

# Investigation of the homogeneity of energy conversion processes at dipolarization fronts from MMS measurements

S. W. Alqeeq, O. Le Contel, Patrick Canu, Alessandro Retinò, Thomas Chust, Laurent Mirioni, L. Richard, Y. Aït-Si-Ahmed, A. Alexandrova, A. Chuvatin,

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#### ABSTRACT

We report on six dipolarization fronts (DFs) embedded in fast earthward flows detected by the Magnetospheric Multiscale mission during a 35 substorm event on 23 July 2017. We analyzed the Ohm's law for each event and found that ions are mostly decoupled from the magnetic 36 37 field by the Hall fields. However, the electron pressure gradient term is also contributing to the ion decoupling and likely responsible for an electron decoupling at DF. We also analyzed the energy conversion process and found that the energy in the spacecraft frame is transferred 38 39 from the electromagnetic field to the plasma  $(\mathbf{J} \cdot \mathbf{E} > 0)$  ahead or at the DF, whereas it is the opposite  $(\mathbf{J} \cdot \mathbf{E} < 0)$  behind the front. This 40 reversal is mainly due to a local reversal of the cross-tail current indicating a substructure of the DF. In the fluid frame, we found that the energy is mostly transferred from the plasma to the electromagnetic field  $(\mathbf{J} \cdot \mathbf{E}' < 0)$  and should contribute to the deceleration of the fast 41 flow. However, we show that the energy conversion process is not homogeneous at the electron scales due to electric field fluctuations likely 42 43 related to lower-hybrid drift waves. Our results suggest that the role of DF in the global energy cycle of the magnetosphere still deserves 44 more investigation. In particular, statistical studies on DF require to be carried out with caution due to these electron scale substructures.

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#### 45 I. INTRODUCTION

Fast plasma flows in the magnetotail have been investigated for a 46 47 long time thanks to in situ space measurements. They contribute significantly to the energy, plasma, and magnetic flux transports in the 48 49 Earth's magnetosphere.<sup>1-4</sup> They are thought to be generated by magnetic reconnection,<sup>5-7</sup> kinetic ballooning interchange instability,<sup>8</sup> or 50 51 low entropy magnetic flux tubes;<sup>9</sup> they can be related to a global scale substorm activity or appear as isolated structures. Dipolarization fronts 52 53 (DFs), which are mostly characterized by a sharp and transient 54 increase in the normal component (northward) of the magnetic field 55 in the magnetotail, are formed by the plasma flow propagation or can be also embedded in the flow. The sharp increase in the magnetic field 56 57 is often interpreted as the magnetic field pile up behind the front. 58 These fronts can be also preceded by a decrease in the normal component.<sup>10-12</sup> The whole spatial scale of DF is about few ion inertial 59 60 lengths  $(c/\omega_{pi})$ , where  $\omega_{pi}$  is the ion plasma frequency).<sup>13–15</sup> A recent review by Fu et al. has focused on their important role in particle accel-61 eration mechanisms.<sup>16</sup> 62

Angelopoulos et al. suggested that the DF could play an impor-63 tant role in the energy conversion process due to their large scale prop-64 agation through the Earth's magnetosphere.<sup>17</sup> Based on data from the 65 THEMIS mission, they showed that energy conversion occurs within 66 67 an electron scale current sheet (1-10 electron inertial lengths) gener-68 ated by DF propagation. Integrated all along the propagation mostly 69 along the X geocentric solar magnetospheric (GSM) direction and 70 assuming a transverse Y–Z section of about 10  $R_F^2$ , the authors suggested that DFs are able to provide a macroscopic energy conver-71 72 sion. Therefore, the estimate of the energy conversion at DFs seems 73 to be crucial to understand the global energy cycle in the Earth's 74 magnetosphere.

75 This question is also fundamental for the fast flow propagation itself. Indeed, as the fast flow propagates, the fraction of energy that it 76 77 can lose due to various energy conversion processes contributes to its 78 braking. Using THEMIS data, Chaston et al.<sup>18</sup> suggested that kinetic 79 Alfvén waves continually radiated toward the auroral region by fast flows during their earthward propagation can extract the total kinetic 80 energy from the flows. Later Hamrin et al.<sup>19</sup> found indications of fast 81 82 flow decelerations in the range -25 < X < -15 R<sub>E</sub> and investigated 83 the related energy conversion processes by computing the  $J \cdot E$  term 84 where (J is the current density and E the electric field in the spacecraft 85 frame). Thanks to a superposed epoch analysis applied on Cluster data, they found that fast flows with a velocity peak behind the front 86 87 are decelerated and that energy is radiated, i.e., converted from particles to fields, whereas, when the velocity peak is detected ahead or at 88 89 DF, no braking signature is detected and energy is transferred from fields to particles (dissipation). Still from statistical analysis of 2003 90 Cluster data corresponding to an average subproton scale spacecraft 91 separation of 200 km, Huang et al.<sup>20</sup> found that the energy was signifi-92 93 cantly transferred from the fields to the plasma at DFs. More recently, 94 using data gathered during the Magnetospheric Multiscale (MMS) 95 commissioning phase and with a better time resolution for particle measurements (150 ms for ions, 30 ms for electrons), Yao et al.<sup>21</sup> 96 97 showed that electron contribution to the DF current density is signifi-98 cant (60% of ions) and produced by the diamagnetic effect. With 99 regard to the energy conversion, they found that the field energy is 100 transferred to the plasma in the spacecraft frame though the velocity 101 peak is detected behind the DF. In the fluid frame (ion or electron),

they pointed out that the energy transfer is from particles to fields. 102 Later Liu et al.<sup>22</sup> showed that ion scale DFs can be also associated with 103 electron scale current sheets. They specify that although their DF event 104 corresponded primarily to an energy transfer from fields to particles, 105 the electron scale currents could also lead to radiating the plasma 106 energy. Such electron scale DF substructures were also reported in pre- 107 vious studies and attributed to the lower-hybrid drift instability grow-108 ing in the density gradient region<sup>23–26</sup> leading to ripples on the DF.<sup>27</sup> 109 Later, these results were confirmed by a statistical study carried out by 110 Zhong et al.<sup>28</sup> based on 122 DF events detected by MMS in the magne- 111 totail. The contribution of broad band high-frequency waves (with 112 frequencies between the electron gyrofrequency and the plasma fre- 113 quency) was also investigated and shown to be up to 10% of the total 114 energy conversion at DF.<sup>26</sup> Finally, Zhang et al.<sup>29</sup> suggested that both 115 Joule dissipation via parallel and perpendicular currents and radiated 116 energy by kinetic Alfvén waves contribute to the fast flow slowdown. 117

Energy conversion processes have also been investigated recently 118 by 3D kinetic particle-in-cell (PIC) simulations. The role of the lower- 119 hybrid drift instability rising at DFs was also investigated and pointed 120 out as a significant element of the DF dynamics.<sup>30</sup> Later, comparing 121 3D PIC simulation results and Cluster observations Khotyaintsev et al. 122 concluded that the energy dissipation in the satellite (Earth) frame was 123 mainly due to the motional electric field and the ion contribution to 124 the current, suggesting that LHDI was not contributing to the energy 125 conversion process. They found almost no energy conversion in the 126 DF frame (defined by using the ion velocity at the DF).<sup>31</sup> Using recent 127 theoretical developments in turbulence studies by Yang et al.,<sup>32</sup> which 128 allow to disentangle ion and electron contributions, Sitnov et al.<sup>33</sup> showed that ions are heated at and ahead of DFs, whereas electrons 130 are heated at and behind due to the long-wavelength lower-hybrid 131 drift instability; therefore, both contributions lead to an important 132 energy dissipation. Finally, Nakamura *et al.*<sup>34</sup> also carried out 3D PIC 133 simulations and reported that energy is dissipated in the electron 134 frame at DFs within the density gradient layer due to the lower-hybrid 135 instability. Their numerical results were shown to be in good agree- 136 ment with the recent MMS observations described by Liu et al. 137 although the energy conversion term was estimated in the electron 138 frame for the simulations and in the satellite frame for the 139 observations.2 140

In the present study, we investigate the energy conversion pro-141 cesses for six DFs embedded in fast earthward flows detected by MMS 142 on 23 July 2017. Data and methods are described in Sec. II. An overview of basic DF properties is presented in Sec. III. In Sec. IV, we present a cross-validation of current density calculations and of Hall 145 electric fields. Ion and electron dynamics are investigated thanks to the Ohm's law in Sec. V; then, the energy conversion processes at the vicinity of these six DFs are scrutinized in Sec. VI. Finally, we summarize and discuss the global results of this study in Sec. VII. 149

#### II. DATA AND METHODS A. Data

In the present study, we analyze the various physical quantities 152 measured by the MMS instrument suite.<sup>35,36</sup> DF properties are characterized thanks to the magnetic field measurements provided by the 154 fluxgate magnetometer (FGM) with a sampling frequency of 128 Hz 155 in burst mode,<sup>37</sup> the electric field measurements (EDP) sampled at 156 32 Hz in fast survey mode,<sup>38,39</sup> the ion and electron moment 157

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measurements provided by the fast plasma investigation suite (FPI) 158 sampled at 150 and 30 ms, respectively.<sup>40</sup> However, due to the very 159 low density in the magnetotail  $(<0.05 \text{ part} \cdot \text{cm}^{-3})$ , we have used the 160 electron partial moments provided by the FPI team for which the inte-161 162 gration of the distribution function starts at the minimum energy of 163 100 eV. Furthermore, in order to reduce even more the noise on electron moments, we have time averaged the electron data at 0.3 s. 164 Hence, all results shown in this study are based on data with a 0.3 s 165 time resolution. Background noise produced by energetic electrons 166 167 penetrating the ion detectors has been subtracted from ion FPI measurements as recommended by the FPI team.<sup>41</sup> The upper energy limit 168 169 of FPI is 30 keV; therefore, ion moment calculations can be still inac-170 curate in the magnetotail where ions can be more energetic, as we will see by comparing them with the particle measurements from the hot 171 plasma composition analyzer (HPCA), which has a higher energy cut-172 173 off and a time resolution of 10 s.42

174 Throughout the paper, current densities from FPI measurements 175  $(\mathbf{J}_{part} = en_e(\mathbf{v}_i - \mathbf{v}_e))$  are computed using single spacecraft data, 176 which have been time averaged at 0.3 s. Also, we compute a fourspacecraft average of these single satellite current densities in order to 177 compare with the current estimated from the curlometer technique<sup>43</sup> 178 given by  $\mathbf{J}_{curl} = (\nabla \times \mathbf{B})/\mu_0$ . This comparison allows us to verify the 179 180 reliability of the particle moments despite the instrumental issues 181 mentioned above. The use of HPCA proton moments in the current density calculations does not modify the results as the current is most 182 183 of the time dominated by the electron motion.

Finally, data used in the present study were gathered by MMS on the 23 July 2017 when the constellation was located on the dusk side of the magnetotail [X = -23.9, Y = 5.8, Z = 5.4] Earth radii  $(R_E)$  in the geocentric solar ecliptic coordinate system (GSE). The average spacecraft separation was about 15 km, i.e., close to the scale of the average electron Larmor radius during this period (in average between 40 and 60 km).

Between 16:45 and 17:15 UT, MMS detected successive fast
earthward flows, which occurred during a substorm period as indicated by the auroral electrojet—AE index ~400 nT (courtesy of Kyoto
World data Center for Geomagnetism: http://wdc.kugi.kyoto-u.ac.jp/
ae\_provisional/201707/index\_20170723.html).

195 In Sec. III, six DF signatures embedded in these fast flows are 196 described.

#### 197 B. Methods

198 DFs can be described locally (at the scale of a single satellite) as 1D tangential discontinuities.<sup>11,23</sup> Therefore, DF signatures are usually 199 displayed in a local coordinate system obtained from a minimum vari-200 ance analysis<sup>44</sup> applied on magnetic fields data (MVAB) of a single 201 spacecraft<sup>20,22</sup> and/or from a timing analysis in case of a multi-202 203 spacecraft missions.<sup>45</sup> MVAB is applied over the time period corresponding to the sharp increase of northward component  $(B_z)$  of the 204 205 four spacecraft average of the magnetic field measurement. MVAB applied on single spacecraft magnetic field data gives similar LMN 206 207 frames. Note that when additional structures ahead or behind the DF 208 are identified, they are excluded to the time period used for MVAB.

#### 209 III. OVERVIEW OF CLASSICAL DF PROPERTIES

In this section, we describe the global properties of six DF events,
each one embedded in a fast earthward flow detected by MMS
between 16:45 and 17:15 UT.

Figure 1 shows these six DF events denoted DF1, DF2a,b, 213 DF3a,b, and DF4 in their respective LMN frame obtained from the 214 MVAB. For each event, the MVAB results are summarized in Table I, 215 and the time period used is indicated. From these MVAB results, we 216 define L, M, and N vectors as maximum, intermediate, and minimum 217 variance directions, respectively. We have verified that the ratio 218 between the three corresponding eigenvalues,  $\lambda_1$ ,  $\lambda_2$ ,  $\lambda_3$ , is sufficiently 219 large (>10 in average though three ratios are between 2 and 10) to 220 indicate that the three directions are well separated (see Table I). Table 221 II shows the components of the normal estimated by a timing analysis 222 as well as the velocity along the normal in GSE. The estimated thick- 223 ness  $\delta$  of each DF event is also given (in km and in d<sub>p</sub> the ion inertial 224 length estimated based on the plasma sheet density prior to respective 225 DF arrival) by multiplying the normal DF velocity by the time interval 226 between the minimum and maximum of  $B_L$ .<sup>31</sup> Note that in accordance 227 with the propagation direction given by timing analysis, the orienta- 228 tion of the N vector of the MVAB was set to be positive (earthward) 229 and L always oriented northward leading to M directed dawnward. <sup>230</sup> Normal directions obtained from the two methods are qualitatively 231 consistent and indicate that DFs are mainly oriented earthward 232 (along X GSE), some DFs having a significant duskward component 233 (along Y GSE) and southward component (along -Z GSE). DF 234 normal velocities range from 135 to 481 km/s. As the angle between 235 the DF2a and DF2b normals (respectively, DF3a and DF3b) is 236  $\sim$ 12.7° (respectively,  $\sim$ 22.2°), and for the sake of simplicity, only 237 DF2a and DF3a LMN frames are used for plotting DF2 and DF3 238 periods. We checked that similar results are obtained when individ- 239 ual LMN frames are used. The estimated thickness of the DFs 240 ranges from 0.98 to 3.78 d<sub>i</sub> as found in previous THEMIS,<sup>11</sup> 241 Cluster,<sup>14,46</sup> and MMS<sup>15,21,22,31</sup> studies. 242

Figure 1 displays ion scale properties of these six DFs. Magnetic 243 field components and magnitude are plotted in Fig. 1(a), FPI ion 244 velocity components and the N component of the HPCA velocity 245  $(V_{H+})$  are shown in Fig. 1(b), ion and electron temperatures are 246 shown in Fig. 1(c), electron density us shown in Fig. 1(d), and finally 247 ion and electron pressure variations are shown in Fig. 1(e). These 248 six DF events are identified by a vertical red dashed line (maxi- 249 mum of the  $B_I$  component). Vertical black dashed lines indicate 250 possible signatures of flux ropes (large increase in the total mag- 251 netic field due to an increase in the cross-tail M component, asso- 252 ciated with a bipolar signature of another component) ahead of 253 these DF signatures. The detailed description of these flux ropes is 254 beyond the scope of this study. They are mentioned as they can 255 drive their own energy conversion processes as we will see in the 256 next sections. 257

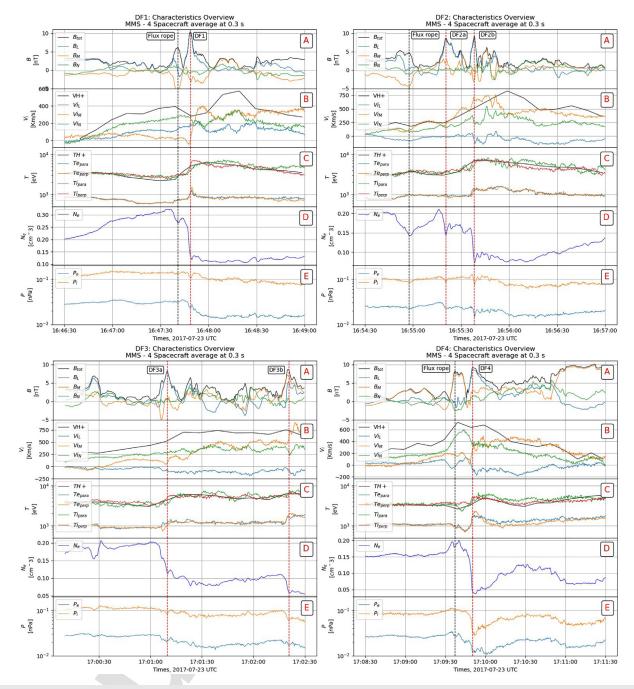
The six DF signatures can be considered to belong to category A, 258 the most common category, of the DF classification established from a 259 statistical study based on 303 events detected by the Cluster mission.<sup>12</sup> 260 Indeed, the latter study created four large categories to which DF is 261 linked according to their magnetic field, ion density, velocity, temperature, and pressure variations during the DF crossing. Category A, the 263 most common, corresponds to DFs with a density decrease [see 264 Fig. 1(d)] and a temperature increase [see Fig. 1(c)] consistent with the 265 transition between a relatively cold dense plasma at rest with respect 266 to a hot tenuous fast moving plasma. Note that the HPCA  $V_N$  velocity 267 is always much larger than FPI  $V_N$  [see Fig. 1(b)], confirming that FPI 268 instrument underestimates the velocity of the earthward flow due to 269

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**FIG. 1.** Six DF signatures (vertical red dashed line) denoted DF1, DF2a,b, DF3a,b, DF4 in their respective LMN frame, all data are averaged over the four satellites then time averaged at 0.3 s. For each event, panel (a) shows the magnetic field components and its magnitude, (b) the components of ion velocity from FPI and the *N* component of the  $V_{H+}$  HPCA velocity, (c) the electron and ion temperatures from FPI with the isotropic proton temperature from HPCA, (d) the electron density, (e) the ion and electron pressures from FPI. Vertical black dashed lines indicate possible flux rope signatures (see text).

270 its limited upper energy. Moreover, the maximum of the  $V_N$  compo-271 nent of the ion velocity is always located behind the DF associated 272 with the maximum of  $B_L$ , which according to Hamrin *et al.*<sup>19</sup> results 273 should, therefore, correspond to decelerated DFs with a significant part of the energy being radiated. Furthermore, in such conditions, Fu274et al. showed that these DFs correspond to growing magnetic flux pile-275up region (innermost flux tubes being pushed by faster outermost flux276tubes leading to the compression of the magnetic field) causing the277

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TABLE I. Minimum variance analysis (MVAB) results: Eigen value ratios and vectors (in GSE)

| DF   | UT                | $rac{\lambda_M}{\lambda_N}$ | $\frac{\lambda_L}{\lambda_N}$ | L                | М                   | Ν                 |
|------|-------------------|------------------------------|-------------------------------|------------------|---------------------|-------------------|
| DF1  | 16:47:45/16:47:50 | 5.69                         | 450.62                        | 0.14, 0.63, 0.76 | 0.13, -0.78, 0.62   | 0.98, 0.01, -0.19 |
| DF2a | 16:55:10/16:55:25 | 75.67                        | 813.54                        | 0.06, 0.47, 0.88 | 0.64, -0.70, 0.33   | 0.77, 0.54, -0.34 |
| DF2b | 16:55:35/16:55:36 | 19.6                         | 14218.5                       | 0.08, 0.72, 0.69 | 0.60, -0.59, 0.54   | 0.8, 0.37, -0.48  |
| DF3a | 17:01:03/17:01:09 | 42.25                        | 103.88                        | 0.01, 0.59, 0.81 | 0.61, -0.64, 0.47   | 0.79, 0.49, -0.36 |
| DF3b | 17:02:18/17:02:19 | 29.62                        | 186.86                        | 0.6, -0.52, 0.61 | -0.20, -0.83, -0.52 | 0.78, 0.19, -0.60 |
| DF4  | 17:09:45/17:09:52 | 58.12                        | 581.82                        | 0.32, 0.06, 0.95 | 0.77, -0.61, -0.22  | 0.56, 0.79, -0.24 |

TABLE II. Timing analysis results: Normal vectors and velocity (in GSE) with estimated DF thickness  $\delta$ .

| DF   | UT                | $(n_x, n_y, n_z)$ | $(Vn_x, Vn_y, Vn_z)$ | Vn (km/s) | $\delta$ (km) | $\delta$ (d <sub>i</sub> ) |
|------|-------------------|-------------------|----------------------|-----------|---------------|----------------------------|
| DF1  | 16:47:45/16:47:50 | 0.95, 0.30, -0.09 | 186, 59, -18         | 196       | 588           | 1.34                       |
| DF2a | 16:55:10/16:55:25 | 0.95, 0.27, -0.13 | 129, 36, -17         | 135       | 811.98        | 1.63                       |
| DF2b | 16:55:35/16:55:36 | 0.86, 0.17, -0.48 | 241, 49, -135        | 281       | 561.42        | 0.98                       |
| DF3a | 17:01:03/17:01:09 | 0.60, 0.72, -0.35 | 289, 345, -169       | 481       | 1924.92       | 3.78                       |
| DF3b | 17:02:18/17:02:19 | 0.34, 0.30, -0.89 | 124, 111, -327       | 367       | 587.536       | 0.81                       |
| DF4  | 17:09:45/17:09:52 | 0.54, 0.83, -0.14 | 251, 390, -63        | 468       | 1871.72       | 3.67                       |

acceleration of electrons by the betatron effect.<sup>47</sup> Finally, from Fig.
1(e), one can see that for electrons, the DF always corresponds to a transition between a high pressure to a low pressure region, whereas
for the ions, it mostly corresponds to a transient pressure reduction
except for DF4. Therefore, at the DF crossing, the electron pressure
gradient can be expected to increase strongly.

# IV. CURRENT DENSITY AND HALL ELECTRIC FIELDCOMPARISONS

As mentioned in Sec. II, plasma conditions in the magnetotail 286 287 can make the particle moment measurements difficult. One way to verify the reliability of these measurements is to compare the current 288 densities computed from ion and electron moments averaged over the 289 290 four individual spacecraft with those estimated independently from 291 the magnetic field data at the same time resolution (0.3 s) using the 292 curlometer technique. Figure 2 shows such comparisons for the cur-293 rent densities  $(\mathbf{J}_{part} = en_e(\mathbf{v}_i - \mathbf{v}_e)$  vs  $\mathbf{J}_{curl} = (\nabla \times \mathbf{B})/\mu_0$  and the Hall electric fields  $(J_{part} \times B/(ne) \text{ vs } J_{curl} \times B/(ne))$  estimated for 294 295 each DF event in their own LMN frame. Figures 2(a)-2(c) for each event demonstrate good agreement between the two current density 296 measurements within an accuracy of about <10 nA/m<sup>2</sup>. Indeed, con-297 sidering an accuracy of 0.1 nT for the magnetic field measurement,<sup>3</sup> 298 299 the accuracy of the current density measurements from the curlometer with a spacecraft separation of 15 km can be roughly estimated to 5 300 nA/m<sup>2</sup>. The current density accuracy from the particle measurement 301 is estimated to 8 nA/m<sup>2</sup> (see Sec. VI for more details). In similar man-302 ner, Figs. 2(d)-2(f) confirm that Hall fields estimated from both cur-303 304 rents are in good agreement, within an accuracy of 1 mV/m. However, 305 a large discrepancy between the two Hall field calculations can be 306 found in the low density region and when current densities are smaller than or close to their error bars and oscillate around 0. In such 307

conditions, the error on the current density measurement is amplified 308 by the low density and leads to a large error on the Hall field calculation [e.g., Fig. 2(e), for DF4]. 310

Furthermore, we can identify each DF with their negative peak in 311  $J_M$  (increase in cross-tail duskward current) associated with the bipolar 312 signature of the *N* component of the Hall electric field. This latter is 313 mostly produced by the reversal of  $J_M$  just behind the DF,  $B_L$  remain-314 ing positive [see Figs. 2(a) and 2(b)]. This Hall field is expected due to 315 the ion inertial scale of the DF, which leads ions to be decoupled from 316 the magnetic field. However, its reversal seems to be related to an elec-317 tron scale current density shear flow at the DF or to a possible electron 318 vortex signature. 319

#### V. ANALYSIS OF OHM'S LAW

The precise analysis of all terms in the generalized Ohm's law, 321 estimated from *in situ* measurements, allows us to identify the regions 322 where the plasma decouples from the magnetic field and kinetic effects 323 become important. It also leads to a better understanding of which 324 term plays the most important role in the energy conversion process. 325 Previous analyses related to fast plasma flows in the magnetotail have 326 been carried out using measurements from the four Cluster satellites 327 (4 s time resolution).<sup>48</sup> The authors suggested that anomalous resistiv- 328 ity term arising from electromagnetic field fluctuations and Hall term 329 played a dominant role in the breakdown of the frozen-in condition. 330 Using both single and multi-satellite methods, it was confirmed that 331 Hall and electron pressure gradient terms contribute to ion decoupling 332 at DF although Hall term was indeed dominant.<sup>14</sup> High time and spa- 333 tial MMS resolutions allow analysis of Ohm's law at kinetic scales, 334 which are relevant at DF.<sup>21,22</sup> Assuming a possible anomalous resistiv- 335 ity  $\eta$  for collisionless plasmas, the generalized Ohm's law is written as

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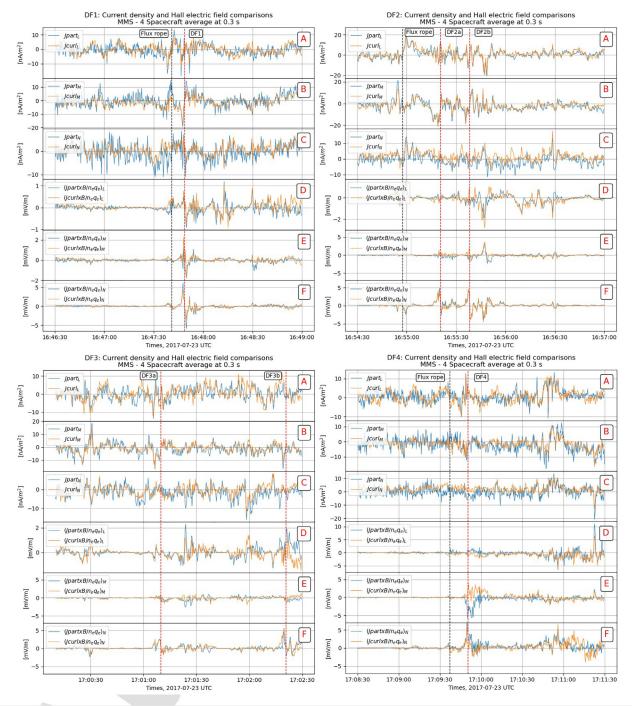
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**FIG. 2.** For each DF event in its respective *LMN* frame, comparison between current densities calculated by using  $\mathbf{J}_{part} = en_{\theta}(\mathbf{v}_i - \mathbf{v}_{\theta})$  and  $\mathbf{J}_{curl} = \nabla \times \mathbf{B}/\mu_0$ : (a) along *L*, (b) along *M*, (c) along *N*, and Hall electric field comparison between two computations  $\mathbf{J}_{part}/(en_{\theta})$  and  $\mathbf{J}_{curl}/(en_{\theta})$ : (d) along *L*, (e) along *M*, and (f) along *N*.

$$\mathbf{E} + \mathbf{v}_{\mathbf{e}} \times \mathbf{B} = -\frac{1}{en} \nabla \cdot \mathbf{P}_{\mathbf{e}} - \frac{m_e}{e} \frac{d\mathbf{v}_{\mathbf{e}}}{dt} + \eta \mathbf{J}, \tag{1}$$

where v<sub>e</sub>, P<sub>e</sub> are the electron velocity and pressure tensor, respectively.
One writes equivalently

 $\mathbf{E} + \mathbf{v}_{\mathbf{i}} \times \mathbf{B} = \frac{\mathbf{J} \times \mathbf{B}}{en} - \frac{1}{en} \nabla \cdot \mathbf{P}_{\mathbf{e}} - \frac{m_e}{e} \frac{d\mathbf{v}_{\mathbf{e}}}{dt} + \eta \mathbf{J}, \qquad (2)$ 

where  $\mathbf{v}_i$  is the ion velocity.

In the dayside region, where the plasma density is on average  $^{340}$  larger than in the magnetotail and at the vicinity of the electron  $^{341}$ 

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diffusion region, all terms can be estimated with good accuracy and 342 343 the validity of the Ohm's law can be tested. Pressure gradient and iner-344 tial terms are found to have significant contributions without excluding the existence of an anomalous resistivity term due to high-345 frequency electric field fluctuations.<sup>49</sup> In the low density magnetotail 346 347 (<1 part·cm<sup>-3</sup>) and in the vicinity of DFs, electron pressure gradient and inertial terms are difficult to estimate and quite noisy even after 348 time averaging.<sup>22</sup> For each DF event, we have computed both terms. 349 350 The inertial term is negligible, whereas the divergence of the electron 351 pressure tensor is larger, but still very noisy. Therefore, in the rest of the study, only convective and Hall terms are shown. No anomalous 352 353 resistivity will be considered, yet the electron pressure gradient term will be estimated by a single satellite method. All data are averaged 354 355 over the four satellites.

Figure 3 shows the comparison between the ideal ion frozen-in 356 357  $(\mathbf{E} + \mathbf{v}_i \times \mathbf{B})$  and the Hall electric field  $(\mathbf{J}_{part} \times \mathbf{B}/(en))$  terms in 358 LMN coordinates. For all events, ions are decoupled in the vicinity of the DF by the Hall electric field. However, the difference between the 359 360 two terms can exceed 2 mV/m, which suggests that electron pressure gradient term is not negligible in these regions despite the difficulty 361 362 to estimate it from the four satellite measurements.

AO4 368

Figure 4 shows the comparison between the ideal electron 363 frozen-in term ( $\mathbf{E} + \mathbf{v}_e imes \mathbf{B}$ ) and the ideal ion frozen-in plus the Hall 364 term computed from curlometer ( $\mathbf{E} + \mathbf{v}_i \times \mathbf{B} - \mathbf{J}_{curl} \times \mathbf{B}/(en)$ ). One 365 can see that electrons are mostly magnetized as the ideal frozen-in 366 367 term does not exceed 1.7 - 2 mV/m, which is the order of the error bar of the E' measurement (see Sec. VI for details about the error 369 bars). However, at the DF, this term is very close to or exceeds the error bar. This suggests that electrons could be decoupled from the 370 magnetic field. It is difficult to confirm that this decoupling is due to 371 372 the larger pressure gradient at DF since the calculation of the diver-373 gence of the electron pressure tensor is very noisy for such low density 374 plasma conditions.<sup>2</sup>

375 However, single satellite methods can be applied to estimate 376 the possible effect of the electron pressure gradient term at the DF.<sup>1</sup> <sup>4,21</sup> Using the DF velocity obtained from the timing analysis, 377 378 one can consider that the time variations of the pressure in the 379 spacecraft frame along the normal direction are mostly due to the normal pressure gradient:  $\partial P_e / \partial t \sim V_{DF} \partial P_e / \partial N$ . Figures 3(c) and 380 4(c) show this calculation (green line) based on four spacecraft 381 382 averaged quantities. These figures confirm that the electron pres-383 sure gradient term is small but not negligible compared to the ideal 384 frozen-in and Hall field terms. Note that for DF2a and DF2b 385 (respectively, DF3a and DF3b), we have used the smallest estimated  $V_N$ . Therefore, the gradient term is overestimated for the fastest 386 DFs (see Table II). At the vicinity of the DF crossing and along the 387 normal direction, this raw estimate allows us to suggest that the 388 389 departure between the ion frozen-in term and the Hall field (3C) 390 and the non-zero electron frozen-in term (4C) are caused by the electron pressure gradient. 391

#### VI. ENERGY CONVERSION PROCESS AT THE DF 392

393 The energy conversion processes can be studied by computing 394 the j · E term present in the electromagnetic energy conservation equa-395 tion.<sup>50</sup> The  $\mathbf{j} \cdot \mathbf{E}$  term governs the exchanges between electromagnetic and kinetic (thermal and bulk flow) energies in the laboratory or 396 397 spacecraft frames. Positive values correspond to a load, whereas negative values correspond to a generator.<sup>20,36,50</sup> Figure 5 shows the 398 magnetic and the electric field components, and the current density 399 components computed from particle measurements and the corre- 400 sponding  $\mathbf{j} \cdot \mathbf{E}$  term for each DF event. For all DF events, the DF is 401 associated with a positive  $\mathbf{j} \cdot \mathbf{E}$  slightly ahead or at the DF, therefore, to 402 an energy transfer from fields to the plasma (dissipation) in the space- 403 craft frame. However, a negative value with an equivalent amplitude is 404 measured immediately behind the front, indicating an energy transfer 405 from the plasma to the electromagnetic field. When we calculate sepa- 406 rately the three terms of the scalar product using the LMN coordinates, 407 we can see that the main contribution comes from the cross-tail cur- 408 rent and electric field components ( $J_M \cdot E_M$ , not shown). Furthermore, 409 the negative part of the energy conversion term is mostly due to the 410 local reversal of the  $J_M$  component while  $E_M$  related to the flow motion 411 remains positive. Note that the large variations of  $E_N$  at the DF do not 412 lead to any energy conversion as they correspond to the Hall field, 413 therefore, which are perpendicular to the current. Regardless of the 414 sign, energy conversion values range from -0.02 to +0.02 nW/m<sup>3</sup> 415 except for a maximum negative value of -0.04 for DF1. Finally, one 416 can notice that the possible flux rope signatures are associated with 417 positive or negative energy conversion terms comparable to those 418 associated with the DF. 419

The measurement of the energy conversion for a two fluid 420 plasma quantified by  $\mathbf{j} \cdot \mathbf{E}'$  (where  $\mathbf{E}'$  is the electric field in the ion or 421 electron fluid frames) must be the same in the electron frame 422  $(\mathbf{j} \cdot (\mathbf{E} + \mathbf{v}_e \times \mathbf{B}))$  and in the ion frame  $(\mathbf{j} \cdot (\mathbf{E} + \mathbf{v}_i \times \mathbf{B}))$ . The energy 423 conversion process does not depend on the specific fluid frame. 424 Furthermore, it is also mathematically constrained as  $\mathbf{j} \cdot (\mathbf{E} + \mathbf{v}_i \times \mathbf{B})$  425  $-\mathbf{j} \cdot (\mathbf{E} + \mathbf{v}_e \times \mathbf{B}) = \mathbf{j} \cdot (\mathbf{j}/(en) \times \mathbf{B}) = 0.^{21,33}$  Hence, this equality 426 can also serve as a cross check of the reliability of our calculation of 427 the energy conversion term  $\mathbf{j} \cdot \mathbf{E}'$ . 428

For each DF, Figs. 6(a) and 6(b) display four spacecraft averaged 429 values of  $[\mathbf{j} \cdot (\mathbf{E} + \mathbf{v}_e \times \mathbf{B})]$  and  $[\mathbf{j} \cdot (\mathbf{E} + \mathbf{v}_i \times \mathbf{B})]$  using the current 430 density estimated from the curlometer and from the particle measure- 431 ments. We can, therefore, verify that the energy conversion term is 432 equal in the ion and electron frames, attesting to the reliability of the 433 energy conversion term calculation. In the fluid frames, the four space- 434 craft average of the energy conversion term is mostly negative (from 435 -0.02 to -0.01 nW/m<sup>3</sup>) just ahead of the DF and corresponds to an 436 energy transfer from the plasma to the electromagnetic fields (genera- 437 tor or wave radiation) in accordance with a previous MMS single event 438 study.<sup>21</sup> One can notice that, when the curlometer is used, some dis- 439 crepancies between calculations in ion and electron frames can be seen 440 for DF4. This is due to the fact that some of the current density com- 441 ponents are smaller or close to their error bars [e.g.,  $J_N$  in Fig. 2(c) for 442 DF4] as mentioned in Sec. IV. 443

For each DF event, Fig. 6(c) shows the energy conversion term 444 for each individual satellite in electron frames. These single satellite 445 calculations indicate that the energy conversion process is not homo- 446 geneous at the scale of the tetrahedron (electron scales). Indeed, strong 447 variations of the sign and the amplitude of the energy conversion term 448 are seen from one satellite to another. Such variations suggest that a 449 physical process is going on at the electron scales while the DF is prop- 450 agating earthward. 451

For a better understanding of the origin of the non-homogeneity 452 of the energy conversion at the electron scales, we estimated the stan- 453 dard deviation for each component of the current density and the 454

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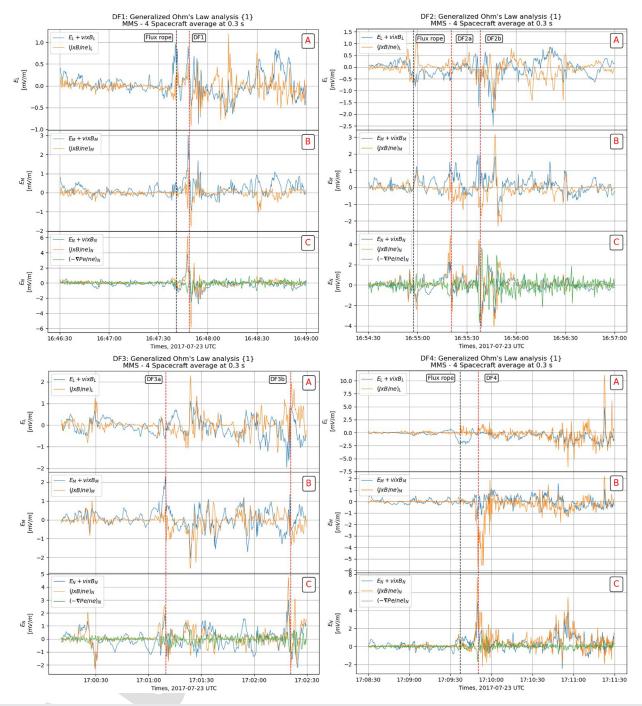
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**FIG. 3.** Panels (a)–(c) show *L*, *M*, *N* components of Ohm's Law terms, respectively:  $\mathbf{E} + \mathbf{v}_i \times \mathbf{B}$  (blue line),  $(\mathbf{J}_{part} \times \mathbf{B})/(ne)$  (orange line). Panel (c) also includes electron pressure gradient term along *N* (green line).

455 electric field in the fluid frame ( $\mathbf{E}' = \mathbf{E} + \mathbf{v}_e \times \mathbf{B}$ ) normalized by their 456 respective error bar:  $SD(X)/\Delta X = \sqrt{\Sigma_{i=1}^4 (X_i - \langle X \rangle)^2/4}/\Delta X$ , 457  $\langle X \rangle$  being the four spacecraft average of the X component and  $\Delta X$  its respective estimated error bar. For the electric field, we use the error 458 bar provided by the EDP team (~1 mV/m),<sup>39</sup> whereas for the electron 459 convective term, the error is estimated as  $(\Delta V_e B + V_e \Delta B)$  with 460  $\Delta B = 0.1 \text{ nT}^{37}$  and using the moment error bars provided by the FPI 461

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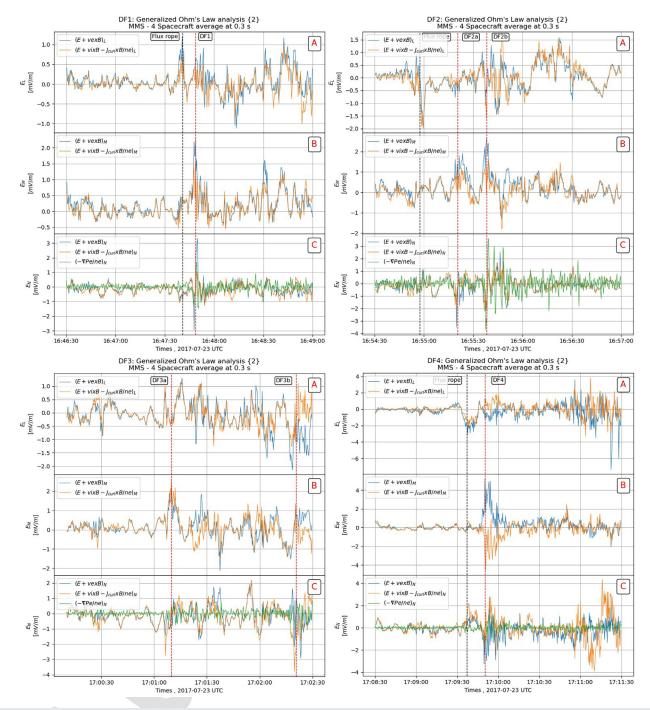


FIG. 4. Panels (a)–(c) show L, M, N components of Ohm's Law terms, respectively:  $\mathbf{E} + \mathbf{v}_e \times \mathbf{B}$  (blue line), and  $\mathbf{E} + \mathbf{v}_i \times \mathbf{B} - (\mathbf{J}_{curl} \times \mathbf{B})/(ne)$ (orange line). Panel (c) also includes electron pressure gradient term along N (green line).

462 team.<sup>41</sup> Thus, we found that the error bar of *E'* averaged over each DF 463 period is  $\sim 1.7 - 2$  mV/m. For the error bar of the current density 464  $\Delta J_{part} = e \cdot (\Delta N_e) \cdot (V_i - V_e) + e \cdot N_e \cdot (\Delta V_i + \Delta V_e)$ , we got an 465 average value  $\sim 8$  nA/m<sup>2</sup>. Let us remember that in the present study, we use the partial moments, which allow us to deal with smaller 466 errors.

Figures 7(a)-7(c) and 8(a)-8(c) show for each DF, the three components of the current density and the electric field (E'), respectively. 469

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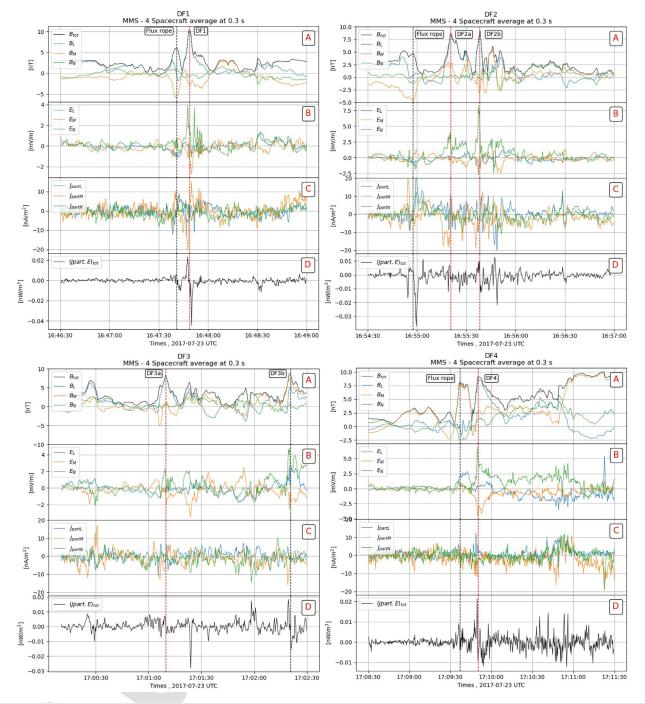


FIG. 5. For each DF event and in LMN frame: (a) magnitude and components of the magnetic field, (b) electric field components, (c) current density components using  $J_{part}$ , and (d) energy conversion  $j_{part} \cdot E$  (in the spacecraft frame).

Figures 7(d), 7(e), 8(d), and 8(e) show the raw and normalized SD of the corresponding quantity. One can see that at DFs, the normalized SD of the electric field (E') is usually greater ( $\geq$  1) than the normalized SD of the current density (< 1). These results are consistent with the fact that the dispersion between the four curves measured by the four 474 satellites is usually smaller for the current density than for the electric 475 field (E') [Figs. 7(a)-7(c) and 8(a)-8(c)]. Therefore, the non-476 homogeneity of the energy conversion process seems to be caused 477

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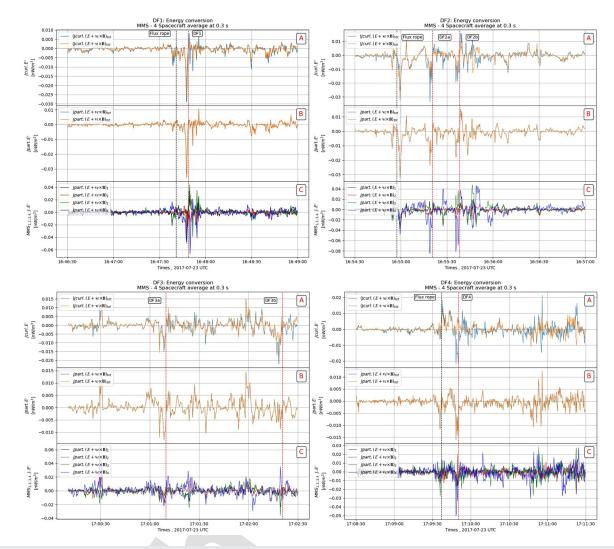


FIG. 6. Comparison of the energy conversion term in both electron and ion frames. (a) Four spacecraft average of the energy conversion using  $J_{curl}$ . (b) Four spacecraft average of the energy conversion using  $J_{part}$ . (c) Energy conversion using  $J_{part}$  for MMS1 (black), MMS2 (red), MMS3 (green), and MMS4 (blue).

mainly by the electric fluctuations having electron scales. Conversely,
the current density remains more homogeneous at the scale of the
MMS tetrahedron, which suggests that the origin of the electric field
fluctuations is mostly electrostatic as we will discuss in Sec. VII.

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#### 482 VII. DISCUSSION AND SUMMARY

483 Six DF events embedded in fast earthward flows and detected during a large scale substorm event have been analyzed in the present 484 485 study. These DF events belong to the most common category corre-486 sponding to a decrease in the density and an increase in the temperature;<sup>12</sup> therefore, they are characterized by a transition between a cold 487 488 dense plasma at rest to a hot tenuous accelerated plasma moving 489 earthward. We analyzed each front orientation using the MVAB 490 method as well as a timing analysis and found that all DFs are mostly 491 moving earthward with some DFs having a significant duskward and southward motions. We have pointed out that the HPCA  $V_N$  velocity 492 is always much larger than FPI  $V_N$ , confirming that FPI instrument 493 underestimates the velocity of the earthward flow in the magnetotail 494 due to its low upper energy. This caveat is quite common during sub- 495 storm events as the plasma is energized due to the global magnetotail 496 reconfiguration. Moreover, the maximum of the  $V_N$  component of the 497 ion velocity is always located behind the DF associated with the maxi-498 mum of  $B_L$ , which, according to a statistical study based on Cluster 499 data, should correspond to decelerated DFs with a significant part of 500 the energy being radiated (in the spacecraft frame).<sup>19,47</sup> In order to 501 have more confidence on the particle moment measurements, we have 502 compared the current densities obtained from the particle instruments 503 (using partial moment for electrons) with those obtained from the 504 curlometer technique. Despite relatively small values (<20 nA/m<sup>2</sup>) 505 associated with the DF crossing, we found a good agreement between 506

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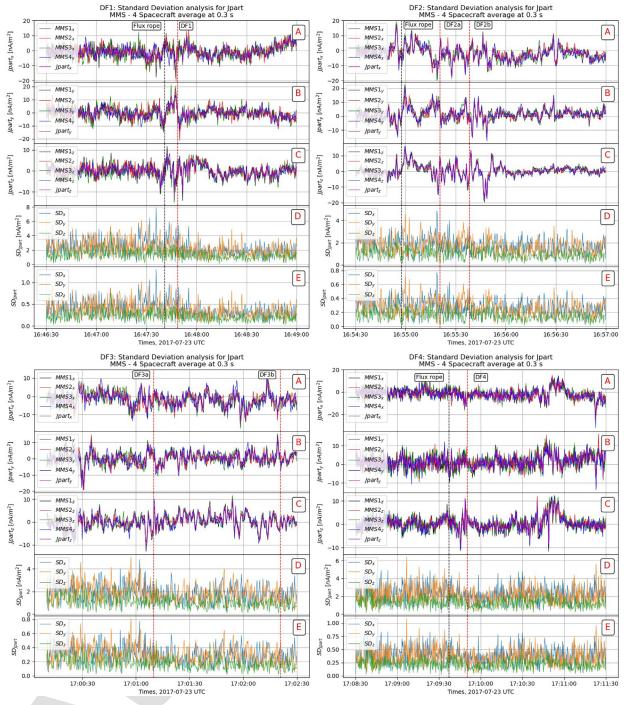


FIG. 7. Components of the current density obtained from FPI in GSE for each MMS satellite and the four spacecraft average [panels (a)-(c)]. Panel D shows the standard deviation SD(j) of each component of the current density. Panel E shows the SD(j) normalized by the current density error bar, see text for details.

507 the two types of current density estimates. Then, to better understand 508 ion and electron dynamics at the DF crossing, we analyzed Ohm's law. 509 Near the DF crossing, we found that ions are decoupled from the mag-510 netic field due to the Hall field. A clear bipolar signature of the Hall field is present normal to the DF (along N) mostly related to a reversal 511 of the cross-tail current just behind the DF. However, the Hall field 512 does not seem to be sufficient to explain the full decoupling of the 513 ions. The electron pressure gradient term is also likely involved in this 514

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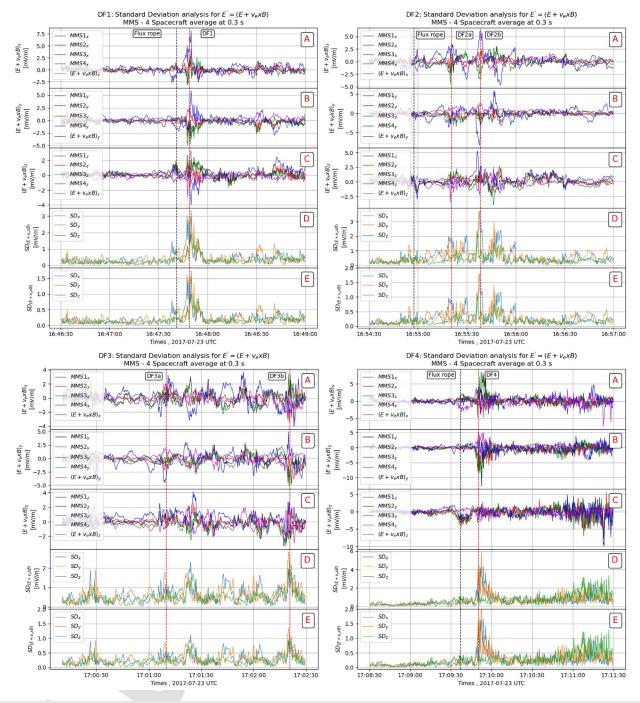


FIG. 8. Same as Fig. 7 for the electric field in the electron frame ( $\mathbf{E}' = \mathbf{E} + \mathbf{V}_e \times \mathbf{B}$ ). Panel E shows the standard deviation normalized by the error bar of  $\mathbf{E}'$ , see text for details.

decoupling. Due to the low plasma density, we could not compute the divergence of the electron pressure tensor with a sufficient reliability. Instead, we used single satellite method (applied to the four spacecraft averaged data) to estimate the electron pressure gradient along the normal direction.<sup>14,21</sup> For most of the DF events, we found that the 519 signature of the electron pressure gradient along the normal is consis-520 tent with a significant contribution to the ion decoupling and could 521 account for the departure between the ideal ion frozen-in term 522

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 $(\mathbf{E} + \mathbf{v}_i \times \mathbf{B})$  and the Hall field. Electrons are magnetized most of the 523 time. However, at the DF crossing, the departure between the electron 524 ideal frozen-in term  $(\mathbf{E} + \mathbf{v_e} \times \mathbf{B})$  is very close to or exceeds the error 525 bar, which also suggests, as for the ions, that the electron pressure 526 527 term along the normal can take part in the electron decoupling. In the 528 other directions (L and M), it is not possible to estimate the gradient 529 by the same technique. However, the results obtained along the normal suggest that the decoupling along L and M also involves the elec-530 tron pressure term in these directions. 531

In order to investigate the energy conversion process at the DF, we have estimated the  $\mathbf{j} \cdot \mathbf{E}$  term.<sup>17,19–22,26,51</sup> For all DFs in the space-532 533 534 craft frame, we found that the energy is transferred from the electro-535 magnetic field to the plasma (dissipation or loading) at or just ahead 536 of the DF and from the plasma to the electromagnetic field behind the DF (wave radiation or generator). The amplitudes of the positive and 537 negative peaks have similar values ( $\pm 0.02 \text{ nW} \cdot \text{m}^{-3}$ ), which do not 538 539 allow us to draw conclusions about a net energy transfer between fields and particles, despite the fact that the normal velocity peak is detected 540 behind the front.<sup>19,47</sup> This reversal of the energy conversion is mostly 541 related to the reversal of the cross-tail current component  $(J_M)$  just 542 behind the front. Such a current reversal at the DF has been already 543 544 mentioned by Yao et al. based on 2003 Cluster data (subproton scale spacecraft separation  $\sim$ 200 km) but only related to DFs preceded by a 545 546 dip of the magnetic field.<sup>46</sup> It has been also recently mentioned by Liu 547 et al. in a previous MMS single DF case study event leading to a negative  $\mathbf{j} \cdot \mathbf{E}$  behind the DF. The origin of this reversal is not fully under-548 stood and could be due to a current density shear at an electron scale 549 550 between the main front and the front trailing part. Another possibility 551 could be the formation of substructures, such as electron vortices 552 driven by the current carried by electrons within the front region, 553 which could contribute locally to the increase in the total magnetic 554 field.<sup>52</sup> The existence of such structures within the ion scale DF structure needs to be confirmed by further studies. Whatever the origin of 555 these current density reversals, these results suggest that DFs have 556 complex substructures that make difficult to draw conclusions about 557 the net energy transfer in the spacecraft frame. 558

559 To better understand this energy conversion process, we have 560 carried out the computation in each fluid frame (ion and electron) using four spacecraft average value of  $\mathbf{E}'$  and  $\mathbf{j}$ . Egality of the calcula-561 tion in both ion and electron frames has been used as a reliability test. 562 In these fluid frames, the  $\mathbf{j} \cdot \mathbf{E}'$  just ahead of the DF is negative most of 563 the time indicating a net transfer from the plasma to the electromag-564 565 netic fields as also found in a previous MMS single DF event.<sup>2</sup> 566 Therefore, the energy would be radiated and this process should lead to the deceleration of the fast plasma flow. Note that this negative 567 term cannot be related to the electron pressure gradient along the nor-568 mal since this latter is perpendicular to the main current  $J_M$ . However, 569 as we mentioned in Sec. V, the electron decoupling along M can also 570 be due to the electron pressure gradient along this direction and leads 571 to negative  $\mathbf{j} \cdot \mathbf{E}' \sim J_M \cdot E'_M = -J_M \cdot |\nabla P_e|_M / (en)$ . 572

Furthermore, we have analyzed the homogeneity of this energy conversion process by computing the  $\mathbf{j} \cdot \mathbf{E}'$  term for each satellite. We found that the energy conversion is not homogeneous at the scale of the tetrahedron, i.e., at the electron scales. By computing the standard deviation of  $\mathbf{E}'$  and  $\mathbf{j}$  normalized by their respective error bars, we showed that the non-homogeneity of the energy conversion process comes mostly from the electric field fluctuations while the

contribution of current density fluctuations is smaller. As mentioned 580 above, these electric field fluctuations should be related to the electron 581 pressure gradient. This result is consistent with previous studies, which 582 identified large amplitude electric field fluctuations related to lower- 583 hybrid drift waves from space observations.<sup>22-26</sup> It is also consistent 584 with 3D PIC simulations. 30,33,34 These waves with frequencies between 585 ion and electron gyrofrequencies ( $f_{ci} < f < f_{ce}$ ) are expected to be generated by the large density gradient ( $n_e / \nabla n_e \sim c / \omega_{pi}$ ) at DF and are 587 known to have wavelengths on the order of the electron Larmor radius 588 for the fastest growing mode.<sup>53,54</sup> These electron-scale wavelengths 589 correspond to the average spacecraft separation for these events, and 590 the period of the LHD waves is much smaller than the DF crossing 591 time. These waves are able to generate ripples on the front at the 592 electron scales, which can lead to the non-homogeneity of the energy 593 conversion process.<sup>27</sup> Indeed, these waves are considered as "quasi- 594 electrostatic" waves. Due to their frequency range, ions can be 595 assumed unmagnetized, whereas electrons are magnetized.<sup>54</sup> 596 Therefore, electron drift in the electric field of the waves produces 597 small perpendicular (to the background magnetic field) currents and a 598 parallel magnetic field perturbations causing the ripple of the front at 599 electron scales. These currents are much smaller than the current asso- 600 ciated with the front. Thus, regarding the energy conversion process in 601 the fluid frame  $(\mathbf{J} \cdot \mathbf{E}')$ , the dominant term corresponds to the product 602 between the ion-scale current associated with the front  $(J_0)$  and the 603 electron-scale electric field associated with the LHD waves ( $\delta E'$ ). The 604 energy conversion  $(\delta J \cdot \delta E')$  due to currents generated by LHD waves 605  $(\delta J)$  is smaller and can be considered as a second order contribution 606compared to the former term. This can be summarized as  $J \cdot E'$  607  $\simeq J_0 \cdot \delta E'$  with  $\delta J \cdot \delta E' \ll J_0 \cdot \delta E'$ . The non-linear evolution of these 608 waves could generate electron scale vortices<sup>55</sup> that could explain the 609 current density reversal behind the DF and the negative part of  $\mathbf{j} \cdot \mathbf{E}$  610 although the low inhomogeneity of the current density at the scale of 611 the tetrahedron is not in favor of this interpretation. 612

However, from their 3D PIC simulations, Nakamura et al. found 613 an oscillating  $\mathbf{j} \cdot \mathbf{E}'$ , which once integrated along the cross-tail direction 614 leads to a non-zero positive term corresponding to an energy dissipa- 615 tion.<sup>34</sup> The detailed characterization of the wave activity associated 616 with these DF is beyond the scope of the present study and left for a 617 further investigation. However, our results support the fact that the 618 non-homogeneity of the energy conversion process at the electron 619 scales is likely due to the electric field fluctuations of the lower-hybrid 620 drift instability that develops in the vicinity of the DF due to the large 621 density gradient; this density gradient being due to a combined effect 622 of the tangential nature of the DF and the propagation of a tenuous 623 (and hot) plasma through a denser (and colder) plasma at rest. The 624 present study also confirms the need for a three-dimensional analysis 625 of the energy conversion process at the DF as the lower-hybrid drift 626 waves causing the electron scale variations of the front propagate in 627 the direction perpendicular to density gradient, therefore, perpendicu- 628 lar to the direction of the fast plasma flow.<sup>24,26</sup> The net energy transfer 629 at DF needs not only to be investigated and integrated along the direc- 630 tion of the plasma flow but also perpendicularly to the DF density gra- 631 dient. Therefore, the role of DF in the global energy cycle of the 632 Earth's magnetosphere still needs further investigation and in particular statistical studies focused on the energy conversion process at DF 634 need to take into account these electron scale substructures. Indeed, 635 the positive than negative  $J \cdot E$  terms at and behind the DF, 636

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637 respectively, confirm that DFs play an important role in this cycle. 638 Their contribution is not only related to a local dissipative effect (at 639 DF) but also to the generation of electromagnetic fields (just behind 640 the DF). This latter contribution can be (i) associated with the emis-641 sion of waves that can transport energy to other regions (e.g., auroral 642 region) and interact with the particles causing their acceleration or (ii) associated with the formation of coherent electromagnetic structures, 643 such as kinetic-scale vortices that can contribute to and modify the 644 AQ6 645 energy and plasma transport.<sup>5</sup>

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#### AUTHOR DECLARATIONS 655

#### Conflict of Interest 656

The authors have no conflicts to disclose. 657

#### 658 DATA AVAILABILITY

659 The MMS data that support the findings of this study are publicly available from the MMS Science Data Center (http://lasp.colora-660 661 do.edu/mms/sdc/public/), Ref. 57.

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