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# <sup>1</sup> Marine cold-spells

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## 18 Abstract

### 19

20 Characterising ocean temperature variability and extremes is fundamental for understanding 21 the thermal bounds in which marine ecosystems have adapted. While there is growing 22 evidence of how marine heatwaves threaten marine ecosystems, prolonged periods of extremely cold ocean temperatures, marine cold-spells, have received less global attention. 23 24 We synthesize the literature on cold ocean temperature extremes and their ecological impacts 25 and physical mechanisms. Ecological impacts of these events were observed across a range of species and biophysical processes, including mass mortalities, range shifts, marine habitat 26 27 loss, and altered phenology. The development of marine cold-spells is often due to windinduced ocean processes, but a range of physical mechanisms are documented in the 28 29 literature. Given the need for consistent comparison of marine cold-spells, we develop a 30 definition for detecting these events from temperature time series and for classifying them 31 into four categories. This definition is used to consistently detect marine cold-spells globally 32 over the satellite record and to compare the characteristics of notable cold events. Globally, 33 marine cold-spells' occurrence, duration, and intensity are decreasing, with some areas, such 34 as the Southern Ocean, showing signs of increase over the past 15 years. All marine coldspell categories are affected by these decreases, with the exception of "IV Extreme" events, 35 36 which were so rare that there has been little decrease. While decreasing occurrences of 37 marine cold-spells could be viewed as providing a beneficial reduction in cold stress for marine ecosystems, fewer cold spells will alter the temperature regime that marine 38 39 ecosystems experience and could have important consequences on ecological structure and 40 function.

## 41 **1. Introduction**

42

Extreme climatic events such as heat waves, droughts, cyclones or cold snaps are expected to 43 44 become more frequent with climate change (Drijthout et al., 2015; Collins et al. 2019). 45 Understanding why extreme events occur, how they are changing, their role in disrupting 46 ecosystems and impacting ecological function and services are important for assessing 47 ecosystem resilience and trends. Temperature extremes are of particular importance to 48 ecosystems as they may occur at the limits of species' thermal niches, posing a risk for their 49 survival. With global warming, there has been increasing attention on extremely warm ocean 50 temperature events, known as marine heatwaves (MHWs), which occupy the warm end of this temperature range (Hobday et al., 2016; Frölicher et al., 2018; Oliver et al., 2018; 51 52 Holbrook et al., 2019; Smale et al., 2019). In contrast, extremely cold water events - marine 53 cold-spells (MCSs) - have received less comprehensive and global attention despite a rich 54 history of ecological and physically-based studies demonstrating acute and enduring impacts 55 on marine ecosystems (e.g. Crisp, 1964; Hurst, 2007). Yet extreme cold temperature events 56 are ecologically important phenomena which can shift the distribution of species, alter 57 composition of communities and even bring about evolutionary change (Parmesan, 2006; 58 Campbell-Staton et al., 2017). In some parts of the ocean, recent cold events have been found 59 to have comparable magnitude with major warm events (e.g. Southwest/Southeast Atlantic; 60 Lentini et al., 2001; Florenchie et al., 2004).

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62 Extreme climatic events have been defined as "an episode or occurrence in which a 63 statistically rare or unusual climatic period alters ecosystem structure and/or function well outside the bounds of what is considered typical or normal variability" (Smith, 2011a). Past 64 65 studies have used different definitions and terminology to identify cold marine extremes, often with a regional or species-specific focus. For example, episodic mass mortality of 66 67 marine life associated with MCSs have been called "winterkills" and not limited to high-68 latitudes (Hurst et al., 2007). "Degree cooling weeks" has been proposed as an accumulated 69 measure of cold temperature anomalies below a minimum monthly mean to assess cold-water 70 induced coral bleaching (González-Espinosa and Donner, 2020). In coral disease risk 71 modelling, "winter condition" and "cold snap" metrics have been defined as an integrated 72 measure of sea surface temperature (SST) anomalies over the winter season or cold 73 anomalies over the period when temperatures fall below one standard deviation lower than 74 the wintertime mean (Heron et al., 2010). In the eastern Pacific Ocean, reef fish-specific 75 metrics of critical thermal minima, an important metric for evaluating survival and tolerance to cold ocean temperatures during La Niña events (Mora and Ospina, 2002), have been 76 77 applied to other fish kill events caused by extremely cold water (e.g. Hsieh et al., 2008). 78 Similarly, in Florida, cold temperature events are described based on ecological thresholds 79 for cold stress syndrome in manatees, with 20°C proposed as a risk metric for the syndrome's 80 occurrence (Bossart et al., 2003). 81

82 Other approaches to identify extremely cold water events use statistical methods with

83 remotely sensed SST data and, for example, long time series to construct climatological

84 measures of cold extremes based on local temperature variability, i.e. the 10th percentile

85 (Schlegel et al., 2017). Another common method is the analysis of SST anomalies over a

86 specific period or the application of principal component analysis or empirical orthogonal

87 functions (e.g. Walker, 1987; Miles et al., 2009; Kataoka et al., 2014). For climate mode

analyses, prolonged cold water events have been classified using indices based on SST

- anomalies and the exceedance from standard deviation over several months (e.g. Larkin and
- 90 Harrison, 2001; Lutz et al., 2013; 2015; Pirhalla et al., 2015) or based on spatially averaged
- temperature anomalies below fixed thresholds (Lentini et al., 2001; Florenchie et al., 2004).
  In addition to remote sensing, field-based studies targeting ecological impacts use on-site
- 93 observations, *in situ* data, and field survey results to characterise periods of extremely cold
- waters (Schwing and Pickett, 2004; Aretxabaleta et al., 2006; Lirman et al., 2011).
- 95
- In this study, we present the current state of knowledge on MCSs and then develop an
- 97 approach for defining cold temperature extremes in a global context. First, we review the
- 98 literature on cold ocean temperature extremes, noting both the occurrence and properties of
- 99 past events as well as their physical and climatic drivers and impacts on ecosystems,
- 100 fisheries, and their feedback on the climate system (Section 2). Then we propose a MCS
- 101 definition that allows for the consistent comparison of MCS events on a global scale, with the
- 102 intention of providing a methodology that can be adapted for a broad range of investigations
- 103 of these extreme events (Section 3). With this methodology, we quantify the characteristics,
- 104 occurrences, and trends of MCSs throughout the global ocean over the satellite record
- 105 (Section 4). We discuss the results and conclude in Section 5.
- 106

# **2. Marine cold-spells in the literature**

108

A robust body of literature exists on MCSs, although historically they have not been named
as such, and studies have focussed on cold temperature extreme impacts on marine
ecosystems, their underlying atmospheric and oceanographic processes, and, to a lesser
extent, feedbacks on the physical climate system. The ecological impacts of these cold water
events, especially those during winter, have been the subject of many studies over the past
century (e.g. Storey, 1937; Horwood and Millner, 1998; Hoag, 2003; see references in Hurst,
2007).

116

117 A full literature review of cold water events was conducted by searching in Google Scholar

118 for the following terms in singular, plural, with and without hyphens: "cold snap", "cold

- spell", "winterkill", "cold wave", "cold event", "cool(ing) event", "cold water", "cold
- 120 extreme", "cold shock", "cold stress", and "cold temperature". We did not include specific
- 121 climate modes of variability in our search terms, such as "La Niña", because we did not want
- to be prescriptive about the drivers of the cold events. We restricted our examination to those
- 123 events identifiable by a sea surface expression in ocean temperatures. The primary findings of
- this review have been tabulated (Table 1) and are discussed in more detail below. We begin
- 125 with a review and synthesis of the wide ranging impacts of MCSs on marine ecosystems and
- services (Section 2.1). Then we describe the physical mechanisms associated with the
- 127 occurrence of MCSs (Section 2.2).
- 128

129 **Table 1:** A selection of the most notable marine cold-spells (MCSs) from the literature, their

- 130 time/region of occurrence, physical mechanisms, and impacts. Note that temperatures are
- 131 reported in the same units as the original publication and a conversion to °C is provided
- 132 where necessary.

Time	Region	Physical	Impacts	Duration	Minimum	
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	(source)	mechanisms			SST/ temperatures
January 1940 (Winter)	Texas Coast (Gunter, 1941)	Rapid, wind- driven cooling of shallow waters	Extensive fish kills. Reduction of commercial catch	~10-11 days	~4°C
January/February 1951 (winter)	Texas Coast (Gunter, 1951)	Wind-driven cooling of shallow waters	Extensive fish kills. Reduction of commercial catch	~7 days	Approx. the same as the 1940 event
December 1958 (winter)	Pamlico Sound (North Carolina) (Wells et al., 1961)	Rapid, wind- driven (polar air) cooling of shallow waters	Extensive fish mortality	~ 4-5 days	41.4 °F (5 °C
December 1962 / March 1963 (winter)	Britain & N.Europe (Crisp, 1964)	Severe winter air & ocean temperatures due to an arctic spell	Large numbers of fish, algae, molluscs, killings etc. Localized extinctions. 50% decrease in spawning-stock biomass.	~ 3 months	0.6 ℃ - 3.5 ℃
January 1977 (winter)	Florida (Gilmore et al., 1978)	Arctic air invasion	Rapid chilling of shallow waters below lethal limits. Coral and fish mortality.	~26 days	~6-13 °C
May- July 1998 (spring/summer)	Gulf of Mexico (Muller- Karger, 2000)	Combination of wind-driven upwelling, northward migration of anti-cyclonic eddy and anomalously high river discharge and rainfall	Extensive fish kill, low-oxygen waters, increased chlorophyll concentrations	~ 2 months	~ SST < 18 °C
June- September 2003 (summer)	Southeast US coasts (Hyun & He 2010)	Wind-driven coastal upwelling	Increased chlorophyll & primary production. Fish mortality and appearance of non- native species. Disruptions to fisheries and recreational business.	~ 3 months	~23.8-26 °C
	Penghu	Anomalously	A mass fish kill,	$\sim 1$ month	12.6 °C

January/February 2008 (winter)	Archipelago , southern Taiwan Strait (Chang et al., 2013)	strong and prolonged wind enhanced the southward cold China Coastal Current to intrude	including wild and caged species, occurred along with macroinvertebrates deaths and coral bleaching (Hsieh et al., 2008). Coastal fisheries declines resulted in economic losses (est. 10 million USD).		
Mid-2009 / Mid- 2010	N.Atlantic Subtropical Gyre	Reduced ocean heat transport (Josey et al., 2018)	-	~1 year	Peak cooling of ~0.8 °C
January 2010 (winter)	Florida (Colella et al., 2012)	Sustained movement of Arctic air mass caused the ocean temperature to rapidly decline in shallow regions.	A range of cold- sensitive wildlife species perished, including sea turtles (Avens et al. 2012), record- number deaths of American crocodile and Burmese pythons (Mazzotti et al. 2016); unprecedented number of manatee deaths (Barlas et al. 2011). Most severe coral bleaching on record (Colella et al., 2012; Lirman et al., 2011).	~ 12 days	8.7 °C
2013-2016	N.Atlantic Subpolar Gyre (Josey et al., 2018)	Combination of air-sea heat flux loss during 2014/15 & a re- emergence of a cold subsurface temperature anomaly developed in 2013/14.	-	~1-2 years	Annually- averaged anomalies of up to -1.4 °C
March 2017 (fall)	SE Australia (Wijffels et al., 2018)	Persistent, wind-driven, upwelling caused a rapid decrease in SST	A mass die off of warm-water fishes occurred coinciding with high levels of algae.	Three weeks	SST decrease by 7°C; SST ~ 14°C

## **2.1. Impacts on marine ecosystems and services**

MCSs perturb biological systems, with responses ranging from little to no impacts to acute 136 137 ecological impacts on organisms, communities or ecosystems (e.g. see references in Table 1). 138 Ecological responses to extreme cold water events have been reported for a range of marine 139 environments, from the open ocean to coastal waters and to estuarine and intertidal systems (Firth et al., 2015). These responses include severe disturbances, such as mass mortality (e.g., 140 141 fish and invertebrate kills; Woodhead, 1964), population decrease, coral bleaching (Zapata et 142 al., 2011), changes in species distribution (e.g. range contraction; Firth et al., 2015) and phenology (e.g. onset of the growing season; Jentsch et al., 2007). In the most extreme cases, 143 144 MCSs can trigger abrupt ecological responses, such as widespread mortalities that can be 145 difficult to recover (e.g., Matich et al., 2020) and may have evolutionary consequences for 146 species (e.g., Campbell-Staton et al., 2017; Grant et al., 2017). The severity of ecological

- 147 impacts depends on a combination of different factors, such as the MCS spatial extent,
- 148 duration, intensity, season of occurrence, as well as organisms' ability to adapt to MCSs and
- their tolerance to climate extremes (Smith, 2011b; Grant et al., 2017).

#### 150

### 151 2.1.1. Marine ecological impacts

152

153 In most cases, adverse consequences for marine life from MCSs were reported during winter 154 and documented during discrete cold events or over extended winter months, when temperatures approach cold thermal minima. A combination of physiological and ecological 155 processes control species' cold limits (Stuart-Smith et al., 2017). When conditions exceed 156 157 these limits, mass mortality can occur. For example, large-scale fish mortality coincided with 158 or followed after a winter MCS in 1958/9 in Pamlico Sound (Wells et al., 1961) while severe impacts on a variety of marine fish have occurred due to sudden and prolonged events in the 159 160 winters of 1940 (Miller, 1940), 1969/70 and 1977 (Gilmore et al., 1978) in southeast Florida. As an indication of the potential scale of impact on population abundance, in Europe, a MCS 161 during the severe winter of 1962/3 may have been responsible for a 50% decrease in the 162 163 spawning-stock biomass of sole (*Solea solea*) in the North Sea (Millner and Whiting, 1996). Off Texas, an estimated 90 millions pounds of fish were killed during two MCSs in 1940 164 165 (Gunter, 1941) and 1951 (Gunter, 1951) and around 2000-4000 individual fish were affected during another MCS in 1982 (Holt and Holt, 1983). A similarly high rate of species mortality 166 167 was reported in the same region during other events in December 1983 (~14 million fish, ~1 168 million invertebrates), February 1989 (~11 million fish, ~13000 invertebrates), and December 1999 (~6 million fish) (McEachron et al., 1994). For 1940 and 1951 MCS events, the varying 169 170 magnitude of ecological response was attributed to a number of factors that have been proposed in past events (Storey and Gudger, 1936), including rapid cooling, the cold limit, 171 172 and the timing, such as being the first event of the winter season versus a subsequent event 173 with potential for acclimatization (Gunter, 1951). 174

Although most reported impacts of MCSs are during the winter, there are scenarios where an
event which occurs during the summer could have ecological consequences. For example, a
summer MCS that coincides with a larval growth period could lead to lower larval survival

- and reduced recruitment for the species the following year (e.g., Lotterhos and Markel, 2012;
- 179 Velázquez, 2003). A dramatic drop in summer temperature, which overcomes an organism's
- 180 ability to acclimate, could also impact performance, even if it does not cross lower thermal
- 181 limits for the species. Therefore, as species can have different thermal optima for

- 182 reproduction, growth, and survival, a summer MCS may impact these sensitive stages
- 183 (Bennett et al., 2019).
- 184

Cool range edges of distributions can be defined by cold thermal minima, or minimum 185 186 temperatures during particularly sensitive stages of a species life cycle (e.g., larval stage, reproductive period). In general, the closer temperatures approach these-species' thermal 187 188 limits the more sensitive an organism becomes to warm or cool temperature stress (Bennett et 189 al., 2019). As a result, shifts in the frequency and intensity of MCSs can alter physiological 190 performance and even eventually change a species' range (Pecl et al., 2017). At cool range edges of species distributions, reduced MCSs may result in poleward range expansions or 191 192 movement of species to deeper depths where environmental conditions are becoming suitable 193 (Sorte et al., 2010; Cavanaugh et al., 2014).

194

Prolonged extreme cold events can have ecosystem-level effects on marine life, especially 195 196 when they impact foundational, habitat forming species. Corals are generally warm water species that are sensitive to severely cooler conditions, with cold stress impacting the 197 198 symbiotic relationship with zooxanthellae or triggering direct mortality (e.g. Nielsen et al., 199 2020). During 1977, a MCS off Florida caused hypothermal stress and mortality in many 200 local tropical and subtropical species, with as much as 90% mortality in shallow-water corals (Roberts et al., 1982). In January 1981, cold air outbreaks in Florida caused shallow waters to 201 202 chill, with denser waters transported offshore inducing coral reef mortality (Walker et al., 203 1982). The most severe MCS ever recorded in Florida occurred in January 2010, when polar 204 air masses plummeted water temperatures below the thermal limits of several coral species 205 and tropical reef organisms for ~12 days causing widespread and unprecedented mortalities (Colella et al., 2012), strandings, metabolic stress, tissue damage, and hypothermic stunning 206 207 (Roberts et al., 2014) across large spatial and taxonomic scales (e.g. corals, manatee, fish, 208 turtles; Pirhalla et al., 2015). In the different species, mortality was highest in shallow and 209 nearshore environments compared to deeper habitats and was attributed to the higher number 210 of days that seawater temperatures were below 16°C (Schopmeyer et al., 2012). This 2010 MCS caused coral mortalities of 1-2 orders of magnitude higher than any mortalities 211 212 observed during previous summer MHWs and altered the composition and structure of many reefs in the Florida Reef Tract, often favouring cold-resistant species and smaller colonies 213 214 (Lirman et al., 2011). However, there were some specific coral species for which no 215 mortalities were reported in benthic surveys during this event (Kemp et al., 2011). Similarly, off Western Australia, some species of corals on subtropical reefs showed no response to 216 217 MCSs due to their stress tolerance and the broad thermal niche requirements of coral species 218 found in these high latitudes (Tuckett and Wernberg, 2018). Nevertheless, in high latitudes, 219 cold water stress can limit the development of subtropical reefs since reefs can be subject to 220 aperiodic winter cold-air outbreaks (Roberts et al., 1982). 221 222 Even if MCSs do not cause direct mortality, these events can often have sub-lethal impacts

such as suppressed growth, metabolic stress or reduced fitness (e.g., Burgess et al., 2009). For

- example, manatees are at risk to cold stress syndrome during winter events (Barlas et al.,
  2011) because they are unable to tolerate cold water temperature extremes below 20°C for
- extended periods (Irvine, 1983; Bossart et al., 2003). MCSs can potentially affect the
- 220 Extended periods (in vine, 1905, Dossart et al., 2005). Webs can potentially affect the
- foraging behaviour of seabirds by altering the distribution of their prey (Schumann et al.,
- 228 1988). Cold periods weaken immune functions of fish leading to loss of energy and nutrition

because of reduced feeding activities (Lee et al., 2014). This weakening can also cause

- 230 reductions in a prey species' ability to evade predation (Thomson and Lehner, 1976).
- 231 However, if the MCS develops slowly or if it is preceded by gradually decreasing
- temperatures, it has been hypothesized that species may have a higher probability of survival
- as they may acclimatise better than they would during a rapid temperature decrease (Gunter,
- 234 1951; Moore, 1976; McEachron et al., 1994).
- 235

Despite these numerous reported direct and indirect effects of MCSs, evidence suggests that
some marine organisms may be better able to acclimate or adapt to cold extremes compared
to warm extremes (Hicks and McMahon, 2002; Morgan et al., 2020; but see Jumbam et al.,
2008). This is because species often have hard upper limits for thermal tolerance, defined by
physiological thresholds that do not change under selection compared to lower thermal limits.
As a result, the short-term effects of MCSs may be less severe compared to the effects of

similar intensity MHWs (Morgan et al., 2020). However, at the poles, hard lower limits for

243 performance also exist as temperatures approach freezing, where molecular-level

- 244 perturbations occur (Pörtner et al. 2007).
- 245

Anomalously strong coastal upwelling (e.g. due to episodic wind bursts) can also result in

247 MCSs, leading to enhanced chlorophyll near the coast due to nutrient-rich upwelled waters

248 (e.g. Florida 2003; Yuan, 2006) or the development of planktonic blooms and mucilaginous

aggregates (e.g. Morrocoy National Park 1996, Laboy-Nieves et al., 2001). For some MCSs,

- 250 enhanced nutrient levels can increase primary productivity and chlorophyll levels
- excessively, contributing to the development of hypoxic conditions (e.g. California Current

System 2002; Bograd and Lynn, 2003; Wheeler et al., 2003) and resulting in eutrophicationin some regions (Crawford et al., 2005). When unusually cold upwelled waters are adjacent

to a warm boundary current, conditions can be favourable for causing algal growth and fish

- 255 deaths (Wijffels et al., 2018).
- 256

257 In the Arctic, marine cold snaps can drive shifts in the formation of sea ice, which may have

- ecological consequences for associated marine species (Massom and Stammerjohn, 2010;
- 259 Meredith et al., 2019). Sea ice fundamentally changes the marine environment, limiting light,
- scouring the seafloor, limiting accessibility of surface air, and providing habitat for highly
- adapted species, such as ice algae (Arrigo, 2014). The timing of sea ice formation and break
- up is also a key driver of phytoplankton and zooplankton blooms (Stabeno et al., 2012),
- which form the base of food webs in these regions and can have broader consequences for
- 264 marine species (Wassmann et al., 2011 ; Hunt et al., 2018). Major recent changes in
- seasonality and extent of regional sea ice cover are having dramatic effects on the structure
- and dynamics of polar marine ecosystems. Thus, changing patterns of MCSs in Arctic
- regions will likely have consequences for coastal ecosystems in some regions, and may bufferthese effects by increasing or stabilizing sea ice.
- 269

270 MCSs are not always damaging for marine ecosystems and they may even benefit native taxa

271 by reducing the abundance of non-native species. Rapid cooling during MCSs can

272 incapacitate and kill non-endemic species rarely exposed to such low temperatures (e.g.

273 Storey, 1937; Wells et al., 1961). Based on the climate variability hypothesis, tropical species

274 have lower tolerance for winter minimums compared to temperate species, so MCSs could

275 have more severe impacts on these species, and halt or slow tropicalisation (Holt and Holt,

1983; Vergés et al., 2014). Off Florida, MCSs have caused more severe reductions to the

277 abundance of non-native fish than native and more resilient species (Rehage et al., 2016). Off Japan, persistent and extremely cold temperatures in winter 2017/18 led to mortality of non-278 279 native coral and tropical reef fish which had colonised Tosa Bay because of temperature 280 increases (Leriorato and Nakamura, 2019). In the Gulf of Mexico, the winter 1970/71 MCS caused greater mortalities in tropical fish species compared to endemic species (Thomson and 281 Lehner, 1976). The aftermath of a MCS has been proposed to provide a management 282 283 opportunity for targeted interventions to maintain (or exploit) the reduction in the abundance of non-native species (Rehage et al., 2016). In addition to reducing abundance of non-native 284 species, MCSs that are related to upwelling may have beneficial impacts on productivity. For 285 286 example, enhanced populations of primary and secondary phytoplankton bloom species were recorded during an anomalous upwelling event in East Australia towards the end of the 287 288 1997/98 El Niño, which increased nutrient levels to their 99th percentile value over the last 289 57 years (Lee et al., 2001). MCSs have also been associated with increased coastal primary

production around New Zealand (Chiswell and O'Callaghan, 2021).

291

292 Finally, MCS could temporarily favour species with low thermotolerance, which are expected

to decline in numbers as a result of global warming, e.g. Antarctic krill (Mintenbeck, 2017;

294 Veytia et al., 2020). Based on the climate variability hypothesis, tropical species have lower

tolerance for winter minimums compared to temperate species, so MCSs could have more

severe impacts on these species, and halt or slow tropicalisation (Vergés et al., 2014).

297 Upwelling-related MCSs have also been associated with increased coastal primary production

around New Zealand (Chiswell and O'Callaghan, 2021), similarly to enhanced populations of

299 primary and secondary phytoplankton bloom species seen during an anomalous upwelling

event in East Australia towards the end of the 1997/98 El Niño, which increased nutrientlevels to their 99th percentile value over the last 57 years (Lee et al., 2001)

302

### 303 2.1.2. Marine ecosystem service impacts

304

305 Marine ecosystems provide services that contribute to human well-being (TEEB, 2010),

including those that provide resources and food, biological control (e.g. of non-native

species), support marine habitats, and provide tourism and recreation (Smale et al., 2019).

308 Here, we find that MCSs can have a range of impacts on marine ecosystem services,

309 including fisheries and aquaculture (Santos et al., 2016).

310

311 MCSs have impacted economic activities of coastal communities and the related fisheries

industries. Reports on the Florida 2003 MCS showed disruption of tuna fishing and local

recreational businesses along the east US coast (Sun et al., 2004; Yuan, 2006). During the

3141976-1977 El Niño, a cold SST anomaly caused the 1977 recruitment failure of the Brazilian

sardine (*Sardinella brasiliensis*) around the South Brazil Bight (Matsuura, 1996). Similarly,

along the south coast of Brazil, cold SST anomalies in 1977, 1987, and 1989 austral summer

spawning seasons (related to ENSO events) produced poor year classes (Lentini et al., 2001).

A substantial reduction of commercial catch was also reported the year following the Texas
 MCS of 1951 (Gunter, 1951) similar to the 76% reduction in the catch for the three months

319 MCS of 1951 (Guiner, 1951) similar to the 76% feduction in the calculation of the calculation of the 76% feduction in the calculation of the 76% feduction in the calculation of the 76% feduction in the calculation of the

320 following the Texas MCS of 1940 (Gunter, 1941).

321

In general, the detection of local MCSs may help to inform managers and stakeholders ofotherwise undocumented effects on target species, marine resources and services that

- 324 contribute to the economies of coastal communities (e.g Barnes et al., 2011). With knowledge
- 325 of these MCS induced mortalities, which can include spawners, fisheries managers can adopt
- 326 measures (e.g. reduced bag and possession limits, increased size limits, gear restrictions etc.)
- that will aid the recovery of economically important fish populations (McEachron, 1994).
- 328 However, cold water events can have a range of effects on fisheries. In the Taiwan Strait
- 2008 MCS, while there was a mass die-off of cultured fish and a 50-80% decrease in catches
  of non-migratory species, there was a ~230% increase in the catches of migratory species that
- 331 were attracted by the colder waters (Lee et al., 2014). In another case, after the Ningaloo
- Niño 2011 (MHW) event off Western Australia, a series of cooler than normal years and
- 333 MCS events assisted the recovery of some economically important invertebrate fisheries due 334 to an increase in primary production (Feng et al., 2020).
- 335

336 MCS can have long-term consequences for recreational fisheries, such as the January 2010

337 MCS in South Florida (e.g. Boucek and Rehage, 2014; Santos et al., 2016), which was the

most extreme cold event in 87 years (Rehage et al., 2016). This MCS resulted in a mass

339 mortality of fish species, many of which were recreationally important in the Everglades

340 (Santos et al., 2016). Other fish species were found to increase in abundance, which may be 341 related to lower temperature tolerances or mass migration (Santos et al., 2016). This event

342 highlights the long-recovery times from an extreme event, in which the catch structure had

343 not recovered to its original state three years post-event (Santos et al., 2016).

344

## 345 2.2. Atmospheric and oceanic mechanisms

346

MCSs develop through a combination of physical mechanisms that control the ocean
temperature by adding or removing heat within the ocean's surface mixed layer. The mixed
layer temperature tendency varies owing to the following contributions (Moisan and Niiler
1998; Oliver et al., 2021):

351

352 
$$\frac{\partial T_{mix}}{\partial t} = \frac{Q_{net} - Q_{sw(-h)}}{\rho c_p h} \quad u_{mix} \bullet \nabla_h T_{mix} - \frac{T_{mix} - T_{(-h)}}{h} (W_{(-h)} + \frac{\partial h}{\partial t}) + \text{Residual } Eq.1$$

353

where  $T_{mix}$  is the vertically-averaged mixed layer temperature, *t* is time,  $c_p$  the specific heat capacity of water,  $\rho$  is the seawater density, *h* the mixed layer depth,  $\boldsymbol{u}_{mix} = (u, v)$  the twodimensional mixed-layer horizontal velocity vector, and *w* the vertical velocity; the vertical

357 average is represented by 
$$x_{mix} = \frac{1}{h} \int_{-h}^{0} x dz$$
.  $Q_{net}$  represents the sum of the air-sea heat fluxes

358 (shortwave, longwave, latent, sensible) with  $Q_{sw(-h)}$  being the small fraction of shortwave 359 radiation that escapes the base of the mixed layer. This equation relates the rate of mixed

360 layer temperature change to the transfer of heat through air-sea heat flux, horizontal and

361 vertical advection and the entrainment of deeper waters into the mixed-layer. The residual

362 comprises additional mechanisms such as lateral induction and lateral and vertical diffusion,

363 which usually have a much smaller contribution to heat changes than the terms explicitly

- 364 described above.
- 365

366 In this section we will review the drivers of MCSs as drawn from past events in the literature,

367 focusing on cool temperature anomalies that have a surface expression. We start by

describing atmosphere driven MCS, followed by events driven primarily by anomalous ocean

- 369 processes, and finishing with the larger climate feedback processes that may be responsible
- 370 for/affected by MCSs.
- 371

## 372 2.2.1. Ocean, atmosphere, and climate drivers of MCSs

373

The development of past MCSs has been attributed to a variety of factors related to
atmospheric forcing through anomalous winds and air-sea heat fluxes (e.g. Economidis and
Vogiatzis, 1992; Gómez and Souissi, 2008; Pirhalla et al., 2015), as well as changes in ocean
currents and to anomalously strong upwelling (e.g. Yuan, 2006; Schlegel et al., 2017;
Wijffels et al., 2018).

379

380 Along continental shelves, air-sea heat fluxes that favour cooling tend to destabilise the 381 stratification and deepen the surface mixed layer, allowing for these surface cooled waters to 382 extend deeper. Shallow waters in particular (i.e. shallower than the local mixed-layer) may be 383 more susceptible to MCS as they respond quickly to air temperature drops and can reach 384 unprecedented low temperature levels when combined with heat losses (e.g. due to increased winds; Pamlico Sound winter of 1958/59; Wells et al., 1961). During the winter of 1977 off 385 386 Florida, a MCS caused extensive cooling in nearby estuaries in a matter of days, due to wind-387 driven mixing from a sudden and prolonged passage of an arctic cold front (Gilmore et al., 388 1978). This MCS unfolded as three consecutive cold fronts, was accompanied by strong 389 northerly winds, and rapidly led to sensible and latent heat fluxes out of the shallow water 390 bodies (Roberts et al., 1982). Such cold air outbreaks can cause prolonged MCS duration 391 (e.g. seawater around Florida in January 1977 was below 16°C for 8 days, and in some 392 locations, reduced by 2-4°C from the seasonal average; Roberts et al., 1982) and can affect 393 large, shallow areas in high latitudes. A more extensive study on past (1981-2013) cold 394 events around the South Florida coast associated MCS occurrence with an enhanced north-395 south atmospheric circulation that could favour cold air outbreaks or lead to low temperatures 396 due to the passage of cold fronts in combination with upwelling-related processes and 397 southward transport (Pirhalla et al., 2015).

398

An alternative mechanism for MCS development is horizontal flows of cool waters 399 associated with changes in the winds, along with vertical temperature advection related to 400 401 upwelling processes. The expansion and/or intensification of upwelling areas has emerged as 402 one of the most common drivers of MCS, e.g. in South Africa (Schumann et al., 1988; 403 Schlegel et al., 2017), in Venezuela's Morrocoy National Park in 1996 (Laboy-Nieves et al., 404 2011), and in the Gulf of Mexico in 1998 (Muller-Karger, 2000), while La Niña has been 405 associated with extreme cold temperatures in the eastern Tropical Pacific (Mora and Ospina, 406 2002). In the southeast Atlantic, local air-sea heat fluxes were found to play a rather passive 407 role, acting as a buffer to regulate surface cold events (between 1982-1999), referred to as 408 Benguela Niñas, via latent heat flux anomalies. In the Benguela region, MCSs tended to 409 occur due to wind anomalies generated in the western and central equatorial Atlantic (Florenchie et al., 2004) or a strengthening of equatorward winds (Walker, 1987), such as the 410 411 prolonged 1981-1983 MCS owing to an acceleration of upwelling-favourable winds (Walker, 1987). In the Southern tropical Indian Ocean intraseasonal cooling events have been 412

associated with reduced solar radiation, enhanced evaporation and strong entrainment during 413 414 the austral summer (Saji et al., 2006). Vertical processes at the base of the mixed layer were 415 found responsible for these cooling events mostly when the thermocline was shallow, whereas atmosphere heat fluxes dominated the events when the thermocline was deep 416 417 (Vinayachandran and Saji, 2008). Similarly, cold blob events in the Northeast Pacific have been attributed to vertical entrainment processes when their peak occurs during the summer, 418 419 where the mixed layer depth is shallower, whereas atmosphere heat fluxes and winds appear 420 to dominate cold events whose peak occurs during the winter (Tang et al., 2021). 421 422 MCSs have been reported to occur as a response to and during large-scale teleconnection 423 patterns, such as El Niño (February-March of 1985 along Peru; Friederich and Codispoti, 424 1987; Spinrad et al., 1989) La Niña (2008 MCS in the Taiwan Strait; Lee et al., 2014), or 425 Arctic Oscillation (MCS 2010 Florida; Kemp et al., 2011). In the southwestern Atlantic 426 Ocean, cold SST events have been linked to ENSO events based on the identification and 427 analysis of 13 cold SST anomalies ( $< -1^{\circ}$ C) that persisted for more than 60 days between 428 1982 and 1994 (Lentini et al., 2001). During the intense MCS in the Florida Keys in 2010 429 the southward movement of Arctic air masses induced severe cold seawater temperatures 430 below 12°C for approximately 2 weeks as the jet stream moved southwards, and northerly 431 winds developed (Kemp et al., 2011). Apart from an unusually extreme Arctic Oscillation 432 (AO) index, these conditions were also attributed to negative values of North Atlantic 433 Oscillation (NAO) (Colella et al., 2012; Kemp et al., 2011; Lirman et al., 2011). The results 434 of that study agree with Roberts et al. (2014) that indicated negative AO conditions and 435 movement of cold air masses from the north during two other MCSs in the region in 2001 436 and 2003 respectively. In contrast, positive NAO conditions coincided with several MCS 437 between 1998-2010 in Costa Rica, showing intensification of trade winds over Central 438 America that appeared to favour upwelling (Alfaro and Cortés, 2012). On interannual to 439 intraseasonal timescales, climate modes of variability such as La Niña and/or the Madden-440 Julian Oscillation can precondition strong cooling events in the Indo-Pacific region (Lloyd and Vecchi, 2010), while anomalous cooling events around Java have been related to remote 441 442 wind forcing and Kelvin wave activity (Delman et al., 2016). Around the Tasman Sea, MCSs 443 have been linked to the stalling of global wavenumber 4 atmospheric waves' eastward 444 propagation, which can drive northward advection of cooler surface waters and anomalously 445 strong south-westerly winds causing enhanced vertical mixing (Chiswell, 2021). 446

### 447 2.2.2. Climate Feedbacks

448

449 The feedbacks of MCSs on the climate system have been addressed by only a few studies.

450 Cool SST events in the southeast Atlantic and southwest Indian Ocean region have been

451 related to significant rainfall anomalies over large parts of southern Africa (Reason, 1998;

452 Lutz et al., 2015). For example, a possible decrease of precipitation along the south and west

453 African coasts has been suggested due to southeast Atlantic cold events, for the period 1951-

454 2010 (Florenchie et al., 2004; Lutz et al., 2015). This response has been attributed to changes

455 in atmospheric circulation (e.g. changes in moisture transport), although the signal was found

- 456 to be seasonally asymmetric in some regions.
- 457

458 In the North Atlantic, cold SST anomalies have been suggested as a common precursor to

459 most of the atmospheric heatwave events in Europe back to 1980 (Duchez et al., 2016b).

Although a causality has not been established, the 2015 cold anomaly in the North Atlantic

461 has been hypothesized to cause a strong meridional SST gradient, which could have initiated

462 a Rossby wave train leading to a Jet Stream position favourable to the development of a high463 pressure system, and a major summer atmospheric heatwave over central Europe ranked in

- 464 the top ten over the past 65 years (Duchez et al., 2016b).
- 465

# 466 **3. Defining marine cold-spells**

467

468 To allow for the consistent comparison of marine cold-spells (MCSs) globally in this

analysis, we propose a definition for MCS as a discrete, prolonged anomalously cold water

470 event at a particular location, and as the inverse of the marine heatwave (MHW) definition in

471 Hobday et al. (2016; 2018). The MHW definition was adapted from an established

atmospheric heatwave definition that identifies a heatwave as a discrete event if temperatures

- 473 exceeded above the 90th percentile threshold of the seasonally-varying climatology for at
- 474 least three consecutive days (Perkins and Alexander, 2013). These definitions use a
- seasonally-varying climatology, rather than a static, time-invariant climatology, to determine
- if an atmospheric or oceanic temperature on a given day was anomalously high. Because
- seawater has a longer memory timescale than air, the MHW definition applied a period of
- 478 five or more days above the 90th percentile threshold (rather than three days for the
- 479 atmosphere), with no more than a two day dip below that threshold (Hobday et al., 2016). A
- 480 "marine heat spike" occurs when the warm ocean temperature anomaly exceeds the threshold
  481 for less than five days and is not classified as a marine heatwave (Hobday et al., 2016).
  482

For the MCS definition proposed here, "discrete" means that there is a definitive start and end
date, "anomalous" means that the cold temperature anomaly exceeds below the 10th
percentile of a seasonally-varying climatology, "prolonged" means that the cold anomaly
persists for at least five days with no more than two days above the threshold. If a cold

- anomaly is below the 10th percentile for fewer than five days, the period is referred to as a
  "marine cold-snap". A threshold based on the 10th percentile is proposed owing to the
- 489 limitations in long-time series observations to quantify robustly and characterise ocean
- 490 temperature extremes (Oliver et al., 2021). The World Meteorological Organization standard
- 491 on the creation of climatologies is to use a period of 30 years (WMO, 2018). While fewer
- 492 years can be used when necessary, caution is advised when using fewer than 20 years, and
- time series under ten years in length should not be used for the detection of ocean

temperature extremes (Schlegel et al., 2019). By using the definition for MCSs proposed here

495 we can identify a set of metrics (Table 2): count (*n*) or number of events during a time period,

496 duration (*D*), mean intensity ( $i_{mean}$ ), maximum intensity ( $i_{max}$ ), and cumulative intensity ( $i_{cum}$ ). 497 Since the intensity metrics are based on temperature anomalies, their signs are negative by

497 definition. A full list of the MHW metrics that could be applied to MCSs are presented in

499 Table 2 of Hobday et al. (2016).

500

**Table 2:** The metrics proposed for marine cold-spells (MCSs) and used throughout the
analyses. Note that any metrics in units of °C are effectively inverted from those given in
Table 2 of Hobday et al. (2016), which provides the complete list of potential metrics.

Count (number of events)	<i>n</i> : number of MCSs in a period of time, usually one year
Duration (days)	<i>D</i> : count of days from start to end of MCSs
Mean intensity (°C)	$i_{mean}$ : mean temperature anomaly during the MCS
Maximum intensity (°C)	$i_{max}$ : lowest temperature anomaly during the MCS
Cumulative intensity (°C days)	$i_{cum}$ : sum of daily intensity anomalies over the duration of the event

506 The proposed MCS definition allows for consistent, quantitative comparison of MCS events 507 historically and globally. While we propose that the definition use a 10th percentile, 508 seasonally-varying threshold, we recognise that this threshold may not be considered extreme 509 and not all events identified by this method will have damaging impacts on marine life or ecosystem services. To address this issue, we adopt the MHW category naming system, 510 511 which has four categories of increasing severity (Hobday et al., 2018), and apply this system for MCSs (Figure 1). This system is reminiscent of naming conventions for other natural 512 513 disasters such as hurricanes, tornadoes, or earthquakes (Hobday et al., 2018). The category 514 system for MCSs is based on the difference between the seasonal climatology and the 10th 515 percentile threshold. An event with a negative peak temperature anomaly that is not twice the difference between the seasonally varying climatology to the 10th percentile difference is 516 517 classified as Category I "Moderate" (Figure 1). Similarly, if the peak anomaly is double the 518 distance, but not triple, the event is Category II "Strong" and the same approach can be used to identify events that are Category III "Severe" and Category IV "Extreme". Not all events 519 520 identified within a given category will have the same damaging impacts on marine life or 521 ecosystem services. The MCS definition used here does not depend on these criteria. Rather, 522 the category system allows for a more quantitative understanding of the intensity of the 523 events detected at a given location and how they may compare to other regions of the global 524 ocean.

525



**Figure 1:** An example of a marine cold-spell (MCS). The portion of the time series

528 experiencing one of the four possible categories are filled accordingly. The metrics shown are

- 529 duration (*D*; days), maximum intensity ( $i_{max}$ ; °C), and cumulative intensity ( $i_{cum}$ ; °C days).
- 530 The cumulative intensity of the event is the area covered by hatching.
- 531
- 531 532 While performing the global analysis of MCSs using the definition proposed here, it became
- 533 clear that near-ice regions of the ocean (i.e. polar and subpolar seas) were problematic for the
- accurate detection of events and the modelling of global trends (see section 4.2). At issue
- 535 were category thresholds with temperature values below the freezing point of seawater. To
- address this we introduce an additional flag to the MCS categories: whenever a MCS was
- 537 detected and the 10th percentile threshold was below -1.7°C it was flagged as an "Ice" event.
- 538 In addition to this, "near-ice regions" were defined to be the collection of points in the ocean
- 539 where at least one "Ice" MCS was experienced within a given SST time series (Figure S1).
- 540 This does not affect the calculation of the 10th percentile threshold and, therefore, has no 541 impact on the metrics or trends calculated for MCSs. In this way the basic MCS metrics
- 542 (Table 1) are still globally comparable, and because the ice flag is optional, a researcher may
- 543 choose to use it or not depending on the research question at hand.
- 544
- 545 The MCS definition proposed here was applied to three well known MCS events, which we
- 546 considered in more detail as case studies. The 2003 Florida MCS (Figure 2A) occurred at the
- 547 peak of summer and was due to seasonally anomalous upwelling (Hyun and He, 2010). The
- 548 2008 MCS of the Taiwan Strait (Figure 2B) led to the die offs of both wild reef fish (Hsieh et
- al. 2008) and cage farmed fish (Lee et al. 2014). The 2013-2016 North Atlantic "cold blob"
- 550 (Figure 2C) was one of the largest MCSs in the satellite record. While this event persisted to
- the south of Greenland for years (Duchez et al., 2016b; Josey et al., 2018), its ecological
- 552 consequences are unclear due to limited ecological observations.
- 553



554

555 Figure 2: Three notable marine cold-spells (MCS) from the literature. Column A) shows the 2003 Summer Florida MCS; B) the 2008 Taiwan Strait MCS; and C) the North Atlantic 556 557 Ocean cold blob of roughly 2013-2016. The top row of panels show the highest MCS 558 category that occurred in each pixel during the duration of the MCS at the focal pixel (i.e. the pixel with the most intense temperature anomaly; yellow point). The second row of panels 559 560 show the time series of the MCS from the focal pixel. The last three rows of panels are lolliplots that show a key MCS metric for the events at the focal pixel for the full 39 year 561 562 time series. These metrics are duration (*D*; days), maximum intensity ( $i_{max}$ ; °C), and cumulative intensity (*i*<sub>cum</sub>; °C days). The MCS from the focal pixel is highlighted in dark blue 563 564 in the bottom three panels. Note that the greatest impacts of the Taiwan MCS (B) in the 565 literature were recorded to the southwest of the island, but no MCSs were detected there with 566 the OISST product.

#### Case study: The Florida 2003 marine cold-spell

During summer 2003, a well-documented, intense, cold water event was observed in the southeast coast of the United States with ocean temperature anomalies  $4^{\circ}C - 8^{\circ}C$  below normal (Fig. 2A; Sun et al., 2004). This MCS evolved as six distinctive cold wakes in some regions over three months (June-September) and was caused by anomalous coastal upwelling. The anomalous upwelling was forced primarily by the strongest and most

persistent southerly winds over the last seven years, elevating the thermocline in combination with southward-propagating coastally trapped waves, which enhanced the ocean response (Yuan 2006; Aretxabaleta et al., 2006; 2007; Miles et al., 2009; Hyun & He, 2010). An anomalous atmospheric teleconnection pattern (unusually strong and westwarddisplaced Azores High) was responsible for the anomalous wind patterns off the US east coast, affecting also European summer heatwaves (Schwing and Pickett, 2004). Although this large-scale atmospheric pattern was a principal driving mechanism, local oceanographic processes led to spatial differences in the observed cold water masses. In particular, off the Mid-Atlantic coast, the main contribution to the MCS came from southward advection of cold water from the North Atlantic (Sun et al., 2004). In the South Atlantic Bight, the anomalously cold water likely originated from deep parts of the Gulf Stream, owing to the passage of cyclonic frontal eddies (Aretxabaleta et al., 2006). Furthermore, high precipitation and river discharge during spring 2003, increased salinity stratification through elevated freshwater input, causing a positive feedback, whereby Ekman velocity in the upper ocean layer was enhanced, and upwelling therefore strengthened (Aretxabaleta et al., 2006). Strong thermal stratification during the summer was considered an additional preconditioning mechanism, which in combination with the persistent upwelling-favourable winds strengthened the upwelling and allowed the cold bottom water to intrude further onshore and northward (Aretxabaleta et al., 2006). This unusual upwelling and intrusion was potentially facilitated by the intensity and shoreward proximity of the Gulf Stream core (Hyun and He, 2010). Upwelling in the mid-Atlantic is not normally a summer phenomenon and is sometimes absent from the seasonal means of some years (Schwing and Pickett, 2004).

This MCS was accompanied by enhanced chlorophyll concentration along the east coast of the United States in July 2003, most likely owing to the nutrient-rich bottom water that was upwelled (Yuan, 2006). Other observations showed well-developed subsurface phytoplankton blooms, increased primary production, mortality of reef fish, cold shock of turtle hatchlings (Aretxabaleta et al., 2006; 2007), and the presence of rockfish that otherwise appear during the fall (Sun et al., 2004). The event interfered with tuna fishing and other fisheries of the region (no specific interference is given), aggravating existing difficulties for recreational businesses as well, due to the weak economy and rainy summer season of that year (Sun et al., 2004; Yuan., 2006).

568

### Case Study: Taiwan Strait 2008 marine cold-spell

In late January/early February 2008, a rapid decrease in winter ocean temperatures (~11°C over one month), accompanied by persistently low temperatures, led to a mass kill of coral reef fishes and macroinvertebrates in the Penghu Archipelago, near Taiwan Island (Fig. 2B; Hsieh et al., 2008). The cold ocean temperatures were below the critical minimum for some reef fishes (Hsieh et al., 2008), with a minimum anomaly 7°C cooler than average (Chang et al., 2009). Mass kills were also reported for caged-fish aquaculture (~500 tons; Lee et al., 2014), resulting in economic losses with declines in coastal fisheries (Chang et al., 2013).

The marine cold spell developed from atypical atmospheric influences on the ocean currents. In the Taiwan Strait, unusually strong and prolonged cold northeasterly winds caused the cold China Coastal Current to shift southward (Chang et al., 2009; Chang et al., 2013). A branch of the cold current extended southeast to the Penghu Archipelago, whereas typically warmer Kuroshio water and South China Sea water extended northward into the eastern Taiwan Strait (Chang et al., 2009). Thus, an unusual cross-strait transport of cold waters contributed to the MCS and the ecological impacts reported in the Penghu Archipelago (Shen et al., 2020). Regional relationships between the strengthened winter monsoon and a La Niña phase may offer pathways toward predictability of MCS in the Taiwan Strait and the development of early warning systems at sub-seasonal to seasonal time scales (Cheng and Chang, 2018).

### 569

### Case study: The 2013-2016 Atlantic cold Blob

From 2013 to 2016, exceptionally cold surface temperatures developed in the eastern North Atlantic subpolar Gyre that extended up to 700 m deep (Fig. 2C; Josey et al., 2018). This event received considerable attention as a sudden and intense cold feature that occurred near the long-term cooling area of the subpolar Atlantic, amidst a generally warming trend of the planet due to anthropogenic climate change. During its peak in the summer of 2015, referred to as the "Big Blue Blob", temperature anomalies were at least 2°C lower than the climatology of 1948-2015 (Duchez et al., 2016b). This MCS occurred in a highly variable region, influenced by multiple drivers on a wide range of timescales (Yeager et al., 2016). Therefore, many possible contributors have been proposed, such as the combination of severe atmosphere-driven air-sea heat losses during the winter of 2014/2015 with the reemergence of cold subsurface water masses originating in the winter of 2013/14 (Duchez et al., 2016a). The latter study argued that the development of this MCS was due to processes that acted on sub-annual timescales and should not be confused with the long-term cooling trend of the adjacent region described by Drijfhout et al., (2012) and Rahmstorf et al., (2015). The 2014/15 heat loss was associated with a positive state of the NAO (and of the East Atlantic Pattern; Josey et al., 2018) and characterized by strong westerly winds, as a result of an intensification of the meridional surface pressure gradient. A potential reduction in the Atlantic Meridional Overturning Circulation (AMOC) in the post-1995 decades, might also have had a role to play in preconditioning the subpolar North Atlantic for this anomalously cold temperatures, through intrinsic climate processes (Yeager et al., 2016). Bhatrasataponkul, (2018) however, indicated that surface forcing alone was insufficient to explain this cold event, suggesting that freshening and upper ocean cooling would increase stratification and therefore enhance the persistence of the cold blob.

As of the writing of this paper no literature was found on the potential ecological/fisheries impacts of this event. This is likely due to the location of this event in the open ocean where less research of this type is conducted.

570

## 571 **4. Global patterns in marine cold-spells**

572

573 MCSs and their characteristics and categories were calculated globally from 1982 - 2020 at a

- <sup>1</sup>/<sub>4</sub> degree resolution using the National Oceanic and Atmospheric Administration (NOAA)
- 575 daily OISST v2.1 product (Reynolds et al., 2007; Banzon et al., 2016; Banzon et al., 2020;

- 577 chosen as it was the closest match to the period suggested by the World Meteorological
- 578 Organization (WMO, 2018) given that the first full year of OISST data was 1982. We
- 579 examined the mean annual state and annual trends of MCSs and compared their spatial
- 580 patterns with those of MHWs and the underlying sea surface temperature anomaly (SSTa)
- 581 distribution. This section concludes with a summary of the annual statistics and trends for
- 582 MCS categories.
- 583

## 584 **4.1. Mean state**

585

586 Throughout the global ocean, the mean annual count of MCSs was not spatially uniform.

587 Higher annual counts of MCSs were observed in the western boundary currents and eastern

equatorial Pacific (Figure 3A). The areas in which the annual count of MCSs were highest

tended not to coincide with the regions with greatest event duration (Pearson correlation

590 coefficient; r = 0.10; Figure 3B). For example, many areas of open ocean that experienced

relatively low annual counts of events displayed high annual durations. The maximumintensity of MCSs was greatest in the equatorial Pacific and the western boundary currents

592 (Figure 3C). This pattern corresponds to areas of high SST variance, and the spatial maps of

maximum MCS intensity and SST variance are strongly correlated (r = -0.90; i.e. anomalies

595 are more negative with increased variance). The global patterns in the cumulative intensity of

596 MCSs match closely with maximum intensity (r = 0.78; Figure 3D).





**Figure 3:** Global patterns of mean annual marine cold-spell (MCS) metrics calculated from 1982-2020. Maps shown for annual mean A) count (*n*); B) duration (*D*; days); C) maximum

A) Mean MCS annual count (n)

601 intensity ( $i_{max}$ ; °C); D) cumulative intensity ( $i_{cum}$ ; °C days). For each panel's colourbar labels 602 the three values shown are the 5th, 50th, and 95th percentile of the global values. For clearer

visualisation, any values above or below the 5th or 95th percentile are shown as those

- 604 percentiles. Note that more intense MCSs (C, D) have more negative values, while increased
- 605 counts (A) and durations (B) of MCSs have positive values. These same plots with median
- annual values may be seen in Supplementary Figure S2.
- 607

## 608 **4.2. Trends**

- 609
- 610 The trends of annual changes in MCS metrics varied substantially between near-ice and ice-
- 611 free areas of the ocean. Separate analyses were performed for each region. The near-ice
- regions generally corresponded to the Arctic Ocean, Southern Ocean, and portions of the
- 613 subpolar seas. The annual values for the MCS metrics used in the following analyses were
- 614 created by spatially averaging the MCS metrics per pixel per year. Annual trends were
- calculated with linear models, and the difference between the annual values were determined
- 616 with a one-way analysis of variance (ANOVA). For the open ocean and southern near-ice
- region, the trends in the annual count were significant (p < 0.01; Figure 4A). These trends
- 618 were negative (i.e. fewer events per year) in the open ocean (-0.069 events/year) and northern
- 619 near-ice region (-0.019 events/year) but positive in the southern near-ice region (0.063
- 620 events/year). The differences in the annual count of MCSs between the regions were not-
- 621 significant (p = 0.28).
- 622





- having had at least one "Ice" flagged MCS. The annual values are the spatially averaged
- 627 results of the MCS metrics per pixel in the given region for the given year. The slope (*m*;
- 628 units/year) and significance (*p*-value) of fitted linear models are shown in colour
- 629 corresponding labels at the top of each panel, with the bottom label in each panel showing the
- 630 significance of a one-way ANOVA for the given metric. The definition of the metrics (A-D)
- 631 is given in Table 2. The sea surface temperature anomaly (SSTa) values in panel E are the
- spatial average of the annual SSTa values per pixel per group; they are not from the
- 633 seasonally varying climatologies created via the MCS algorithm.
- 634
- 635 The most rapid decreases in MCS count were in the high-latitude and tropical North Atlantic,
- the tropical Indo-Pacific, and the mid-latitude regions of the North Pacific and South Pacific,
- 637 where the significant declines exceed one fewer event per decade (p < 0.05; Figure 5A).
- 638 Much of the eastern Pacific has had no noticeable change in MCS count, with most of the
- 639 Southern Ocean experiencing significantly increased counts (p < 0.05). Given the record
- 640 length (39 years), this spatial pattern confounds the influence of long-term climate change
- 641 with multi-decadal variability, which is most notably due to the Atlantic Multidecadal
- 642 Oscillation and the Interdecadal Pacific Oscillation (e.g. as for MHWs in Oliver et al., 2018).
- 643

## A) Trends for MCS annual count (*n*)



B) Trends for MCS duration (D)



**C)** Trends for MCS maximum intensity  $(i_{max})$ 



**D)** Trends for MCS cumulative intensity (*i*<sub>cum</sub>)



Figure 5: Global patterns of annual trends in marine cold-spell (MCS) metrics calculatedfrom 1982-2020. The values in the colourbar labels for each panel are unit/year: A) count

647 (*n*); B) duration (*D*; days); C) maximum intensity ( $i_{max}$ ; °C); D) cumulative intensity ( $i_{cum}$ ; °C 648 days). The legend of each panel shows the global 5th, 50th, and 95th percentiles of the trends

649 per pixel. The values above/below the 5th/95th percentiles were rounded to the nearest

650 respective percentile for improved data visualisation. Note that MCS maximum intensity (C)

and MCS cumulative intensity (D) are in negative units, so trends that show increasing

652 intensity (negative) of these metrics are blue, while a lessening intensity (positive) is yellow.

653 For example, most of the ocean is experiencing decreases in the maximum intensity of MCSs

654 (C) (i.e. the maximum negative temperature anomalies are lessening, thereby reducing in

magnitude), but the cumulative intensity of MCSs (D) over much of the ocean is increasing

656 (i.e. the sum of the temperature anomalies during an event may be becoming more negative).

The global trends in change to both maximum and cumulative intensity are however weak.

658 Statistically significant ( $p \le 0.05$ ) trends are shown with stippling.

659

For all three ocean regions, the change in the duration of MCSs was significant (p < 0.01;

Figure 4B). This change was negative (i.e. shorter events per year) in the open ocean (-0.13

days/year) but positive in the northern near-ice region (0.634 days/year) and southern near-

ice region (1.16 days/year). For the near-ice regions, these increases in duration are relatively

extreme and due largely to the rapid increases in durations since 2015. Between the three

regions, the annual durations are significantly different (p < 0.01). Spatially, most of the mid-

latitudes show a slight non-significant decrease in MCS duration, whereas significant (p < 0.07)

667 0.05) increases in MCS duration were found throughout most of the Southern Ocean, the 668 eastern equatorial Pacific, and some of the subpolar seas (Figure 5B). Generally, the areas

669 where MCS are increasing in count, such as the Southern Ocean and eastern Pacific, are also

670 the areas in which durations are increasing (r = 0.48).

671

None of the three ocean regions showed significant decreases (strengthening) in MCS

673 maximum intensity. In the open ocean, the maximum intensity of MCSs has reduced

674 significantly (become warmer) over the satellite era from a mean of -1.68°C in 1982 to -

675 0.54°C in 2020 (+0.026°C/year; p < 0.01; Figure 4C). The northern near-ice region (Figure

676 S1) has shown a very slight non-significant strengthening of intensity (-0.003°C/year, p =

677 0.17), while the southern near-ice region has seen a very slight but significant weakening

678 (+0.004°C/year; p = 0.02). The annual maximum intensity of MCS is significantly different

between the regions (p < 0.01). Because MCS intensities are negative values, positive

680 (negative) trends (i.e. areas of yellow (blue) in Figure 5C) indicate a lessening

681 (strengthening) of MCS intensity. While most of the Southern Ocean shows significant

decreases in MCS intensity of +0.015°C/year (p < 0.05), the poleward extensions of the

683 western boundary currents, the Hudson Bay, and the Arctic Archipelago are becoming

684 significantly more intense with a trend of -0.005°C/year or greater (p < 0.05).

685

686 The cumulative intensity of MCSs changed significantly in all three regions (p < 0.01; Figure

4D). The open ocean showed a weakening (+0.188°C days/year; p < 0.01), while the northern

688 near-ice region (-0.373°C days/year) and southern near-ice region (-0.204°C days/year)

showed strengthening. The trends in cumulative intensity use the same sign convention as for

690 maximum intensity, which means that negative values indicate a strengthening trend. The

691 changes in the cumulative intensity of MCSs most closely matches the changes to the

692 duration of these events, with increasing event duration strengthening the corresponding

693 cumulative intensities (Figure 5D; r = -0.67). Changes in cumulative intensity will be

reflected in changes to both intensity and duration. Since the changes to MCS intensity are 694 either negligible or slightly weakening, the changes in MCS duration are controlling the 695 reported changes in cumulative intensity. Note however that this pattern does not hold in the 696 697 Southern Ocean, which is seeing the most dramatic increases to MCS duration accompanied 698 by decreases to maximum intensity.

699

700 To provide context for the global trends in MCS metrics, the annual SST anomalies for the 701 three regions were analysed with the same linear model and ANOVA tests (Figure 4E). The open ocean shows a significant warming trend of  $0.017^{\circ}$ C/year (p < 0.01), exceeding the 702 expected rate from the Intergovernmental Panel on Climate Change (IPCC, 2013; 0.11 703 704 °C/dec) and is a straightforward explanation for the weakening found in MCS metrics (with 705 the exception of the eastern equatorial Pacific). The northern near-ice region is also warming significantly (0.006°C/vear; p < 0.01), but at a much slower rate than is projected by the 706 707 IPCC (Collins et al., 2019). One explanation is that no ocean pixels further than 70° from the equator were used here, meaning that many of the fastest warming regions of the Arctic were 708 709 not included in the northern near-ice region. We may also see that there are both strong 710 positive and negative trends in MCS intensity in the higher latitudes (Figure 5C; D). In the 711 southern near-ice region, the annual rate of change in SST anomalies has been significantly negative (-0.003°C/year; p < 0.01), indicating a shift in the temperature regime in the 712 713 Southern Ocean. A closer analysis of pixels in this region revealed that while the positive 714 SST anomalies during open water periods may be increasing (a warming trend), the duration of the ice cover periods (i.e. days with temperatures below -1.7°C) are generally lengthening. 715 716 This feature is why the duration, and thereby cumulative intensity, of MCSs in the southern 717 near-ice region are increasing while the maximum intensities are weakening. This area is 718 generally close to freezing, and indeed the MCS threshold in winter is ~-1.7°C, so intensities 719 cannot strengthen there. Instead, earlier onset of freezing and later break-up of ice in the 720 season could extend the duration of identified MCS events. Further investigation into how 721 this result compares with different satellite products was beyond the scope of this study. We 722 further discuss whether or not these near-ice events should be considered as MCSs in Section 723 4.4.

724

#### 4.3. Asymmetry between MHWs and MCSs 725

726

727 We measured the asymmetry between MHWs and MCSs by comparing their intensity values 728 spatially. We calculated the asymmetry between MHW maximum intensity and MCS maximum intensity as  $i_{max,MHW} + i_{max,MCS}$ , being the sum of the two metrics given the defined 729 730 sign conventions (e.g.  $i_{max,MHW} > 0$ ,  $i_{max,MCS} < 0$ ). In this sum, negative values indicated MCS maximum intensities were more intense than those from MHWs, while positive values 731 732 indicated the reverse. Notably, MCSs were more intense than MHWs in some parts of the 733 ocean (Figure 6A, blue areas). For example, much of the Tropics and the equatorward side of 734 western boundary current extensions exhibited this feature. On the other hand, MHWs were 735 more intense than MCSs at high-latitudes and on the poleward side of western boundary current extensions (Figure 6A, red areas). 736

737

738 This asymmetry can be explained by the underlying temperature distribution. If the SSTa

739 distribution was normally distributed, the warm and cold tails would be symmetric with

740 warm and cold extremes to be of equivalent intensities and opposite in sign. However, if the 741 temperature distribution is skewed, then the intensity of extremes on the skewed side of the 742 distribution can be expected to be more intense than on the non-skewed side. For example, the portions of western boundary current extensions dominated by cold-core eddies (the 743 744 equatorward side) will have a negative SST skewness, while areas dominated by warm-core 745 eddies (the poleward side) will have a positive SST skewness (see Thompson and Demirov (2006) for an analogous signal in sea surface heights). A comparison of the SSTa skewness 746 747 (Figure 6B) with the asymmetry between MHW and MCS intensity (Figure 6A) shows that 748 areas of positive SST skewness correspond to areas of greater MHW intensity while areas of 749 negative SST skewness correspond to areas of greater magnitude MCS intensity (r = 0.48). 750



- 751
- **Figure 6:** The global relationship of the sum of marine heatwave (MHW) and marine cold-
- spell (MCS) intensities with SST anomaly (SSTa) skewness calculated from 1982-2020. A)
- 754 The sum of the maximum intensities of MHWs and MCSs as determined by adding the
- average maximum intensity of the events at each pixel. Blue areas show greater MCSintensities. B) The standardised skewness of SSTa. The values shown in each colourbar label
- 757 are the 5th, 50th and 95th percentiles of the values in each panel. Any values above or below
- those percentiles are rounded to their nearest percentile for better plotting. Median values for
- 759 panel A may be seen in Supplementary Figure S3.
- 760

## 761 4.4. Categories

- 762
- At a global scale, the category ranks of MCSs are decreasing (Figure 7). The average MCS
- days per year was highest in 1982 at 26 days and lowest in 2005 at 7 days (Figure 7A; left y-
- axis). There was a temporary increase in the average MCS days per year from 2007, peaking
- in 2011, before decreasing again to around 12 days/year. Almost all MCS days in any given

- year have been "I Moderate", but the proportion of "II Strong" days has remained steady
- since 2005. Based on the contribution of MCS days from the southern near-ice area (Figure
- 769 7A; purple shading), the increase in MCS days has been largely due to the increasing duration
- of MCSs in the Southern Ocean (Figure 5B). The average number of MCS days per year in
  the open ocean (non-shaded region) has decreased significantly from 26 days in 1982 to 7
- days in 2020 (linear trend of -0.5 days/year;  $R^2 = 0.71$ ; p < 0.01; Figure 7A). At the start of
- the satellite period, roughly 6 7% of the surface of the ocean was experiencing a MCS of
- any given category on any day of the year (Figure 7A; right y-axis). This coverage has
- reduced roughly in half since 2012 with now only 2 4% of the ocean experiencing MCSs on
- any day. The proportion of the daily coverage of MCSs of any category other than "I
- 777 Moderate" has almost disappeared.
- 778

The overall amount of the surface of the ocean that experienced a MCS each year has also

- reduced significantly from 61% in 1982 to 25% in 2020 (m = -0.9%/year; R<sup>2</sup> = 0.91; *p* <
- 781 0.01; Figure 7B). Category "IV Extreme" MCSs have been very rare throughout the satellite
- record, but from 1982 1984 the occurrence of "III Severe" events was not uncommon. In
  recent years, however, almost all MCS coverage of the surface of the ocean over a given year
  has been only "I Moderate". The annual number of MCS days for the near-ice regions, which
- has been only "I Moderate". The annual number of MCS days for the near-ice regions, which are "I Moderate" category events, has increased significantly at 0.13 days/year (p < 0.01; R<sup>2</sup> = 0.54), largely owing to the sudden and rapid increases in 2007 and 2016. Even though the southern near-ice region now contributes half of the annual count of MCS days, there has been no increase in the surface area of the ocean affected by this region (Figure 7B) as it
- cannot expand any larger than its predefined boundary (Figure S1).
- 790





Figure 7: Global annual summary of marine cold-spell (MCS) categories. A) Average annual 792 MCS days for the ocean (left y-axis), which may be divided by 365 to determine the average 793 794 daily coverage (% area) of the ocean for a given year (right y-axis); B) The total area (%) of the ocean that experienced one or more MCSs over the given year, and what the highest 795 category experienced was. The colour of the bars show the contribution from the 796 797 corresponding categories. The contributions from the northern near-ice region (N Ice; pink) 798 and southern near-ice region (S Ice; purple) are shown as overlays. Note that in all panels 799 there is a general decreasing trend, with the exception of an increase in "I Moderate" category 800 events starting in ~2007 due to increases in the duration and spatial extent of events primarily in the Southern Ocean. 801

## 803 5. Discussion and conclusions

804

805 Marine cold-spells are ocean temperature extreme events with ecological and societal impacts 806 and have been the focus of regional and species-specific studies. However, the field has 807 lacked a standard use of terminology and framework for defining MCSs to facilitate global 808 applications and comparisons across studies. We have synthesized an extensive body of 809 knowledge within a MCS framework based on their global occurrences, physical mechanisms, and ecological impacts. MCSs have caused ecosystem disturbances including 810 811 mass fish and invertebrate kills (e.g. Woodhead, 1964), population decreases, coral bleaching (Zapata et al., 2011), changes in species distribution (e.g. range shifts) and phenology (e.g. 812 813 onset of the growing season; Jentsch et al., 2007), with most severe impacts usually occurring 814 during winter months. As illustrated by selected case studies, changes in air-sea heat fluxes and both horizontal and vertical ocean currents can contribute to MCSs. In coastal regions, 815 816 cold air outbreaks over shallow waters can cause rapid chilling of waters, while extremely 817 strong winds can induce unusual upwelling and changes in coastal currents resulting in MCSs. 818 819

820 While past studies have used a range of identification conventions for MCS-related events, 821 these conventions have not been widely or consistently used by the marine science community. Based on uptake of the recently developed MHW definition (Hobday et al., 822 823 2016; 2018), we have chosen to adapt this methodology as a potential tool for investigating 824 cold ocean temperature extremes. By applying this definition to identify cold extremes, the 825 method can be applied to SST time series for the detection of MCSs. However, ocean 826 temperatures have a lower limit set by the freezing point of seawater and near-ice regions of the ocean often are close to this temperature, confounding the use of percentiles for the 827 828 detection events. To accommodate this issue, we have introduced an "Ice" flag into the MCSs definition, which is activated when the 10th percentile threshold on any day during a MCS is 829 830 below -1.7°C. By adapting the widely used Hobday et al. (2016; 2018) MHW definition, we 831 anticipate that the MCS definition proposed here will have the potential to be widely 832 applicable in the marine sciences, with implementations in marine heatwave/cold-spell 833 studies (e.g. Schlegel and Smit, 2018) and SST data visualisation products, such as the 834 Marine Heatwave Tracker (http://www.marineheatwaves.org/tracker.html; Schlegel, 2020). We contend that there is no single correct way to select a methodology for the detection of 835 836 MCSs, rather the choice must be defined by the research objectives or stakeholder needs. The 837 definition proposed here aims to provide a useful framework for comparing MCS events 838 across different regions and time periods. Through analysis of global gridded time series of 839 SST, we found that MCSs have been prevalent throughout the global ocean over the satellite 840 period but that their frequency and intensity are in decline globally, with the exception of the 841 Southern Ocean and eastern equatorial Pacific. The MCS trends are in direct contrast with 842 MHW trends, which are increasing in both duration and intensity over almost all of the global 843 ocean (Oliver et al., 2018). Indeed, regions of the ocean where MCSs are not diminishing tend also to be regions where MHWs are not increasing. 844 845

846 This work is an important synthesis and extension of the diverse body of existing knowledge 847 on MCSs. This study is the first investigation to quantify and report on MCS occurrence and

848 trends in a global context. These results can be used as a road map for policy makers and

849 managers to know where, and to what degree, certain parts of the ocean are exposed to

- 850 MCSs, and the degree to which this exposure may be changing with time. Importantly, we
- show that MCSs lead to significant impacts on marine ecosystems, with the potential to
- 852 disrupt fisheries and aquaculture operations.
- 853

Importantly, MCSs are declining globally, which will contribute to changing the temperature 854 855 regime experienced by many marine ecosystems. This could alter the structure and function 856 of these ecosystems. For example, less extreme cold events could shift selection pressure 857 away from cold hardy species and change local patterns of adaptation (e.g., Campbell-Staton 858 et al., 2017). In a warming ocean, MCSs could play a role in slowing the spread of non-859 native or invasive species (Rehage et al., 2016) or warm-adapted species, such as those that 860 shift poleward (Pecl et al., 2017), offering refuge for cold-adapted local taxa (Feng et al., 2020). The occurrence of these events should be considered in restoration efforts involving 861 translocation of warm-adapted species to cooler regions, given species' potential exposure to 862 cold stress which could affect how they establish (e.g. Nielsen et al., 2020). It is unclear how 863 the underlying atmospheric and oceanographic mechanisms that drive the formation of MCSs 864 865 will change in the future, and therefore challenging to predict regional shifts in cold ocean 866 extremes. Yet, with increasing concern regarding global ocean warming and intensifying 867 research efforts on the increasing impacts of MHWs, there is a need for understanding how 868 fewer, shorter, less intense MCSs could affect marine ecosystems. Given their dual roles in shaping marine ecosystems with implications for societal needs, identifying when and where 869 870 prolonged periods of cold water occur, and how they will change, are important steps for 871 managing and protecting our marine estate.

872

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- this GitHub repository: <u>https://github.com/robwschlegel/MCSglobal</u>. The additions to the
- 879 methodology for detecting and quantifying MCSs proposed in this study are available via the
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# 884 Author contributions

- 885
- 886 **RWS:** Methodology, Software, Validation, Formal analysis, Data Curation, Writing -
- 887 Original Draft, Visualization, Project administration. SD: Literature Review, Writing -
- 888 Original Draft. **JAB:** Literature Review, Writing Original Draft, Supervision. **KFD:**
- 889 Literature Review, Writing Review & Editing. ECJO: Conceptualization, Methodology,
- 890 Writing Original Draft, Supervision.
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- 900

# 901 Supplementary figures

902



903

**Figure S1:** The areas of the ocean that have been denoted as "near-ice" are denoted by black 904 905 contours and are determined when at least one marine cold-spells (MCSs) has been flagged 906 as an "Ice" event at any point over the 39-year time series. Within these near-ice regions, values (green shading) show the proportion of MCSs that can be classified as "Ice" events. 907 908 This "Ice" classification is determined whenever the 10th percentile threshold during a MCS is below -1.7°C at any point during the event. A proportion of 1.0 means that every MCS at 909 910 the given pixel is classified as an "Ice" event. A proportion of 0.0 means none of the MCSs 911 are "Ice" events. There are some areas within the near-ice regions that show values of zero, because, in the satellite record, these areas are always frozen (-1.8°C) so it is not possible to 912

913 detect MCSs there.

A) Median MCS annual count (n)



**B)** Median MCS duration (*D*)



**C)** Median MCS maximum intensity (*i<sub>max</sub>*)



**D)** Median MCS cumulative intensity (*i*<sub>cum</sub>)



Figure S2: As in Figure 3, except with the median values per pixel, rather than the means.
Note that the same broad patterns are seen in both, with only minor changes to the 5<sup>th</sup>, 50<sup>th</sup>,

- and 95<sup>th</sup> percentile values shown in the legends of each panel. The difference between the 916
- mean (Figure 3) and median (Figure S2) values is most pronounced in the count of events 917 (panel A).
- 918
- 919

Sum of median maximum intensities ( $i_{max,MHW} + i_{max,MCS}$ )



- 920 **Figure S3:** As in Figure 6A, but with median values rather than means. Note that the same
- patterns are clearly visible with only minor changes to the 5<sup>th</sup>, 50<sup>th</sup>, and 95<sup>th</sup> percentile values 921
- 922 in the legend.

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