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Short-term association between personal exposure to noise and heart rate variability: the RECORD MultiSensor Study

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Abstract

Background: Studies revealed long-term associations between noise exposure and cardiovascular health, but the underlying short-term mechanisms remain uncertain.

Objectives: To explore the concomitant and lagged short-term associations between personal exposure to noise and heart rate variability (HRV) in a real life setting in the Île-de-France region.

Methods: The RECORD MultiSensor Study collected between July 2014 and June 2015 noise and heart rate data for 75 participants, aged 34 to 74 years, in their living environments for 7 days using a personal dosimeter and electrocardiography (ECG) sensor on the chest. HRV parameters and noise levels were calculated for 5-minute windows. Short-term relationships between noise level and log-transformed HRV parameters were assessed using mixed effects models with a random intercept for participants and a temporal autocorrelation structure, adjusted for heart rate, physical activity (accelerometry), and short-term trends.

Results: An increase by one dB(A) of A-weighted equivalent sound pressure level (Leq) was associated with a 0.97% concomitant increase of the Standard deviation of normal to normal intervals (SDNN) (95% CI: 0.92, 1.02), of 2.08% of the Low frequency band power (LF) (95% CI: 1.97, 2.18), of 1.30% of the High frequency band power (HF) (95% CI: 1.17, 1.43), and of 1.16% of the LF/HF ratio (95% CI: 1.10, 1.23). The analysis of lagged exposures to noise adjusted for the concomitant exposure illustrates the dynamic of recovery of the autonomic nervous system. Non-linear associations were documented with all HRV parameters with the exception of HF. Piecewise regression revealed that the association was almost 6 times stronger below than above 65 Leq dB(A) for the SDNN and LF/HF ratio.

Conclusion: Personal noise exposure was found to be related to a concomitant increase of the overall HRV, with evidence of imbalance of the autonomic nervous system towards sympathetic activity, a pathway to increased cardiovascular morbidity and mortality.

Keywords: Noise, Heart Rate Variability, Sensors, Autonomic nervous system.

1 INTRODUCTION

Previous literature has established the effects of noise exposure on health [1-3]. In addition to hearing impairment after repeated exposure to high noise levels, there are effects of noise on sleep quality, hypertension, and the development of cardiovascular disease [4]. WHO estimates that the number of healthy life years lost due to noise exposure is between 1 and 1.6 million years for the Western Europe population each year [5]. This quantification takes into account the impact of ischemic heart disease, cognitive disorders in children, sleep disorders, and of "noise annoyance" caused by long-term exposure to noise.

9 The general theory of stress [6] serves as a basis for the hypothesis linking noise exposure, 10 the autonomic nervous system and the endocrine system, the homeostasis of the human body 11 [7], and in the long run the development of cardiovascular diseases [8]. Babisch et al. [9] 12 distinguished an indirect effect of noise mediated by the subjective perception and cognitive 13 interpretation of sounds and a direct effect based on the interaction of the acoustic nerve with 14 other parts of the central nervous system, the two of which are expected to affect the 15 autonomic nervous system.

The autonomic nervous system regulates different functions of the body including heart rate. The study of heart rate variability (HRV) thus enables to explore whether and how the autonomic nervous system is disrupted by noise levels. In addition, HRV has also been identified as a risk factor with a reduced HRV being associated with the occurrence of cardiovascular events [10].

Several studies have documented associations between noise exposure and an increased heart rate [11-13]. However, few studies have examined the link between exposure to noise and HRV. In an experimental setting, an increase of sympathetic activity was observed in subjects exposed to a noise level of 95 dB(A) Leq during 135 minutes [14]. Another experiment showed that a noise level as low as 45 dB(A) affected HRV parameters [15].

To our knowledge, only three studies were carried out with a non-simulated exposure. One 26 27 study asked 40 healthy participants to sit for 2 hours either in a traffic area or in a park [16]. Associations between short-term exposure to noise and decreased HRV were found as well as 28 associations with the sympathovagal balance with an increased sympathetic activity and 29 30 decreased parasympathetic activity. A similar imbalance was found in the second study, in which 36 healthy participants were instructed to follow a pre-determined route covering 31 32 various sites in the city of Tel Aviv for two sequential days [17]. In the third study [18], the only one in a non-experimental setting we are aware of, 110 individuals underwent personal 33 noise monitoring and continuous electrocardiography (ECG) 4 times every 4 to 6 weeks 34 35 during their daily activities. Associations were documented between noise exposure and HRV 36 parameters in concomitant windows.

Overall, previous literature is scarce and primarily based on controlled settings or experimental designs, raising the question of the generalizability of the findings. Thus the objective of this study was to explore the concomitant and lagged short-term associations between personal exposure to noise and HRV in a real life setting in the Île-de-France region.

41

42 **METHODS**

43 Data collection and processing

44 <u>Population</u>

Participants came from the RECORD Cohort Study [19], and more particularly from the RECORD MultiSensor sub-study, which aimed at investigating the relationships between transport and health using sensor-based measurement. Participants of the RECORD Cohort were born between 1928 and 1978, were residing at baseline in 10 districts of Paris and 111 other municipalities of the Ile-de-France region, and were recruited without a priori sampling during preventive checkups performed by the IPC Medical Centre between 2007 and 2008.

During the second wave of the RECORD Study, a fraction of the participants were invited 51 52 to enter the RECORD MultiSensor Study, between July 2014 and June 2015. After completing their health checkups, these participants were asked to wear an accelerometer and 53 an ECG sensor during their waking hours, from the day of completion of the checkup D0 up 54 to D8. Since the checkup could happen at different hours during D0, only measurements 55 between D1 (3 am) and D8 (3 am) were taken into account, i.e., 7 days of data collection. 56 57 Among the 129 participants of this group, 78 also carried a personal noise dosimeter. The inner clock of each ECG sensor, accelerometer, and noise dosimeter was synchronized with 58 the Internet Time of the computer before giving it to the participants. Participants wearing a 59 60 pacemaker or with hearing problems were not included. Written informed consent was 61 obtained from all participants. The RECORD Multisensor Study was approved by the French Data Protection Authority (CNIL). 62

63

64 <u>HRV parameters</u>

The participants wore a BioPatch BHM 3 (Zephyr Technology, Annapolis, MD) on the chest, 65 an ECG with two electrodes, a technology which was validated against a 12-lead ECG for 66 HRV measurement [20]. They were instructed to keep it on from the moment they woke up 67 68 until they went to bed since they had to charge it overnight. The two electrodes were changed every day. From the ECG sampled at 1 kHz, inter-beat (RR) intervals were generated by the 69 BioPatch at an 18Hz frequency. HRV parameters were calculated based on these RR 70 71 intervals. The entire signal processing was carried out under R version 3.4.0 [21] and the calculation of HRV parameters through the RHRV package version 4.2.3 [22]. 72 73

Data selection. The raw data of RR intervals extracted from the BioPatch were cut into
 continuous observation sequences of any length, corresponding to the sequences remaining

after excluding periods where the sensor was not worn or where the sensor had lost contact
with the skin. The continuous sequences of less than 20 beats were excluded at this stage.

78

Filtering. Based on the RHRV package, two types of filters were applied to RR intervals: a fixed filter retaining the physiologically acceptable values [25-200 bpm] and a dynamic filter comparing the value of the RR interval with the value of the preceding interval, the value of the following interval, and with an average of the values of the 50 preceding intervals [23]. If the absolute difference in percentage was lower than a given threshold for at least one of the comparisons, the interval was retained. The threshold was computed every 50 intervals, taking into account the standard deviation of these intervals, but is bounded between 12% and 20%.

86

Interpolation. After filtering, the remaining RR intervals were interpolated at a frequency of
4Hz [24] using a cubic spline function [25] in order to produce a uniformly sampled signal.
Empty sequences with more than 30s of continuous filtered RR intervals were not
interpolated. Interpolation had a dual function. On one hand, producing a uniformly sampled
signal enabled us to meet the prerequisites of frequency analysis. On the other hand, it
generated values for the filtered RR intervals.

93

Windowing. Each day (24h) was cut into successive and mutually exclusive windows of 5
minutes for a theoretical maximum of 288 windows per day. Windows having less than 200
beats or with more than 20% of RR interval removed during the filtering step (even if
subsequently re-interpolated) were excluded [26].

98

99 *HRV parameters calculation*. The standard deviation of normal to normal RR intervals
100 (SDNN) was computed for each window. It was expressed in milliseconds. The frequency

domain parameters were extracted through a Daubechie Least Asymetric(8) wavelet
transform [27]. This method was selected for its ability to decompose non-stationary signals,
unlike the Fourier transform [28]. The frequency bands were defined according to the

104 recommendations [29]:

- Low Frequencies (LF): 0,04 0,15 Hz
- High Frequencies (HF): 0,15 0,40 Hz

107 The power of each band (in ms²) was computed, as well as the LF/HF ratio. Total Power 108 (TP), and normalized LF (LFnu) and HF (HFnu) bands powers (each divided by the sum of 109 HF and LF bands powers) were also computed, but not considered in the models because of 110 the mathematical redundancy of HFnu and LFnu with the LF/HF ratio [30, 31] and TP with 111 SDNN, since the first one represents the variance of HRV, while the second represents its 112 standard deviation [29].

The four parameters (SDNN, LF and HF bands, and LF/HF ratio) were expressed as
continuous variables in descriptive statistics and were log-transformed in the models in order
to correct for heteroscedasticity.

116

117 Individual noise exposure

118 The assessment of individual noise exposure was performed with a wearable Class II

119 dosimeter Wed007 - 01dB (ACOEM Limonest, France) allowing noise level measurements

between 40 and 120 dB(A) (tolerance \pm 1.0 dB) every second. The measurement was A-

weighted [dB(A)], a weighting that corresponds to the sensitivity of the human ear. During

the day, participants were instructed to wear the dosimeter on the belt while placing the

microphone near the ear and above the clothing and charge the device overnight.

Similarly to HRV parameters, noise data were aggregated in 5-minute windows. This

aggregation used the notion of equivalent sound level (Leq). The Leq is a representation of

the constant noise level that would have been produced with the same energy than the noiseactually perceived during the given period. It is expressed in dB and is calculated as follows:

128
$$L_{eq} = 10 \log \times \frac{1}{T} \int_{0}^{T} 10^{\frac{L(t)}{10}} dt$$

129 L_{eq} : equivalent sound level

- 130 L(t): noise level at time t
- 131

T: period's length in seconds

132The noise level was used in its continuous form and expressed in Leq dBA. For each 5-minute

133 window, the noise level of the three preceding windows was also computed in order to

represent lagged noise at -5 minutes, -10 minutes, and -15 minutes.

135

Individual exposure to sound level was assessed with a wearable Class II dosimeter Wed007 -136 01dB (ACOEM Limonest, France) allowing for A-weighted measurements - a weighting that 137 corresponds to the sensitivity of the human ear - between 40 and 120 dB(A) (tolerance \pm 1.0 138 139 dB) every second (LAeq,1s). During the day, participants were instructed to place the 140 microphone near the ear and over the clothing, to wear the dosimeter on the belt and to charge 141 the device overnight. All of the dosimeters were calibrated at the beginning of the study following the manufacturer's instructions using a standard acoustic calibrator (1 KHz sine 142 wave at 94 dB). 143

144

Based on the A-weighted Leq,1s (LAeq,1s), the equivalent sound level (LAeq) was computed
within each time window. The LAeq is a representation of the constant sound level that would
have been produced with the same energy than the varying sound level actually produced
during the given period. It is one of the main sound level indicators used in environmental
noise assessment [32].

151 <u>Accelerometer data</u>

Participants wore an Actigraph wGT3X+ tri-axial accelerometer on the right hip with a
dedicated elastic belt for the recruitment day and 7 additional days. They were asked to
remove the belt only when sleeping and when they were in contact with water. Accelerometry
was collected for 5 second epochs and aggregated over the 5-minute windows. Vector
magnitude was used as an indicator of physical activity in the regression analysis and was
computed as follows:

158

$$VM = \sqrt{Axis \ 1^2 + Axis \ 2^2 + Axis \ 3^2}$$

159

160 <u>Other covariates</u>

Sociodemographic and health variables were collected from the IPC medical questionnaire and RECORD questionnaire filled in during the health checkup. Age, sex, medical histories (of hypertension, myocardial infarction, angina, and angioplasty), occupation, and educational level were considered. Age was coded as a continuous variable and the 4 medical history variables as separate binary variables.

Education was coded in 4 categories: low (no education, primary education, or lower secondary education); medium-low (higher secondary education and lower tertiary education, i.e., 1 or 2 years); medium-high (intermediate tertiary education: 3 or 4 years); and high (upper tertiary education: 5 years or more). Employment status was divided into employed, unemployed, retired, and other employment statuses.

171

172 Statistical analysis

173 Linear mixed models applied to the 5-minute measurement windows were used to estimate 174 associations between individual exposure to noise and HRV parameters. To take the repeated 175 measures into account, a mixed model with a random intercept at the individual level was

used. Short-term trends over the day were taken into account with smoothing splines
estimated for each participant. Preliminary analyses showed no long term-trend at the scale of
the week or the year.

We successively estimated models with linear associations including only the concomitant noise exposure variable and models including together the concomitant and progressively added lagged noise variables before adjusting for time-varying variables (heart rate and accelerometer vector magnitude). We conducted sensitivity analyses limiting the dataset to windows with no filtered RR intervals and to windows with stationary RR sequences. Stationarity within each 5-minute window was assessed with the augmented Dickey–Fuller test [33].

Non-linear associations were then considered including second to third degree polynomials and natural cubic splines separately for concomitant noise and lagged noise. The linear or non-linear associations minimizing the Bayesian information criterion (BIC) were selected for the final models. In addition to the non-linear associations, piecewise regressions were considered in order to produce interpretable coefficients. A common breakpoint value was chosen for all the HRV parameters for ease of interpretation by looking at the breakpoints minimizing the BIC for each HRV parameter.

In the nonlinear association models and the piecewise regression, temporal autocorrelation between the repeated measurements of each participant was taken into account by an autoregressive model of order 1 AR(1) [34, 35]. This covariance structure assigns to each pair of measures of a participant a correlation that decreases with the increase of the time interval separating the measures. The correlation is expressed as ρ^k , where k is the time interval separating each pair of observations (number of 5-minute windows) and ρ the correlation of a pair of successive observations (range between 0 and 1) [36]. All analyses were performed in

R version 3.4.0 [21]. Mixed models were estimated with the nlme package version 3.1-131
[37] and smoothing splines with the lmeSplines package version 1.1-10 [38].

202

203 **RESULTS**

204 Sample description

From the initial sample of 78 individuals, 14 129 and 6381 hours of measurements were 205 206 collected by the noise dosimeter and ECG sensor respectively (unlike the noise sensor, the ECG sensor did not collect data during sleep). Three participants were excluded because 207 208 either the ECG sensor, the noise dosimeter, or the accelerometer did not work or was not 209 worn. Only windows with concurrent measures of noise level, HRV, and accelerometry were 210 retained. Afterwards, windows with any missing noise data were removed (n = 60), as were those where more than 20% of the RR intervals stemmed from the interpolation and / or those 211 212 with less than 200 beats (n = 592). In the end, the study sample considered in this work comprised 53 969 windows of 5 minutes (4497.4 hours in total) of concomitant noise, HRV, 213 and accelerometry measurements for 75 individuals over 7 days. Table 1 summarizes the 214 participants' main characteristics. 215

The sample included individuals aged 34 to 74 years with an average age of 51.5 years (SD: 10.4). It was mainly composed of men (64%), employed people (65.3%), and people with a high level of education (52% of the participants had 3 or more years of tertiary education). Of the participants, 21 (28%) had a history of hypertension defined as "selfreported blood pressure equal to or greater than 140 mmHg repeatedly", while 12 participants (16%) were taking blood pressure lowering medications. None of the participants had a history of myocardial infarction or angina pectoris.

223

224 Measurement windows and noise levels

As shown in *Table 2*, the distribution of the number of measurement windows was equivalent
across the days (ANOVA test, p = 0.25), with a decline at the end of the week. *Figure 1*shows the distribution of measurement windows by time across the day (average of all days).
Most of the measures were taken between 8am and 10pm, an interval covering 87% of the
observations.

Figure 2 shows the histogram of measured noise levels, ranging from 32.6 to 113.7 Leq
dB(A) with an average of 66.1 and a standard deviation of 10.9 Leq dB(A).

232

233 Correlations between the cardiovascular parameters

Figure 3 represents the correlation between the different cardiovascular parameters. TP and SDNN are highly correlated (r = 0.94) as the first one represents the variance of HRV and the second one its standard deviation. HFnu and LFnu have a perfect correlation of -1, while they both share with LF/HF a correlation of 0.79, positive for LFnu and negative for HFnu. LF and HF are correlated between them (r = 0.80) as well as with both TP and SDNN, with correlations ranging from 0.59 to 0.79. Heart rate is mildly correlated with most of the HRV parameters with the exception of HF.

241

242 Concomitant measures of noise and HRV

Table 3 provides the average values of heart rate and HRV parameters over four increasing
noise level categories. The mean values of all parameters showed an increasing trend
confirmed by the Jonckheere-Terpstra trend test.

246

247 Mixed-effects models: linear associations

248 *Table 4* reports the linear relationships of HRV parameters with (A) concomitant and

249 progressively added lagged noise, (B) adjusted for heart rate and accelerometer vector

magnitude. Since the HRV parameters were log-transformed, the associations in the Table 250 251 represent changes in percentage of the mean outcome for an increase of one Leq dB(A). In the models including only concomitant noise, positive associations were documented with all four 252 HRV parameters. When adding lagged noise, these associations were pulled towards higher 253 values, while lagged noise was systematically negatively associated with all HRV parameters, 254 with however smaller magnitudes than the concomitant noise. When adjusted for heart rate 255 256 and accelerometer vector magnitude, SDNN and LF and HF powers associations with concomitant and lagged noise were pulled towards the positive while the association of 257 LF/HF with noise was pulled towards the negative. The variance inflation factor of the 258 259 independent variables for every HRV parameter remained below 3 (Supplementary material 260 I).

Models C and D in Table 4 report also the associations for (C) windows including no 261 262 filtered RR intervals and (D) for windows with stationary RR sequences as a sensitivity analysis. There are no noticeable changes in term of direction of the associations. The 263 coefficients were also quite stable between the different models, with two exceptions: (i) the 264 reduction of the association of HF power with concomitant noise between models (B) and (C), 265 266 moving from 1.30% to 0.86%, the effects of which are also observable on LF/HF; (ii) the 267 reduction in the association between concomitant noise and SDNN between models (B) and 268 (D), moving from 0.97% to 0.72%.

History of hypertension, blood pressure lowering medication intake, educational level, and employment status were not associated with any of the HRV parameters and were therefore not included in the models.

272

273 Mixed-effects models: non-linear associations and piecewise regression

Figure 4 represents both the non-linear associations between concomitant noise and the HRV
parameters, as well as the piecewise regression with concomitant and lagged noise for models
adjusted for heart rate, accelerometer vector magnitude, and short-term trend with a temporal
autocorrelation structure. The specifications of each model are available in the supplementary
material II.

The best association, based on the BIC, between noise and the different HRV parameters 279 280 was the natural cubic spline with the exception of HF power, for which it was the linear association. Regarding piecewise regression (numerical values shown in supplementary 281 material III), a breakpoint at 65 Leq dB(A) was chosen based on the examination of which 282 283 breakpoint ranging from 61 to 66 Leq dB(A) gave the best BIC for each HRV parameter. 284 For concomitant noise levels below 65 Leq dB(A), a quasi-linear increase was documented for all HRV parameters, starting at the lowest measured noise levels. Piecewise regression 285 286 showed that the slope of the association was much weaker above 65 Leq dB(A) than below this noise level, at the most 6 times weaker for the SDNN and LF/HF ratio. 287 As shown in Supplementary material III, the piecewise regression associations between 288 lagged noise exposure variables and the HRV parameters were either negative or null (after 289 290 mutual adjustment and adjustment for concomitant noise). Below 65 Leq dB(A), the different 291 lagged noises were all negatively associated, with the exception of the 5 min lagged noise 292 with LF and HF powers which did not show any association. Above 65 Leq dB(A), the lagged noise variables did not show any association with the HRV parameters, with the exception of 293 294 the 5 min lagged noise associations with SDNN and LF/HF. In this piecewise regression analysis, there was no identifiable pattern of associations with increasing lag. 295 296

297 **DISCUSSION**

298 Summary of results

This study aimed to explore the relationship between individual acute exposure to noise and 4 299 300 HRV parameters (SDNN, LF, HF, LF/HF ratio). Concomitant noise was positively associated with all 4 HRV parameters, after adjustment for heart rate, accelerometry, short-term trend, 301 and even after controlling for the lagged noise variables. After mutual adjustment for the 302 concomitant and lagged exposures, the lagged noise exposures were negatively associated 303 with all 4 HRV parameters. Analyses restricted to sequences without removed RR intervals or 304 305 to stationary RR sequences supported the same conclusions. Piecewise regression with a 306 breakpoint at 65 Leq dB(A) demonstrated that the association was stronger below this threshold. 307

308

309 Strengths and limitations

First, the use of wearable sensors enabled to accurately measure continuously over time the 310 311 personal noise exposure, HRV parameters, and physical activity as a confounder. We could reduce misclassification biases that would have resulted from the use of proxy indicators of 312 noise exposure derived from interpolated measurements or modeling work. Similarly, this 313 approach allowed us to escape from controlled laboratory environments and permitted 314 315 observation in a "real life" context. This non-constrained observation over a week in various 316 living environments yielded a wide range of situations of exposure to noise and related 317 reactions of the autonomic nervous system.

A related strength of this study lies in the large number of observations that were collected. Indeed, a total of 4497.4 hours of concomitant noise, HRV, and accelerometry measurements from 75 individuals were analyzed in this study. This is considerably more than in the three other studies that have addressed the problem, that relied on 156 hours (n = 40), approximately 100 hours (n = 36), and 1785 hours (n = 110) of observation, respectively [16-

323 18], although the third one had a larger number of participants.

However, as a weakness resulting from this strength, this considerable amount of data 324 325 could only be handled by automated processes, made of filtering and calculation rules. Thus, 326 each of the signal processing steps leading to the calculation of the HRV parameters may be a source of measurement bias [39]. First, the identification of beats and RR intervals from the 327 ECG was performed by the internal algorithm of the BioPatch. Although its ability to 328 correctly measure heart rate at different levels of physical activity has been verified by the 329 330 manufacturer, the specificity and sensitivity of the algorithm are unknown, as well as their variation according to the wearer's activity. The filtering of ectopic and abnormal beats can 331 also be a source of bias. Filtering was performed on the sequences of intervals, which provide 332 333 limited information for the identification and selection of valid heartbeats. The filtering step is 334 crucial for calculating the HRV parameters, since even a small proportion of ectopic beats can seriously affect the measurements [40]. While the approach to retain only sequences without 335 336 removed RR intervals was explored in this study, it could however introduce problematic selection biases [29]. The same statement applies to restricting data to stationary sequences. 337 The subsequent signal processing steps can also be a source of heterogeneity between 338 studies, affecting their comparability. The latest recommendations related to the measurement 339 340 of HRV were drafted in 1996 [29]; they do not cover all processing stages and do not include 341 methods introduced since then (e.g., wavelet transformation for calculating HRV frequency domain parameters). Various software are available for the calculation of HRV parameters, 342 but it seems that no clear consensus has been reached as to the exact sequence of signal 343 344 processing steps needed from the recording to the calculation of HRV parameters [39]. Another limitation of the study pertains to the lack of information about confounders like 345 tobacco and alcohol consumption during the observation week, or personal air pollution 346 measurements which effects on HRV have been previously described [16] 347

Finally, the study design allowed us to analyze a large number of 5-min windows, but the small number of participants in this study (n = 75) limits the use of individual-level variables as stratifiers (the association estimated in each stratum would likely not represent the true association in this stratum).

352

353 Interpretation of findings

354 General framework

Through the complementary HRV parameters, it is the state of the autonomic nervous system 355 that is being assessed. The SDNN is a global measure of HRV, reflecting the combined state 356 357 of the two branches of the autonomic nervous system. It thus masks the modulations of HRV caused by each branch of the autonomic nervous system. This decomposition of HRV is 358 however possible through frequency domain parameters breaking down HRV according to the 359 360 frequencies of heart rate modulations. Two of these frequency bands were considered in this study: the low frequency band LF (0.04 to 0.15 Hz) and the high frequency band HF (0.15 to 361 0.40 Hz). The HF band reflects parasympathetic activity [41] while the LF band, which was 362 initially described as a reflection of the sympathetic system [42], is currently considered as the 363 364 result of the combined effects of the two branches of the autonomic nervous system [43]. The 365 LF/HF ratio is in turn an index of the sympathetic / parasympathetic balance. Several studies have shown that a reduction of the SDNN is associated with the occurrence 366 of cardiovascular events and with cardiovascular mortality [10, 44-46]. The Framingham 367 368 Heart study [47] has found, of numerous HRV parameters, the SDNN to be the best predictor of new cardiac events with a reduction of one standard deviation being linked with a 50% 369 370 increase in the risk of cardiovascular events over 3.5 years.

371

372 <u>Concomitant associations</u>

In this study, an increase in noise level was associated with a concomitant increase in SDNN, 373 374 in the power of the LF band and the HF band, and in the LF/HF ratio. The concomitant increase in SDNN with noise stems from the association of noise with both the LF band 375 power and HF band power, suggesting that noise exposure increased both the low frequency 376 377 and high frequency modulations of heart rate. Thus in this study, a higher exposure to noise was not associated with an overall concomitant reduction in HRV, which was also observed in 378 379 other studies [18, 48]. An increase in personal noise exposure was also associated with a concomitant increase in the LF/HF ratio. Together with the observed increases with noise of 380 LF and HF band powers, this higher LF/HF ratio reflects a comparatively larger increase in 381 382 sympathetic activity than parasympathetic activity, implying an imbalance of the autonomic 383 nervous system associated with noise exposure. These results are in line with the reaction scheme formalized by Babisch and colleagues [9], as noise acts as a stressor triggering the 384 385 fight or flight response with an activation of the sympathetic branch of the autonomic nervous system. This was documented by several studies with either simulated [49, 50] or non-386 simulated [17, 18] noise exposure. It is this imbalance that is conceptualized as a bridge 387 between noise exposure and the subsequent development of cardiovascular diseases [9]. In 388 terms of heart rate, this sympathetic dominance over the parasympathetic system leads to high 389 390 heart rate values, as the first increases heart rate and the role of the second is to decrease it. 391 This is consistent with our finding that higher noise levels were also associated with an increased heart rate in adjusted models (data not shown). 392

393

394 <u>Mutually adjusted lagged and concomitant associations</u>

When associations with concomitant and lagged noise were mutually adjusted, the

associations of concomitant noise with HRV parameters were slightly pulled towards the

397 positive while lagged noise showed negative associations with HRV parameters. The negative

398 association between lagged noise and SDNN might express a recovery of the autonomic 399 nervous system, after the increase in SDNN with a concomitant exposure to noise, which was of much larger magnitude. Kraus and colleagues reported somewhat comparable patterns of 400 associations with, e.g., a positive association of concomitant noise with SDNN but negative 401 402 associations of lagged noise variables with SDNN when such exposure variables were mutually adjusted for [18]. As speculated by Kraus and colleagues, this positive and negative 403 404 associations of, respectively, concomitant and lagged noise with HRV parameters may be attributable to an overreaction and self-regulation of the autonomic nervous system but further 405 research is needed in order to better understand those short-term dynamics. 406

407

408 <u>Non-linear and piecewise regression</u>

With the exception of the HF band power, non-linear associations were documented between concomitant noise level and the HRV parameters. These associations shared a similar shape, with a decreasing slope as the noise level increased. This was explicitly reflected with the piecewise regression showing that the slope was much steeper below then above 65 Leq dB(A), mainly for the SDNN and the LF/HF ratio.

In our study, the associations of concomitant and lagged noise levels with HRV started at the lowest observed noise levels (around 40 Leq dB(A)) and reached a maximum after 65 Leq dB(A). Kraus and colleagues reported similar results in the case of the SDNN and the LF/HF ratio with a similarly chosen breakpoint at 65 Leq dB(A).

This threshold is however of limited clinical significance as it hides individual variations. It was slightly different depending on the HRV parameter, may have been influenced by the distribution of noise values, and does not strictly identify the beginning of the plateau but is close to where the biggest shift in slope occurs.

422

423 Conclusion

In this study, a higher exposure to noise in real life settings was associated with increases in 424 425 the LF and HF band powers, thus with an increase in the overall HRV (as expressed with the SDNN). Our analysis of the lagged noise exposures adjusted for the concomitant exposure 426 427 showed evidence of a recovery starting after a 5-minute lag. Non-linear and piecewise regressions allowed us to identify a breakpoint at 65 dB(A) Leq, below which the reported 428 association was much stronger. Future research will be needed to better understand the 429 430 dynamics through which and timescales over which noise exposure influences the autonomic nervous system. Perspectives for this work include a better characterization of the daily 431 activity of the participants during the observation windows in order to contextualize the 432 433 reported association, the use of different summary measures for noise, and the consideration of the effects of air pollution in conjunction with those of noise to assess both their potential 434 for reciprocal confounding and their synergistic effects on cardiovascular health. 435

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| Variable | n (%) | | |
|--|------------|--|--|
| Men | 48 (64%) | | |
| History of hypertension | 21 (28%) | | |
| Intake of antihypertensive medications | 12 (16%) | | |
| Employment status | | | |
| Employed | 49 (65.3%) | | |
| Unemployed | 11 (14.7%) | | |
| Retired | 13 (17.3%) | | |
| Other | 2 (2.7%) | | |
| Educational level | | | |
| No education, primary, lower secondary | 13 (17.3%) | | |
| Higher secondary, lower tertiary | 23 (30.7%) | | |
| Intermediate tertiary | 19 (25.3%) | | |
| Upper tertiary | 20 (26.7%) | | |

Table 1 Descriptive statistics for the sample (N = 75)

| Table 2 Distribution of the 5-minute |
|---|
| windows per participant over the week* |
| (n = 53969) |
| |

| Day | $Mean \pm \sigma$ |
|-----------|-------------------|
| Monday | 103.1 ± 70.1 |
| Tuesday | 105.3 ± 64.0 |
| Wednesday | 111.9 ± 61.8 |
| Thursday | 111.4 ± 60.2 |
| Friday | 103.3 ± 61.4 |
| Saturday | 95.9 ± 57.7 |
| Sunday | 88.7 ± 60.7 |
| ANOVA | p = 0.25 |

*Number of follow-up days = 6.0 ± 1.5 ; number of windows per participant = 719.6 ± 268.3

| | | HR (| (bpm) | SDN | N (ms) | LF/HF | ' (w.u) | LF pov | ver (ms²) | HF po | wer (ms²) |
|----------------------|-------|-------|------------|-------|------------|--------|------------|--------|--------------|-------|-------------|
| Noise Leq [dB(A)] | n | Mean | σ | Mean | σ | Mean | σ | Mean | σ | Mean | σ |
| [30,45] | 2395 | 71.0 | ±11.7 | 50.5 | ±26.6 | 12.8 | ±9.4 | 1119.9 | ± 1516.0 | 447.3 | ±753.1 |
| (45,65] | 19767 | 75.6 | ±13.0 | 61.0 | ± 29.0 | 15.7 | ±9.9 | 1453.5 | ± 1666.5 | 477.1 | ±721.4 |
| (65,80] | 27560 | 82.4 | ± 14.3 | 62.7 | ± 28.3 | 17.4 | ±10.2 | 1603.9 | ± 1816.5 | 545.0 | ± 850.5 |
| (80,110] | 4246 | 86.3 | ± 15.1 | 59.5 | ±27.7 | 16.9 | ± 10.0 | 1650.3 | ± 1887.8 | 627.4 | ±941.9 |
| Tren | d | p < 0 | .0001 | p < 0 | .0001 | p < 0. | 0001 | p < 0 | 0.0001 | p < 0 | 0.0001 |

Table 3 Mean and standard deviation of HRV parameters and heart rate according to four increasing categories of noise level

Abbreviations: HR: Heart rate; Leq [dB(A)]: A-weighted equivalent sound pressure level in dB; bpm: beats per minute; ms: milliseconds; w.u: without unit

Trend tested using Jonckheere-Terpstra test with the alternative hypothesis being "increasing"

| | | SE | DNN | | LF/HF | | LF | | | HF | | | | | | |
|---|-------|---------------------|--------|--------|-------|---------------------|---------------------|---------------------|-------|---------------------|--------|--------|-------|---------------------|---------------------|--------|
| _ | 0 min | 5 min | 10 min | 15 min | 0 min | 5 min | 10 min | 15 min | 0 min | 5 min | 10 min | 15 min | 0 min | 5 min | 10 min | 15 min |
| | +0.52 | | | | +1.23 | | | | +1.01 | | | | +0.37 | | | |
| ٨ | +0.83 | -0.45 | | | +1.33 | -0.15 | | | +1.41 | -0.59 | | | +0.74 | -0.53 | | |
| A | +0.88 | -0.23 | -0.37 | | +1.35 | -0.08 | -0.12 | | +1.51 | -0.21 | -0.65 | | +0.83 | -0.17 | -0.62 | |
| | +0.90 | -0.21 | -0.22 | -0.25 | +1.35 | -0.08 | -0.08 | -0.07 | +1.54 | -0.17 | -0.41 | -0.41 | +0.86 | -0.13 ^{ns} | -0.39 | -0.39 |
| В | +0.97 | -0.16 | -0.17 | -0.19 | +1.16 | -0.14 | -0.12 | -0.12 | +2.08 | $+0.01^{ns}$ | -0.31 | -0.30 | +1.30 | $+0.04^{ns}$ | -0.28 | -0.25 |
| С | +0.95 | -0.12 | -0.21 | -0.12 | +1.41 | -0.06^{ns} | -0.14 | -0.15 | +2.08 | $+0.03^{ns}$ | -0.33 | -0.25 | +0.86 | -0.03^{ns} | -0.25 | -0.15 |
| D | +0.72 | +0.03 ^{ns} | -0.19 | -0.17 | +1.11 | -0.03 ^{ns} | -0.10 ^{ns} | -0.10 ^{ns} | +1.92 | +0.01 ^{ns} | -0.25 | -0.34 | +1.23 | -0.10 ^{ns} | -0.23 ^{ns} | -0.35 |

Table 4 Linear associations between concomitant and lagged noise exposure variables and log-transformed HRV parameters

For each HRV parameter, each line represents a different model. Associations represent changes in percentage of the mean outcome for an increase of one Leq [dB(A)]. They were estimated from models with a random effect at the individual level and adjusted for short-term trends. Models A include only concomitant and progressively added lagged noise, while models B are additionally adjusted for heart rate and accelerometer vector magnitude. Models C include only windows without filtered RR (n = $17\ 321$). Models D include only stationary RR sequences (n = $14\ 350$).

The associations are statistically significant (p < 0.05) unless stated otherwise.

Abbreviations: Leq [dB(A)]: A-weighted equivalent sound pressure level in dB; SDNN: Standard deviation of RR intervals; LF/HF: Low frequency to high frequency ratio; LF: Low frequency band power in ms²; HF: High frequency band power in ms²; ns: not significant.

Fig. 1 Distribution of the analyzed 5-minute windows over the day

Fig. 2 Histogram of measured noise levels in Leq dB(A)

Fig. 3 Correlations between the cardiovascular parameters

Fig. 4 Plot of the nonlinear and piecewise associations between concomitant or lagged noise and the 4 HRV parameters, estimated from models with a random effect at the individual level and a temporal autocorrelation structure, adjusted for heart rate, accelerometer vector magnitude, and short term trend





| HR | -0.22 | 0.11 | -0.13 | -0.03 | -0.13 | 0.12 | -0.12 | |
|----|-------|------|-------|-------|-------|--------------|-------|-------|
| | | | | | | | | - 0.8 |
| | SDNN | 0.04 | 0.71 | 0.59 | 0.94 | | -0.01 | - 0.6 |
| | | LFHF | D | -0.24 | 0.04 | 0.79 | -0.79 | - 0.4 |
| | | | LF | 0.8 | 0.79 | 0.04 | -0.04 | - 0.2 |
| | | | | | 0.00 | 0.05 | 0.05 | - 0 |
| | | | | HF | 0.66 | -0.25 | 0.25 | 0.2 |
| | | | | | TP | | | 0.4 |
| | | | | | | LFnu | -1 | 0.6 |
| | | | | | | \backslash | HFnu | 0.8 |

Figure 3





2 -



Supplementary material

| | SDNN | LF/HF | LF | HF |
|------------------|------|-------|------|------|
| Noise - 0 min | 2.07 | 2.03 | 2.05 | 2.05 |
| Noise - 5 min | 2.65 | 2.59 | 2.63 | 2.62 |
| Noise - 10 min | 2.65 | 2.59 | 2.62 | 2.62 |
| Noise - 15 min | 1.99 | 1.95 | 1.97 | 1.97 |
| Heart rate | 1.74 | 1.74 | 1.74 | 1.74 |
| Vector magnitude | 1.67 | 1.67 | 1.67 | 1.67 |

I. Variance inflation factor of the linear association models

II. Models' specification for the non-linear association models

ln(SDNN) = ncs(Concomitant noise) + poly2(Lagged noise (5 min)) + poly2 (Lagged noise (10 min)) + poly2 (Lagged noise (15 min)) + Heart Rate + Vector magnitude

ln(LFHF) = ncs(Concomitant noise) + poly1(Lagged noise (5 min)) + poly1 (Lagged noise (10 min)) + poly1(Lagged noise (15 min)) + Heart Rate + Vector magnitude

ln(LF) = ncs(Concomitant noise) + poly2(Lagged noise (5 min)) + poly2(Lagged noise (10 min)) + poly2(Lagged noise (15 min)) + Heart Rate + Vector magnitude

ln(HF) = poly1(Concomitant noise) + poly1(Lagged noise (5 min)) + poly1 (Lagged noise (10 min)) + poly1(Lagged noise (15 min)) + Heart Rate + Vector magnitude

All the models included a temporal autocorrelation structure and were adjusted for short term trends.

Abbreviations:

ncs = natural cubic spline with two boundary knots and two internal knots at the 33rd and 66th quantiles.

 $Polyx = x^{th} degree polynomial$

III. Piece-wise regression: Numerical values

| | SDNN | | | | | | | |
|----------------|------------------------|------------------------|--|--|--|--|--|--|
| | <65 dB(A) | >65 dB(A) | | | | | | |
| Noise - 0 min | +1.61 [+1.54 to +1.68] | +0.27 [+0.19 to +0.35] | | | | | | |
| Noise - 5 min | -0.28 [-0.35 to -0.21] | -0.15 [-0.23 to -0.07] | | | | | | |
| Noise - 10 min | -0.41 [-0.48 to -0.34] | -0.01 [-0.09 to +0.07] | | | | | | |
| Noise - 15 min | -0.25 [-0.31 to -0.18] | -0.06 [-0.14 to +0.01] | | | | | | |
| | LF | /HF | | | | | | |
| | <65 dB(A) | >65 dB(A) | | | | | | |
| Noise - 0 min | +1.85 [+1.76 to +1.94] | +0.31 [+0.21 to +0.41] | | | | | | |
| Noise - 5 min | -0.10 [-0.18 to -0.01] | -0.26 [-0.36 to -0.17] | | | | | | |
| Noise - 10 min | -0.19 [-0.28 to -0.11] | -0.08 [-0.18 to +0.02] | | | | | | |
| Noise - 15 min | -0.15 [-0.23 to -0.07] | +0.02 [-0.07 to +0.12] | | | | | | |
| | LF | | | | | | | |
| | <65 dB(A) | >65 dB(A) | | | | | | |
| Noise - 0 min | +2.82 [+2.67 to +2.97] | +1.15 [+0.99 to +1.32] | | | | | | |
| Noise - 5 min | -0.02 [-0.16 to +0.12] | -0.13 [-0.29 to +0.03] | | | | | | |
| Noise - 10 min | -0.64 [-0.78 to -0.50] | -0.07 [-0.23 to +0.09] | | | | | | |
| Noise - 15 min | -0.43 [-0.56 to -0.29] | +0.01 [-0.16 to +0.17] | | | | | | |
| | Н | F | | | | | | |
| | <65 dB(A) | >65 dB(A) | | | | | | |
| Noise - 0 min | +1.57 [+1.38 to +1.76] | +0.97 [+0.77 to +1.18] | | | | | | |
| Noise - 5 min | -0.11 [-0.29 to +0.07] | +0.02 [-0.19 to +0.22] | | | | | | |
| Noise - 10 min | -0.61 [-0.78 to -0.43] | -0.10 [-0.30 to +0.10] | | | | | | |
| Noise - 15 min | -0.39 [-0.57 to -0.22] | +0.01 [-0.19 to +0.21] | | | | | | |

The coefficients represent changes in percentage of the mean outcome for an increase of one Leq dB(A). 95% confidence interval are reported.