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λ -Navier-Stokes turbulence

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We investigate numerically the model proposed in [1] where a parameter λ is introduced in the Navier-Stokes equations such that the weight of homochiral to heterochiral interactions is varied while preserving all original scaling symmetries and inviscid invariants. Decreasing the value of λ leads to a change in the direction of the energy cascade at a critical value $\lambda_c \sim$ 0.3. In this work, we perform numerical simulations at varying λ in the forward energy cascade range and at changing the Reynolds number Re. We show that for a fixed injection rate, as $\lambda \rightarrow \lambda_c$, the kinetic energy diverges with a scaling law $\mathcal{E} \propto (\lambda - \lambda_c)^{-2/3}$. The energy spectrum is shown to display a larger bottleneck as λ is decreased. The forward heterochiral flux and the inverse homochiral flux both increase in amplitude as λ_c is approached while keeping their difference fixed and equal to the injection rate. As a result, very close to λ_c a stationary state is reached where the two opposite fluxes are of much higher amplitude than the mean flux and large fluctuations are observed. Furthermore, we show that intermittency as λ_c is approached is reduced. The possibility of obtaining a statistical description of regular Navier-Stokes turbulence as an expansion around this newly found critical point is discussed.

1. Introduction

In a turbulent flow energy is injected at large scales and dissipated at much smaller scales by viscosity [2]. A transfer of energy is thus required from one scale to the other that is achieved by the energy cascade caused by the non-linearity of the Navier-Stokes equations.

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The fundamental idea of the energy cascade across scales was first introduced by Richardson (1922) [3] and later quantified by Kolmogorov [4]. Under the assumption of scale-similarity, Kolmogorov predicted a power-law behaviour for the energy spectrum $E(k) \propto \epsilon^{2/3} k^{-5/3}$ and for the scaling of the moments of velocity's differences across a distance $r: \langle |\delta_r u|^p \rangle \propto (\epsilon r)^{p/3}$. However, overwhelming experimental and numerical evidence have shown that the process of transferring energy from one scale to the other is not self-similar and that there exist anomalous exponents such that $\langle |\delta_r u|^p \rangle \propto (\epsilon r)^{\zeta_p}$ with $\zeta_p \neq p/3$. This is true for all moments except for the third moment of the longitudinal velocity difference where $\zeta_3 = 1$ is exact. This departure from self-similarity has been phenomenologically explained in terms of multifractal theory and intermittency [5]. There have been various attempts to predict and explain the observed exponents, see e.g. [6-9]. However, all these attempts are based on simplified phenomenological assumptions and no exact or systematic derivation of the anomalous corrections directly from the Navier-Stokes equations has been proposed so far. As a result, the existence of exactly solvable limits from where to develop perturbative or asymptotic expansions has been long sought. The main theoretical obstacle to attack three dimensional turbulent flows comes from being out-ofequilibrium, with anomalous scaling laws and stronger and stronger non-Gaussian small-scales statistics at increasing Reynolds numbers. In general no universal recipes exist for the treatment of out-of-equilibrium problems. Equilibrium Gaussian, or quasi-Gaussian systems have predictable statistics but are only met in fluid dynamics for the truncated Euler equations where only a finite number of Fourier modes are kept. In this case, energy is conserved exactly and no finite energy flux through scales exists [10–12]. Although an expansion from such a state to a weakly cascading case can be performed [13] it seems unlikely to serve as a starting point to recover regular Navier-Stokes turbulence. Solvable out-of-equilibrium states over which an expansion could be carried out have been sought with the use of re-normalization group theory. Some of such studies consider deviations from a power-law force spectrum $F \propto k^{-d+(4-\epsilon)}$, where $\epsilon = d-2$ (with d=3 the dimension) corresponds to a molecular background noise, representing fluctuations in an equilibrium fluid at absolute temperature and $\epsilon = 4$ corresponds to real turbulence [14–17]. Other studies consider expansions from critical dimensions $d \gg 3$ where turbulence is conjectured to follow mean field dynamics, or from d = 4/3 where the finite flux spectrum coincides with thermal spectrum, or at changing the couplings among triads in Fourier space [18-25]. The effect of helicity has also been investigated with these techniques and found to play a minor role [26–28]. Higher or non-integer dimensions however are not physically realisable and can be studied and tested with the use of numerical simulations only [29–33].

More recently, systems where one dimension is compactified were demonstrated to result it a transition from three-dimensional behavior with a forward energy cascade, towards a two-dimensional behavior where energy cascades backward [34–36]. In this kind of transitions, the systems dimension d does not vary continuously from d=3 to d=2 as in the previous considerations but have the advantage of being physically realizable. Similar transitions have been observed in a variety of physical systems at varying some control parameters, including the rotation intensity, magnetic fields, stratification and forcing properties. For a recent review see [37]. In most of these cases the transition from forward to inverse cascade occurred through a split state where both fluxes exist simultaneously. Recently, a variant of the Navier-Stokes equations was introduced, with a dimensionless control parameter, λ , weighing the relative importance of homochiral and heterochiral triads and developing an abrupt transition from forward to inverse cascade at a critical value λ_c [1]. Right at the critical value a new singular state exist where energy doesn't cascade neither forward or inverse without the flow being necessarily at equilibrium.

Here, we study in greater detail the behavior of the system when λ is close but above this critical value. We argue that as the critical point is approached the flow is closer and closer to a flux-loop state where the mean energy flux towards the small scales is subdominant and large turbulent fluctuations that transfer energy both to large and small scales develop. We also demonstrate that intermittency in our model is reduced as $\lambda \to \lambda_c$. This could indicate that the

flux-loop state at $\lambda = \lambda_c$ has a more tractable statistics and could serve as starting point for perturbative expansion towards real Navier-Stokes turbulence at $\lambda = 1$.

2. Formulation

(a) Helical decomposition

Let $\mathbf{u}(t, \mathbf{x})$ be a zero-mean divergence-free vector field defined in a cubic triple periodic domain of side L. Its Fourier transform $\tilde{\mathbf{u}}_{\mathbf{k}}(t)$ is given by

$$\tilde{\mathbf{u}}_{\mathbf{k}}(t) = \frac{1}{L^3} \int \mathbf{u}(t, \mathbf{x}) e^{-i\mathbf{k}\cdot\mathbf{x}} dx^3$$
 and $\mathbf{u}(t, \mathbf{x}) = \sum_{\mathbf{k}} \tilde{\mathbf{u}}_{\mathbf{k}}(t) e^{i\mathbf{k}\cdot\mathbf{x}}$, (2.1)

where the three component complex vector $\tilde{\mathbf{u}}_{\mathbf{k}}$ satisfies $\mathbf{k} \cdot \tilde{\mathbf{u}}_{\mathbf{k}} = 0$ due to the divergence-free condition. Thus, each $\tilde{\mathbf{u}}_{\mathbf{k}}$ has two independent degrees of freedom. A convenient way to express these degrees of freedom is using the helical mode decomposition [38–40], where $\tilde{\mathbf{u}}_{\mathbf{k}}(t)$ is decomposed in two helical modes

$$\tilde{\mathbf{u}}_{\mathbf{k}}(t) = \tilde{u}_{\mathbf{k}}^{+}(t)\mathbf{h}_{\mathbf{k}}^{+} + \tilde{u}_{\mathbf{k}}^{-}(t)(t)\mathbf{h}_{\mathbf{k}}^{-}. \tag{2.2}$$

Here, $\tilde{u}_{\mathbf{k}}^{\pm}(t)$ are two independent complex scalar amplitudes and the orthogonal unit vectors $\mathbf{h}_{\mathbf{k}}^{\pm}$ are given by:

$$\mathbf{h}_{\mathbf{k}}^{\pm} = \frac{\mathbf{k} \times \mathbf{k} \times \hat{\mathbf{e}}}{\sqrt{2}k|\mathbf{k} \times \hat{\mathbf{e}}|} \pm i \frac{\mathbf{k} \times \hat{\mathbf{e}}}{\sqrt{2}|\mathbf{k} \times \hat{\mathbf{e}}|}$$
(2.3)

where $\hat{\mathbf{e}}$ is an arbitrary vector non-parallel to \mathbf{k} . The vectors $\mathbf{h}_{\mathbf{k}}^{\pm}$ are eigen-functions of the curl satisfying $i\mathbf{k}\times\mathbf{h}_{\mathbf{k}}^{\pm}=\pm k\mathbf{h}_{\mathbf{k}}^{\pm}$ with $k=|\mathbf{k}|$ and $\mathbf{h}_{\mathbf{k}}^{s_1}\cdot(\mathbf{h}_{\mathbf{k}}^{s_2})^*=\mathbf{h}_{\mathbf{k}}^{s_1}\cdot\mathbf{h}_{\mathbf{k}}^{-s_2}=\mathbf{h}_{\mathbf{k}}^{s_1}\cdot\mathbf{h}_{-\mathbf{k}}^{s_2}=\delta_{s_1,s_2}$ (where $s_i=\pm 1$ and δ_{s_1,s_2} is the Kronecker delta). Using this decomposition we can split the real vector field $\mathbf{u}(t,\mathbf{x})$ in two helical fields as $\mathbf{u}(t,\mathbf{x})=\mathbf{u}^+(t,\mathbf{x})+\mathbf{u}^-(t,\mathbf{x})$ with $\mathbf{u}^\pm(t,\mathbf{x})$ given by

$$\mathbf{u}^{\pm}(t,\mathbf{x}) = \sum_{\mathbf{k} \neq 0} \tilde{u}_{\mathbf{k}}^{\pm}(t) \mathbf{h}_{\mathbf{k}}^{\pm} e^{i\mathbf{k} \cdot \mathbf{x}}$$
(2.4)

Note that in 2.4 we assume that ${\bf u}$ has a zero-mean value $\langle {\bf u} \rangle = 0$. Otherwise the ${\bf k} = 0$ mode (that can not be written as a helical mode and remains constant in time) should be kept in the expansion. We avoid such complication by removing the ${\bf k} = 0$ mode by an appropriate Galilean-transformation.

The velocity field $\mathbf{u}(t,\mathbf{x})$ is evolved in time based on the Navier-Stokes equations (NSE)

$$\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u} = -\nabla P + \nu \nabla^2 \mathbf{u} + \mathbf{f}, \tag{2.5}$$

where P is the pressure enforcing incompressibility $\nabla \cdot \mathbf{u} = \mathbf{0}$, ν is the viscosity and \mathbf{f} is an external body force. In particular, using the helical decomposition the Navier-Stokes can be written as

$$\partial_t \mathbf{u}^{s_1} = \mathbb{P}^{s_1} \left[\sum_{s_2, s_3} (\mathbf{u}^{s_2} \times \mathbf{w}^{s_3}) \right] + \nu \nabla^2 \mathbf{u}^{s_1} + \mathbf{f}^{\mathbf{s_1}}, \tag{2.6}$$

where $s_i = \pm 1$, $\mathbf{w}^{\pm} = \nabla \times \mathbf{u}^{\pm}$, \mathbb{P}^{\pm} is a projector to the helical base

$$\mathbb{P}^{\pm} \left[\mathbf{u}(t, \mathbf{x}) \right] = \sum_{\mathbf{k}} \mathbf{h}_{\mathbf{k}}^{\mp} \cdot \tilde{\mathbf{u}}_{\mathbf{k}}(t) e^{i\mathbf{k} \cdot \mathbf{x}}$$
(2.7)

and $\mathbf{f}^{s_1} = \mathbb{P}^{s_1}[\mathbf{f}]$. Note that the helical base is an incompressible base and projecting in to it eliminates the pressure. From the eight nonlinear terms that appear in eq.2.6, one for each sign combination s_1, s_2, s_3 , the six that involve different signs are responsible for transferring energy to the small scales while the two homochiral terms $s_1 = s_2 = s_3 = \pm 1$ transfer energy in the large scales [41,42]. In the absence of forcing, viscosity (and in the absence of singularities) the evolution

of $\mathbf{u}(t, \mathbf{x})$ conserves two ideal invariants the energy \mathcal{E} and the helicity \mathcal{H} :

$$\mathcal{E} = \frac{1}{2} \int |\mathbf{u}|^2 dx^3 = \frac{1}{2} \sum_{\mathbf{k}} \sum_{\mathbf{k}} |\tilde{u}_{\mathbf{k}}^s|^2, \quad \text{and} \quad \mathcal{H} = \frac{1}{2} \int \mathbf{u} \cdot \mathbf{w} dx^3 = \frac{1}{2} \sum_{\mathbf{k}} \sum_{\mathbf{k}} sk |\tilde{u}_{\mathbf{k}}^s|^2, \tag{2.8}$$

It is worth noting that while \mathcal{E} is a positive quantity, the helicity can take either sign.

(b) Homochiral Navier-Stokes

When only the homochiral terms are kept in the NSE the system reduces to

$$\partial_t \mathbf{u}^s = \mathbb{P}^s \left[(\mathbf{u}^s \times \mathbf{w}^s) \right] + \nu \nabla^2 \mathbf{u}^s + \mathbf{f}^s. \tag{2.9}$$

In this case the two helical fields \mathbf{u}^{\pm} evolve independently, with the non-linearity conserving their energy and helicity

$$\mathcal{E}^{\pm} = \frac{1}{2} \sum_{\mathbf{k}} |\tilde{u}_{\mathbf{k}}^{\pm}|^2, \qquad \mathcal{H}^{\pm} = \frac{1}{2} \sum_{\mathbf{k}} \pm k |\tilde{u}_{\mathbf{k}}^{\pm}|^2.$$
 (2.10)

However, unlike the Navier Stokes equation, in the homochiral version the two helicities are sign definite quantities with $\mathcal{H}^+>0$ and $\mathcal{H}^-<0$. The sign definiteness of the helicity has a profound impact on the cascade. As shown in [43,44], it leads to an inverse cascade. In fact when \mathcal{H}^\pm are sign definite one can show that a simultaneous forward cascade of \mathcal{E}^s and \mathcal{H}^s is incompatible (using similar arguments to Fjortoft [45] for the dual cascade of energy and enstrophy in two dimensions, see [37] sec. 3.5). On the contrary, for the original Navier-Stokes case ($\lambda=1$), and in the presence of a large scale helical forcing, the Energy and Helicity cascades are observed to be forward as originally proposed in [46] and later verified numerically [42,47].

(c) The λ -Navier-Stokes model

The different roles played by the homochiral and heterochiral interactions lead Sahoo et al [1] to propose a model that transitions from the forward cascading Navier-Stokes 2.6 to the inverse cascading homochiral Navier-Stokes 2.9 varying continuously a parameter λ . In detail, the model reads:

$$\partial_t \mathbf{u}^s = \mathbb{P}^s \left[(\mathbf{u}^s \times \mathbf{w}^s) \right] + \lambda \mathbb{P}^s \left[(\mathbf{u}^{-s} \times \mathbf{w}^s) + (\mathbf{u}^s \times \mathbf{w}^{-s}) + (\mathbf{u}^{-s} \times \mathbf{w}^{-s}) \right] + \nu \nabla^2 \mathbf{u}^s + \mathbf{f}^s, \tag{2.11}$$

For $\lambda=1$ homochiral and heterochiral terms are balanced so that one recovers the Navier-Stokes equation 2.6 where energy cascades forward. For $\lambda=0$, the heterochiral terms are eliminated and the system reduces to the homochiral NSE 2.9. For any finite value of λ the system 2.11 has exactly the same ideal invariants as the NSE $\mathcal{E}=\mathcal{E}^++\mathcal{E}^-$ and helicity $\mathcal{H}=\mathcal{H}^++\mathcal{H}^-$. As for the original NSE, \mathcal{H} is not a sign definite quantity and thus it poses no restriction in the direction of the energy cascade. One can not thus trivially predict the direction of the energy transfer when $\lambda\neq 0$.

In [1] it was shown that as the parameter λ was varied from 1 to 0 a change of the cascade direction was observed from a forward to an inverse cascade. In the limit of infinite Reynolds number this transition was shown to converge to a critical discontinuous transition at a critical value $\lambda_c \simeq 0.3$ such that for $\lambda < \lambda_c$ all injected energy cascades to large scales while for $\lambda > \lambda_c$ all energy cascades to the small scales.

3. Numerical set-up

In the present work we are going to investigate the limit $\lambda \to \lambda_c$ from above $\lambda > \lambda_c$. To do that, we perform numerical simulations of the λ -Navier-Stokes system 2.11 in a triple periodic cubic domain of size $2\pi L$. The velocity field ${\bf u}$ is evolved using a pseudo-spectral code with 2/3 dialiasing and a second order Runge-Kutta method for the time advancement. We use a uniform grid with N grid points in each direction. The values of N used varied from N=128 to N=1024 depending on the Reynolds number used.

$\lambda =$	0.30	0.35	0.40	0.45	0.50	0.60	0.80	1.00
$\mathrm{Re}_{\epsilon} = 500$	128	128	256	256	256	256	256	256
$Re_{\epsilon} = 840$	128	256	256	256	256	256	512	512
$\mathrm{Re}_{\epsilon} = 2500$	-	512	512	-	_	512	1024	1024
$\mathrm{Re}_{\epsilon} = 6300$	-	1024	1024	-	-	1024	-	_

Table 1. Resolutions used for all the simulations performed. It is worth noting that values of λ closer to the critical value $\lambda_c \simeq 0.3$ require less resolution for the same value of Re_ϵ but require longer computational time to converge to a statistically steady state.

In the examined range of $\lambda>\lambda_c$ the cascade is forward so we pick the forcing to act only on Fourier modes that lie inside a sphere of radius $k_f=2/L$. The phases of the forced Fourier modes $\tilde{\mathbf{f}}_{\mathbf{k}}$ are changed randomly at every time step so that the forcing is delta correlated in time and injects energy on average at a fixed rate denoted here by ϵ , and with zero helicity injection. Given the input parameters of our system, the only other non-dimensional number, besides λ , is the ϵ -based Reynolds number:

$$\operatorname{Re}_{\epsilon} \equiv \frac{\epsilon^{1/3} L^{4/3}}{\nu}.\tag{3.1}$$

Small resolution runs $N \leq 256$ started from random initial data and were evolved until a statistically steady state is reached where all quantities fluctuate around a mean value and the energy injection is balanced by the energy dissipation. Larger resolution runs $N \geq 512$ started with initial conditions obtained from smaller resolution runs extrapolated to the new grid. They were then evolved until a statistically steady state is reached.

A table of the parameters of our runs is given in table 1. Let us also stress that simulations with values of λ on the other side of the transition $\lambda < \lambda_c$ are hindered from the fact that in such a range one would need to fully resolve also the inverse energy cascade range and this is by far too demanding for the scopes of this work.

4. Results

(a) Energy balance relations

Figure 1 shows the time evolution of the total energy, \mathcal{E} , for the smallest value of $\mathrm{Re}_\epsilon=500$ examined and for different values of λ . As the value of λ approaches its critical value $\lambda_c\simeq 0.3$ the mean (time averaged) energy is increased, as also are the fluctuations around the mean value. This behavior could in part be anticipated since by taking the limit $\lambda\to\lambda_c$ we reduce the efficiency of the flow to transport energy to the small scales so the amplitude of the turbulent fluctuations has to increase to compensate this lack of efficiency and maintain the flux of energy to the small scales fixed and equal to the injection rate ϵ . In the left panel of figure 2 we show the time averaged energy as a function of λ for the different values of Re_ϵ . Different symbols are used for the different values of Re_ϵ as indicated in the legend. For large values of λ the amplitude of the mean energy is practically independent on the value of Re_ϵ (in the range examined) and is weakly dependent on λ . However as λ is decreased close to λ_c the mean energy increases displaying a divergence at λ_c . Close to λ_c the mean energy strongly depends on the value of Re_ϵ , increasing as Re_ϵ is increased. This implies that for values of λ close to λ_c we have not yet reached the asymptotic state $\mathrm{Re}_\epsilon\to\infty$ where energy saturation is independent on the value of viscosity.

An alternative way to plot the same data is to study the ratio

$$C_{\mathcal{D}} = \frac{2\pi L \,\epsilon}{U^3} \tag{4.1}$$

where U is the root mean square value of the velocity $U = \sqrt{2\mathcal{E}}$. This ratio expresses the efficiency of turbulent fluctuations of a given amplitude to cascade energy to the small scales and sometimes

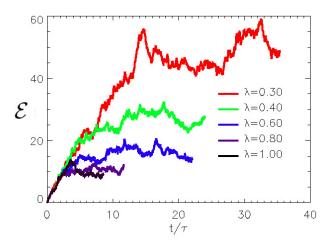


Figure 1. Evolution of energy, \mathcal{E} , as a function of time for $\text{Re}_{\epsilon} = 500$ and different values of λ .

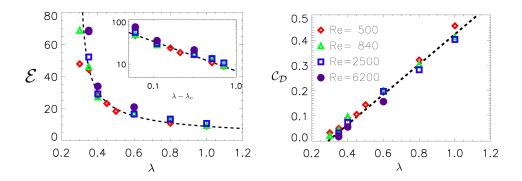


Figure 2. Left: Energy of the flow at steady state as a function of the parameter λ . Different symbols correspond to different Reynolds numbers. The dashed lines gives the prediction 4.2. The inset shows the same data as a function of $\lambda - \lambda_c$ in a log-log scale. Right: Normalized energy dissipation rate $\mathcal{C}_{\mathcal{D}}$ as a function of λ .

it is called the normalised dissipation rate. It is a fundamental property of turbulence that $\mathcal{C}_{\mathcal{D}}$ remains finite in the $\mathrm{Re}_{\epsilon} \to \infty$ limit, resulting in finite dissipation of energy in the zero viscosity limit. This quantity is plotted in the right panel of fig. 2. For $\lambda=1$, $\mathcal{C}_{\mathcal{D}}\simeq 0.4$ that is close to reported values ([48]) but is decreasing as λ is decreased. The data indicate that it linearly approaches zero as $\lambda \to \lambda_c$, so that $\mathcal{C}_{\mathcal{D}} \propto (\lambda - \lambda_c)$. Right at the critical point $\lambda = \lambda_c$ the flow is inefficient to cascade the energy to the small scales. At this critical point, the flow evolution is limited only by viscous effects at the forcing scale to saturate the energy injection and the amplitude of the fluctuations would diverge in the $\nu \to 0$ limit.

The linear approach to zero can be reinterpreted to find the divergence observed in the energy in the left panel of 2 as

$$\mathcal{E} \propto \frac{\epsilon^{2/3}}{(\lambda - \lambda_c)^{2/3}}.\tag{4.2}$$

The dashed line in this figures shows that indeed this scaling is compatible with the data.

There are a few comments that should follow the result in eq.4.2. First of all, we should stress again that the increase in the energy as $\lambda \to \lambda_c$ is approached is due a reduced efficiency of the

flow to cascade energy to smaller scales. This has some direct consequences. If we define the Reynolds number based on the rms velocity of the flow

$$\operatorname{Re}_{U} \equiv \frac{UL}{\nu}$$
 (4.3)

the two definitions Re_{ϵ} , Re_{U} are not equivalent but $\mathrm{Re}_{U} \propto (\lambda - \lambda_{c})^{-1/3} \mathrm{Re}_{\epsilon}$.

We also need to comment on the two limits $\lambda \to \lambda_c$ and $\mathrm{Re}_\epsilon \to \infty$. For any value of $\lambda > \lambda_c$ the normalized dissipation rate $\mathcal{C}_{\mathcal{D}}$ will remain strictly positive in the $\mathrm{Re}_\epsilon \to \infty$ limit. On the other hand for $\lambda = \lambda_c$, where there is no cascade to the small scales, velocity fluctuations saturate with amplitudes such that $\epsilon \propto \nu U^2/L^2$ leading to the estimate $\mathcal{C}_{\mathcal{D}} \propto \mathrm{Re}_\epsilon^{-3/2}$ which becomes zero at infinite Re_ϵ .

(b) Spectral properties

Modifying the efficiency of the flow to cascade the energy to small scales will affect the turbulent scale-by-scale energy budget. Further understanding can be obtained by looking at the spectral distribution of energy. The spherically averaged energy spectrum E(k) is defined as

$$E(k) = \sum_{k < |\mathbf{q}| < k+1} \left[|\tilde{u}_{\mathbf{q}}^{+}|^{2} + |\tilde{u}_{\mathbf{q}}^{-}|^{2} \right]$$
(4.4)

and expresses the amount of energy in a spherical shell in Fourier space with unit width. We note that the λ model used here has exactly the same scaling symmetries as the Navier-Stokes equations. Therefore, dimensional analysis will imply again a Kolmogorov energy spectrum $E(k) \propto k^{-5/3}$.

The four panels of figure 3 display E(k) for different values of Re_{ϵ} and λ . The spectra are compensated by $k^{5/3}$ so that a Kolmogorov spectrum would appear as flat. The x-axis has been re-scaled by the Kolmogorov dissipation wavenumber

$$k_{\nu} = \frac{\epsilon^{1/4}}{\nu^{3/4}}$$
 (4.5)

so that the spectra of different Re_{ϵ} collapse together at large wavenumbers.

The $\lambda=1$ case shows the typical large Re_ϵ behavior of a turbulent flow for which the power-law behavior $k^{-\alpha}$, (with $\alpha\sim-5/3$), is followed by a bottleneck increase of the compensated spectrum. The bottleneck behavior is well documented in the literature ([49,50]) and is roughly explained as a pile up of the cascading energy when the viscous cut-off is reached. In [51] it was argued that when a very high order hyperviscosity is used the bottleneck is increased approaching a *thermalized* state. Thermalized states manifest themselves in conservative systems like the spectraly truncated Euler equations in which energy is equally distributed among all modes leading to the energy spectrum $E(k) \propto k^2$ [12]. The transition of hyperviscous runs to a thermalized state has been recently demonstrated in [52]. For regular viscosity the bottleneck has thus been interpreted as a partial *thermalization*.

As λ is decreased this behavior starts to change. The bottleneck appears to increase in amplitude and covers a wider range of wavenumbers. This tendency is demonstrated in figure 4 where the spectra are plotted fro different values of λ . For $\lambda=0.8$ one can still observe a $k^{-5/3}$ range but for smaller values of λ it is hard to observe a power-law range with the present resolution. At the smallest values of λ examined $\lambda=0.35$ and $\lambda=0.40$ the bottleneck covers the whole range of wavenumbers.Therefore as $\lambda\to\lambda_c$ the range of wavenumbers which follow partial thermalisation increases. It is impossible, within the given resolution limitations, to precisely estimate the scaling of the extension of the bottleneck effects as a function of λ and Reynolds number. An understanding of why this excess of thermalisation occurs as the critical point is approached is obtained by looking at the spectral energy fluxes.

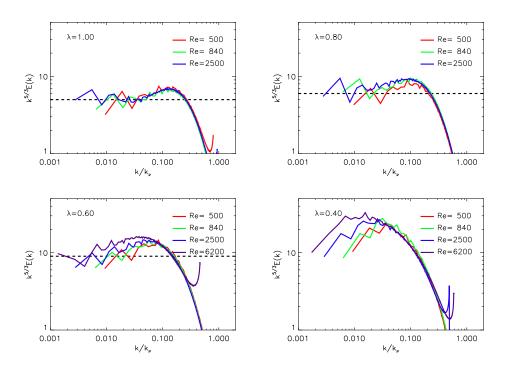


Figure 3. Energy spectra normalized by $k^{-5/3}$ for different Reynolds numbers and for λ as indicated in the legend. Horizontal lines are put to guide the eyes and correspond to the Kolmogorov scaling.

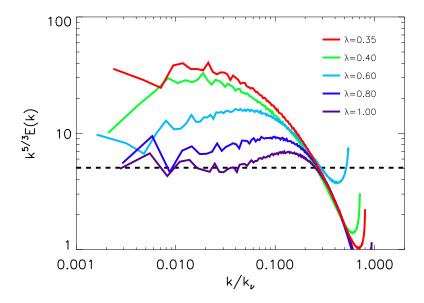


Figure 4. Energy spectra normalized by $k^{-5/3}$ for the largest attained Reynolds numbers overlapped for the different values of λ . Horizontal lines are put to guide the eyes and corresponds to the Kolmogorov scaling.

The energy flux gives the rate that energy flows across a a particular scale. It is defined as

$$\Pi(k) = -\sum_{s} \langle \mathbf{u}_{k}^{\leq} \cdot \mathbf{u} \cdot \nabla \mathbf{u} \rangle \tag{4.6}$$

where $\mathbf{u}_k^<$ stands for the velocity field filtered so that only wavenumbers with norm $|\mathbf{k}| < k$ are kept. It has been shown [42] that can be decomposed to a homochiral part stemming from same chirality interactions and a heterochiral part stemming from cross-chirality interactions. The homochiral flux is defined as

$$\Pi^{homo}(k) = -\sum_{s} \langle \mathbf{u}_{k}^{\leq} \cdot \mathbb{P}^{s} \left[(\mathbf{u}^{s} \times \mathbf{w}^{s}) \right] \rangle \tag{4.7}$$

while the heterochiral flux is defined as

$$\Pi^{hete}(k) = -\lambda \sum_{s} \langle \mathbf{u}_{k}^{\leq} \cdot \mathbb{P}^{s} \left[(\mathbf{u}^{-s} \times \mathbf{w}^{s}) + (\mathbf{u}^{s} \times \mathbf{w}^{-s}) + (\mathbf{u}^{-s} \times \mathbf{w}^{-s}) \right] \rangle.$$
 (4.8)

The total flux is equal to the sum of the two

$$\Pi^{hete}(k) = \Pi^{homo}(k) + \Pi^{hete}(k). \tag{4.9}$$

In the four panels of figure 5 we show the fluxes for four different values of $\lambda = 0.35, 0.4, 0.6, 1.0$

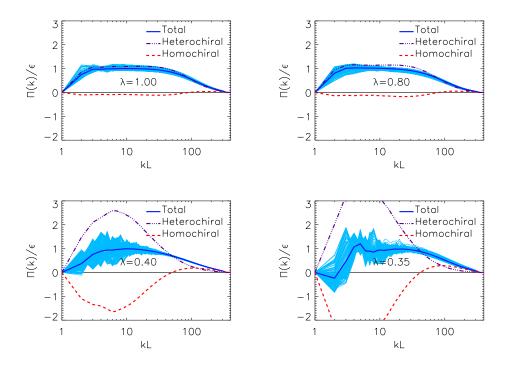


Figure 5. Fluxes: total time averaged (blue solid line), homochiral time averaged (red dashed line), & heterochiral time averaged (purple dash-dot line), instantaneous total fluxes (light blue)

for the largest resolutions attained. The time averaged total energy flux $\Pi(k)$ is shown with a dark blue solid line. It has been decomposed to its homochiral (red dashed line) and a heterochiral component (purple dashed-dot line). With a light blue lines the instantaneous total energy flux is shown for several different times. In all cases the total energy flux is positive and equal to the energy injection/dissipation rate, while the homochiral flux is negative and the heterochiral flux is positive. For the Navier-Stokes case $\lambda=1$ the negative homochiral flux constitutes a small

fraction of the total flux (about 10%) so that $-\Pi^{homo} \ll \Pi^{hete}$. Small fluctuations around the time averaged value are observed in the instantaneous fluxes. As λ approaches the critical value λ_c the amplitude of negative homochiral flux and the positive heterochiral flux both increase, keeping of course their sum fixed to the injection rate. As a result, the two competing processes for the transfer of energy to smaller and larger scales come closer together in amplitude making their relative difference smaller and smaller. This leads to also an increase in the amplitude of the fluctuations around the mean value observed. On one hand, the increase of fluctuations with respect to the mean could be a potential indication that the system is driven toward a sort of quasi-thermal state, that could interpret the large bottleneck as partial thermalization as it [51]. On the other hand, the existence of two counter-directional fluxes one driving energy toward small scales and the other oppositely with clear non-zero average and amplitudes that becomes larger and larger by approaching λ_c is an indication that the fluid remains out of equilibrium but in a flux-loop state where finite fluxes exist that however cancel each other [37].

(c) Intermittency

A key property of Navier-Stokes turbulent cascade is the presence of intermittency manifesting itself as an breaking of scale-similarity, with stronger "events" appearing as smaller scales are examined. Such deviations from scale similarity are measured by examining the scaling behavior of structure functions of different order $S_n(r) = \langle (\delta u_r)^n \rangle$ where δu_r stands for either the longitudinal increment, i.e. when r is parallel to $\mathbf{u}(\mathbf{x},t) - \mathbf{u}(\mathbf{x} + \mathbf{r},t)$ or for the transverse, when r it is perpendicular to the velocity increment, and the brackets stand for a space and time average. It is well known that in Homogeneous and Isotropic Navier-Stokes threedimensional turbulence, structure functions enjoys anomalous scaling, $S_n(r) \sim r^{\zeta_n}$, with powerlaw behaviours and exponents that depart from the Kolmogorov mean-field prediction, $\zeta_n \neq$ n/3 [31]. This is a signature of intermittency, i.e. that normalized and standardized probability distribution functions (PDF) of velocity increments cannot be superposed at changing the distance r and develop a stronger and stronger departure from Gaussian statistics. As a result, by simultaneously decreasing the scale and increasing Reynolds one can obtain turbulent states that are further and further away from a quasi-equilibrium distribution [31]. In our simulations, the limitation on the numerical resolution, imposed by the need to perform many different investigations for different λ values, and the appearance of a strong bottleneck by approaching λ_c result in the absence of a well developed scaling range. As a result, we refrain from giving any quantitative measurements on the scaling exponents ζ_n . On the other hand, in figure 6 we show a dimensionless measurement of the departure from Gaussianity by plotting the Kurtosis of the velocity increments PDF at changing r and for different λ for the highest Reynolds number:

$$\mathcal{K}_{\delta u}(r) \equiv \frac{\left\langle (\delta u_r)^4 \right\rangle}{\left\langle (\delta u_r)^2 \right\rangle^2}.$$
 (4.10)

As one can see in the left panel, the NSE case for $\lambda=1$ shows the classical behavior of $\mathcal{K}_{\delta u}$ increasing by decreasing r, going form the Gaussian value ~ 3 for $r\sim L$ to the highly non-Gaussian and intermittent plateau at ~ 7 inside the viscous range, $r\to 0$. On the other range by decreasing λ and approaching λ_c we have a strong reduction in the inertial range values and also a corresponding reduction for the viscous plateau, indicating that the flow is approaching a closer and closer Gaussian distribution at all scales when $\lambda\to\lambda_c$. Similarly, on the right panel of the same figure we re-plot the data by showing the values of the Flatness at changing the distance r/L and for different λ . From the latter plot there is a clearer tendency toward the mean-field Gaussian value, ~ 3 , by approaching λ_c even though the behaviour is not extremely well developed. Higher Reynolds numbers are probably needed in order to enhance the critical behaviour.

Finally, because intermittency depends also on $\operatorname{Re}_{\epsilon}$ we verify that the decrease of intermittency observed in the previously examined figures is a trend that persists for all $\operatorname{Re}_{\epsilon}$ examined. In figure

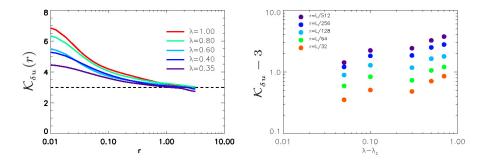


Figure 6. Left: The Kurtosis $\mathcal{K}_{\delta u}$ of the parallel velocity difference as a function of r for different values of λ . Right: $\mathcal{K}_{\delta u}-3$ as a function of $\lambda-\lambda_c$ for different values of r in a log log scale. Data are obtained from the highest Re_ϵ runs.

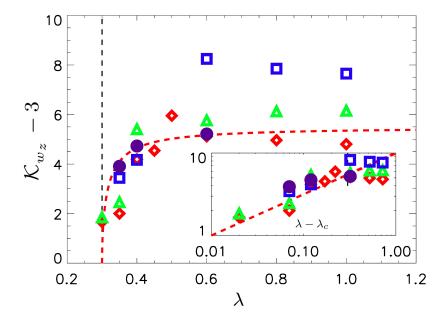


Figure 7. Kurtosis of the vorticity PDF $(\mathcal{K}_{wz}-3)$ as a function of the different values of λ . The inset shows $\mathcal{K}_{wz}-3$ as a function of $\lambda-\lambda_c$ in a log-log scale. Same symbols are used as in 2: red diamonds $\mathrm{Re}_\epsilon=500$, green triangles $\mathrm{Re}_\epsilon=840$, blue squares $\mathrm{Re}_\epsilon=2500$, purple discs $\mathrm{Re}_\epsilon=6200$.

7 we plot the Kurtosis of the z vorticity component

$$\mathcal{K}_{w_z} \equiv \frac{\left\langle w_z^4 \right\rangle}{\left\langle w_z^2 \right\rangle^2} \tag{4.11}$$

as a function of λ for all examined runs. The symbols for the different Re_ϵ used are the same as in figure 2. All data indicate that as λ_c is approached intermittency tends to decrease. In particular, for large values of λ the Kurtosis is increased as Re_ϵ is increased, something well known in turbulence theory due to intermittency [53,54] while as λ_c is approached, the values appear to weakly depend on Re_ϵ and tend to the Gaussian value, $\to 3$ for all Re_ϵ .

5. Conclusions

Numerical results for a variant of the Navier-Stokes equations have been presented. The model is characterized by a dimensionless control parameter λ that weights homochiral and heterochiral interactions such as to link in a continuous way the forward cascading Navier-Stokes case for $\lambda=1$ and the inverse cascading homochiral Navier-Stokes for $\lambda=0$. In the investigation both λ and the Reynolds number Re_ϵ were varied in order to investigate the behavior of the system for the different values of λ as $\mathrm{Re}_\epsilon \to \infty$.

We have focused on the transition from the $\lambda=1$ case to case observed at $\lambda_c\sim 0.3$ where the direct energy transfer stops and a highly complex statistical state develops. We have shown that by approaching λ_c from above we have a tendency to develop a more and more intense spectral viscous bottleneck. We should also note here that the limits $\lambda\to\lambda_c$ and $\mathrm{Re}_\epsilon\to\infty$ do not necessarily commute. I.e., when the λ limit is taken first the bottleneck would occupy all scales, while when $\mathrm{Re}_\epsilon\to\infty$ at fixed λ a power-law inertial range is present. Furthermore, in the $\lambda\to\lambda_c$ limit larger and large fluctuations around the mean energy flux exist whose energy is diverging like $(\lambda-\lambda_c)^{2/3}$, accompanied by larger and larger heterochiral and homochiral opposite contributions to the energy cascade. As a result, we are closer and closer to a turbulent state where the finite counter-directing fluxes cancel each other leading to a subdominant mean energy flux. We refer to this state as flux-loop. Such flux-loop states have been met before in the literature in different contexts [55–59] but are inadequately explored, and it is not known if methods from equilibrium dynamics could be applied to them.

An other direction that could be followed would be the use of renormalization group techniques for the λ -Navier-Stokes system in d dimensions. This could lead to a λ -dependence of the renormalized transport coefficients that could change sign or the appearance of new λ -dependent terms. Ideally an optimal path in the (λ,d) could exist where the d=3, $\lambda=1$ case could be solved for.

In the present investigation, the statistics is observed to have a decreasing Kurtosis for both velocity increments in the inertial range and vorticity components as the flux loop state is approached, $\lambda \to \lambda_c$. The results thus indicate the possibility that the flux-loop state could have Gaussian or quasi-Gaussian statistics. The entangled presence of non-zero fluxes and reduction of non-Gaussian contributions opens the way to perturbative approaches of intermittency, offering also a unique testing bed for any new theory of turbulence because of the possibility to change the Navier-Stokes statistics as a function of a free control parameter.

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