini et al., 2014; Omolo et al., 2014); but they are also very irritant, as shown 1035 by their use in pepper sprays (Oliveira Junior et al., 2020; Omolo et al., 2014). This is also the 1036 case of Geraniol (3,7-dimethylocta-trans-2,6-dien-1-ol, CAS: 106-24-1), which is one of the

most important molecules in cosmetic industries for its antimicrobial activities (Chen and
Viljoen, 2010) and can induce allergic reactions such as irritant contact dermatitis (Johansen et
al., 2020).

• 7.1.5 Future and perspectives

1041 If we suppose low toxicity of EOs, and the use of these compounds does not induce 1042 deleterious effects on building materials, these substances may represent an up-and-coming 1043 alternative to purely synthetic compounds to prevent building biodeterioration due to their 1044 broad spectrum of activities and targets. However, a major obstacle to use this type of natural 1045 compound is their substantial cost to manufacturers, which is much higher than the cost of the 1046 conventional biocides currently in use. For example, natural products like geraniol are sold 1047 between 1 and 5€ per gram and EOs in between 50 and 100€ per kilogram, while solutions 1048 based on quaternary ammonium compounds (like Organcide QC50) are sold between 10 and 1049 20€ per kilogram.

1050 <u>7.2 "Natural" compounds: conclusions</u>

1051 Natural compounds are highly effective against a large number of targets (bacteria, fungi, 1052 algae) through a wide diversity of action mechanisms (QS inhibition, modification of cell 1053 permeability, or energy metabolism), and they can bypass the resistance of some bacteria to 1054 biocides (efflux pump inhibition). Consequently, they appear very promising to prevent the 1055 biodeterioration of building materials. They also could be mixed with conventional biocide as 1056 on temples in Angkor Vat (Cambodia), where they have shown excellent results (Charola and 1057 Salvadori, 2011). The "Mélange d'Angkor" is made of thymol, quaternary ammonium 1058 compounds, borax, copper-complex, Mergal S90, and S88, Zn-Omadine, Algophase (PHASE, 1059 Firenze, Italy), Parmetol DF 12 (Schülke & Mayr, Norderstedt, Germany). In the latter, the 1060 "Mélange d'Angkor" was applied in the field, and the cleaning effect lasted up to 10 years, 1061 depending on the site and time of treatment. However, the effectiveness of natural compounds has so far mainly been demonstrated *in-vitro*, and their potentially deleterious effects on
materials remain unknown, as well as the cost of their application on industrial scales.

1064

8. Future research and development strategies to develop "green" and sustainable antifouling for building materials?

1067 Throughout this review, an apparent contradiction exists in the challenge we discuss: On 1068 the one hand, antifouling compounds should present a very strong biocidal activity to prevent 1069 the development of very diverse microorganisms forming multispecies biofilms including 1070 various types of eukaryotes and prokaryotes. On the other hand, sustainable antifoulings should 1071 ideally be compounds that have a low impact on health and the environment, thus, a priori, low 1072 biological activity. Thus, the goal of current research and development strategies is to find 1073 solutions to address this a priori unsolvable paradox (Lami, 2019). This analysis highlights the 1074 reason why the antifouling "miracle" compounds, which are advertised on the market from 1075 time-to-time, cannot completely solve the problem of biodeterioration on building materials. 1076 One potential solution is to target specific biological mechanisms that control biofilm 1077 development without killing the microbial cells, *i.e.*, inhibiting specific microbial functions (in 1078 other words, antibiofilm solutions that are not biocide).

In this perspective, the development of quorum quenching compounds (QQ) appears extremely promising (Lami, 2019). The biofilm that grows on building materials promotes bacterial interactions, in particular by facilitating chemical communication between microorganisms; among those, one common type is named quorum sensing (QS) (Romani et al., 2021a; Xavier and Foster, 2007). QS is a coordinated response of the microbial community from a certain cell density (quorum), which involves the production of extracellular chemical signals (sensing) (Henke and Bassler, 2004). These signals accumulate in the immediate 1086 environment until they reach the level necessary to activate the transcription of specific genes 1087 (Whitelev et al., 2017). OS was first demonstrated in the marine model Vibrio fischeri, which 1088 emits bioluminescence when the cell concentration in the environment is sufficient (Whiteley 1089 et al., 2017). However, QS is involved in a wide range of bacterial mechanisms, including, 1090 among others, biofilm formation (Girard et al., 2019; Kalia, 2018). Therefore, inhibiting this 1091 key bacterial mechanism seems particularly promising in the fight against environmental 1092 biofilms (Algburi et al., 2017; Kalia, 2018), as QQs are not biocides but inhibit cell mechanisms 1093 involved in biofilm formation. Consequently, such compounds hold the promise to solve the 1094 paradox we raise previously, targeting the biofilm without presenting high cytotoxicity. Also, 1095 the resistance of microorganisms to these compounds is suspected of appearing at lower rates 1096 compared to that against biocides substances (Rémy et al., 2018).

1097 Interestingly, these bioactive compounds are frequently discovered, setting up biomimetic 1098 approaches (Scardino and de Nys, 2011), after an attentive observation of antifouling traits 1099 among natural species and in combination with an in-depth analysis of their microbiota 1100 (Tourneroche et al., 2019; Vallet et al., 2020). In addition to the EOs described above, some 1101 organisms are capable of producing anti-QS molecules (quorum quenchers), such as bacteria 1102 as Bacillus sp., Pseudomonas sp., Rhodococcus sp., and Streptomyces sp. or fungi as 1103 *Cladosporium cladosporioides*, and algae as *Stichococcus bacillaris*, which, interestingly, were 1104 also previously identified on building materials (Coutinho et al., 2015; Di Martino, 2016; Paul 1105 et al., 2018; Romani et al., 2021a, 2019; Urzì et al., 2010). This is also the case of the halogenated furanones discovered in Delisea pulchra (Manefield et al., 1999) or of the 1106 1107 butyrolactones identified in the microbiota of the brown macroalga Saccharina latissima 1108 (Vallet et al., 2020). The best-known quorum quenchers are enzymes AHL-lactonases that 1109 hydrolyze QS compounds (the acyl-homoserine lactones) by cleaving the ester bond of their 1110 lactone ring (Paul et al., 2018). Despite these advances, more research and development efforts

are needed to better understand the mechanisms of action of these promising compounds and their effective innocuity on health and the environment (Lami, 2019). Thus, the development of these new treatments will require more investment, and even if this research strategy appears promising, the road remains long before the commercialization of such products at large scales. Nevertheless, the challenge has been taken by a few startups, in partnership with academic research labs, like Green&GeneTK at the IHU Marseille, France, a consortium specialized in the production of anti-QS enzymes.

1118 Another promising strategy that has already produced interesting results in the field is the 1119 use of microorganisms capable of removing corrosive substances, especially on metal surfaces 1120 where aerobic biofilms have been shown to protect against corrosion by reactive oxygen species 1121 (Kip and Van Veen, 2015). For example, Bacillus sp. presented anti-corrosion activities related 1122 to the production of two enzymes, a catalase and a peroxidase. By contrast, strains that produced 1123 little or no catalase tended to induce the corrosion process (Karn et al., 2017). Certain non-1124 corrosive microorganisms can also form a protective layer on materials (De Muynck et al., 1125 2010). This method is used to prevent corrosion of stone, limestone, and concrete and is based on microbial carbonate precipitation (Kip and Van Veen, 2015). The carbonate precipitates 1126 1127 (mainly calcium carbonate) produced by bacteria are similar to concrete and mortar, attach to 1128 them and thus strengthen the material, and can even fill and repair small cracks (Jonkers et al., 1129 2010). For example, Bacillus subtilis, which has been identified on many building materials 1130 (Coutinho et al., 2015; Di Martino, 2016), can produce calcium carbonates. It has also been 1131 shown that the use of bacterial fractions containing their cell walls alone is sufficient to induce 1132 the production of calcium carbonates, thus avoiding the inoculation of living microorganisms 1133 on the materials (Perito et al., 2014).

9. Conclusions: A toolbox and methodology for the development of innovative, efficient, and eco-friendly solutions: case by case analysis, multidisciplinary, integrative, and pragmatic approaches.

1138 When considering the list of compounds and solutions reviewed here, it appears that no 1139 "gold standard" methodology against biodeterioration has been vet established. Such a reference approach would have to combine high efficiency against biofilms growth and their 1140 1141 induced building biodeterioration, low production cost, and high ecological standards. While in the present state of scientific knowledge, the combination of these criteria appears too 1142 1143 paradoxical to be solved (Figure 2). Some interesting, innovative research strategies presented 1144 in this review are currently being developed in research labs and might pave the way for the 1145 future. Furthermore, the recent development of "omics" approaches combining metagenomics, 1146 metatranscriptomics, metabolomics, and metaproteomics will also contribute to this quest in 1147 the near future (Stien et al., 2020, 2019). Indeed, such a multi-omics approach will help to point 1148 out novel and unsuspected metabolic pathways and mechanisms to serve as targets in the design 1149 of innovative solutions.

1150 In the present situation, since no "gold standard methodology" has yet been established, our 1151 recommendation would be to address the problem of biodeterioration on a case-by-case basis, 1152 adopting a multidisciplinary research strategy, associating the skills of biologists, chemists, and 1153 material designers. Such an approach enables to draw solutions adapted to each particular 1154 situation and type of biodeterioration (Liu et al., 2020). For example, this process of work was 1155 used to treat temples in Angkor Vat (Cambodia) (Warscheid and Leisen, 2011) and ruins of the 1156 archeological site of Milet (Turkey), where the black microbial biofilm needed to be removed 1157 as it enhanced the heating effect leading to a microcracking of the marble (Charola and Salvadori, 2011). On both sites, innovative case-by-case solutions were successfully designedwithout mechanical impact.

1160 The design of innovative solutions should systematically rely on a very detailed analysis of 1161 biofilm composition and activities, which consist of an in-depth analysis of the bacterial, algal, 1162 and fungal diversities (and functions) forming the deteriorating multispecies biofilms, 1163 combining the state of the art of culture-dependent and culture-independent methodologies 1164 (Romani et al., 2021a, 2019). From this precise assessment, different possible solutions can be 1165 considered and likely combined in a specific fashion for each specific case. For example, a pre-1166 treatment with water-repellent coatings helps to lower the required concentrations of various 1167 types of biocides, which is a first step in the design of more ecologically friendly solutions 1168 (Romani et al., 2021b). Also, an in-depth analysis of the microbial composition colonizing 1169 building materials is essential to select the best biocide or coating to use, thus avoiding the use 1170 of non-essential compounds and their release in the environment. In this logic, targeting 1171 pioneering bacteria and pioneering biofilms, which can develop even on unexposed materials 1172 (Romani et al., 2019), appears to be one of the most promising strategies to lower the amount 1173 and concentrations of the applied antifouling compounds.

1174 In addition to the choice of chemicals, it is important to optimize their application to the 1175 materials. Thus, the development of adapted protocols for biocides has a significant impact on 1176 their effectiveness (Favero-Longo et al., 2020). For example, the effectiveness of different 1177 biocides is higher if the lichen thalli are hydrated before their use and if the biocidal substance 1178 is applied with a cellulose poultice. Similarly, when a hydrogel is used to apply a biocide 1179 solution to a polymicrobial patina, a high percentage of water loading is essential to ensure a 1180 deep antimicrobial action (Boccalon et al., 2021). The application of hydrogels delivering 1181 biocides has shown great results on stone (Gabriele et al., 2021); however, their use on highly porous stones is not recommended, as antimicrobial action remains superficial in such cases(Favero-Longo et al., 2020).

1184 As shown in this paper, fundamental scientific knowledge is necessary to prevent the 1185 biodeterioration of outdoor building materials efficiently. Today, all biocidal products used for 1186 building preservation are registered as preservatives or disinfectants in annex V of the BPR, 1187 EU 528/2012, which means that they are tested only on human pathogens. Also, the usage 1188 concentrations of these biocidal products are determined on the basis of such tests conducted 1189 on non-environmentally relevant microbial models. Such observation highlights the urgent 1190 need to isolate more representative microbial models from the environment and to test the 1191 effectiveness of current and future solutions in suitable biotests which rely on their culture 1192 rather than on representative human pathogens. Such effort will lead to better adapt current 1193 regulations and will allow the industry to improve the marketed solutions for the control of 1194 outdoor building materials biodeterioration.

1195 Collectively, all these observations reinforce the necessity to establish strong links between 1196 academic research labs and building materials manufacturers, to transfer the multidisciplinary 1197 scientific knowledge and tools, and to custom solutions to the end-user needs (Figure 2). Some 1198 countries develop governmental programs to favor the establishment of these academy-industry 1199 links. For example, the French government offers specific funding like the Ph.D. CIFRE program (which is leading the Ph.D. student to work at the interface in between academic labs 1200 1201 and companies), or through the "Crédit Impôt Recherche", which gives many financial 1202 advantages to the companies that invest in research in partnership with the academic labs (tax 1203 reduction). The creation of deep tech startups is also encouraged and allows public financial 1204 support.

1205 The development of innovative and sustainable solutions will rely on a significant 1206 investment throughout the entire production chain: in fundamental research to develop 1207 alternative and lower toxic compounds, in applied research to select the best solutions, in 1208 production systems to industrialize at large scales the innovative solutions, in 1209 commercialization and marketing to raise end-user awareness to the health and environmental 1210 challenges and undoubtedly to increase customer tolerance to biodeterioration.

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Credit authorship contribution statement

1213 This review was built according to the guidelines of the Transparent Reporting of 1214 Systematic Reviews and Meta-Analysis extension for scoping reviews (PRISMA-Scr). 1215 Electronic sources were Medline (via Pubmed), Scopus (Elsevier: Amsterdam, The 1216 Netherlands), and Web of Science (Clarivate Analytics: Philadelphia, PA, USA). Papers were 1217 retrieved using the following keywords: biodeterioration + building material (or stone or 1218 ceramic) + cleaning procedures, biodeterioration + building material (or stone or ceramic) + 1219 type of biocide, biodeterioration + building material (or stone or ceramic) + water repellent, 1220 biodeterioration + building material (or stone or ceramic) + natural compounds. Research 1221 excluded from this analysis were articles related to indoor materials and articles related to 1222 human health.

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2045 Figures

Figure 1: Biofilm formation pattern. (a) Bacterial adhesion, (b) pioneering biofilm formation embedded in the extracellular matrix, and then (c) pigmented mature biofilm development. During all these stages, many microbial functions are expressed as communication (quorum sensing), cooperation (nitrification, microbial consortia), biodeterioration processes (extracellular enzymes, acids, hyphae), competition (antimicrobial and quorum quenching compounds), and resistance and tolerance to antifouling treatments (inhibited diffusion, sublethal concentrations, tolerance forms, resistance genes).

Figure 2: Targets (bacteria, algae, fungi), mechanisms of action (cell membrane modification, metabolism modification, ROS production, adhesion inhibition, quorum sensing inhibition), commercial interests (efficiency, lixiviation, duration, cost, commercial availability), and side-effects of antifouling molecules (human health, environment, building
materials); (a) biocides, (b), water-repellents, (c) superhydrophobic materials, (d)
photocatalysts, and (e) natural compounds.