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# 1 Immunochemotherapy versus rituximab in anti-MAG

# 2 neuropathy: a report of 64 patients

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### 25 ABSTRACT

Monoclonal immunoglobulin M (IgM) anti-myelin-associated glycoprotein (MAG) 26 neuropathy is a rare disabling condition, most commonly treated with rituximab monotherapy 27 (R), which leads to neurological improvement in only 30%-50% of patients. The combination 28 29 of rituximab plus chemotherapy has been proven to improve the level of responses. We studied the outcomes of anti-MAG neuropathy patients treated either by R, or by 30 31 immunochemotherapy (ICT) in our centre, focusing on the incidence of the first neurological response evaluated by the modified Rankin Scale (mRS). From 2011 to 2018, 64 patients 32 33 were studied: 34 were treated with R and 30 with ICT. According to our treatment decision-34 making process, the median mRS was higher in the ICT group (mRS 2) compared to the R 35 group (mRS 1). At 1 year, mRS improvement rates were 46% and 18% of the ICT and R groups of patients respectively, with a median time to response of 8 and 13 months (p=0.023). 36 Adverse effects were higher in the ICT group: 62% vs 15% (p<0.01) all grades included. One 37 secondary acute leukaemia occurred 5 years after treatment by ICT. In conclusion, ICT may 38 be used as a valid option for patients with rapidly progressive and/or severe anti-MAG 39 neuropathy symptoms. 40

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#### 43 INTRODUCTION

Monoclonal gammopathy of clinical significance (MGCS) is defined by the presence in the 44 serum of monoclonal immunoglobulin (Ig) or monoclonal free light chain produced by 45 indolent B-cell clones in the absence of overt tumour proliferation but responsible for organ 46 47 damage because of toxicity of the monoclonal component.(1) Mechanisms of toxicity include deposition of all or part of the monoclonal Ig, immune complex formation, complement 48 activation or autoantibody activity against a tissue antigen. Any antibody activity against 49 myelin-associated glycoprotein (MAG) may be responsible for IgM anti-MAG antibody-50 51 related peripheral neuropathy which is a rare, disabling condition. Most frequently, there is no overt lymphoproliferative syndrome but monoclonal gammopathy of undetermined 52 53 significance (MGUS) occurs. However, in 33% of cases, Waldenström macroglobulinemia 54 (WM) or indolent B-cell lymphoma is present.(2) The ensuing neuropathy is characterized by 55 mostly sensitive disorders and is generally slowly progressive but there is great heterogeneity of clinical presentation among patients, and progression can lead to irreversible secondary 56 57 axonal loss with disabling neurological outcomes. Rarely, acute worsening can occur and lead to major and rapid disability. (3). It is estimated that 20%-45% of patients are disabled by 58 severe progressive neuropathies that undermine their quality of life. (2,4) Thus, there is a need 59 to develop effective treatments. The criteria required to initiate treatment are difficult to 60 establish in anti-MAG neuropathy because of its rarity, its heterogeneous progressive course, 61 the subjectivity of symptom presentations and the non-standardized evaluation criteria.(5,6) 62 63 Usually, treatment is warranted in case of significant disability and should not be based on IgM and/or anti-MAG levels. There are no clearly established therapeutic recommendations 64 and there is insufficient evidence from most pilot studies to recommend any particular 65 66 treatment. Rituximab monotherapy use is more and more frequent although its effectiveness has not been demonstrated by all groups. (5,7–9) Clinical scales improvement with rituximab 67 68 monotherapy is reported in 30% to 50% of cases with a median delay of improvement ranging from 9 to 12 months. (10) 69

In WM and other lymphoproliferative disorders, immunochemotherapy (ICT) yields better outcomes than rituximab monotherapy (11). In the context of MGCS, the treatment decision is based on the benefit-to-risk approach and frequently involves chemotherapy.(1) Indeed, rituximab may be combined with chemotherapy to target the underlying B-cell clone responsible for the production of anti-MAG antibodies and has been reported by several teams. (12–15). Our centre has shown that the addition of chemotherapy makes it possible to obtain a faster clinical response, the median time to response being 5 months in the ICT group

compared to 9.5 months in the rituximab group.(16) It is suggested that ICT may be preferred

78 in case of rapid and/or severe neurological symptoms.

79 Based on these findings, we investigated whether patients with anti-MAG neuropathy would

80 benefit from more intensive treatments. Here, we report the outcomes of a retrospective **series** 

of patients treated with rituximab (R) or rituximab plus chemotherapy at a single centre.

### 82 MATERIALS AND METHODS

### 83 **Patients**

Recruitment was conducted retrospectively based on medical records. The inclusion criteria 84 were patients with anti-MAG neuropathy treated with R alone or in combination with 85 chemotherapy. The diagnosis was related to the association of demyelinating neuropathy 86 87 based on EMG features, clinical data matching and monoclonal gammopathy of the IgM type with anti-MAG activity. Clinical evaluation was assessed with the modified Rankin Scale 88 89 (mRS; Table 1). IgM levels were assessed with protein electrophoresis and anti-MAG antibodies with ELISA (Bühlmann, Switzerland) using coated human MAG and peroxidase-90 91 conjugated anti-human IgM. Samples  $\geq$  5,000 BTU were considered as positive. Clinical data 92 were obtained in accordance with the declaration of Helsinki and with ethical approval from national (CNIL 2212382) and local (CPP Ile-De-France 05/21/2014) ethics committees. All 93 patients were informed and oral non opposition of each patient was recorded in medical files 94 according with the ethical French law. Sixteen patients have been previously described with 95 shorter follow-up. (16) 96

#### 97 **Treatments**

The treatment decision was made according to the patient's symptoms, the impact on daily life 98 and the natural course of the disease, independently of bone marrow evaluation. ICT was used 99 to treat the patients with high mRS (3-4) and/ or acute or sub-acute ( $\leq 6$  months) neurological 100 worsening of the disease, subject to the age and the general status. Patients treated with ICT 101 received 6 cycles of: dexamethasone (20 mg day 1) plus R (375 mg/m<sup>2</sup> day 1) plus oral 102 cyclophosphamide (300 mg/m<sup>2</sup> day 1 to 5) (DRC), or oral fludarabine (40 mg/m<sup>2</sup> day 1 to 3) 103 plus oral cyclophosphamide (250 mg/m<sup>2</sup> day 1 to 3) plus R (375 mg/m<sup>2</sup> day 1) (FCR), or oral 104 fludarabine (40 mg/m<sup>2</sup> day 1 to 5) plus R (375 mg/m<sup>2</sup> day 1) (FR), or bendamustine (90 105

106  $mg/m^2$  day 1 and 2) plus R (375  $mg/m^2$  day1) (BR). In the R group, R was given: 375  $mg/m^2$ 107 intravenous every week for 4 weeks.

### 108 Outcomes

The study's primary endpoint was the incidence of the first mRS improvement. Improvement 109 was defined as mRS decrease  $\geq 1$ , stabilization as stable mRS, and progression as mRS 110 increase  $\geq 1$ . The secondary endpoint was the survival without initiation of a new treatment 111 defined as the time from the start of treatment and initiation of a new treatment or death. We 112 also evaluated the electrophysiological change (improvement, stability, worsening), according 113 to Lunn and Nobile-Orazio, (17) the anti-MAG change (decrease defined as  $\geq 25\%$  titre 114 decrease, increase defined as  $\geq 25\%$  titre increase, stability otherwise) and the IgM change 115 (decrease defined as  $\geq 25\%$  level decrease, increase defined as  $\geq 25\%$  level increase, stability 116 otherwise). 117

#### 118 Statistical analysis

Characteristics of the study population were described in terms of frequencies for qualitativevariables or medians and associated ranges for quantitative variables. Qualitative variables

were compared using Chi-2 test (or Fisher exact test if appropriate ), quantitative variables

were compared using Student test (or non-parametric Wilcoxon test in case of non-normal

distribution). The cutoff date for the analysis was 20/01/2020. Median follow-up was

124 estimated using reverse Kaplan-Meier. (18)

Time to modified Rankin Score (mRS) improvement was defined as the delay between the start of treatment and the date of event. Patient alive without improvement of modified Rankin Score were censored at the date of their last known contact or death. Patient who died before an improvement of modified Rankin score were censored at the date of death. Survival analyses were performed using the Kaplan-Meier estimate. Incidence of the event was estimated using the following transformation F(t)=1-S(t) where S(t) is the Kaplan-Meier estimate of survival functions.

Hazard ratios and their associated 95% confidence intervals were calculated using the Cox

133 proportional hazard model. For multivariate analysis, we chose to adjust treatment effect on

main confounding factors based on clinical knowledge: mRS at baseline evaluation and speed

- 135 of worsening (fast/progressive) assessed before treatment initiation. The proportional hazards
- 136 hypothesis was tested for each factor, with Schoenfeld's residuals test and plotting. All tests

- 137 were two-sided and used a significance threshold at 5%. Analyses were performed with the R
- 138 software, version 3.6.3 (<u>R Development Core Team, 2011</u>).

### 139 **RESULTS**

#### 140 **Patients and treatment**

From 2011 to 2018, 78 patients with a positive anti-MAG dosage were treated with R or ICT. 141 Fourteen patients were excluded because of other concomitant indications of treatment 142 (cryoglobulinemia, CANOMAD syndrome, active WM: n = 4) or lack of data (n = 10). Thus, 143 64 patients with anti-MAG neuropathy are reported here. Patient characteristics before 144 treatment are summarized in Table 2. The median age at symptom onset was 63 years [IQR 145 146 55–69] and 55% of the patients were male. The median time between onset of neuropathy and 147 treatment initiation was 4.3 years [IQR, 2-7]. The most common symptoms were: sensory 148 deficit (83%), paraesthesia and dysesthesia (70%) and ataxia (67%). Distal lower limbs motor deficit was reported for 25 patients (39%), neurological pain for 33 patients (52%) and tremor 149 for 11 patients (18%).. The most frequent type of onset was chronic (>6 months) (81%) but 2 150 patients had acute progression ( $\leq 1$  month).. The median mRS was 2 [IQR 1–2] in the overall 151 population, and twelve patients (40%) of the ICT group had mRS of 3 or 4 versus 2 (6%) of 152 153 the R group. The median monoclonal peak (for patients with measurable IgM peak, n = 43) and MAG antibody level (for n = 33 patients with anti-MAG dosage<70,000 BTU) were, 154 respectively, 4 g/L [IQR 3-4] and 32,900 BTU [IQR 23,400-43,000]. Thirty-one patients had 155 anti-MAG antibody levels >70,000 BTU. The kappa isotype was predominant in 81% of 156 patients. There was no evidence of an overt haematological malignancy in 30/53 patients 157 (57%) with available bone marrow evaluation. Among the other patients, 18 had WM and 5 158 patients had non-WM lymphoplasmacytic lymphoma. Thirty-four patients were treated with R 159 and thirty with ICT, including 13 (43%) with DRC, 13 (43%) with FR, 3 (10%) with FCR and 160 1 (3%) with BR. 161

#### 162 Modified Rankin Scale outcome and time to new treatment

- 163 The median follow-up was 5 years [IQR 3.9–6.4] for the whole cohort and was longer for the
- 164 ICT group (6.6 years) than for the R group (4 years). The incidence of the first mRS
- improvement was statistically different between the ICT group and the R group (HR = 2.41
- 166 CI95% [1.10, 5.28], p = 0.023). Twelve months after treatment initiation, 46% (CI95% [26;
- 167 62]) of patients had mRS improvement in the ICT group versus 18% (CI95% [2; 26]) in the R
- 168 group (**Fig. 1**). In addition, the mean change of mRS between start of treatment and 12

- 169 months was -0.64 in the ICT group versus -0.15 in the R group (p = 0.036) (Fig. 2). At 3
- 170 years, 57% (CI95% [35; 72]) of patients had mRS improvement in the ICT group vs 30%
- 171 (CI95% [11; 45]) in the R group. Median time to first mRS improvement was 25.2 months
- 172 (CI95% [8.4; NR]) in the ICT group but it was not reached in the R group as less than 50% of
- 173 patient had a mRS improvement. For responder patients, the median time to first response was
- 174 8 months [IQR 6–10] in the ICT group versus 13 months [IQR 9–29] in the R group. After
- adjustment on baseline mRS score and the type of onset, incidence of the first mRs
- improvement was no longer significant between ICT and R groups (HR = 1.34, CI95%[0.58;
- 177 3.12]).
- 178 At 5 years, 36% (CI95% [11; 45]) of ICT group patients have started a new line of treatment
- 179 or died versus 39% (CI95% [12; 57]) of R group patients (p=0.675) (**Fig. 3**). Of note, 5
- 180 patients, all in the ICT group, continued to decrease their mRS after the first response. The
- 181 mRS profile of each patient over time is reported in **Fig. 4**.

### 182 Other outcomes

Twelve months after treatment initiation, electrophysiological improvement occurred in 22/52 183 patients (42%) and its rate was higher in the ICT group (65%) vs the R group (24%) (p =184 185 0.007). The electrophysiological improvement was concordant with the clinical mRS improvement in 88% of cases (Fig. 5A). Finally, decreases in anti-MAG and IgM were 186 187 observed respectively in 27/39 (69%) and 19/48 (40%) patients, and we did not observe any statistical association between biological and mRS responses (Fig. 5B-C). Indeed, mRS 188 189 improvement occurred in only 41% of patients with IgM decrease and in 32% of patients with 190 anti-MAG decrease.

### 191 **Tolerance**

192 The ICT regimen was associated with higher adverse events including all grades: 62% in the ICT group versus 15% in the R group (p<0.01), but no toxic death was reported (Table 3). 193 Rituximab-related infusion reactions were all classified as grade 1-2 and occurred in 6% of 194 the patients. Grade 3-4 cytopenia and nausea/vomiting occurred, respectively, in 5 and 1 195 196 patients, all in the ICT group. Infectious complications were reported in 4 patients in the ICT 197 group versus 1in the R group. They included 3 bacterial infections 1 septic shock, 1 febrile 198 neutropenia and 1 parvovirus B19 infection (1 patient experienced 2 different infectious adverse events). Notably, 1 patient in the R group developed, after the fourth R injection, a 199 200 grade 4 non-viral cytolytic hepatitis associated with neurological flare. Modified RS increased from 1 to 4 and the patient temporarily required a wheelchair. In parallel, EMG and anti-MAG antibody assays worsened. The patient gradually recovered his baseline clinical condition 9 months later. Finally, 1 patient in the ICT group died from secondary acute leukaemia diagnosed 5 years after treatment (DRC and then FR).

205

#### 206 DISCUSSION

207 Here, we report the outcomes of the largest cohort of anti-MAG neuropathy patients treated with ICT or R monotherapy. As expected, clinical characteristics assessed with mRS were 208 209 different in the 2 treatment groups as follows: patients in the ICT group were more disabled than those in the R group. Indeed, in our centre, patients with severe or rapidly progressive 210 neuropathy are more prone to be treated with ICT as a result of our previous experiences. (16) 211 212 We assume that there is a major bias when comparing the efficacy of 2 treatment types but it reflects the heterogeneous presentation of the disease and the complexity of randomized trials 213 214 in rare patient populations. Nevertheless, the time between onset of neuropathy and treatment initiation was similar between the 2 groups. In our cohort, the response rate at 1 year was 215 216 higher (46% vs 18%) and the time to response (8 vs 13 months) shorter in the ICT group compared to the R group. In case of rapid neurological worsening with severe symptoms, a 217 218 fast response is of great interest because, while waiting for the treatment to take effect, patients continue to become disabled, and the consequences may be irreversible. In the case of 219 very slow disease progression, the patients may develop secondary axonal changes with loss 220 of neurons. As a result, they are less likely to respond than patients with more recent disease, 221 suggesting that, as previously noted by other authors, (19–21) a well-tolerated treatment such 222 as R monotherapy may be proposed earlier during the disease progression in this slow 223 224 context. Of note, ICT benefit was no longer significant in multivariate analysis. Taking into account confusion factors is useful in retrospective analysis. In rare patients population where 225 randomized trials are not possible, estimating an hazard ratio at 1.34 may be clinically 226 interesting without having the necessary power to be significant in the study. However, we 227 228 cannot exclude that the effect of ICT vs. R is inferior due to residual confusion.

One way to improve treatment efficacy without adding adverse effects may be the use of new drugs targeting B cells, such as Bruton Tyrosine Kinase inhibitor (BTKi), but very few data are available in the specific context of anti-MAG neuropathy. In the study by Treon et al., 9 patients received ibrutinib for progressive neuropathy, 3 of whom had anti-MAG antibodies. (22) Subjective improvement occurred in 5 patients and 4 remained stable. In a subsequent
study, 4 patients with neuropathy were treated with ibrutinib: 2 had subjective improvement
and 2 remained stable. (23) More recently, ibrutinib demonstrated objective improvement
occurring early in the first 3 months in 3 patients with anti-MAG neuropathy. (24) These
results suggest that BTKi should be considered for anti-MAG neuropathy patients in future
clinical trials and that the delay of improvement may be short.

239 One concern with anti-MAG neuropathy is that international consensus on assessing response 240 to treatment is still lacking and clinical evaluation is difficult because of inadequate scores. 241 Rankin and ONLS scores are disability scales and cannot effectively capture small functional changes that can greatly impact quality of life. The only-well defined sensory score available 242 243 in clinical trials is the INCAT sensory score, but it is insufficiently sensitive to detect the small functional changes or sensory improvement concerning paraesthesia or pain for 244 245 example. (25,26). Also, it highlighted that we probably underestimated the clinical impact of 246 treatment because of lack of sensitivity of the neurological score and that we need to develop more sensitive scores. On the biological side, disease markers may not be suitable for 247 assessing treatment efficacy. Indeed, we confirmed that anti-MAG antibody evolution was not 248 correlated to the clinical response. (27,28) A possible explanation for this observation is that 249 differences in the anti-MAG antibody titre are no longer detectable above a certain threshold. 250 We cannot detect changes in patients with anti-MAG titres  $\geq$ 70,000 BTU at baseline. 251 Moreover, the plasma anti-MAG antibody titre does not reflect its binding capacity in the 252 nerve tissue and does not inform on its affinity. This is why anti-MAG antibody titre is of 253 254 crucial interest for demyelinating neuropathy diagnosis but not for treatment evaluation. Similarly, we did not find a correlation between the IgM response and the clinical response. 255 256 This may be explained in part by the low level of IgM monoclonal gammopathy that do not 257 allow a correct assessment of peak reduction after treatment.

Finally, different treatment options were used according to the successive WM international guidelines. Purine-analogs based regimens were frequently used at the beginning of the twenties but are less used because of the risk of long-lasting cytopenia and myelodysplasia. (29) DRC is effective, well tolerated and is one of the most used treatment option. (11,30) More recently, bendamustine plus rituximab have demonstrated a superior overall responses and progression-free survival with superior time to best response compared to DRC and BDR (bortezomib plus dexamethasone plus rituximab). (31,32) Thus, we recommend the use of alkylating based regimen in aggressive anti MAG neuropathy: BR in fit patients, and DRC inless fit or unfit patients .

In conclusion, our data suggest that treatment of anti-MAG neuropathy patients can be adapted to the heterogeneous clinical presentations and that ICT can be used to treat severe or rapidly progressive neurological symptoms in order to obtain a higher response rate with a shorter time to response.

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## 380 TABLES

## 381 **Table 1. Modified Rankin Scale.**

Scale	Symptoms
0	No symptoms at all
1	No significant disability despite symptoms; able to carry out all usual duties and
	activities
2	Slight disability; unable to carry out all previous activities, but able to look after
	own affairs without assistance
3	Moderate disability; requiring some help, but able to walk without assistance
4	Moderately severe disability; unable to walk and attend to bodily needs without
	assistance
5	Severe disability; bedridden, incontinent and requiring constant nursing care
	and attention
6	Death

382

## **Table 2. Baseline clinical and biological characteristics of the patients before treatment.**

Characteristic	Total	ICT	Rituximab	P value
No. of patients	64	30	34	
Median age [IQR]	63 [55-69]	63 [57-72]	62 [54-68]	0.641
Gender, n. (%)	Male: 35 (55)	Male: 19 (63)	Male: 16 (47)	0.192
	Female: 29 (45)	Female: 11 (37)	Female: 18 (53)	
Underlying hematological				0.131
malignancy* (n=53), n. (%)				
- IgM MGCS	30 (57)	17 (63)	13 (50)	
- WM	18 (34)	6 (22)	12 (46)	
- Other	5 (9)	4 (15)	1 (4)	
lymphoproliferative disorder				
Clinical presentation, n. (%)				
- Sensory deficit	53 (83)	27 (90)	26 (77)	0.152
- Paresthesia/ dysesthesia	45 (70)	15 (50) 30 (88)		0.001
- Ataxia	43 (67)	26 (87)	17 (50)	0.002
- Pain	33 (52)	12 (40)	21 (62)	0.082
- Motor deficit	25 (39)	19 (63)	6 (18)	<0.001
Median time between	4.3 [2.1-7.4]	4.2 [1.8-7.2]	4.8 [2.4-9.5]	0.1563
neuropathy onset and treatment				
initiation, years [IQR]				
Type of onset, n. (%)				0.005
- Acute/ Sub-acute	12 (19)	10 (33)	2 (6)	
- Chronic	52 (81)	20 (67)	32 (94)	
Modified Rankin Scale				0.001
- Median [IQR]	2 [1-2]	2 [2-3]	1 [1-2]	
- mRS 1-2 (%)	50 (78)	18 (60)	32 (94)	
- mRS 3-4 (%)	14 (22)	12 (40)	2 (6)	
Spike IgM level, g/L [IQR]	4 [3-4]	6 [5-8]	4 [3-8]	<0.001
Kappa isotype, n. (%)	51 (81)	25 (83)	26 (79)	0.202
Anti-MAG titer				
- >70,000 BTU, n (%)	31(48)	16 (53)	15 (44)	0,462

- BTU [IQR]	32,900 [23,400-	40,600 [33,500-	23,700 [20,250-	0.041
	43,000]	45,850]	41,012]	

384

- Abbreviations. ICT, immunochemotherapy; No., number; MGCS, monoclonal gammopathy
  of clinical significance; mRS, modified Rankin Scale.
- <sup>387</sup> \*Underlying hemopathy was assessed with bone marrow evaluation and was available for
- n=53 patients. Acute delay of degradation means  $\leq 3$  months, sub-acute between 3 and 6

	Overall		ICT		Rituximab	
Adverse events	Any Grade	Grade 3 or higher	Any Grade	Grade 3 or higher	Any Grade	Grade 3 or higher
Infusion reaction	4	0	2	0	2	0
Flare effect	1	1	0	0	1	1
Infectious complication	6	1	5	1	1	0
Anemia	0	3	0	3	0	0
Thrombocytopenia	3	1	3	1	0	0
Neutropenia	3	2	3	2	0	0
Aplasia	1	1	1	1	0	0
Nausea, vomiting	4	1	4	1	0	0
Hepatitis	0	1	0	0	0	1

months, progressive > 6 months from the diagnosis.

**390** Table 3. Treatment-induced toxicities.

391

392

Secondary malignancy	NS	1	NS	1	NS	0
Toxic death	NS	0	NS	0	NS	0

**Abbreviations**. ICT, immunochemotherapy; NS: not suitable.

394

#### **FIGURE LEGENDS**

Figure 1. Incidence functions of mRS response. Modified RS response was defined as a decrease  $\geq 1$  point under treatment. Purple line denotes patients treated with immunochemotherapy (ICT), green line denotes patients treated with rituximab alone (R).

Figure 2. Mean modified Rankin Scale at baseline and 12 months after treatment initiation. Red lines denote mRS mean, bold lines denote mRS median.Figure 3. Probability of survival without a new treatment was started. Purple line denotes patients treated with immunochemotherapy (ICT), green line denotes patients treated with rituximab alone (R).

Figure 4. Modified Rankin Scale profile of each patient under and after treatment. A.
Immunochemotherapy (ICT) group, B. Rituximab (R) group. Vertical blue (for R group) and
red (for ICT group) dotted lines denote the time point of 12 months after treatment initiation.

406 Figure 5. Concordance between mRS response and other outcomes. A. EMG change, B. 407 Anti-MAG change, C. IgM change. The EMG change was reported according to Lunn and 408 Nobile-Orazio (5) (improvement, stability or worsening). The anti-MAG change was defined as a decrease in case of  $\geq 25\%$  titer decrease, an increase in case of  $\geq 25\%$  titer increase and a 409 410 stability in the other cases. The IgM change was defined as a decrease in case of  $\geq 25\%$  level decrease, an increase in case of  $\geq 25\%$  level increase and a stability in the other cases. The x 411 axis denotes the neurological responder patients according to mRS improvement (yes) or not 412 (no). The y axis denotes the frequency of improvement or increase (yellow color), stability 413 (orange color) and worsening or increase (red color) according to the other parameters. 414