

# Unmet needs and perspectives in oral cancer prevention

Jebrane Bouaoud, Paolo Bossi, Moshe Elkabets, Sandra Schmitz, Léon van Kempen, Pierre Martinez, Sankar Jagadeeshan, Ingrid Breuskin, Gerwin Puppels, Caroline Hoffmann, et al.

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### **1** TITLE PAGE

2 Unmet needs and perspectives in oral cancer prevention

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#### 50 Simple Summary

51 Oral cavity is the most common site of head and neck cancer which is ranked as the 8<sup>th</sup> most common 52 cancer worldwide. Oral cancer treatment is often associated with significant morbidity and is sometimes 53 ineffective. These cancers, mainly due to tobacco and alcohol consumption, can develop from oral 54 potentially malignant disorders, the most common of which is oral leukoplakia. Some of these oral 55 potentially malignant disorders disappear, while others will transform to oral cancer. Patients may also 56 develop cancer in the field of cancerization. Unfortunately, except for the surgical excision of lesions 57 with dysplasia, there is no effective intervention to effectively prevent transformation or cancer 58 development in the field of cancerization. Moreover, no standardized biomarker has been clearly 59 identified as sufficient to predict malignant transformation. In this article, several experts discuss the 60 main challenges in oral cancer prevention, in particular the need (i) to define new a new classification 61 system integrating cellular and molecular features aiming (ii) at better identifying patients at high risk 62 of malignant transformation, and (iii) at developing treatment strategies to prevent their malignant 63 transformation of oral potentially malignant disorders.

64

#### 65 Abstract

66 Oral potentially malignant disorders (OPMD) may precede oral squamous cell carcinoma (OSCC). 67 Reported rate of malignant transformation of OPMD ranged from 3 to 50%. While some clinical, 68 histological, and molecular factors have been associated with a high-risk OPMD, they are to date 69 insufficiently accurate for treatment decision making. Moreover, this range highlights differences in 70 clinical definition of OPMD, variation in follow-up periods, and molecular and biological heterogeneity 71 of OPMD. Finally, while treatment of OPMD may improve outcome, standard therapy has been shown 72 to be ineffective to prevent OSCC development in patients with OPMD. In this perspective paper, 73 several experts discuss the main challenges in oral cancer prevention, in particular the needs (i) to define an OPMD classification system by integrating new pathological and molecular characteristics aiming 74

- 75 (ii) to better identify OPMD at high risk of malignant transformation, and (iii) to develop treatment
- 76 strategies to eradicate OPMD or prevent malignant transformation.
- 77
- 78 Key words (MeSH):
- 79 Oral premalignant disorders, oral preneoplasia, oral cancer, prevention, diagnosis

#### 80 INTRODUCTION

81 Oral cavity is the most common site of Head and Neck Squamous Cell Carcinoma (HNSCC) which is 82 ranked as the 8th most common cancer worldwide [1]. Oral SCC (OSCC) is a major cause of morbidity 83 and mortality [2,3]. OSCC are preceded by mucosal precancerous changes that might be visible as white 84 (leukoplakia) or red (erythroplakia) lesions, but are mostly not macroscopically visible, which explains 85 that most OSCC seem to develop de novo. However, the preceding precancerous changes can present 86 under the microscope as abnormal mucosal epithelium, also indicated as dysplasia, graded as mild 87 moderate and severe, or they can be identified by genetic markers. In 2017, the World Health 88 Organization (WHO) has defined oral potentially malignant disorders (OPMD) as "clinical 89 presentations that carry a risk of cancer development in the oral cavity, whether in a clinically definable 90 precursor lesion or in clinically normal mucosa" [4]. Thus OPMD may precede OSCC, and may be 91 visible or not [5]. While it is traditionally assumed that OPMD and OSCC are associated with similar 92 risk factors (e.g., alcohol, tobacco, betel quid), a proportion of OPMD and OSCC cases occur in the 93 complete absence of any identifiable risk factor, particularly in young patients never drinkers/smokers 94 [6–9]. The overall worldwide prevalence of OPMD is about 4.5% [10]. The main risk factors of malignant 95 transformation of OPMD described to date are patient related, clinical (e.g., female, >50 years; non-96 smoker with a nonhomogeneous red lesion of the tongue and floor of mouth >200 mm2 and existing for 97 several years; history of previous OSCC; diabetes mellitus), tumor related, histological (i.e., severe 98 dysplasia), and molecular factors (*i.e.*, aneuploidy, loss of heterozygosity [LOH]). The reported 99 malignant transformation rates range from 3 to 66%, indicating that variable definitions may be used, 100 data with different follow-up periods have been collected and the existence of histological and in 101 particular molecular heterogeneity of OPMD [11–15]. For OPMDs that are visible standard policy is to 102 take multiple, repeated, and deep incision biopsies to check for invasive growth and dysplasia. 103 Treatment of the OPMD may prevent malignant transformation and improve outcome [6,11]. The 104 surgical excision of OPMD can decrease the risk of malignant transformation at the same site, but it 105 does not eliminate the risk of subsequent development of SCC at other sites [16]. To date, no standard

- therapy has been shown to be effective in patients with OPMD to prevent OSCC development in theentire field of cancerization [17].
- 108 Main challenges are (i) to define an OPMD classification system integrating new pathological and
- 109 molecular characteristics aiming (ii) to better identify OPMD at high risk of malignant transformation,
- and (iii) to develop prevention strategies that would treat both the visible lesion and the entire field of
- 111 cancerization [18,19]. Large longitudinal studies of OPMD case with malignant transformation, as the
- 112 most relevant clinical outcome, are required.

113 <u>Pathological perspective</u>

As defined in the recent OPMD WHO classification, OPMD include fifteen disorders affecting the oral mucosa (*e.g.* leukoplakia, erythroplakia, proliferative verrucous leukoplakia, oral submucous fibrosis ...) and which are either secondary to genetic aberrations, exposure to exogenous factors such as tobacco and/or immune-mediated disorders or related to rare inherited diseases [4,20]. The different histologic features, especially those usually used to grade dysplasia (architectural and cytologic changes...) have been reviewed elsewhere [21].

120 The histopathological diagnosis and grading of dysplasia are the gold standard in guiding OPMD 121 management. Unfortunately, especially in the oral cavity, it is challenging because of the high degree of 122 inter and intra-observer variability, resulting in limited value of grading of dysplasia as a predictive 123 factor for OPMD malignant transformation [22,23]. The WHO classification postulates that the more 124 advanced the degree of dysplasia, the higher the likelihood of developing oral squamous cell carcinoma 125 (OSCC). However, literature reports that OSCC may also arise from seemingly non-dysplastic 126 epithelium. The histology of these lesions is subtle and easily underdiagnosed. In particular, by 127 studying the abnormalities in the mucosa surrounding OSCC, it was recently shown that the dysplastic 128 changes are most commonly subtle (70%, with the features of so-called differentiated dysplasia) and 129 therefore may easily be undervalued by the pathologist [24]. To improve the dysplasia diagnosis, 130 authors proposed refined histopathological criteria, and have shown that immunohistochemistry with 131 antibodies against cytokeratin 13, cytokeratin 17, and Ki67 is a useful diagnostic adjunct. It has been 132 shown that compared to the classic histologic criteria (Who 2017), differentiated dysplasia improves the 133 prediction of oral leukoplakia at increased risk of malignant progression [25]. To address the issues in 134 histological diagnosis and grading of dysplasia, we should develop refined and standardized 135 histopathological criteria encompassing the various histological appearances for reliable diagnosis of 136 OPMD and implement validated immunohistochemical and molecular biomarkers.

In addition, Artificial Intelligence methods is becoming a powerful diagnostic adjunct [26]. In particular,machine learning and deep learning algorithms are promising for diagnostic support (enhance)

- laboratory efficiency & quality assurance), as disruptive technology to standard biomarkers, and to
  derive patterns not achievable by a human observer [27]. Although this field is rapidly evolving,
  currently very few algorithms have reached clinical implementation [28].
- 142

### 143 <u>Biomarkers, prospective high-risk cohorts with embedded trials</u>

Besides clinical and histological characteristics of OPMD [4], several biomarkers have been proposed to identify patients with OPMD at high risk of OSCC development [29]. LOH at specific chromosomal sites (3p14 or 9p21) has been validated prospectively [30]. LOH was also found to be a biomarker predicting the development of second oral malignancies in patients with an OPMD subsequent to the treatment of a OSCC [31,32]. Prospective cohorts with long-term follow-up of patients with OPMD are needed to identify other predictive biomarkers that may be used for clinical practice.

150

# 151 <u>Biology of precancerous changes</u>

152 In 1953 Slaughter et al., concluded from histopathological studies of oral cancer specimen: 'From the 153 foregoing observations it would appear that epidermoid carcinoma of the oral stratified squamous epithelium 154 originates in a process of "field cancerization," in which an area of epithelium has been preconditioned by an as-155 yet-unknown carcinogenic agent. Such a carcinogenic influence if operative enough in time and intense enough 156 in exposure produces an irreversible change in cells and cell groups in the given area, so that change of the process 157 toward cancer becomes inevitable.' [33]. It is remarkable that this model was already reported before 158 tobacco and alcohol were identified as the major culprits of OSCC, and before the scientific world had 159 any clue on molecular carcinogenesis and the role of mutated cancer genes. At present we know that 160 cancer arises by the accumulation of genetic and epigenetic changes, causing a changed circuitry of 161 many signal transduction routes and invoking the acquired capabilities of cancer cells characterized as 162 the 'hallmarks of cancer' [34]. Hence, the onset and driving force of carcinogenesis is the accumulation 163 of genetic changes, albeit stroma interactions likely play a role in parallel. The genetic changes occurring 164 during oral carcinogenesis are now well defined [33,35–38]. Typical chromosomal changes such as loss of 3p, 9p, and 17p that are frequently found in invasive HNSCC, are also found in precancerous changes,
and are in fact the most accurate predictors of malignant transformation of the OPMD as discussed
above [30].

168 Given the causal role of genetic changes in carcinogenesis, the upper aerodigestive tract field 169 cancerization may be explained, at least partially, by the accumulation of genetic changes in the mucosal 170 keratinocytes. There are no specific markers of stem cells in the mucosa, but we may assume that these 171 exist in the basal layer of the mucosal epithelium. The stemness of such cells is not intrinsic and fixed, 172 but most likely the result of a dynamic process as it is in the intestine [39]. These stem cells form the 173 basis of the mucosal units of transit, amplifying cells and differentiating cells in areas of approximately 174 200 cells wide, which together make up the mucosal epithelium. This clonal unit was demonstrated in 175 mouse epidermis using Axin2 lineage tracing experiments [40]. A somatic mutation in such a cell with 176 stemness properties will give rise to a mutated clonal unit as first described in 2002 using TP53 177 mutations as molecular marker [41]. These rare somatic mutations in cells have since then been shown 178 in numerous tissues and are studied using next generation sequencing approaches [42,43]. The mutated 179 cells compete with the wild type cells. In the skin, UV-induced cell death of normal cells supports the 180 extension of the preneoplastic cells [44]. In the esophagus, oxidative stress has been identified as a 181 potential factor that supports the proliferation of TP53-mutated cells over the wild type cells [45]. When 182 applying N-acetylcysteine (NAC) as oxidative stress reducing agent, the balance was shifted in 183 advantage of wild type cells. However, no effect of NAC to prevent recurrent cancer or second primary 184 tumors in both lung and head and neck cancer patients was seen in the EUROSCAN trial [46].

Besides environmental factors that may favor growth of genetically damaged cells, accumulation of subsequent genetic alterations may induce a growth advantage and change the balance between normal cells and genetically damaged cells, the latter displacing the normal mucosa by so far unresolved mechanisms. It is likely not related to proliferation rate as normal keratinocytes, precancer and cancer cells may have comparable cell division times, at least *in vitro* [47].

190

## 191 <u>Field of cancerization</u>

192 A field should be defined as group of cells with tumor-associated somatic genetic alterations. 193 Irrespective of the underlying biology and cellular interaction, the preneoplastic fields will develop in 194 time and can reach dimensions greater than 10 cm in diameter. As explained above, the minority is 195 clinically visible as asymptomatic persistent white or red lesion that cannot be rubbed off [20]. The 196 clinical aspect is poorly specific of OPMD given that not all lesions harbor histologically proven 197 dysplasia [25]. Hence, the visible lesions form the tip of the iceberg. Indeed, some normal surgical 198 margins of oral cancer specimen showed genetic changes, indicating that not all precancerous fields are 199 recognized by histology, and that we must rely on genetic markers to identify all potentially malignant 200 fields. However, with the introduction of differentiated dysplasia as novel morphological entity [24,25], 201 this may change soon. Whether they are visible or not, these potentially malignant changes may 202 transform into invasive cancers. The tumors are diagnosed and treated, but particularly when these 203 fields are not visible to the naked eye, they may stay behind and cause local relapses clinically diagnosed 204 either as local recurrence or second primary tumor depending on the distance (2 cm and/or different 205 subsite) and the time interval (3 years) [35,36].

206 In vitro cultures of visible lesions were reported in 2002 [48]. More recently, 98 2D cultures from normal 207 appearing mucosa of the surgical margins of patients with primary HNSCC were generated and 208 characterized for their molecular alterations and the number of population doublings (PDs) [47]. 209 Cultures with more than 20 PDs and a random selection of nine other cultures with a normal life span 210 (<20 PDs) were analyzed for copy number changes and for mutations of the ten key HNSCC driver 211 genes using target-enrichment sequencing. Irrespective of the lifespan of < or > 20 PD, in 50% of the 212 cultures somatic genetic changes were identified with a large variety in type and number. Despite many 213 genetic alterations in some cultures and an apparent immortal lifespan, none formed tumors in 214 immunodeficient mice, demonstrating the lack of invasive capacity and confirming the precancerous 215 state [48]. This support that acquisition of immortality is an earlier event during OSCC progression than 216 acquisition of invasive properties. Most frequently mutated genes were TP53, NOTCH1 and FAT1,

whereas *CDKN2A* showed frequent copy number losses. Most intriguingly, in four cultures copy
number changes were found but no mutations in key driver genes, suggestive that carcinogenesis may
start with copy number changes, although such precancerous cells may never transform.

220 In summary, field cancerization has been well characterized in genetic terms, the cells can be cultured 221 and even used for therapeutic target screening [49,50]. A field should be defined as group of cells with 222 tumor-associated somatic genetic alterations. A field should be larger than the clonal unit and 223 consequently larger than at least 200 cells wide and can reach dimensions of up to 10 cm in diameter. 224 Some fields present as dysplasia under the microscope, and some are macroscopically visible as a non-225 specific persistent white or red lesion. These fields contain a variety of genetic changes, but typically 226 also mutations in the cancer driver genes of head and neck cancer. They develop by a process of somatic 227 mutation in relation to aging and carcinogen exposure. Why the normal epithelium is displaced remains 228 an enigma. Enhanced proliferation seems logical but is likely not the cause, and biological processes 229 perhaps stimulated by environmental cues, may be more likely.

230

# 231 <u>The OPMD Immune microenvironment (IME)</u>

232 The interplay between OPMD and IME has been poorly explored while it appears as a promising and 233 actionable target [51,52]. Briefly, compared to OPMD that transformed into OSCC, patients with 234 dysplastic OPMD and no subsequent malignant transformation had significantly more infiltrating 235 CD3+, CD4+ and CD8+ T-cells and decreased T-regulatory cells [53–56]. Furthermore, the progression 236 from OPMD to OSCC has shown increased number of CD163+ cells (M2 Macrophages), PD-L1 237 expression and decreased number of CD8+ cells [52,53,56-59]. More recently, the Saintigny Team (JB, 238 PS) studied the dynamic of the IME in the 4-NQO murine model of oral carcinogenesis [60], an accepted 239 model for the human disease in particular at early steps of tumorigenesis [61]. They found that changes 240 in the composition of immune infiltrate (T-cells, B-cells, M1/M2 macrophages) can already be observed 241 in histologically proven premalignant stages. Transcriptomic changes revealed activation of immune 242 related processes at early steps of oral carcinogenesis. On the other hand, when the gene expression data of 86 patients with OPMD were challenged with transcriptomic features coming from HNSCC
patients, the lesions could be stratified in several clusters, and the OPMD from the mesenchymal,
hypoxia and classical molecular subgroups showed a higher risk of malignant transformation in
comparison with the immune-related ones [62].

It is tempting to speculate on OPMD within the concept of "immunoediting", hypothesizing that these lesions are in the equilibrium phase of a dynamic process between the malignant transformation and surveillance of the immune system. One hypothesis is that malignancy will develop in the presence of an immunosuppressive microenvironment. Another hypothesis is that OPMD do not elicit a sufficient immune response, and that for two main reasons: (i) OPMD highly resemble 'self' and are not detected as non-self by the immune system; (ii) OPMD barely induce local tissue-damage and therefore insufficiently release the immune-attracting damage associated molecular patterns.

Overall, while promising, our knowledge of the complex and dynamic nature of the OPMD IME remains incomplete which might explain the failure of immunoprevention strategies [63,64]. Thus, further characterization of the dynamic changes immune response during oral carcinogenesis is required [51,52], especially differences between OPMD that subsequently transformed into OSCC and those that did not.

259

#### 260 Oral Microbiome

261 The study of the potential contribution of the microbiome in the carcinogenesis of different cancer types 262 including OSCC is emerging [65]. Regarding the very few studies which have reported the microbiome 263 composition associated with OPMD, results are heterogeneous and difficult to compare because of 264 diversity in microbiota and methodological heterogeneity [66,67]. Briefly, it was suggested that the 265 microbiota may contribute to tumorigenesis, both directly (production of microbial genotoxin inflicting 266 DNA damages), and indirectly through its interplay with the immune system (stimulation of chronic 267 inflammation alters the immune responses and aberrant immune responses facilitate dysbiosis, 268 especially in aging context) [68]. Moreover, the dysregulation by the microbiome of some physiological activities that are critical for oral carcinogenesis (nitrogen transport, response to stress, interspecies
interactions, Wnt pathway modulation, and amino acid and lipid biosynthesis) were identified using
the 4-NQO mice model [69]. Overall, the understanding of the role of the oral microbiome in
carcinogenesis is still an area of investigation [67].

273

#### 274 Early diagnosis of OPMD

The early detection of OPMD serves the purpose of secondary prevention of oral cancer [70].
Examination of the oral cavity (visual inspection and palpation) is the conventional method for
identifying and monitoring OPMD. However, clinical recognition of OPMD is challenging [5]. Thus,
methods to enhance the early detection of OPMD are required [4,5,71].

279

In 2008, the International Agency for Research in Cancer (IARC) has published a digital manual to help physicians in this aim (https://screening.iarc.fr/index.php). Furthermore, non-invasive *in-vivo* optical imaging provides unique opportunities for real-time diagnosis of oral pre-malignancies. These techniques are mainly autofluorescence imaging (AFI), targeted fluorescence imaging (TFI), highresolution microendoscopy (HRME), narrow band imaging (NBI), Raman spectroscopy (RS) (Table 1) [72,73].

286 Using AFI, altered and dysplastic tissues appear darker compared to the healthy surrounding tissue 287 (autofluorescence loss). AFI devices displayed superior accuracy levels in the identification of OPMD 288 compared to clinical examination [74]. AFI devices evaluated for early diagnosis of OPMD are practical 289 and cost-effective but suffer from low specificity [5]. Moreover, mucosa with hyperkeratinization such 290 as some oral leukoplakia can demonstrate increased autofluorescence when compared to normal 291 mucosa which limits the ability to detect malignant change within such lesions [75]. TFI utilizes a 292 targeting fluorescence probe which can specifically target some elements by approved antibodies 293 (targeted immune-fluorescence imaging). However, the lesion heterogeneity could decrease the TFI 294 sensitivity.

NBI visualizes the angiogenic patterns within and surrounding lesions. NBI as an endoscopic system is widely available and easy to use [5,76,77]. Moreover, the neoangiogenesis-related morphological changes, especially the abnormal intraepithelial capillary loops (ICPL) patterns, have been widely reported [5,75]. Unfortunately, IPCL patterns characterization is subjective and the visualization of microvessel architecture may be affected by various factors. Artificial intelligence may make the prediction of malignant transformation more objective and with greater accuracy [26].

HRME is cost effective, non-invasive and provides real-time high-resolution microscopic images (in situ
"optical biopsy") [78]. HRME has demonstrated high sensitivity and specificity. However, HRME is not
commercially available, its contrast agent is not yet approved, and the field of view is limited [5].

**304** RS is a non-distructive vibrational spectroscopic technique [79–81]. Raman spectra represent the overall

molecular composition of the tissue, and can be used to distinguish healthy tissue from (pre-)malignant
tissue [5]. RS is a promising tool for early diagnosis/biopsy guidance and follow up (optical biopsy) of
OPMD but required further development [82].

308

Other imaging techniques to detect OPMD are Optical coherence tomography, Elastic scattering
spectroscopy, Diffuse reflectance spectroscopy, confocal laser endomicroscopy and confocal reflectance
microscopy, but they are not widely developed [5,83]. Vital staining (toluidine blue, Methylene blue,
Rose Bengal and Ludo's iodine) are sensitive, simple, rapid, efficient and low-cost techniques [5,75,84]
but false positive results are frequent, and their application is not without issues.

In summary, the previously described techniques are promising with high sensitivity to detect OPMD but suffer from poor specificity. This is not only due to inherent limitations of the techniques, but also to the lack of a good histological gold standard, which renders the development of predictive algorithms based on optical methods very difficult [5,75,84]. To overcome the technical part of the problem, combination of techniques, *e.g.*, combining AFI and HRME are interesting [85,86]. Further investigations (large randomized clinical trial with long follow-up) are needed.

320

# 321 <u>Preclinical models</u>

**322** *In vitro tissue culture models* 

• 2D culture of cell lines

There are many reports of cell lines being established from OPMD biopsies (Table 2). These OPMD cell line model systems recapitulate the key characteristics of the clinical lesions closely and have been used to study the early stages of oral cancer and malignant transformation of oral keratinocytes *in vitro* [87– 94]. However, the major limitation of cell line models is that these cells fail to grow *in vivo* thereby prohibiting to study the involvement of the oral microenvironments.

• 3D culture of organotypic co-culture

330 In this method, keratinocytes are cultured at an air-to-liquid interface on a fibroblast-containing 331 collagen type I matrix. While several refinements have been proposed to overcome the major limitations 332 of the classically used collagen-based connective tissue equivalent (deficit of complex structural 333 heterogeneity and collagen fiber crosslinking present in mature connective tissue, induction of artificial 334 epithelial invasion by lose of biostability over a long period of culture and lack of a well-defined 335 continuous basement membrane between the epithelium and connective tissue)[95], to date, most 336 organoids lacked vasculature, fibroblasts and immune cell components that are known to influence 337 malignant transformation, which make them not a true representation of in vivo transformation of 338 OPMD to OSCC.

Recently, to mimic the oral mucosal complexity, progress has been achieved in designing more complex tissue engineering techniques in organotypic co-cultures that includes the incorporation of blood capillaries to the cell surface [96], culturing oral keratinocytes with fibroblasts [97], immune cells [98], and oral microbiota [99,100]. As protocols and analysis methods continue to improve, these 3D techniques will become more accessible within the said field.

344

345 In vivo rodent models

• Carcinogen-induced models

347 Several agents, including coal tar, cigarette smoke, benzo[a]pyrene (B[a]P), 3-methylcholanthrene, 7,12-348 dimethylbenz(a)anthracene (DMBA) and 4-nitroquinoline-1-oxide (4-NQO) have been used to induce 349 OSCC in rodent models. In particular, the 4-NQO-induced oral carcinogenesis murine model closely 350 resembles human OSCC in terms of pathogenesis, pathological changes, host immune activity, and 351 molecular levels, thus making this model widely acceptable to study OSCC, especially for the 352 identification of biomarkers for early diagnosis and the transformation of the epithelium [61]. The major 353 limitations of the carcinogen induced models are (i) the requirement of prolonged animal and 354 carcinogen handling making them laborious and time-consuming, (ii) the resulting tumors do not 355 recapitulate the tumors in patients, and (iii) it is not possible to study specific gene alterations in the 356 development and malignant transformation process.

357

Genetically engineered mouse models (GEMMs)

358 GEMMs that allows oncogene activation and/or tumor suppressor inactivation solely in stratified 359 epithelia of the oral cavity under the control of inducible promoters are extensively used to study OPMD 360 [101]. While promising, there are still several barriers to their full application in understanding the 361 OPMD malignant transformation. The main limitation is that these models do not reflect human oral 362 pathogenesis in terms of the degree of gene expression during the transformation process. Secondly, 363 these models have low specificity to form premalignant lesions by gene activation or inactivation and 364 appear in sites other than the oral cavity. Thirdly, the introduction of exogenous genes or the knockout 365 of endogenous genes in GEMM will occur in almost every cell which does not recapitulate the normal 366 oral microenvironment of OPMD. Lastly, the potentially induced changes or disruptions to the oral 367 microbiome limit the use of GEMMs for understanding the relationship of oral microbiome and OPMD.

368

369 Prevention strategies

**370** *Current clinical management of OPMD* 

371 To date, there is a general consensus for the most appropriate management of OPMD [75]. Primary372 prevention remains the first management measure. In all cases, tobacco and alcohol consumption

373 cessation is required to limit the risk of malignant progression, as well as the screening of whole upper 374 aero-digestive tract mucosa for OPMD [20]. Furthermore, the histological assessment of the biopsy, 375 especially the grading of dysplasia, should be performed both at baseline and in case of clinical 376 modifications (macroscopic, clinic) because of its high prognostic value [12]. Surgical resection is 377 applied when possible and certainly indicated for OPMD with moderate or severe dysplasia [20]. When 378 surgery is not feasible (patient not operable or surgery excessively mutilating), the two available options 379 are either destruction of the lesion (cryosurgery, carbon dioxide laser, photodynamic therapy) and/or 380 the close surveillance with repeated biopsies. Finally, a recent Cochrane database review, indicates no 381 useful medical treatments to prevent OPMD malignant transformation [17].

382

# **383** Systemic strategies to prevent malignant transformation of OPMD

Treatment of the lesion and prevention of malignant transformation of OPMD may improve patient outcome [11]. Hence, inhibitors that eradicate the lesion, or chemopreventive agents that prevent the malignant transformation of OPMD must be developed. Several systemic agents have been tested such as bleomycin, vitamin E, retinoids, beta carotene, lycopene and mixtures of tea [31,75,102]. However, these agents showed limited benefits. Although they caused macroscopic regression of OPMD, recurrences occurred frequently after discontinuation of treatments, and they were not shown to prevent OPMD malignant transformation [11,17].

391 It has been proposed to leverage premalignant biology for precision-based and more specifically 392 immune-based cancer prevention [103,104]. Unfortunately, targeted therapies have failed to prevent 393 malignant transformation of OPMD [31]. On the other hand, the IME is an attractive therapeutic target 394 [51,52]. The development of multimodal immune-prevention strategies to halt OSCC progression, 395 including immune check point inhibitors, vaccines, adjuvants activating the innate immune system and 396 combination with some chemopreventive agents that impact positively the tumor IME, is an interesting 397 option [105]. In recent clinical trials evaluating PD-1- and PD-L1 targeting monoclonal antibodies

- 398 (pembrolizumab and avelumab) patients with OPMD at high-risk of oral cancer development based on
- **399** LOH status have been enrolled (NCT02882282 and NCT04504552), but the results are still awaited.

#### 400 Conclusion and Discussion

Given the knowledge gaps in OPMD clinical management, classification, and risk stratification as well
as the lack of standardized procedures for biospecimen collection (*i.e.*, mucosal biopsy; oral brushes;
saliva), the lack of efficient, acceptable and approved interventions to treat the whole cancerization field
and the lack of a network of cooperating centers for clinical research in this area, several European
experts in the field give their opinions and perspectives.

406 Joint efforts of academic teams and societies, clinical cancer research organizations, biotechs and 407 pharmaceutical companies should be engaged to decipher the full temporal spectrum of the disease that 408 may evolve to OSCC. There is need to define standardized procedures for sample collection, to refine 409 OPMD classification and improve patients' stratification. A biologically-driven classification of OPMD 410 may identify clusters with actionable biology, allowing to develop prevention strategies that treat the 411 entire field of cancerization.

412 There is a critical need for standardized protocols for the clinical screening and diagnosis of OPMD, in 413 particular to encourage systematic biopsies, and for patient follow-up and treatment. Minimally 414 invasive technologies for OPMD detection should be prioritized [106]. For pathological diagnosis, the 415 current gold standard, we should (i) develop standardized histopathological criteria encompassing the 416 various histological appearances for reliable diagnosis of OPMD; (ii) implement validated 417 immunohistochemical and molecular biomarkers; (iii) incorporate artificial intelligence for diagnostic 418 support; and (iv) develop and implement objective detection techniques as well as non-invasive 419 alternatives to biopsies (buccal brushes, saliva, buccal rinses, optical techniques) [83,107–110].

420 Prospective population-wide studies of longitudinal disease trajectories to interrogate the general 421 medical histories of patients with cancer represent a recently developed concept to improve healthcare 422 monitoring and reduce costs. Analysis of national or regional data hubs (*e.g.*, clinical data warehouses, 423 cancer registries, social security databases, hospital electronic medical records...) may identify disease 424 associations occurring prior to OSCC diagnosis.

425	Electronic health (eHealth) interventions and patient-reported outcome tools (PROMs) dedicated to
426	patients with OPMD to monitor disease progression, to identify early signs of transformation, to
427	monitor lifestyle and psychological impact of being at risk (uncertainty, anxiety and depression) [111]
428	should be developed and evaluated. This may spare unnecessary visits and exams, while providing the
429	best possible care.
430	Finally, there is a need to evaluate the socio-economic impact of preventive medicine and to perform

431 generalizable health technology assessment, a network of Centers gathering cost- and patient-related

432 data should be built. Eventually, the aim here would be to decrease the economic burden of OSCC.

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791	
792	Figure legends and tables
793	
794	Table 1. Main <i>in-vivo</i> optical imaging methods that could be used as an adjunct to conventional oral
795	examination in oral premalignant disorders screening are autofluorescence imaging (AFI), targeted
796	fluorescence imaging (TFI), high-resolution microendoscopy (HRME), narrow band imaging (NBI),
797	Raman spectroscopy (RS). For each method, basic principles, advantages and inconvenient are
798	described as well as references.
799	
800	Table 2. Available cell lines to study oral premalignant disorders. (PMID: PubMed identification

801 Member; ISSN: International Standard Serial Number).