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Bertille Burgunter-Delamare, Gwenn Tanguy, Erwan Legeay, Catherine Boyen, Simon M. Dittami

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# 1 Effects of sampling and storage 2 procedures on 16S rDNA amplicon 3 sequencing results of kelp microbiomes

4 Bertille Burgunter-Delamare <sup>1</sup> \* [id](#), Gwenn Tanguy <sup>2</sup>, Erwan Legeay <sup>2</sup>, Catherine Boyen <sup>1,2</sup>, Simon M.  
5 Dittami <sup>1</sup> \* [id](#)

6 <sup>1</sup> CNRS, Sorbonne Université, Integrative Biology of Marine Models (LBI2M), Station Biologique de  
7 Roscoff, 29680 Roscoff, France

8 <sup>2</sup> CNRS, Sorbonne Université, FR2424 Station Biologique de Roscoff, 29680 Roscoff, France

9 \*Correspondence: bertille.burgunter-delamare@sb-roscoff.fr, simon.dittami@sb-roscoff.fr; +33 2 98  
10 29 23 23

## 11 Abstract

12 Brown macroalgae, including the kelp *Saccharina latissima*, are of both ecological and increasing  
13 economic interest. Together with their microbiota, these organisms form a singular entity, the  
14 holobiont. Sampling campaigns are required to study the microbiome of algae in natural populations,  
15 but freezing samples in liquid nitrogen is complex in the field, particularly at remote locations. Here  
16 we tested two simple alternative methods for sampling the microbial diversity associated with the kelp  
17 *S. latissima*: silica gel conservation of tissue and swab samples preserved in DNA/RNA shield solution.  
18 We used these techniques to compare apex and meristem samples from Roscoff (Brittany, France) and  
19 evaluated their impact on the results of 16S rDNA metabarcoding experiments. Both methods were  
20 able to separate apex and meristem microbiomes, and the results were concordant with results  
21 obtained for flash-frozen samples. However, differences were observed for several rare genera and  
22 ASVs, and the detection of contaminant sequences in the silica gel-preserved samples underline the

23 importance of including blank samples for this method. Globally, our results confirm that the silica gel  
24 technique and swabbing combined with DNA/RNA shield preservation are valid alternatives to liquid  
25 nitrogen preservation when sampling brown macroalgae in the field. However, they also underline  
26 that, regardless of the method, caution should be taken when interpreting data on rare sequences.

27

28 *Keywords:* Silica gel, swab, liquid nitrogen, brown algae, microbiome, metabarcoding, holobiont.

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## 29 1. Introduction

30 Brown macroalgae, particularly kelps (Laminariales), play essential ecosystem engineering roles in  
31 coastal temperate marine environments. They contribute to primary productivity and are habitat  
32 engineers providing food and shelter to the local biodiversity (Schiel and Lilley, 2007; Schiel and Foster,  
33 2006). In addition, kelp species are important in many industries to produce alginates (Peteiro, 2018),  
34 human food, medicine (Smit, 2004), or food for abalone aquaculture (Roussel et al., 2019).

35 Macroalgal functioning has to be seen as the result of the interactions between the algal host and  
36 its associated microbiota, constituting a complex system termed the algal holobiont (Egan et al., 2013).  
37 It has been shown that macroalgal health, fitness, pathogen resistance (Wiese et al., 2009), acclimation  
38 to a changing environment (Dittami et al., 2016), and metabolism (Burgunter-Delamare et al., 2020)  
39 are regulated and supported by bacterial partners (Goecke et al., 2010). Considering the biofilm  
40 composition and deciphering the interactions within the holobiont is thus essential to fully understand  
41 the biology of algae.

42 To study the microbiota of natural populations, especially in remote regions, we need simple  
43 sampling protocols and storage methods. Methods available involve flash-freezing in liquid nitrogen  
44 (Tourneroché et al., 2020; van der Meer and Simpson, 1984), ethanol (Hammer et al., 2015; Song et  
45 al., 2016), various preserving reagents (Hammer et al., 2015; Song et al., 2016), and silica gel (Esteban  
46 et al., 2009; Hoarau et al., 2007; Phillips et al., 2001; Toishi, 1959). These methods can be applied to  
47 both algal tissue and surface swabs (Lachnit et al., 2011; Parrot et al., 2019; Qiu et al., 2019). A few  
48 comparative studies of conservation methods in insect-, soil-, and human microbiota have established  
49 that differences introduced by storage techniques, while perceptible, did not outweigh differences  
50 classically found in the bacterial communities between species, individuals, or sample types (Hammer  
51 et al., 2015; Lauber et al., 2010; Song et al., 2016). Furthermore, a study on the red alga *Porphyra*  
52 *umbilicalis* has shown that silica gel was as effective as flash-freezing/lyophilisation (Quigley et al.,  
53 2018) to preserve the core microbiome. However, as red and brown algae belong to different lineages,

54 display different metabolic pathways, and are at least partially in interaction with different bacteria  
55 (Hollants et al., 2013), caution needs to be taken when transferring results from one group of algae to  
56 another. Here we examine if these results were transferable also to the kelp *S. latissima*.

57 The sugar kelp or sea belt *Saccharina latissima* (L.) (Phaeophyceae, Laminariales) is one of the  
58 dominant kelp-forming species of brown macroalgae in Europe and is becoming a research model for  
59 holobiont studies and others (Staufenberger et al., 2008; Tournerocche et al., 2020; Wiese et al., 2009).  
60 We compared the impact of flash-freezing of tissue in liquid nitrogen, desiccation of tissue in silica gel,  
61 and swab sampling followed by preservation in DNA/RNA shield solution on DNA metabarcoding  
62 results of algal apex and meristem samples. Our data show that all three methods yield similar results  
63 for the vast majority of genera and that both swabs and silica gel are viable alternatives to flash-  
64 freezing of tissues in the field.

## 65 2. Material & Methods

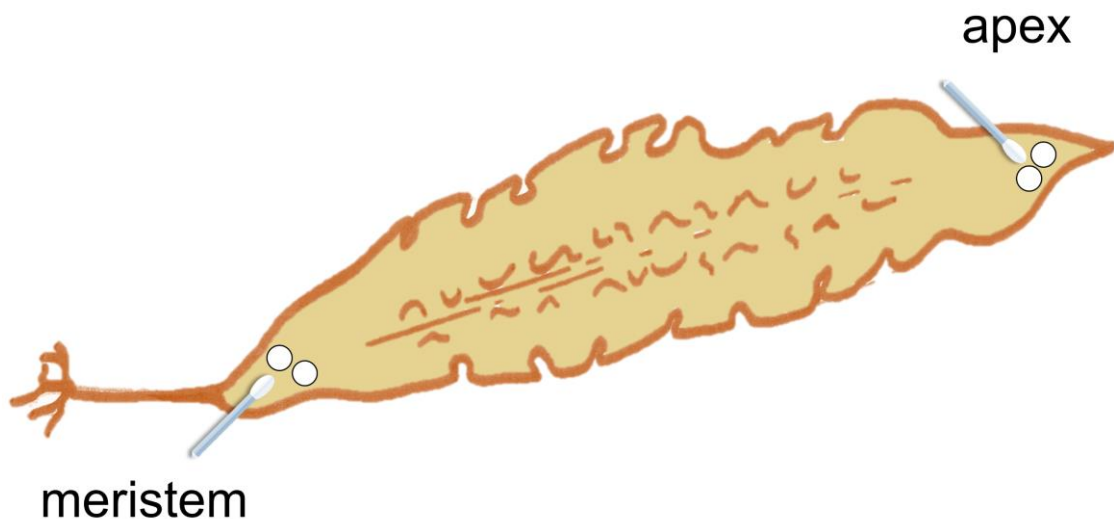
### 66 2.1 Biological material

67 *Saccharina latissima* (Phaeophyceae) samples were collected by hand at low tide on 22 March  
68 2019, at Perharidy (48°43'47.0 "N 4°00'17.1 "W), Roscoff (France). Among young individuals (<1 m  
69 length), ten algae were randomly selected. The algal material was immediately placed in sterile plastic  
70 bags and rapidly transported to the laboratory in an icebox at ca. 4°C.

### 71 2.2 Sample preparation technics

72 All three techniques were carried out under a sterile hood and for each individual. Two areas of  
73 the blades were sampled: the basal meristem part and the tip (**Figure 1**). Two discs (Ø 2 cm) were  
74 punched out in immediate proximity for each part of the blade, ensuring that no epiphytes or animal  
75 colonizers were in the sampled area. One of the discs was placed in a 15 ml Falcon tube containing 5ml  
76 of clean silica gel (2-6 mm; VWR) and stored at 4°C for ca two weeks before use. The other disc was

77 placed in a 2 ml cryotube, flash-frozen in liquid nitrogen, and stored at -80°C until use. For the swab  
78 samples, an area of 2 cm<sup>2</sup> was swabbed (Swab collection kit, Zymo Research) until a brown colouration  
79 was reached (30 s – 1 min), and the swab was placed in a collection tube filled with 1 ml of DNA/RNA  
80 Shield (Zymo Research) and stored at -20°C until use.



81

82 **Figure 1 - Sampled parts of the thallus of *S. latissima*.** Two discs (Ø 2 cm) were punched out in immediate  
83 proximity for each part of the blade, and an area of 2cm<sup>2</sup> was swabbed.

### 84 2.3 DNA extraction

85 DNA extraction was carried out according to Bernard et al. (2017) for samples stored in silica gel  
86 and samples flash-frozen in liquid nitrogen. Briefly, samples were freeze-dried, and ½ of a disk was  
87 ground using a Qiagen TissueLyser II bead beater (3 sessions, 45 s, 30 Hz, 3 mm stainless steel beads).  
88 Nucleic acids were then extracted using a 2% CTAB extraction buffer (100 mM Tris-HCl [pH 7.5], 1.5 M  
89 NaCl, 2% CTAB, 50 mM EDTA [pH 8], 50 mM DTT; shaker 250 rpm at room temperature). Supernatants  
90 were purified twice with chloroform/isoamyl alcohol (24:1; centrifugation 15 min, 10 000 rpm, 16°C)  
91 and then bound to the Nucleospin plant II DNA columns (Macherey-Nagel, Germany). After a wash  
92 with the PW1 and two with PW2 (Macherey-Nagel), DNA was eluted in 50µl of elution buffer

93 (Macherey-Nagel). For the swab samples, DNA extraction was carried out with a ZymoBIOMICS™ DNA  
94 Miniprep Kit following the manufacturer's protocol  
95 ([https://files.zymoresearch.com/protocols/ d4300t d4300 d4304 zymbiomics dna miniprep kit.](https://files.zymoresearch.com/protocols/d4300t_d4300_d4304_zymbiomics_dna_miniprep_kit.pdf)  
96 [pdf](https://files.zymoresearch.com/protocols/d4300t_d4300_d4304_zymbiomics_dna_miniprep_kit.pdf)). One hundred µl of DNA extract was obtained. Blank extractions were also performed for each  
97 technique. While swab and liquid nitrogen samples yielded no or only a few reads in the blank samples  
98 (no DNA detected in extraction and no visible PCR products), the blanks for the silica gel samples were  
99 dominated by unclassified *Saprospiraceae*, *Achromobacter* sp., and *Alteromonas* sp. (**Supplementary**  
100 **Table S1**). As these ASVs corresponded to potential contaminations in the silica gel, they were removed  
101 from the dataset prior to further processing.

## 102 2.4 16S rDNA metabarcoding

103 The bacterial community composition associated with algal cultures was determined by 16S rDNA  
104 metabarcoding. A mock community comprising a mix of DNA from 26 cultivated bacterial strains  
105 (Thomas et al., 2019) was run parallel to the DNA extracts. For all of these samples, the V3 and V4  
106 regions of the 16S rDNA gene were amplified using the NOCHL primers including Illumina adapters  
107 (Thomas et al., 2019), to avoid plastid DNA amplification. Then the adapted Illumina protocol for  
108 metabarcoding (Illumina, 2013) was run using the Q5® High-Fidelity 2X Master mix (New England  
109 BioLabs, MA, USA), the AMPure XP for PCR Purification Kit (Beckman Coulter, Brea, CA, USA), and the  
110 Nextera XT DNA Library Preparation Kit (Illumina, San Diego, CA, USA). Libraries were quantified with  
111 a Quantifluor® ds DNA System (Promega, WI, USA), and the mean fragment size was determined using  
112 a LabChip® GX Touch™ (Perkin Elmer, MA, USA). An equimolar pool of all samples was generated at a  
113 concentration of 4 nM, diluted to 3 pM, spiked with 10% PhiX (Illumina), and sequenced on an Illumina  
114 MiSeq sequencer at the Genomer platform (Station Biologique de Roscoff) using a MiSeq v3 kit  
115 (2x300bp, paired-end).

## 116 2.5 Analyses

117 Sequence analysis was performed using the DADA2 version 1.14.0 package (Callahan et al., 2016)  
118 on R 3.6.2. Following the protocol by Benjamin Callahan  
119 (<https://benjjneb.github.io/dada2/tutorial.html>), sequences were filtered allowing for a maximum of  
120 two expected errors and reducing the read length to 291 bp for forward reads and 265 bp for reverse  
121 reads. An amplicon sequence variant (ASV) table was constructed, and chimaeras were removed. The  
122 taxonomy of the remaining ASVs was assigned using the Silva\_SEED 138 database. The resulting  
123 abundance table and taxonomic classification were analysed using Phyloseq version 1.30.0 (McMurdie  
124 and Holmes, 2013). Organellar and eukaryote reads, rare ASVs (<0.01% of total reads) and ASV that  
125 were more abundant in the blank samples than in all other samples, as well as samples with less than  
126 7000 total reads were removed, leading to a final number of 3 to 5 replicates per condition. Non-Metric  
127 Multidimensional Scaling analyses (NMDS) were carried out using the Bray-Curtis distances derived  
128 from the ASV table using the vegan R package version 2.5-6. The Shannon H diversity index was also  
129 calculated based on the ASV table using Past version 4.02 (Hammer et al., 2001). Statistical analysis of  
130 differential abundance was carried out at the genus level using ANCOM-BC version 1.4.0 (Lin and  
131 Peddada, 2020) with default parameters. A joint analysis was performed with both methods and  
132 thallus part as factors to identify ASVs specifically impacted by the storage methods. Venn diagrams  
133 were generated using BioVenn (Hulsen et al., 2008), and the mean abundance of genera across storage  
134 methods was compared using linear regression on log<sub>10</sub>-transformed data in Past version 4.02. The  
135 residuals of the linear regressions were subjected to a Shapiro-Wilk test to confirm that they did not  
136 deviate significantly from a normal distribution ( $p>0.05$ ).

## 137 3. Results

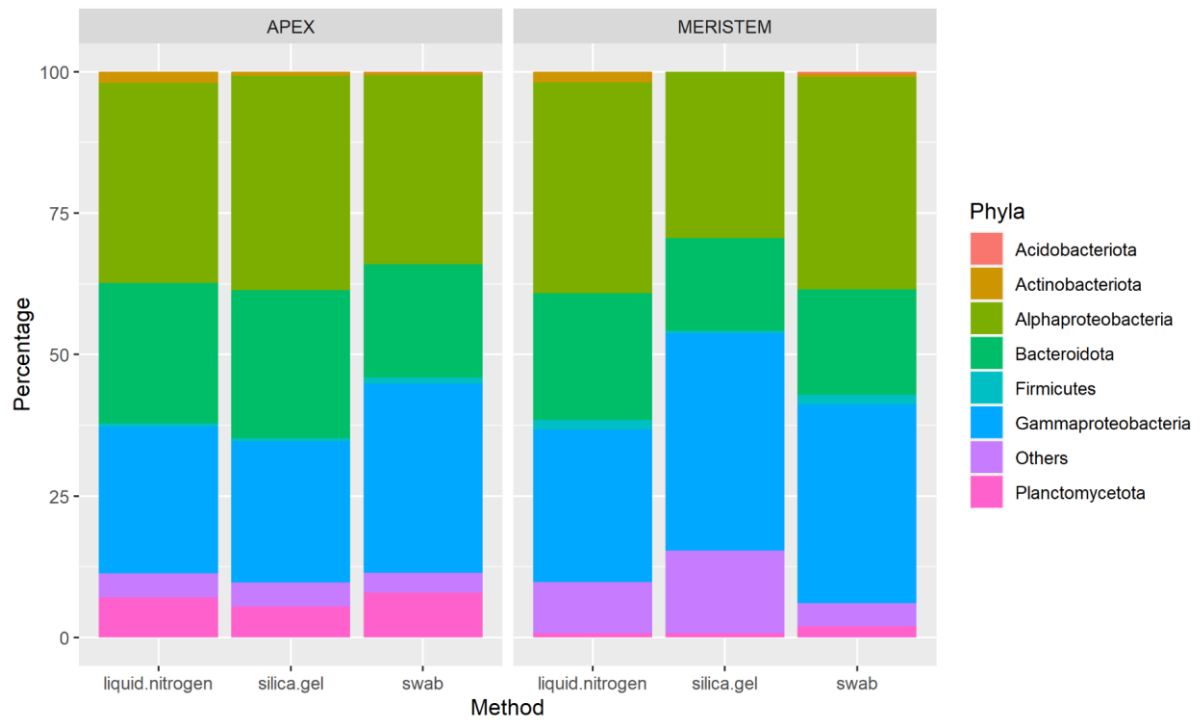
138 A total of 3,935,663 raw sequences were generated and, after filtering, assembled into 1,743,565  
139 contigs. The taxonomic assignment of mock samples was consistent with the mock composition. A total



140 of 11,106 ASVs were identified in the dataset corresponding to 572 genera. The final ASV matrix is  
141 provided as **Supplementary Table S1**.

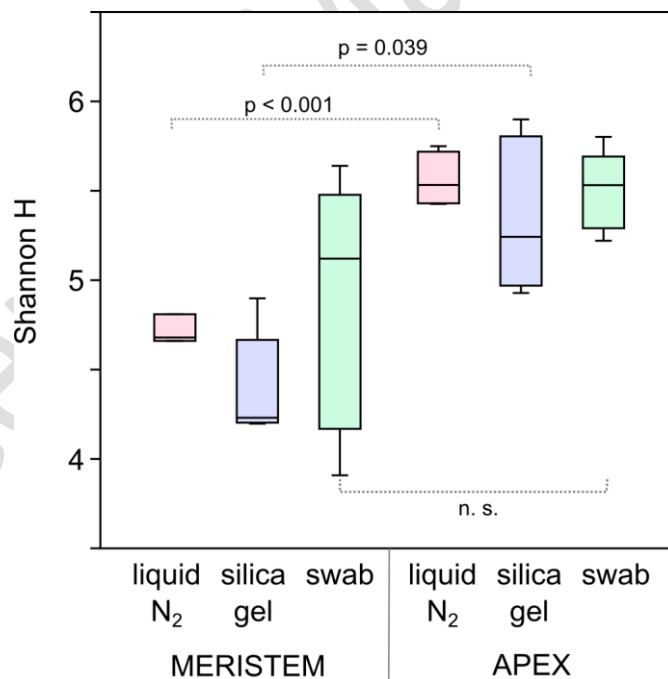
### 142 3.1 Comparison of apex and meristem samples with the three storage methods

143 Regardless of the storage method used, the sequences followed the same general patterns. In all  
144 samples, they corresponded predominantly to *Alphaproteobacteria* (34.8% of reads, on average),  
145 followed by *Gammaproteobacteria* (31.5% of reads) and *Bacteroidota* (21.1% of reads), although their  
146 exact proportion varied slightly (**Figure 2**). *Planctomycetota* were significantly more abundant (t-test,  
147  $p < 0.001$ ) in the apex samples (5 to 8% of reads) than in the meristem ones (0.76% to 1.95% of reads).  
148 *Actinobacteriota* were less detected after desiccation in silica gel (meristem: 0.08% and apex: 0.7% of  
149 reads) compared to the samples treated with liquid nitrogen (meristem: 1.85% and apex: 1.93% of  
150 reads); and *Acidobacteriota* were almost exclusively found in the swab samples (meristem: 0.35% and  
151 apex: 0.16% of reads;  $< 0.04\%$  in others, t-test  $p = 0.049$ ). Overall, as indicated by the Shannon H index  
152 in **Figure 3**, the alpha diversity was higher in apex samples than meristem samples, although this  
153 difference was statistically significant only for the liquid nitrogen and the silica gel samples. Finally,  
154 NMDS analyses confirmed a clear separation of apex and meristem samples regardless of the sampling  
155 and storage method (**Figure 4A-C**). This separation was also observed in a combined NMDS plot (**Figure**  
156 **4D**). Here, separation according to the storage method was only detected at a smaller scale in the  
157 meristem samples.



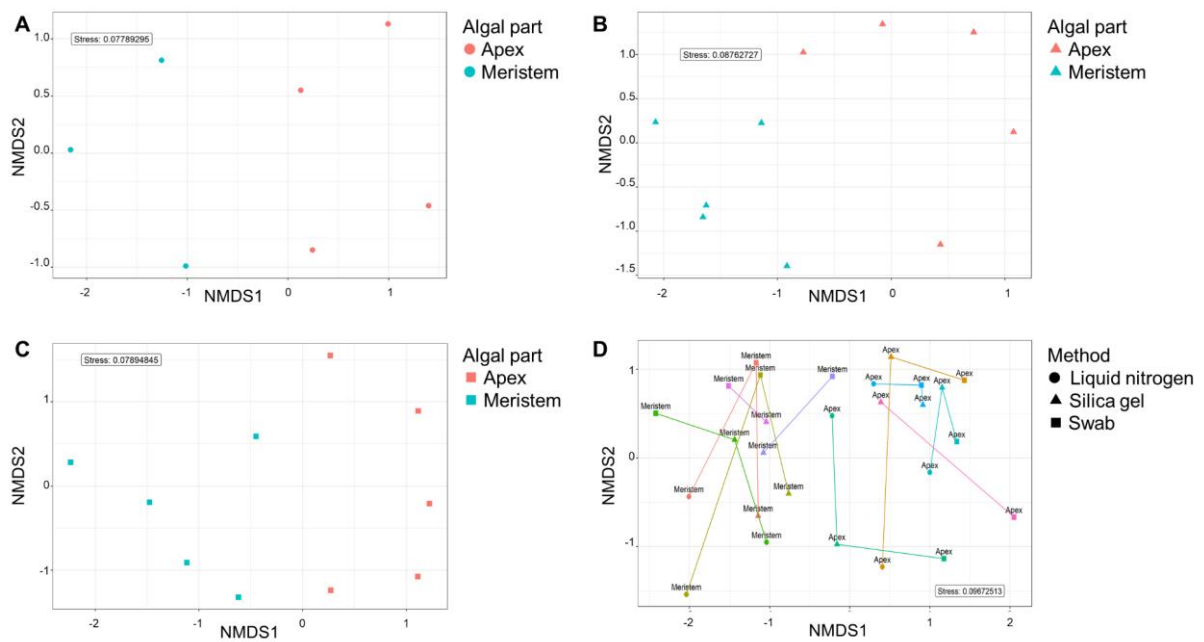
158

159 **Figure 2 - Distribution of 16S rRNA gene metabarcoding sequences per phylum.**



160

161 **Figure 3 - Box plot of alpha-diversity (Shannon H index) across sample types.** P-values correspond to the results  
 162 of a two-sided t-test; n.s. = not significant ( $p > 0.05$ ).



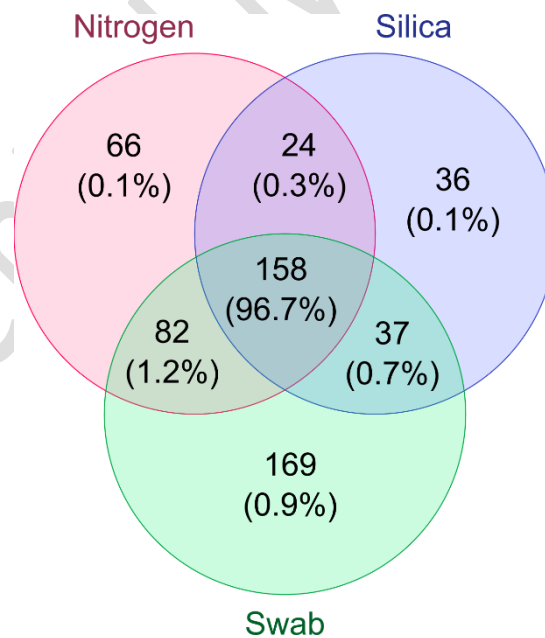
163

164 **Figure 4 - NMDS analysis of the microbiome composition.** Results show a clear separation of the apex and  
 165 meristem samples for the (A) liquid nitrogen, (B) silica gel, and (C) swab method, as well as (D)  
 166 combined. The points of the same colours and connected by lines correspond to the same “parent” sample.

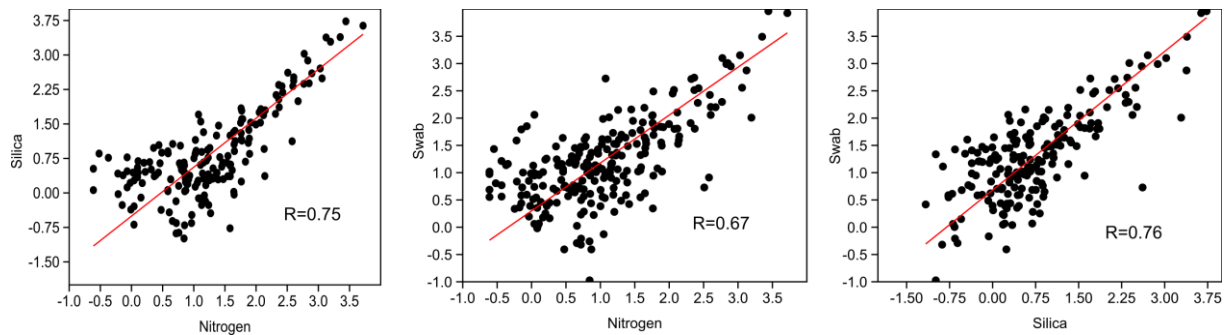
### 167 3.2 Direct comparison of storage methods

168 Differences were observed primarily regarding the detection of different genera depending on the  
 169 storage and sampling methods (**Figure 5**). Among the 572 genera in our dataset 158 were detected in  
 170 at least one sample with all storage methods. 143 genera were detected in samples from two different  
 171 storage/sampling methods, with the highest overlap occurring between liquid nitrogen and swab  
 172 samples. However, most genera (271) were found only with one of the tested methods, and most of  
 173 these with the swab samples. Please note, however, that as shown in **Figure 5**, these method-specific  
 174 genera correspond only to a small percentage of the total reads. 96.7% of all reads were covered by  
 175 the genera detected with all methods. Moreover, among these shared genera as well the genera  
 176 present in samples from two sampling methods, total read counts were strongly correlated across  
 177 methods (**Figure 6**). This confirms that similar read abundances were observed across the different  
 178 methods for >96% of the reads. As for the method-specific ASVs, global ANCOM-BC analyses of the  
 179 abundance of all ASVs across methods and sample types confirmed several significant differences.

180 Thirty-one ASVs (corresponding to 1.79% of total reads) were significantly differentially abundant  
 181 between the liquid nitrogen and silica methods, 52 ASVs (3.48% of total reads) between liquid nitrogen  
 182 and swabs methods, and 55 ASVs (3.10% of total reads) between the liquid nitrogen methods and the  
 183 other two methods (**Supplementary Table S2**). Four ASVs were only detected by the swab method (a  
 184 *Granulosicoccus* sp., a *Bacillus* sp., a *Robiginotomaculum* sp., and a *Thalassotalea* sp. ASV; 0.54% of  
 185 total reads), and two ASVs only by the silica method (an unclassified *Saprospiraceae* and another  
 186 *Granulosicoccus* sp. ASV; 0.162% of total reads). No ASVs were detected specifically with the liquid  
 187 nitrogen method. However, most of the differentially abundant ASVs were detected at similar levels  
 188 with two methods but absent in the third. The 55 ASVs undetected in the liquid nitrogen dataset, the  
 189 48 ASVs undetected in the swab dataset, and the 29 ASVs undetected in the silica dataset are listed in  
 190 **Supplementary Table S2**. They belong mainly to the *Gammaproteobacteria* and *Alphaproteobacteria*,  
 191 but also *Bacteroidota*, *Planctomycetota*, and *Firmicutes*. We did not find any apparent link between  
 192 the method-specific ASVs and the characteristics methods employed.



193  
 194 **Figure 5 - Venn diagram illustrating shared genera between the liquid nitrogen (pink), silica gel (blue), and**  
 195 **swab (green) datasets.** Numbers in parentheses indicate the percentage of total reads represented by the genera  
 196 in each section.



197

198 **Figure 6 - Correlation of log<sub>10</sub>-transformed mean sequence abundance across the sampling protocols.** Only  
 199 genera shared by at least two of the protocols were considered. R=Pearson correlation coefficient, red line =  
 200 linear regression,  $p < 0.001$ .

## 201 4. Discussion & Conclusion

202 Simple sampling protocols are required to study bacterial partners in natural populations of  
 203 macroalgae. In this study, we wanted to test if using silica gel and swab techniques, both of which are  
 204 more convenient to put into place during field sampling campaigns, would introduce a bias in the  
 205 results compared to flash-freezing in liquid nitrogen. Unlike similar studies carried out on insect- soil-  
 206 and human microbiota (Hammer et al., 2015; Lauber et al., 2010; Song et al., 2016), we use more subtle  
 207 differences as a benchmark for our comparisons, i.e. different thallus parts of the same alga rather  
 208 than different species (butterfly vs bee vs grasshopper vs beetle or human vs dog) or different sample  
 209 types (faeces vs skin vs soil). Furthermore, unlike the former two studies, we examined the results not  
 210 only at the family or class level but also at the genus and ASV level. These differences render our  
 211 analyses more sensitive to small biases introduced by the sampling method.

212 Our results demonstrate that, regardless of the sampling and storage method, coherent results  
 213 were obtained. The global bacterial composition dominated by *Alphaproteobacteria*,  
 214 *Gammaproteobacteria*, and *Bacteroidota* was obtained regardless of the method employed and is  
 215 typical for brown algae-associated microbiomes (Hollants et al., 2013; KleinJan et al., 2017; Parrot et  
 216 al., 2019; Tourneroché et al., 2020). In the same vein, global differences in the community composition

217 between apex and meristem samples persisted regardless of the sampling methods. These differences  
218 were expected, as *S. latissima* is a perennial species, and growth occurs mainly in the meristem region.  
219 Hence, the younger meristem tissues thus are typically less colonised by bacteria and, as confirmed in  
220 our study, exhibit lower bacterial diversity (Goecke et al., 2010; Ihua et al., 2020; Staufenberg et al.,  
221 2008). *Planctomycetes*, which we detected predominantly in the apex samples, are typical components  
222 of algal biofilms (Lage and Bondoso, 2014) and are, in agreement with our results, more abundant also  
223 in apices of the brown alga *Fucus vesiculosus* (Parrot et al., 2019).

224 The main differences between the examined sampling methods were observed at the genus and  
225 ASV levels, where our analyses show that numerous genera and ASVs were not detected with all  
226 sampling methods. This observation may seem disconcerting at first, but these differences were driven  
227 by rare genera and ASVs, which were usually detected in one or a few replicates. Our data are thus in  
228 line with the results obtained by Quigley et al. (2018) on the red alga *P. umbilicalis*, who found silica  
229 gel and flash freezing to yield similar patterns for the abundant core taxa that constituted >0.1% of  
230 sequences. Furthermore, an important observation was that significant ASVs were absent in one  
231 method compared to the other two, even in the liquid nitrogen subset. This suggests that each method  
232 has its own biases regarding rare genera, and there is no one method superior to the others.

233 We can currently only speculate why the phenomenon of method-specific detection of genera was  
234 more pronounced in the swab samples, although this was not the case at the ASV level. The swab  
235 protocol is different from the two other protocols because of the storage method (DNA/RNA Shield  
236 solution, vs silica gel, vs flash-freezing) and the DNA extraction protocol. The exact composition of the  
237 DNA/RNA Shield solution has not been published, so it is difficult to evaluate if some microbes may  
238 still develop after fixation. Furthermore, it is known that different extraction methods may impact the  
239 recovery of microbial reads, and this effect is strongest for rare species (Liu et al., 2019). Lastly, swabs  
240 sample only detect microbes at the algal surface, unlike the other methods. All of these factors likely  
241 contribute to the observed differences. However, the fact that these differences were not also

242 observed at the ASV level after statistical testing using ANCOM suggests that a fourth factor may be  
243 important: variability. Although efforts were made to standardize the swabbing procedure, differences  
244 in the applied pressure or the target area's swabbing precision may occur. This constitutes an  
245 additional source of variability, and higher variability could explain why more (rare) genera were  
246 detected overall, while the number of statistically significant ASVs stayed comparable.

247 Based on our data, we conclude that caution needs to be taken when interpreting data on rare  
248 species or genera, as these may vary according to the sampling method. As suggested by the presence  
249 or absence of genera in the swab samples, random processes may enhance these differences if no  
250 statistical evaluation is applied. For silica-gel preserved samples, we observed more contaminants  
251 sequences in the blank samples emphasising the importance of this latter control. When examining  
252 the global patterns, however, only slight biases are introduced by the tested methods, and all three  
253 methods, flash-freezing in liquid nitrogen, drying with silica gel followed by tissue grinding, and  
254 swabbing followed by preservation in DNA/RNA shield solution, are suitable to assess the microbiome  
255 of *S. latissima*.

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260 University (ED227).

261 **Competing interests:** The authors declare that they have no competing interests.

262 **Data availability:** Raw sequence data were deposited at the European Nucleotide Archive under  
263 project accession number ENA: PRJEB37561.

264 **Authors’ contributions:** Designed study: BBD, SD; Performed experiments: BBD, EL, GT; Analyzed data:  
265 BBD, SD; Wrote the manuscript: BBD, SD; Provided valuable input and corrected the manuscript: CB.

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384 **8. Supplementary Data**

385 **Table S1 – ASV matrix**

386 **Table S2 – ANCOM-BC results**

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