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1 **Gut microbiota and vitamin status in persons with obesity: a key interplay.**

2

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27 **Conflict of interest**

28 The authors have no conflict of interest.

29 **Abstract**

30

31           There are numerous factors involved in obesity progression and maintenance including  
32 systemic low-grade inflammation, adipose tissue dysfunction or gut microbiota dysbiosis.  
33 Recently, a growing interest has arisen for vitamins' role in obesity and related disorders, both  
34 at the host and gut bacterial level. Indeed, vitamins are provided mostly by food but some,  
35 from the B and K groups in particular, can be synthesized by the gut bacterial ecosystem and  
36 absorbed in the colon. Knowing that vitamin deficiency can alter many important cellular  
37 functions and lead to serious health issues, it is important to carefully monitor the vitamin  
38 status of patients with obesity and potentially already existing comorbidities as well as  
39 dysbiotic gut microbiota and thus potentially altered bacterial metabolism of vitamins. In this  
40 review, we examined both murine and human studies, to assess the prevalence of sub-optimal  
41 levels of several vitamins in obesity and metabolic alterations. This review also examines the  
42 relationship between vitamins and the gut microbiota in terms of vitamin production and the  
43 modulation of the gut bacterial ecosystem in conditions of vitamin shortage or  
44 supplementation. Furthermore, some strategies to improve vitamin status of patients with  
45 severe obesity are proposed within this review.

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47 Key words: biotin, gut microbiota, micronutrients, obesity, vitamins

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## 53 **Introduction**

54

55 Obesity represents a global health issue with an ever-increasing prevalence over the  
56 last 50 years<sup>1,2</sup>. It is expected that over 40% of the world's population will suffer from obesity  
57 by 2030<sup>3</sup>. Obesity is associated with several complications such as type 2 diabetes,  
58 cardiovascular diseases and cancers<sup>4</sup>. Triggered by multiple environmental factors, the  
59 development and progression of obesity are characterized by organ structural and biological  
60 alterations and systemic low-grade inflammation. Recently, the gut microbiota (GM) has  
61 emerged as a contributive "organ" in the development and maintenance of obesity.

62 The GM is a complex and abundant ecosystem composed of trillions of microorganisms  
63 including bacteria, viruses, archaea, fungi, and phages. The microorganisms present within the  
64 gut harbor a myriad of functions, and in particular, the GM is implicated in the fermentation  
65 of non-digestible food substrates. This microbial metabolism leads to the production of an  
66 important variety of metabolites, which in return are known to have a broad range of  
67 bioactivities, including immune modulation and intestinal barrier homeostasis. During obesity,  
68 an imbalance in the GM composition, functionality, as well as a decreased bacterial richness  
69 and diversity, collectively referred to as GM dysbiosis, have been reported by many studies<sup>5</sup>.

70 Among the numerous GM-derived metabolites (as short chain fatty acids, secondary  
71 bile acid molecules, tryptophan derivatives to cite only a few), vitamins have been relatively  
72 neglected in this context. Yet, gut bacteria are known to produce vitamins from the B and K  
73 groups<sup>6</sup>. Vitamins are essential micronutrients, obtained from food and, to some extent, from  
74 gut bacterial synthesis. Vitamins serve as coenzymes or cofactors for numerous processes  
75 involved in host energy metabolism<sup>7</sup> (Table 1). For example, B vitamins play a key role in  
76 maintaining mitochondrial energy metabolism, which is altered by inadequate levels of any of

77 those vitamins<sup>8</sup> (Table 1). Vitamin deficiency, due to an imbalanced diet, increased host  
78 physiological requirements or altered drug-micronutrient interactions, affects host health in  
79 varying ways and can lead to severe clinical consequences, ranging from fatigue to  
80 dermatological, digestive, cardiovascular or neurological and depressive disorders<sup>9</sup> (Table 1).  
81 Dietary vitamin absorption occurs mainly in the small intestine for the dietary vitamins but it  
82 is now acknowledged that the distal gut may also contribute to vitamin absorption and  
83 especially bacterially-produced vitamins<sup>10</sup>. Thus, the alteration of the intestinal environment  
84 and absorptive capacities potentially alters vitamins host vitamin status.

85         Despite increasing evidence of deep alterations of gut physiology as well as microbiota  
86 composition and functionality observed during obesity and metabolic disorders, the  
87 consequences on vitamins biosynthesis and availability have scarcely been studied. Herein,  
88 we review vitamin status alterations observed in obesity and metabolic disorders and focus  
89 on the production potential of vitamins by the GM as well as its modulation by both vitamin  
90 supplementation and deficiency, mostly focusing on B and D vitamins. We also discuss some  
91 future strategies for vitamin status management in severe obesity.

92

### 93 **Evidence of vitamin deficiency in obesity and related metabolic disorders in humans**

94

95         The optimal vitamin reference levels for the general population are reported by  
96 national or international expert committees such as the European Food Safety Authority  
97 (EFSA)<sup>11</sup> or the US Centers for Disease and Prevention through the National Health and  
98 Nutrition Examination Survey (NHANES). Lately, an increasing interest for vitamin status in  
99 subjects with obesity has arisen as many studies have revealed that obesity and related

100 metabolic complications are associated with deficiencies or at least sub-optimal blood  
101 concentrations of many vitamins, which has been well-reviewed recently<sup>12,13</sup>.

102 Briefly, a series of studies exploring different populations with metabolic alterations  
103 revealed suboptimal blood level of B vitamins, including vitamin B1 (thiamine), B9 (folate), B6  
104 (pyridoxine), B12 (cobalamin). A decrease of vitamin B9 has been observed in pregnant  
105 women with overweight in comparison to women with a BMI ranging between 18 and 25  
106 kg/m<sup>2</sup> <sup>14</sup>. Similarly, another study on patients with severe obesity reported an important  
107 decrease of vitamin B6 serum concentrations as compared to patients without obesity<sup>15</sup>.  
108 Moreover, up to 75% patients with type 2 diabetes presented low blood concentration of  
109 vitamin B1<sup>16</sup>. Vitamin B12 levels have also been linked with obesity with a significant negative  
110 correlation reported between body mass index (BMI) and vitamin B12 blood levels in a cohort  
111 of almost 1000 patients with obesity<sup>17</sup>. Moreover, the authors of this study also found a trend  
112 toward decreased vitamin B12 blood concentrations in subjects with insulin resistance or  
113 metabolic syndrome in comparison to participants without metabolic alterations<sup>17</sup>. In another  
114 cohort of participants with overweight or obesity, 13% of participants were found to be  
115 deficient in vitamin B12 <sup>18</sup> while in the general US population, the prevalence of vitamin B12  
116 was found to be around 5% and increases with age<sup>19</sup>. Interestingly, the serum levels of vitamin  
117 B12 are increased after a weight loss induced by a Low Energy Diet (LED)<sup>18</sup>. Another B vitamin,  
118 vitamin B7 (biotin) has been shown to be decreased in subjects with moderate obesity<sup>20</sup> and  
119 type 2 diabetes<sup>21</sup>. Yet, this vitamin has been neglected in the context of obesity and metabolic  
120 disorders; as well as other B vitamins such as vitamins B2 (riboflavin), B3 (niacin).

121 Vitamin deficiency in metabolic disorders extends to vitamin D as well. In Geiker et al.,  
122 insufficient levels of vitamin D were observed in 72% of the participants before the LED-  
123 induced weight loss, and again, the serum concentration of vitamin D was increased after the

124 nutritional intervention<sup>18</sup>. Unlike B vitamins, the links between vitamin D status and obesity  
125 and its complications have been investigated in a larger number of studies and were reported  
126 as early as 1985<sup>22</sup>. It has been commonly accepted that vitamin D deficiency can be estimated  
127 via the serum concentration of the vitamin D metabolite, 25-hydroxycholecalciferol  
128 [25(OH)D], the active form of vitamin D, which integrates both the dietary intake and the  
129 endogenous production. For example, analyses in a large population of older individuals  
130 revealed a significant inverse association between BMI and more specifically adiposity  
131 (especially in the 3<sup>rd</sup> and 4<sup>th</sup> quartile when fat percentage was divided in quartiles) and serum  
132 25(OH)D concentrations<sup>23</sup>. Moreover, several groups also showed inverse correlations  
133 between 25(OH)D serum concentrations and markers of metabolic alterations such as  
134 triglycerides<sup>24</sup> or fasting glucose and the HOMA-IR index, a surrogate marker of insulin  
135 resistance<sup>25</sup>. An observational transversal study including 73 patients with morbid obesity  
136 confirmed the higher prevalence of vitamin D deficiency in comparison to the population  
137 without obesity<sup>24</sup>. Here, they found that 50.7% of the participants had vitamin D deficiency.  
138 They also reported an increased prevalence of vitamin D deficiency associated with the  
139 occurrence of metabolic syndrome in this population. This correlation was confirmed in a large  
140 study of over 2 500 Chinese men and women, in which, lower 25(OH)D serum concentration  
141 was associated with increased insulin resistance among the participants with overweight or  
142 obesity <sup>26</sup>. Finally, a recent meta-analysis of 43 studies confirmed this inverse association  
143 between serum concentration of vitamin D and risk of metabolic syndrome in the general  
144 adult population<sup>27</sup>. In animals, vitamin D deficiency associates with worsened obesity  
145 condition, glucose intolerance and insulin resistance induced by a high-fat diet whereas no  
146 such effect for animals experimenting vitamin D deficiency under standard diet were  
147 observed<sup>28</sup>. Thus, it seems that inadequate levels of vitamin D in the context of obesity could

148 worsen the individual metabolic health. Low levels of vitamin D are not only linked with  
149 different health conditions such as loss of bone density but also diabetes, high blood pressure,  
150 or multiple sclerosis. It also appears that vitamin D deficiency can impede bariatric surgery-  
151 induced metabolic improvements. Interestingly, in a mouse model of vertical sleeve  
152 gastrectomy, deficient levels of vitamin D were linked with decreased weight loss and glucose  
153 homeostasis improvements, as well as intestinal inflammation and permeability<sup>29</sup>. In addition  
154 to those metabolic observations regarding vitamin D, many studies also reported strong links  
155 of this vitamin with adipose tissue in terms of vitamin D storage but also modulation of  
156 adipocyte differentiation or even energy metabolism and inflammation by this vitamin. These  
157 aspects have been largely and well-reviewed recently<sup>30</sup>.

158

#### 159 **Alteration of vitamin status in the context of bariatric surgery**

160 Vitamin deficiency in obesity has also been investigated in subjects with severe obesity  
161 undergoing bariatric surgery. For example, studies showed that the prevalence of vitamin B1  
162 deficiency in patients with severe obesity before bariatric surgery is between 15.5%<sup>31</sup> and  
163 29%<sup>32</sup>. In the general population, vitamin B1 deficiency is associated with inadequate intake  
164 and is more prevalent in underdeveloped countries whereas in industrialized countries,  
165 vitamin B1 deficiency is rare and mostly related to alcoholism<sup>33</sup>. Vitamin B9 has also been  
166 found to be decreased in patients with severe obesity before the surgery at a rate of 63.2%<sup>34</sup>,  
167 a much higher percentage in comparison to the general population prevalence of 2% reported  
168 by a recent study in the US<sup>35</sup>. Importantly, these studies focused on bariatric surgery  
169 candidates who follow weight-loss efforts for several months before the procedures, as  
170 recommended by international guidelines<sup>36</sup>. As such, these patients may have reduced  
171 vitamin intake due to changes in their diet. Flancbaum and colleagues also revealed a high



172 proportion (68%) of patients presenting with a vitamin D deficiency before the surgery, which  
173 was confirmed in two other cohorts with deficiency rates of 74.35%<sup>37</sup> and 76%<sup>38</sup>. In  
174 comparison, a study of vitamin D blood concentrations in elderly people across 11 European  
175 countries reported a prevalence of vitamin D deficiency in 36% of men and 42 % of women in  
176 the general population<sup>39</sup>. This percentage was even lower in the US population with a  
177 prevalence of vitamin D deficiency of 4.0% and 17.4% for inadequacy<sup>40</sup>. To note, vitamin D  
178 blood concentration is dependent on the season as well as geographical location, which could  
179 explain such discrepancies between prevalence in different populations and studies. The two  
180 latter studies investigating vitamin D blood concentrations also reported vitamin B12  
181 deficiency in those patients, reaching 12.3%<sup>37</sup> and 16%<sup>38</sup> respectively.

182           Moreover, most vitamins are absorbed in the small intestine, a segment bypassed after  
183 malabsorptive procedures (e.g., Roux-en-Y Gastric Bypass or biliopancreatic derivation). This  
184 purposefully-induced malabsorption further deteriorates vitamin status after the surgical  
185 procedure, which may not normalize despite systematic vitamin supplementation. During  
186 surgical follow-up, monitoring patients' vitamin status should be reinforced, which is  
187 highlighted by studies of micronutrient deficiencies after Roux-en-Y gastric bypass as well as  
188 sleeve gastrectomy<sup>41,42</sup>. In fact, despite medical recommendation of micronutrients and  
189 especially multivitamins supplementation after the surgery, evidence of decreased  
190 compliance has been reported after 10 years of follow-up increasing the risk of vitamin  
191 deficiency<sup>43</sup>. It is also possible that the optimization of the pre-operative vitamin status could  
192 also contribute to better outcomes of the surgery. It has been suggested that vitamin D could,  
193 for example, contribute to improved weight loss following bariatric surgery<sup>44</sup>. A recent review  
194 addressed this concern in terms of vitamin status and the physiological, metabolic and also  
195 bacterial changes associated with bariatric surgery<sup>45</sup>. More attention should be given to

196 vitamin status of patients before and after the bariatric surgery as suggested by recent  
197 guidelines published by the British Obesity and Metabolic Surgery Society<sup>46</sup>. The  
198 aforementioned studies suggest that acting on the pre-intervention vitamin deficiency might  
199 help potentialize metabolic improvement, although more clinical interventions ought to be  
200 performed to confirm this hypothesis.

201

### 202 **Gut microbiota; a source of vitamin production**

203 The composition of the GM has now been meticulously studied over the last decade  
204 thanks to major advances in sequencing technologies. Nevertheless, a recent shift towards  
205 understanding the functionality of the ecosystem allows for more complete comprehension  
206 of how GM modulations are involved in health and diseases. Gut bacteria produce a very large  
207 number of metabolites having an impact on the host metabolism<sup>47</sup>. The gut bacteria can  
208 produce vitamin K and B vitamins<sup>48</sup>; however the interest for the study of the relationship  
209 between GM and vitamin metabolism is only recent and increasing.

210

211 The number of available bacterial genomes increased significantly with the advances  
212 in sequencing technologies and metagenomic processing methods, which have allowed for  
213 better characterization of GM species and their metabolic capabilities. In 2015, Magnúsdóttir  
214 et al., used the PubSEED platform, which provides genome annotations across thousands of  
215 genomes, to analyze the ability of human GM organisms to synthesize B vitamins<sup>49</sup>. Thus, they  
216 were able to determine that the biosynthesis of B vitamins was common among the species  
217 found in the human GM. They also predicted B vitamin synthesis abilities that matched  
218 published experimental data. It appears that the synthesis of vitamin B2 and vitamin B3 were  
219 most commonly found among all the genomes assessed. Altogether, this study reinforces the

220 idea that the GM, as an ecosystem, is able to produce vitamins and possibly participates to  
221 vitamin homeostasis of the host. Yet, this paper revealed that the production of vitamins by  
222 the GM is not sufficient to cover host daily requirements. Moreover, the vitamins synthesized  
223 in the gut mostly benefit the neighboring non-producing bacteria through cross-feeding  
224 between organisms. To this end, bacterial genome analyses have illustrated that bacterial  
225 species can be vitamin prototrophs (capable of *de novo* synthesis) or auxotrophs (dependent  
226 on the uptake and salvage of vitamins) highlighting the importance of cross-feeding between  
227 GM species<sup>50</sup>.

228 Further evidence of GM mutualism for vitamins comes from co-culture *in vitro*  
229 experiments showing that *Anaerobutyricum halii* is able to synthesize and provide  
230 *Akkermansia muciniphila* with B12 vitamin, which is used for propionate production<sup>51</sup>. Such  
231 cross-feeding mechanisms have also been observed *in vivo*, where non-producing bacteria  
232 survive during limitation of vitamin intake suggesting the existence of vitamin sharing  
233 between producing and non-producing bacteria<sup>52</sup>. Bacterial mutualism thus contributes to  
234 maintaining a relative GM stability thanks to the sharing of micronutrient resources in case of  
235 shortage or level modulation due to vitamin intake variability<sup>52</sup>. Noteworthy *Anaerobutyricum*  
236 *halii* and *Akkermansia muciniphila* are both commensal bacteria associated with improved  
237 metabolism<sup>53,54</sup>.

238 Together with experimental work, the access to genomic annotation allowed for the  
239 identification of specific vitamin producing bacterial strains. It is now known for example, that  
240 species from the genera *Lactobacillus* and *Bifidobacterium* can produce vitamin B9. Indeed,  
241 both *in vitro* and *in vivo* experiments demonstrated that Bifidobacterial strains are able to  
242 increase the vitamin B9 concentration either in the medium or of the host<sup>55,56</sup>. This ability of  
243 vitamin B9 production by *Lactobacillus* and *Bifidobacterium* was assessed via the analysis of

244 genome sequences. The presence of genes and enzymes involved in different steps of the  
245 vitamin B9 production pathway allowed to infer theoretical capacity of the studied strains to  
246 produce this vitamin at least from a precursor molecule<sup>57</sup>. Nevertheless, it is important to note  
247 that the presence of genes involved in the production of a vitamin does not account for its  
248 effective production by the bacteria. Thus, experimental validation (*in vitro* and *in vivo*)  
249 remains crucial to identify potential vitamin-producing strains that could then be developed  
250 as probiotics.

251 To explore the contribution of GM's vitamin synthesis, in various diseases including  
252 metabolic diseases, *in vivo* experiments are needed. Germ-Free (GF) rodents are an initial  
253 model used to determine the general contribution of the GM to metabolic pathways or host  
254 physiology. In the absence of GM in GF mice, a systemic deficiency in different vitamins was  
255 reported<sup>58,59</sup>, suggesting again that those vitamins can be produced by the GM and that  
256 microbial vitamin production contributes, at least partially, to vitamin homeostasis of the  
257 host. Among those vitamins, earlier studies showed that GF rats developed spontaneously a  
258 vitamin K deficiency leading to increased mortality of the animals<sup>58</sup>. The side effects of vitamin  
259 K deficiency were corrected by vitamin K1 supplementation and most interestingly with the  
260 gut colonization of single strains of bacteria (*Escherichia coli* or *Sarcina-like micrococcus*).  
261 Similar results have been found for B vitamins as rats fed a diet deprived of B vitamins stopped  
262 growing after 1 to 3 weeks and died prematurely<sup>59</sup>. Yet, in this early study, one rat behaved  
263 differently and recovered from the deficiency. When its feces were added to the diet of  
264 another group of rats fed the same diet, the symptoms of vitamin B deficiency disappeared in  
265 those animals, suggesting that B vitamin-producing bacteria could be present in the feces  
266 these rats were given.

267 Other evidence of B vitamin production by intestinal microorganisms have been  
268 reported. The total output of vitamin B7 measured in feces and urine has been shown to be  
269 six times greater than the intake in humans, suggesting a production by the GM<sup>60,61</sup> while this  
270 needs further exploration. Interestingly, vitamin B7 deficiency induced alopecia only in GF  
271 animals and not in conventionally raised animals suggesting that the GM contributes to this  
272 vitamin metabolism<sup>62</sup>. A similar phenotype was observed in vitamin B7-deficient mice treated  
273 with an antibiotic, vancomycin, again suggesting a contribution of GM to vitamin B7  
274 production. Moreover, those animals presented further reduction in bacterial diversity as well  
275 as major increase of *Lactobacillus* genus and in particular *Lactobacillus murinus* in comparison  
276 to antibiotic treated animals receiving sufficient levels of vitamin B7. Regarding other B  
277 vitamins, GM depletion via antibiotic treatment resulted in a decrease of vitamin B6 levels in  
278 colonic content in mice<sup>63</sup>.

279 These observations all identify the GM as a major contributor to the host's vitamin  
280 status. Nevertheless, the contribution of the GM's vitamin production to host vitamin  
281 circulating levels remains to be experimentally established, in healthy and disease conditions.  
282 Indeed, in the context of obesity, alteration in GM richness, diversity and functional anomalies  
283 have repeatedly been reported<sup>47,64-66</sup>. Whether these alterations are linked to deficient  
284 bacterial vitamin metabolism and production need to be investigated in depth.

285

### 286 **Consequence of vitamin deficiencies on GM composition**

287 As raised above, the production of vitamin K by the GM has been known for several  
288 decades; but the effect of its deficiency on the GM composition has been studied very  
289 recently. Thus, mice fed a vitamin K deficient diet displayed reduced abundance of

290 *Lactobacillus* and enrichment in *Ruminococcus*, *Anaerostipes* genera as well as  
291 *Muribaculaceae* family in comparison to animals supplemented with vitamin K<sup>67</sup>.

292

293 A murine study investigating the effect of different micronutrient-deficient (vitamin A,  
294 vitamin B9, iron, zinc, or multiple deficiencies) diet on the GM revealed that the vitamin A  
295 deficiency had the higher impact<sup>68</sup>, although vitamin A is not produced by the GM. The analysis  
296 of the GM of mice fed a vitamin A deficient diet revealed an increase of *Bacteroides vulgatus*  
297 and an increase of *Bacteroides dorei*. Once the deficiency was corrected via a micronutrient  
298 sufficient supplementation of the diet, the initial levels of those bacteria were also restored.  
299 In a human study investigating the GM children with vitamin A deficiency, changes in the  
300 bacterial community composition were also reported<sup>69</sup>. Increased abundances of *Blautia* and  
301 *Faecalibacterium* genera and decreased abundances of *Bifidobacterium*, *Bacteroides* and  
302 *Shigella* were observed in these children. The modulations of *Bifidobacterium* and *Bacteroides*  
303 levels were even transmitted via fecal microbiota transfer of the children's feces into germ-  
304 free animals. At the phylum level, a decrease of *Bacteroidetes* was observed in mice fed a  
305 vitamin A-deficient diet<sup>70</sup>. This decrease is associated with an increase of the  
306 *Firmicutes/Bacteroidetes* ratio in those animals, which has been reported associated with  
307 obesity and metabolic alterations<sup>71</sup>, despite discrepancies in recent studies<sup>72,73</sup>. To be noted,  
308 in this study, vitamin A-deficient animals presented characteristics of pre-diabetes with  
309 hyperglycemia and delayed response to insulin injection, emphasizing the potential links with  
310 metabolic alterations.

311

312 In the last decade, there has been an increasing number of studies investigating the  
313 links between vitamin D and the GM. One of them investigated the factors explaining the most

314 the variance in alpha-diversity of the human GM, and found that the serum concentration of  
315 1,25(OH)<sub>2</sub>D was the most contributing variable, explaining 5% of the variance of the alpha-  
316 diversity<sup>74</sup>. In terms of specific compositional changes associated with vitamin D deficiency, it  
317 seems that vitamin D deficiency (in models of knock-out mice for the vitamin D receptor (VDR),  
318 not able to produce 1,25(OH)<sub>2</sub>D) appeared to be associated with a decrease of the abundance  
319 of the phylum *Firmicutes*<sup>75</sup> and the associated families *Ruminococcaceae*, *Lachnospiraceae*,  
320 *Lactobacillaceae* and *Streptococcaceae*. Interestingly, in another study on VDR KO mice, a  
321 significant decrease of the abundance of the genus *Lactobacillus* was observed in comparison  
322 to wild-type animals<sup>76</sup>. In a human trial on older men, a positive association was also found  
323 between serum 1,25(OH)<sub>2</sub>D levels and the abundance of the genus *Ruminococcus*<sup>74</sup>. On the  
324 contrary, vitamin D deficiency seems to be associated with an increase in *Bacteroidetes* and  
325 *Proteobacteria* phyla, and more precisely *Bacteroidaceae* and *Desulfovibrionaceae* families<sup>75</sup>.  
326 This increase was again confirmed in the study from Jin and colleagues, in which an increase  
327 in the genus *Bacteroides* was noticed in VDR KO animals<sup>76</sup>. In the murine study by Zhang *et al.*,  
328 investigating the effect of vitamin D deficiency on the metabolic improvements after vertical  
329 sleeve gastrectomy, they also analyzed the effects on the GM composition. The authors  
330 revealed that, despite known beneficial effects induced by the surgery itself, deficient levels  
331 of vitamin D were associated with limited improvements of the gut dysbiosis induced by the  
332 high-fat diet feeding. Indeed, the effects of the surgery, a decrease of *Firmicutes* and an  
333 increase of *Bacteroidetes* phyla abundance, were weaker in the group of vitamin-D-deficient  
334 animals post-surgery in comparison to the group with normal levels of vitamin D<sup>29</sup>.

335 The effect of B vitamins deficiency on the GM has also been recently studied in the  
336 context of metabolic diseases. In a recent study, the effects of low B6 vitamin levels on host  
337 phenotype and GM of weaning rats was explored<sup>77</sup>. The animals receiving a standard chow

338 diet with low levels of vitamin B6 displayed a decreased body weight and circulating  
339 triglycerides levels compared to control animals as well as changes in the GM composition.  
340 Indeed, an increase in *Lachnospiraceae* and a decrease of *Bacteroides* were observed in both  
341 male and female rats.

342 In patients with obesity, an association was found between low niacin (vitamin B3)  
343 intake and reduced alpha-diversity as well as levels of Bacteroidetes. These findings were  
344 particularly significant in subjects with obesity and insulin-resistance but not with overt type  
345 2 diabetes<sup>78</sup>. The authors suggested that in patients with type 2 diabetes, medications such as  
346 metformin known to modulate the GM<sup>79,80</sup> should be taken into account to explain those  
347 differences.

348 These collective findings illustrate the need to investigate the interplay between GM,  
349 vitamin and host metabolism. Yet, few studies investigated the effects of vitamin deprivation  
350 on the GM composition in the context of obesity and metabolic disorders. Considering the  
351 links between obesity, GM dysbiosis and alteration of vitamin status as presented above, it is  
352 important to study these factors to decipher the mechanisms involved.

353

#### 354 **Effect of vitamin supplementation on GM**

355

356 Recent interest regarding the effect of vitamin supplementation on the composition of  
357 the GM has led to an increase of publications on this topic. A recent review extensively  
358 summarized the effects of vitamins and other dietary micronutrients such as polyphenols and  
359 minerals on the composition of the GM<sup>81</sup>. Although no clear patterns of GM modulation can  
360 be identified from this review due to a relatively low number of studies for some vitamins and



361 a great variability in experimental designs, it seems that vitamin supplementation can induce  
362 significant changes in GM composition.

363 In the context of obesity, the establishment of multiple large cohorts in the last decade  
364 has allowed the collection of important data sets of microbiome analysis, clinical and lifestyle  
365 information. Data from the American Gut Project were used to assess the impact of vitamin B  
366 and/or D supplementation on the GM in groups of people with normal weight (NW) or  
367 overweight (OW)<sup>82</sup>. Despite no significant impact on alpha-diversity indexes in all groups, the  
368 vitamin supplementation alone had almost no effect in NW group but had beneficial impact  
369 in OW. Noteworthy, vitamin B supplementation induced a significant decrease in *Tenericutes*  
370 at the phylum level and several groups at the family level (*Mogibacteriaceae*,  
371 *Porphyromonadaceae*, *Coriobacteriaceae* and *Comamonadaceae*). All these bacterial families  
372 have been associated with infections, inflammation and even obesity in the literature,  
373 suggesting that vitamin B supplementation in the context of obesity could help decrease the  
374 abundance of pathogens in the GM<sup>83–86</sup>. Regarding vitamin D supplementation, effects on the  
375 GM composition were observed both in the NW and OW groups. In NW group, it induced a  
376 decrease of several families *Erysipelotrichaceae*, *Turicibacteraceae* and *Bifidobacteriaceae*.  
377 The same effect on this last beneficial family was observed among OW participants, as well as  
378 a decrease of pathogens (*Actinomycetaceae*) for this group. The effects of a combined vitamin  
379 B and D supplementation was also investigated and showed a decrease of *Lachnospiraceae*  
380 and *Enterobacteriaceae*, which have been shown to be associated with obesity and production  
381 of endotoxins respectively<sup>87,88</sup>.

382 Vitamin supplements, and in particular vitamin D, also had an important impact on the  
383 functionality of the GM<sup>82</sup>. In NW groups, there was a decrease in genes implicated in amino-  
384 acids biosynthesis and an increase of vitamin biosynthesis (related to vitamin B1 and B5) in

385 the GM of people supplemented with vitamin D. Those pathways were not impacted by  
386 vitamin D in the OW group. Here again, the association of the two vitamins had specific effect  
387 regarding the functional machinery of bacteria. The authors observed a decrease in the  
388 potential of amino acid consumption by GM and of the degradation of polysaccharides in the  
389 OW group. This paper supports the beneficial effects of vitamin D (alone or combined with  
390 vitamin B) on microbial communities in a context of overweight or obesity, although effects  
391 vary according to the severity of obesity.

392 Another recent trial of vitamin D supplementation over 16 weeks in overweight and  
393 patients with obesity showed modulation of the GM composition<sup>89</sup>. Despite no effect on  
394 alpha-diversity, vitamin D supplementation was associated with an increase of *Lachnospira*  
395 genus and a decrease of the abundance of *Blautia* genus in comparison to the group receiving  
396 placebo. Moreover, the authors observed a significant correlation between serum levels of  
397 25(OH)D and the abundance of *Coprococcus* genus. Yet, these studies remain quite small in  
398 size as only 17 people received vitamin D supplementation and 15 received the placebo in the  
399 Naderpoor study<sup>89</sup>. In the study from Jiang and colleagues, the groups of people with  
400 overweight supplemented with only vitamin D, or only vitamin B, or both vitamins comprised  
401 respectively 88, 16 and 214 individuals, and supplementation was based on dietary  
402 supplement intakes self-reported by patients<sup>82</sup>.

403 In a murine study of weaning male C57BL/6J, the effect of vitamin A supplementation  
404 in condition of standard chow diet (CD) or high fat high sugar diet (HFSD) was studied<sup>90</sup>.  
405 Vitamin A was administered in the form of retinol, directly incorporated to the diet. After 9  
406 weeks of follow-up, the supplementation with vitamin A (+VitA) limited HFSD-induced fat  
407 mass gain but was not able to reverse metabolic alterations induced by the diet. At the GM  
408 level, this study revealed that retinol supplementation prevented most of the compositional

409 changes induced by HFSD. First, vitamin A supplementation protected against the decrease of  
410 alpha-diversity induced by HFSD, as HFSD+VitA animals had alpha-diversity values to the level  
411 of the CD group. In CD groups, a decrease of alpha-diversity was observed in the vitamin A  
412 supplemented group, to the surprise of the authors. Second, HFSD-feeding led to a decrease  
413 of *Porphyromonadaceae* and *Mycoplasmataceae* and an increase of *Family XIII* in comparison  
414 to CD group. All these changes were prevented by administration of vitamin A, which in  
415 addition increased the abundance of *Lachnospiraceae*.

416 These studies reinforce the links between vitamins and GM as oral vitamin  
417 supplementation is reported to induce modifications of the GM composition.

418

#### 419 **Future strategies of vitamin status management in obesity**

420

421 Knowing the alteration of vitamin status in some patients with obesity, the obesity-  
422 associated dysbiosis and relationships observed between GM and vitamin metabolism,  
423 several strategies can be considered to optimize vitamin levels subjects with obesity.

424 Whereas obesity is often considered as a disease characterized by over-nutrition, it is  
425 also well recognized that there are issues of malnutrition, explaining at least partially  
426 inadequate vitamin intakes observed during obesity. Thus, a well-balanced and micronutrient-  
427 sufficient diet could help prevent deficiencies in some cases. In case of deficiency or sub-  
428 optimal levels, the oral vitamin supplementation also seems rational to rescue the host's  
429 vitamin status. However, most vitamins are absorbed in the small intestine and only small  
430 amounts of dietary intake reach the colonic bacterial ecosystem. Yet, as summarized in this  
431 review, many studies showed that vitamins can modulate the distal GM composition and have  
432 even been included in the definition of prebiotics by the International Scientific Association

433 for Probiotics and Prebiotics (ISAPP) in 2016. Thus, delivery tools allowing for oral vitamin  
434 supplementation specifically targeting the colon might be useful. A recent control trial  
435 investigated such systems to administer several vitamins (vitamin C, B2, E, D and A) in 96  
436 healthy volunteers and revealed modifications of the microbial composition and metabolic  
437 activity such as an increased of bacterial diversity and fecal short-chain fatty acids<sup>91</sup>. At the  
438 species level, the authors observed an increase in *Bifidobacterium longum* and  
439 *Anaerobutyricum hallii*, both studied for their beneficial effects in several health conditions<sup>91</sup>.  
440 Similar studies in patients with severe obesity would be helpful to further investigate the  
441 effects of vitamin supplementation in this context, also taking into account the potential risk  
442 of over-dosing vitamin supplementation.

443 Probiotic supplementation of strains known to produce vitamins can also be a strategy  
444 to rescue vitamin bacterial metabolism, altered in obesity. As reviewed by LeBlanc and  
445 colleagues, it has been demonstrated that specific bacterial strains are able to produce  
446 vitamins. For example, *Lactobacillus rhamnosus* GG can produce three B vitamins in culture  
447 medium which are vitamin B1, B2 and B9<sup>92</sup>. *Bifidobacterium adolescentis* DSM 18350<sup>93</sup> has  
448 been shown to increase vitamin B9 fecal levels in humans. For such a strategy, it is important  
449 to identify strains capable of complete *de novo* synthesis. As proposed by Engevik and  
450 colleagues, an alternative could be to rely on cross-feeding, mentioned above, and construct  
451 probiotic combinations of strains depending on one another for the production of  
452 intermediates involved in the vitamin synthesis<sup>94</sup>. It would be thus of interest to investigate  
453 how the host can benefit from these bacterial cross-talks. Yet, the long-term engraftment of  
454 probiotics remains a challenge for long-term therapeutic perspectives.

455 Prebiotics can influence the growth and metabolism of specific gut bacteria, thus, their  
456 use to promote bacterial vitamin production could also be envisaged. A recent *in silico* study

457 reported that inulin and short-chain fructo-oligosaccharides supplementation could increase  
458 the production of an intermediate of the vitamin D biosynthesis pathway<sup>95</sup>. This effect seems  
459 to be mediated by the secretion of short-chain fatty acids induced by the prebiotic  
460 supplementation. The authors thus suggest that prebiotic supplementation could help  
461 decrease vitamin D deficiency linked to insufficiency of this intermediate in this study.

462         Appropriate *in vivo* and clinical studies should be designed to confirm the potential use  
463 of these strategies in vitamin status management in severe obesity.

464

## 465 **Conclusion**

466         Increasing evidence of sub-optimal or even deficient blood concentrations of a number  
467 of vitamins in obesity and metabolic alterations have been consistently reported. There is  
468 therefore a clinical need to increase the awareness of medical care givers and patients  
469 regarding vitamin status, especially for long-term follow-up and in the context of nutritional  
470 or surgical interventions. The reported evidence of modulations of both bacterial vitamin  
471 production and GM composition and functionality in condition of vitamin depletion and  
472 supplementation reinforce the links between vitamins and GM. In this direction, the GM  
473 dysbiosis observed in metabolic alterations and obesity could participate to the altered  
474 vitamin host status observed in several cohorts. The restoration of a functional ecosystem via  
475 a balanced diet, colon-targeted vitamin, probiotic or prebiotic supplementation of either  
476 vitamin-producing single strains or combination of strains relying on cross-feeding (Figure 1)  
477 can thus contribute to an improved vitamin metabolism and status. The potential effects on  
478 metabolic health of such strategies should also be studied as well as the mechanisms involved  
479 in these interactions between vitamins, gut microbiota and metabolic health.

480

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Table 1 – Functions, recommended levels and deficiency consequences of B and D vitamins - Adapted from *Vitamin and mineral requirements in human nutrition* <sup>96</sup>

Vitamin	Name	Function	Daily recommended amounts	Optimal circulating levels	Deficiency biochemical diagnosis	Deficiency consequences
Vitamin B1	Thiamin	Coenzyme functions in metabolism of carbohydrates and branched-chain amino acids	Men: 1.2 mg/Women: 1.1mg	75-195 nmol/L (Whitfield et al., 2018)	Thiamine Pyrophosphate effect (TPPE) > 25% (WHO,1999)	Beriberi, polyneuritis and neurological symptoms
Vitamin B2	Riboflavin	Coenzyme functions in numerous oxidation and reduction reactions	Men: 1.3 mg/Women: 1.0 mg	100-650 nmol/L	Erythrocyte glutathione reductase activation coefficient (EGRAC) >1.80 (Lanyau-Dominguez et al., 2020)	Growth, cheilosis, angular stomatitis, and dermatitis
Vitamin B3	Niacin	Cosubstrate/coenzyme for hydrogen transfer with numerous dehydrogenases	Men: 16 mgNEs/Women: 14 mgNEs (Niacin equivalents)	4100-69000 nmol/L	Urinary excretion of N1-methylnicotinamide (NMN) < 5.8 µmol (Redzic and Gupta, 2021)	Pellagra with diarrhoea, dermatitis, and dementia
Vitamin B5	Pantothenic acid	Constituent of coenzyme A and phosphopantetheine involved in fatty acid metabolism	Men: 5.0 mg/Women: 5.0 mg	1600-2700 nmol/L (NIH)	Serum : <1000 nmol/L (NIH)	Fatigue, sleep disturbances, impaired coordination and nausea
Vitamin B6	Pyridoxine	Coenzyme functions in metabolism of amino acids, glycogen, and sphingoid bases	Men: 1.3 mg/Women: 1.3 mg	20-200 nmol/L (NIH)	Serum: <20 nmol/L (NIH)	Nasolateral seborrhoea, glossitis and peripheral neuropathy
Vitamin B7	Biotin	Coenzyme functions in bicarbonate-dependent carboxylations	Men: 30 µg /Women: 30 µg	0.8-1.7 nmol/L	Serum: <0.8 nmol/L	Fatigue, depression, nausea, dermatitis, and muscular pains
Vitamin B9	Folate	Function in producing genetic material (RNA, DNA) and amino acids	Men: 400 µg/ Women: 400 µg	> 6.8 nmol/L	< 6.8 nmol/L	Anemia, digestive and neurological disorders
Vitamin B12	Cobalamin	Functions in the development, myelination and function of the central nervous system	Men: 2.4 µg/Women: 2.4 µg	0,185-0,600 pmol/L	Serum: < 0,18 nmol/L	Anemia, fatigue, weakness
Vitamin D	Calciferol	Maintenance of normal blood level of calcium and phosphate	Men: 10 µg/Women: 10 µg	100-200 nmol/L	Serum: <75 nmol/L	Fatigue, bone pain, muscle weakness, depression

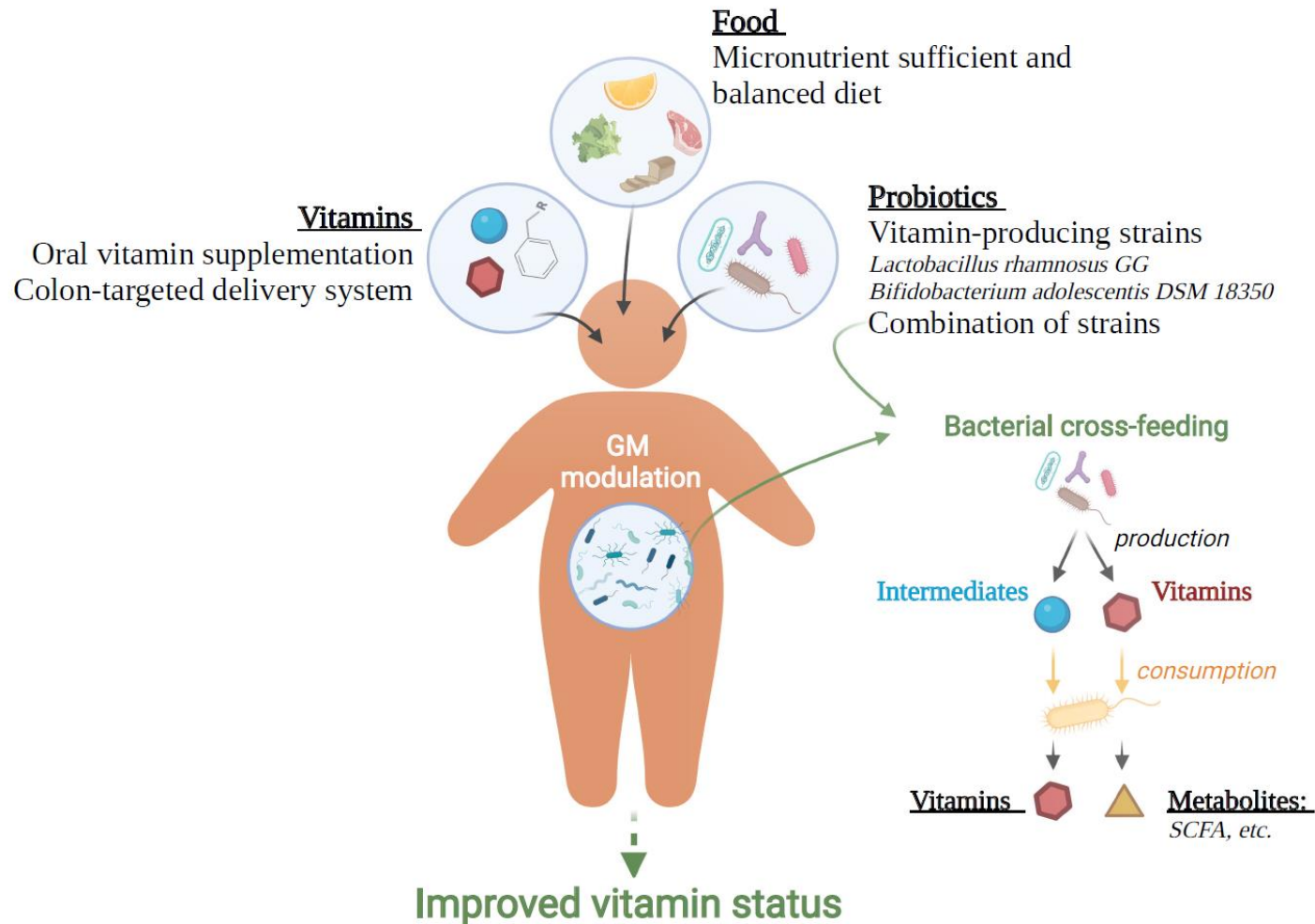


Figure 1 – **Intended strategies for host vitamin status improvement in obesity and metabolic alterations.** Improvement of vitamin metabolism can be envisioned via nutritional intervention with micronutrient sufficient and balanced diet, but also oral vitamin supplementation, for example via colon targeted system to deliver the vitamin directly to the gut microbial ecosystem and finally via probiotic administration of either single



strains known to synthesize a specific vitamin or a bacterial community capable of cross-feeding to synthesize both vitamins and other metabolites such as short-chain fatty acids (SCFA) - *Created with BioRender.com*

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