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**Outcome of very high-risk patients treated by Sotrovimab for mild-to-moderate  
COVID-19 Omicron, a prospective cohort study (the ANRS 0003S CoCoPrev study)**

Running title: Outcome of very high-risk patients treated by Sotrovimab

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## Text

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)-related coronavirus disease 2019 (COVID-19) manifests with symptoms ranging from fully asymptomatic to severe disease. Many risk factors have been identified in the progression of COVID-19 into a severe stage, including old age, male gender, underlying comorbidities, immunodeficiencies, and pregnancy <sup>1</sup>. The neutralizing antibodies targeting the Spike protein Casirivimab/Imdevimab <sup>2</sup> and Sotrovimab <sup>3</sup>, and the protease inhibitor Nirmatrelvir <sup>4</sup> have been shown to reduce the risk of COVID-19-related hospitalization and death in patients with mild-to-moderate COVID-19 and who are at high risk for progression. However, those studies conducted between Sept. 2020 and Dec. 2021 (during the Alpha and Delta waves) did not assess the effect of those investigational therapeutic agents on the Omicron BA.1 (B.1.1.529) variant of concern (VOC) that emerged in Nov. 2021 before becoming dominant in France, and worldwide <sup>5</sup>. Compared to Delta, Omicron was shown to totally escape the antibody cocktail Casirivimab/Imdevimab, while Sotrovimab, targeting a receptor binding domain epitope outside the ACE2-binding site, retains (although reduced) an *in vitro* activity <sup>6</sup>. Clinical efficiency of Sotrovimab to prevent COVID-19 related complications in high-risk patients with mild-to-moderate COVID-19 Omicron remains unknown. Our aim was to compare the outcome of Omicron and Delta-infected patients who received respectively Sotrovimab and Casirivimab/Imdevimab.

Our study is based on the ANRS 0003S CoCoPrev study (NCT04885452), an ongoing multicentric prospective cohort study that includes patients considered to be at high-risk for progression to severe COVID-19, having PCR-proven mild-to-moderate COVID-19 in the first five days of symptoms, and who are treated under an emergency use authorization (EUA) in one of the 32 participating centers. Treatment initiation, based on French Health Authorities recommendation, was left at the treating physician discretion. Delta-infected patients included

in the cohort were treated by 600/600 mg or 1200/1200 mg of Casirivimab/Imdevimab IV (from Sept 21<sup>th</sup> 2021 to Jan 14<sup>th</sup> 2022), while Omicron-infected patients received 500 mg of Sotrovimab IV (from Jan 24<sup>th</sup> until Mar 3<sup>rd</sup> 2022). The primary outcome was the proportion of patients with COVID-19-related hospitalization or death within one month of treatment administration. Secondary outcome was the slope of the change over time in the cycle threshold (Ct) value assessed by nasopharyngeal PCR. Baseline characteristics, clinical and virological outcomes (including the slope of the change in the Ct value) were compared between Delta-infected patients who received Casirivimab/Imdevimab and Omicron-infected patients who received Sotrovimab. Mixed effect models were used to estimate the temporal dynamics of the Ct value. Ethical approval was obtained (Comité de Protection des Personnes SUD-EST IV) and all patients provided a written informed consent.

Among the 249 patients analyzed, 133 Delta-infected patients received Casirivimab/Imdevimab (53%) and 116 Omicron-infected patients received Sotrovimab (47%), with a median time between first symptoms and administration of 3 days (Q1-Q3 2-4) (Table 1). All had mild-to-moderate COVID-19, and risk factors for severe COVID-19, including being > 65 years-old (76/249, 31%) and being immunocompromised (191/249, 77%). Patients who received Sotrovimab were more often fully vaccinated ( $\geq 3$  doses, 77/116, 77%) than patients who received Casirivimab/Imdevimab (56/133, 54%,  $p=0.002$ ), and had higher median IgG anti-Spike serum level (408 BAU/mL (Q1-Q3: 95-3735) versus 148 BAU/mL (Q1-Q3 41-449),  $p=0.03$ ). Among patients with available data at the 28<sup>th</sup> day visit after treatment administration, respectively 3/129 (2% - 95% Clopper-Pearson CI: 1%-7%) and 2/63 (3% - 95% Clopper-Pearson CI: 0%-11%) patients who received Casirivimab/Imdevimab or Sotrovimab were hospitalized due to COVID-19, and none died. All were immunocompromised patients. Median time between administration of Casirivimab/Imdevimab or Sotrovimab and hospitalization was respectively 2 days (Q1-Q3: 1-16) and 4 days (Q1-Q3: 0-7). The slope of Ct values, adjusted

on immunosuppressive conditions, was lower in patients who received Sotrovimab (n=75) than in patients who received Casirivimab/Imdevimab (n=66) ( $p < 0.0001$ , Figure 1). No major side effect was reported by the treating physicians.

In this prospective real-life cohort study that included mainly severely immunocompromised patients with mild-to-moderate COVID-19, early administration of Sotrovimab in Omicron-infected patients was associated with a low rate of COVID-19-related hospitalization within one month after treatment administration, and with no death.

A recent meta-analysis, in which 3,309 patients with mild-to-moderate COVID-19 received neutralizing antibodies, and 2,397 patients received a placebo, reported a significant reduction of COVID-19-related hospitalization (OR 0.24; 95%CI 0.17–0.34), and death (OR 0.16; 95%CI 0.05–0.58) <sup>7</sup>. However, most patients were middle-aged non-immunocompromised, having obesity or diabetes as risk factors for severe COVID-19, and at a time period where Omicron did not circulate. *Ex vivo* inhibition of Omicron and Delta variants by sera obtained from unvaccinated patients treated with Casirivimab/Imdevimab and Sotrovimab showed that only Sotrovimab was active against the Omicron variant, while Casirivimab/Imdevimab neutralized very efficiently the Delta variant. Here we provide evidence that Sotrovimab protected very high-risk Omicron-infected patients from COVID-19 progression to the same extent as did Casirivimab/Imdevimab for Delta-infected patients. However, differences in viral clearance highlight *in vivo* the lower *in vitro* neutralization capacity of Sotrovimab on the Omicron variant, compared to Casirivimab/Imdevimab on the Delta variant <sup>6</sup>.

Two parameters may however mitigate the interpretation of our results. First, compared with the Delta variant, infection with the Omicron variant was associated with a lower severity <sup>8</sup>. We would nevertheless argue that our population with a high proportion of severely immunocompromised patients would still exhibit a high likelihood of severe COVID-19 without intervention. Second, the proportion of Omicron-infected patients who received a

booster vaccine dose was higher. However, among patients with a known serum IgG anti-Spike status at baseline, 41% were negative, and among those who were positive, the median IgG anti-Spike level might be considered as low.

In conclusion, Sotrovimab was found to effectively protect from progression very high-risk Omicron-infected patients with mild-to-moderate COVID-19. However, emergence of Omicron BA.2 that contains compared to BA.1 eight unique mutations in the spike protein, and which was shown to escape Sotrovimab<sup>9</sup>, may abrogate this protection, highlighting the urgent need for availability of therapeutic strategies that could adequately treat all sublineages of the Omicron variant, and future emerging variants.

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## **Figure legends**

Figure 1. Change in Ct value of gene N in 66 Delta-infected patients treated with Casirivimab/Imdevimab and in 75 Omicron-infected patients treated with Sotrovimab. The p-value for the slope difference is  $< 0.0001$ .

## **Table 1.**

Table 1. Baseline characteristics of patients and outcomes at the 28<sup>th</sup> day visit

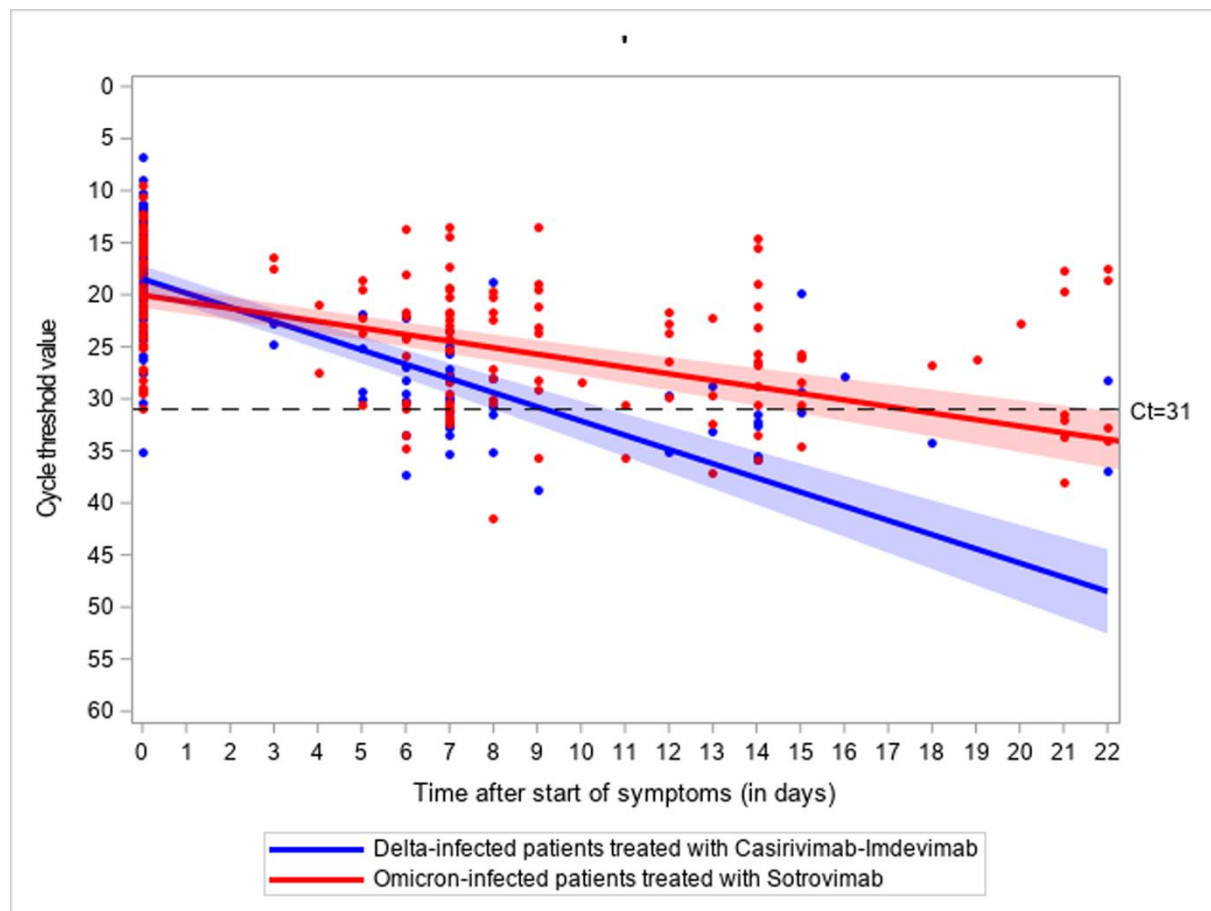
**Table 1.** Baseline characteristics of patients and outcomes at the 28<sup>th</sup> day visit

<b>Baseline characteristics</b>	<b>All N=249</b>	<b>Delta-infected patients who received Casirivimab/Imdevimab N=133 (53%)</b>	<b>Omicron-infected patients who received Sotrovimab N=116 (47%)</b>	<b>p-value</b>
Age (median, Q1-Q3)	54 (43-67)	54 (42-67)	55 (44-68)	0.56
≥65 years (%)	76 (31)	41 (31)	35 (30)	
≥80 years (%)	20 (8)	11 (8)	9 (8)	
Male sex (%)	126 (51)	71 (53)	55 (47)	0.35
BMI (median, Q1-Q3)	21 (18-25)	22 (18-25)	21 (19-25)	0.73
Immunocompromised patients (%), including:	191 (77)	102 (77)	89 (77)	0.99
Solid organ transplantation (%)	77 (40)	41 (40)	36 (40)	0.97
Immunosuppressive therapy including rituximab (%)	74 (39)	42 (41)	32 (36)	0.46
Ongoing chemotherapy for cancer or haematological malignancies (%)	33 (17)	15 (15)	18 (20)	0.31
Corticosteroids >10 mg/day for > 2 weeks (%)	25 (13)	17 (17)	8 (9)	0.12
Systemic lupus or vasculitis with immunosuppressive medications (%)	11 (6)	6 (6)	5 (6)	0.93
Allogeneic hematopoietic stem cell transplantation (%)	7 (4)	2 (2)	5 (6)	0.25
Kidney failure with GFR < 30 mL/min or dialysis (%)	6 (3)	6 (6)	-	-
Other immunosuppressive conditions (%)	2 (1)	1 (1)	1 (1)	-
Other risk factors for severe COVID-19 (%)	106 (43)	48 (36)	58 (50)	0.03
Diabetes (type 1 and type 2, %)	34 (32)	18 (38)	16 (28)	0.28
Obesity (BMI>30, %)	30 (28)	17 (35)	13 (22)	0.14
Chronic kidney disease (%)	24 (23)	9 (19)	15 (26)	0.38
High blood pressure (%)	24 (22)	12 (25)	12 (21)	0.60
COPD and chronic respiratory failure (%)	7 (7)	5 (12)	2 (3)	0.24
Congestive heart failure (%)	5 (5)	2 (4)	3 (5)	0.99
Vaccination status				0.002
Complete (≥ 3 doses)	133 (66)	56 (54)	77 (77)	
Incomplete (≤ 2 doses)	41 (20)	30 (29)	11 (11)	
Unvaccinated	29 (14)	17 (17)	12 (12)	
Known serum IgG anti-Spike status (%)	106 (43)	67 (50)	39 (35)	0.01
Negative	57 (55)	41 (62)	16 (41)	0.04
Positive	48 (45)	25 (38)	23 (59)	-
Median IgG anti-Spike level (BAU/mL, Q1-Q3)	169 (79-824)	148 (41-449)	408 (95-3735)	0.03
Gene N Ct value at baseline – median (Q1-Q3)	19 (15-25)	17 (15-26)	23 (18-25)	0.43
<b>Outcome at day 28 visit</b>		<b>Delta-infected patients who received</b>	<b>Omicron-infected patients who received Sotrovimab</b>	

		Casirivimab/Imdevimab N=129 (97%)	N=63 (54%)	
Changes in symptoms severity				
Worsening of symptoms (%)		3 (3)	3 (5)	
No change in symptoms (%)		3 (3)	0 (0)	
Improvement of symptoms (%)		99 (94)	55 (95)	
COVID-19-related hospitalization (%)		3 (2)	2 (3)	
Death from any cause (%)		0	0	

Missing values: BMI: n=28 (25 in the Casirivimab - Imdevimab group and 3 in the Sotrovimab group); Median time between symptoms onset and administration of MAbs: n=17 (10 in the Casirivimab - Imdevimab group and 7 in the Sotrovimab group); Vaccination status: n=46 (30 in the Casirivimab - Imdevimab group and 16 in the Sotrovimab group); Results of the serum IgG anti-Spike status: n=1 (in the Casirivimab - Imdevimab group); Gene N Ct value at baseline: n=21 (14 in the Casirivimab - Imdevimab group and 7 in the Sotrovimab group); Median IgG anti-Spike: n=14 (10 in the Casirivimab - Imdevimab group and 4 in the Sotrovimab group); Changes in symptoms severity at day 7: n=13 (5 in the Casirivimab - Imdevimab group and 8 in the Sotrovimab group); Changes in symptoms severity at day 28: n=29 (24 in the Casirivimab - Imdevimab group and 5 in the Sotrovimab group).

**Figure 1.** Change in Ct value of gene N in 66 Delta-infected patients treated with Casirivimab/Imdevimab and 75 Omicron-infected patients treated with Sotrovimab. The p-value for the slope difference is < 0.0001.



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**Contributions:**

GM (guarantor) was involved in the study conception, data extraction, data analysis, interpretation of results and drafting the manuscript. AGM was involved in the study conception, data extraction, data analysis, interpretation of results and drafting the manuscript. CS was involved in the study conception, data extraction, data analysis, interpretation of results and drafting the manuscript. SK was involved in data extraction, data analysis, interpretation of results and drafting the manuscript. CLN was involved in data extraction, data analysis,

interpretation of results and drafting the manuscript. CD was involved in the study conception, data extraction, data analysis, interpretation of results and revising the manuscript. LN was involved in the study conception, data extraction, data analysis, interpretation of results and revising the manuscript. AB was involved in the study conception, data extraction, data analysis, interpretation of results and revising the manuscript. CM was involved in patients inclusion and revising the manuscript. GG was involved in patients inclusion and revising the manuscript. CC was involved in patients inclusion and revising the manuscript. RL was involved in the study conception, interpretation of results and revising the manuscript. FC was involved in the study conception, data extraction, data analysis, interpretation of results and drafting the manuscript. YY. (guarantor) was involved in the study conception, data extraction, data analysis, interpretation of results and drafting the manuscript.

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**Competing interests:** All authors have completed the ICMJE uniform disclosure form at [www.icmje.org/coi\\_disclosure.pdf](http://www.icmje.org/coi_disclosure.pdf) (available on request from the corresponding author) and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years; no other relationships or activities that could appear to have influenced the submitted work.

**Ethical approval:** This study received the ethical approval of the Comité de Protection des Personnes SUD-EST IV).

**Transparency declaration:** GM and YY (the manuscript's guarantors) affirm that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned have been explained.

**Data sharing:** Data available upon request for academic researchers



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