

A new methodology inspired from the Theory of Critical Distances for determination of inherent tensile strength and fracture toughness of rock materials

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| 3 of inherent tensile strength and fracture toughness of rock mater | | | | |
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10 Abstract

11 Measuring the intrinsic fracture properties of quasi-brittle materials like rocks is of great importance and 12 at the same time a major issue for engineers. In this study, we explore the ability of the Theory of Critical 13 Distances (TCD) to determine accurately both the tensile strength and the fracture toughness. To this end, we 14 conduct ring tests and semi-circular bend tests on four rock types including a red sandstone, a white coarse-15 grained marble, a fine-grained granite and a coarse-grained granite. This selection covers sedimentary, metamorphic and igneous rock types with different grain sizes. The experimental data are analysed using a new 16 17 methodology developed from the so-called Point Method (PM), a particular form of the TCD, from which we 18 infer the intrinsic tensile strength and the fracture toughness of the studied rock materials. Our results are 19 compared with those obtained from the methodology recommended by ISRM that is modified to take into account the finite notch root radius used in our experiments. The comparison is successful, supporting that the 20 21 newly developed methodology is suitable to determine the intrinsic tensile strength and the fracture toughness 22 of rock materials.

- 23
- 24 Keywords: Critical distance, Intrinsic tensile strength, Fracture toughness, Point method, Notch mechanics
- 25

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26 **1. Introduction**

27 Rocks are archetypes of quasi-brittle materials. Under compression, they generally show a rather extended non-linear regime owing to the spreading of micro-fractures before final failure takes place. Under traction, they 28 29 fail through the propagation of a crack that grows through the coalescence of micro-cracks localized at the crack 30 tip vicinity in the so-called process zone. If the spatial extent of the process zone is small with respect to the 31 specimen size, this phenomenon is then appropriately described by the theory of Linear Elastic Fracture Mechanics (LEFM).¹ Within the LEFM framework, we introduce the fracture toughness K_{IC} that quantifies the 32 33 ability of the material to resist to crack growth. Alternatively, one can seek to determine the stress level at which the material fails in traction, thus defining the material tensile strength. This is of particular relevance in absence 34 of an initial crack in the structure.^{2,3} However, defining an intrinsic (specimen independent) tensile strength for 35 quasi-brittle solids is a rather difficult challenge, as the load-bearing capacity of quasi-brittle specimens is 36 known to strongly depend on its size,^{4,5} and often overlooked in engineering practice.⁶ 37

Owing to their quasi-brittle nature, rock made structures can give rise to catastrophic failures. Therefore, the accurate determination of their failure properties is key to assess the structural resistance of rock masses, an important issue in many rock engineering practices such as tunnelling, rock cutting processes, hydraulic fracturing and rock slope stability.⁷ In the following, the term *structural* properties is used when the geometrical features of the specimens or bodies do play a significant role on top of the *intrinsic* properties that depend only on the microstructural features of the rock materials as well as the surrounding environment.⁸

A suitable solution for defining the tensile strength of rocks consists in considering the characteristic stress level at which the material fails within the process zone of a stress concentrator or a running crack. According to the Cohesive Zone Model (CZM) for brittle cracks,⁹⁻¹⁰ the so-called cohesive strength σ_c of the material is then related to the material fracture toughness via the cohesive length ℓ_c (or process zone size along the crack propagation direction) through the relation $K_{Ic} \propto \sigma_c \sqrt{\ell_c}$.⁹ Although appealing, this definition raises serious experimental issues: how to determine the stress level at the tip of stress concentrators, as the process zone is hardly larger than 1 mm in most quasi-brittle specimens.

Theoretically speaking, specimens without stress concentrator could be tested under direct tension to determine the material tensile strength while specimens with sharp cracks can be used to measure the material fracture toughness. However, in practice, such a procedure is neither reliably achievable nor practical. On the one hand, it turns out that specimens without stress concentrators cannot be used to determine the tensile strength of rock materials. The reasons behind this observation have been largely discussed by researchers ^{e.g. 4, 11} and relates to the stochastic (defect driven) nature of tensile failure. On the other hand, preparing sharp cracks in rock specimens is a challenging task. 58 Considering these issues, new methodologies based on different concepts have been proposed to reliably 59 determine the tensile strength and fracture toughness of different materials including rocks. One of them is the so-called Theory of Critical Distances (TCD) based on notch mechanics. It aims at providing simple and 60 61 practical tools to engineers including rock engineers. TCD includes a group of theories used for predicting the effects of stress concentrators on material behaviour under mechanical loads.¹² The TCD can take different 62 forms and has been used with success in a wide range of engineering problems to determine or predict properties 63 of different materials including composites,¹³⁻¹⁴ metals,¹⁵⁻¹⁷ polymers,¹⁸⁻¹⁹ and rocks.²⁰⁻²³ The TCD can 64 circumvent the experimental difficulties encountered when it comes to determining the intrinsic tensile strength 65 and fracture toughness of quasi-brittle materials. With such an approach, specimens without stress concentrators 66 or perfectly sharp cracks are not required to determine these properties, as we will show in the following. 67 Furthermore, notch mechanics can be applied to modify the effect of a round-tip notch on apparent fracture 68 toughness of materials and provide engineers with accurate values of fracture toughness,²⁴⁻²⁷ as also detailed 69 later. 70

This paper is organized as follows. First, we present the studied rock materials as well as the experimental and analytical methods adopted for this study. A brief theoretical background on the methodology employed to analyse the ring tests and bending tests carried in this study is provided in Section 2. Section 3 presents our main results including a discussion. Finally, the conclusions of our study are drawn in Section 4.

75

76 2. Materials and Methods

Four different rock types including a red sandstone, a white coarse-grained marble, a fine-grained granite and a coarse-grained granite are selected for this study. This selection covers sedimentary, metamorphic and igneous rock types with different grain sizes. The PM form of the TCD is applied to measure accurately the failure properties of these rock materials including tensile strength and fracture toughness. To check the validity of the proposed PM, the fracture toughness of the tested rocks is also measured according to the ISRM Suggested Method ²⁸ modified to take into account the finite radius of the notch used in our experiments.

83

84 2.1. A modified version of the PM based on CZM

The PM is the simplest form of the TCD.²⁹ Its failure criterion has been defined by Taylor ³⁰ as follows: Failure will occur when the stress at a distance L/2 from the notch root is equal to σ_0 '. This translates as:

$$\sigma(L/2) = \sigma_0 \tag{1}$$

where *L* is a characteristic distance, and σ_0 is the inherent tensile strength of the material. If the stress distribution ahead of a stress concentrator and the characteristic distance are known, then the inherent tensile strength can be determined. As justified in Appendix A, the material fracture toughness K_{Ic} can finally be estimated from the relation:

$$L = \frac{1}{\pi} \left(\frac{K_{\rm Ic}}{\sigma_0}\right)^2 \tag{2}$$

Although the PM has been successfully applied to a large range of fracture problems, it remains a phenomenological method.³⁰ Interestingly, it is intimately connected to the CZM of failure, which rigorously extends LEFM to elasto-damageable solids. In its simplest version, CZM introduces a cohesive stress σ_c , below which the material behaves elastically and beyond which it does not sustain any mechanical load. This approach predicts the spatial extent of the fracture process zone, also called the cohesive zone, through the Dugdale– Barenblatt (D–B) formula (see Appendix A):^{9,31}

$$\ell_{\rm c} = \frac{\pi}{8} \left(\frac{K_{\rm Ic}}{\sigma_{\rm c}} \right)^2 \tag{3}$$

97 This formula is almost identical to Eq. (2) up to a constant $\pi^2/8 \approx 1.23$. On top of it, considering the tensile 98 stress distribution $\sigma(r) = K_I/\sqrt{2\pi r}$ ahead of a running crack as predicted by LEFM, one infers the relation 99 $\sigma(4\ell_c/\pi^2) = \sigma_c$ that is similar to Eq. (1). In the following, we use Eq. (3) instead of Eq. (2), as it derives from 100 a well-identified assumption, namely the existence of a unique stress level that provides both the elastic limit 101 and the failure threshold of the material, but we use the following PM based methodology to determine both σ_c 102 and ℓ_c .

Specimens with different notch geometries are loaded up to failure. Following Eq. (1), the point of intersection of the stress distribution ahead of the stress concentrators at the onset of failure is expected to provide the material tensile strength. Following the previous interpretation of the PM based on CZM, two extreme stress concentrators, i.e. a sharp notch (very high-stress concentration) and a flat free surface (no stress concentration), are best suited. However, in practice, machining very sharp notches and initiating a crack from a flat free surface are quite difficult to achieve in rock materials.

To circumvent these difficulties, Semi-Circular Bend (SCB) specimens with a notch root radius of about
 350µm and ring specimens with an inner radius of around 14mm are used to produce the highest and lowest
 possible stress concentrations, respectively. Despite the discrepancy between these specimens and the perfect

112 concentrators expected theoretically, our method provides accurate values of tensile strength, as we will show113 in Section 3.

114

115 2.2. Ring test

116 Rock rings are used in the following as the low-stress concentrator specimens. This test geometry has been 117 used in the past to measure the apparent tensile strength of rocks and other brittle materials.^{32,33} Note however 118 the apparent tensile strength is a structure-dependent property rather than an inherent material property.^{11, 34} The 119 difference between the value of the apparent tensile strength and σ_c results from the combination of three 120 factors: (1) the probabilistic nature of the resistance of materials to tensile loading; (2) the complexity of the 121 failure process involving the initiation of a crack by damage accumulation before it can propagate; and (3) the 122 calculated stress following a linear elastic assumption may not be the 'real' stress experienced by the material.¹¹

123 The minimum diameter of the internal hole that could be drilled into the sandstone and the marble is about 124 3mm, while it is about 6mm for granites (Fig. 1-c). Rings with four different inner diameters are prepared for the sandstone and the marble, whereas three different ring specimens are prepared for granites. Moreover, 125 126 normal disk specimens with no hole are also prepared and tested for all rock types. At least three different specimens for any geometry are tested and the average of calculated tensile strengths for each rock 127 type/geometry is used for further analyses. The outer diameter and thickness of the rings/disks are around 75 128 and 30 mm, respectively. Note that, following the analysis of Fillon,³⁵ the ratio of the inner to the outer diameter 129 of our ring specimens is less than or equal to 0.4 so that the tensile mode of failure dominates over the 130 compressive one.³² The driving rate of the cross-head for all our tests is set to 0.05 mm/min. 131

132 The apparent tensile strength σ_{max} is defined as the maximum stress level applied locally to the material at 133 the onset of failure, assuming that it behaves elastically everywhere. It then follows:

$$\sigma_{\max} = \frac{P_{\max}}{\pi B R_0} [6 + 38(R/R_0)^2]$$
(4)

that provides the tensile stress applied to the inner surface of the specimen at the applied failure load P_{max} . For disk specimens for which R = 0, the maximum applied stress is located at the center of the specimen and follows:

$$\sigma_{\max} = \frac{P_{\max}}{\pi B R_0} \tag{5}$$

Here, *B* is the ring thickness while *R* and R_0 are the inner and outer radii of the ring, respectively.

Following Torabi et al.,³⁶ Kirsch's solution together with Hobbs' correction ³² are used to determine the tensile stress distribution $\sigma_x(y)$ along the loading axis y (see the schematic of the ring specimen shown in Fig. 140 1-a for the definition of the axes x and y):

$$\sigma_{x}(y) = \frac{\sigma_{\max}}{2} \left(2 - 2\frac{R^{2}}{y^{2}} + 12\frac{R^{4}}{y^{4}} \right) F_{\text{corr}}$$
(6)

Here, F_{corr} is a correction factor that should be taken into account for sufficiently large R/R_0 ratios, which follows:

$$F_{\rm corr} = 1 + \frac{19}{3} \left(\frac{R}{R_0}\right)^2.$$
 (7)

In the course of the ring experiments, we observe an interesting phenomenon that we would like to discuss. 143 144 As shown in Figs. 2-b and 2-d, the mechanical response of the ring specimen with the largest inner radius shows 145 two peaks, the first one being larger than the second one. It turns out that full failure of the ring specimen took 146 place in two steps. First, as the load is increased, the tensile strength of the material is reached and failure takes 147 place at point A (see Fig. 2-c). After stress drop, the sample is still able to sustain load. As a result, the applied 148 load increases again, starting from a lower level until it reaches a second time the tensile strength of the material 149 at point C (see Fig. 2-c). It is interesting to notice that each half of the sample can still bear some compressive 150 load until the tensile strength of the material is reached a second time at point C, and providing a good evidence 151 that the sample has been split under pure tension at point A.

The first and second peaks in Fig. 2-d corresponds to the fractures labelled in Fig. 2-c and located at points A and C, respectively. From this observation, it can be concluded that ring test is suitable to measure the tensile strength. From recorded videos by high-speed cameras, we do observe that rings with smaller internal holes are always separating from point A in a tensile mode as well (Fig. 3), as expected from direct numerical simulations of failure in such specimens.³³

157

158 2.3. Semi-circular bending test

The notched semi-circular geometry is used for preparing rock specimens with high-stress concentrators.
Various methods have been used to determine the fracture toughness of rock materials.^{e.g. 28,37-39} The method

suggested by ISRM ²⁸ relies on SCB specimens that is rather simple to machine and provides good
 repeatability.^{e.g. 40-43}

Herein, SCB specimens are prepared and tested according to ISRM. Multiple SCB specimens for each rock type are tested and the average generalized (or apparent) fracture toughness $K_{\rm Ic}^{\rm U}$ is calculated as follows:

$$K_{\rm Ic}^{\rm U} = Y' \frac{P_{\rm max} \sqrt{\pi a}}{DB} \tag{8}$$

Here *a*, *B*, *D*, and P_{max} are the notch length, the specimen thickness, the diameter of the SCB specimen and the maximum applied load, respectively (see Fig. 4). The notch length of the tested SCB specimens is comprised between 14 to 16 mm while the notch tip radius is 350 microns. The diameter and the thickness of the SCB specimens range from 74 to 76 mm and 29 to 31 mm, respectively. Finally, *Y'* gives the non-dimensional stress intensity factor derived using the finite element method while assuming plane-strain conditions.²⁸ Its expression follows:

$$Y' = -1.297 + 9.516(s/D) - (0.47 + 16.457(s/D))\beta + (1.071 + 34.401(s/D))\beta^2$$
(9)

171 where *s* is the span length which is between 37 to 38 mm for all our tests while β is equal to 2a/D.

Failure of SCB specimens is recorded by means of a high-speed camera (Fig 5-a). It can be clearly seen that the fracture initiates from the notch tip and propagates parallel to the axis of application of the forces, as expected. Typical load-extension curves obtained for different rock types are shown in Fig 5-b.

175 Creager–Paris solution ²⁴ provides the stress distribution in SCB specimens with a blunted notch of radius 176 ρ :

$$\sigma(x,0) = \frac{2K^{U}}{\sqrt{\pi}} \frac{x+\rho}{(2x+\rho)^{3/2}}$$
(10)

177 using the coordinate system depicted in Fig. 4-b. K^{U} , the apparent stress intensity factor, is provided by Eq. (8) 178 after replacing the failure load P_{max} by the current applied load P.

179

180 2.4. Direct fracture toughness measurement using SCB tests

To test the ability of the proposed methodology to accurately measure the fracture toughness of rock materials, we proceed to an independent measurement of K_{Ic} using the failure load of the semi-circular bending tests. The basic idea is to consider that at the onset of failure, the imposed stress intensity factor (determined from Eqs. (8) and (9) at the tip of the notch) reaches the fracture toughness value K_{Ic} . However, in our experiments, the notch tip radius is too large to be neglected. Compiling a large set of experimental data, Gomez et al.²⁵ determined the ratio of the apparent fracture toughness (resulting from the finite notch root radius) over the actual material fracture toughness:

$$\frac{K_{\rm Ic}^{\rm U}}{K_{\rm Ic}} = \sqrt{1 + \frac{\pi}{4} \frac{\rho}{(K_{\rm Ic}/\sigma_{\rm c})^2}} \tag{11}$$

Here, the intrinsic tensile strength σ_c is determined using the PM based methodology while ρ measured from 2D slices of SCB specimens scanned by means of X-ray tomography, is found to be close to 350 microns (Fig. 4-d). K_{Ic}^{U} corresponds to the apparent fracture toughness measured experimentally. As the material fracture toughness K_{Ic} appears on both sides of this equation, Eq. (11) must be solved iteratively following the procedure described in Appendix B and illustrated in Figs. 6-a and 6-b. It turns out that the ratio K_{Ic}/K_{Ic}^{U} is close to 0.95 for the four materials investigated.

Beyond the particular cases of the fracture tests carried in this study, Figs. 6-c and 6-d depicts the effect of the cohesive length in comparison to the notch root radius on the ratio $K_{\rm Ic}/K_{\rm Ic}^{\rm U}$. In particular, it can be seen that small notch radii compared to cohesive length give rise to $K_{\rm Ic} \approx K_{\rm Ic}^{\rm U}$.

197

198 **3. Results and discussion**

199 **3.1.** Size effect on tensile strength measurements using ring specimens

A natural first step in assessing the structure-independent tensile strength of the rock materials investigated is to determine the apparent (structure dependent) tensile strength σ_{max} as a function of the ring geometry. Ring specimens with various inner radii as well as disk specimens from different rock types are tested for such a purpose. Fig. 7-a shows the value of σ_{max} as a function of the inner hole radius as obtained after averaging over different samples. It appears that the apparent tensile strength strongly depends on the hole radius (Fig. 7-b). This calls for a more advanced method of analysis to determine the inherent tensile strength.

206

207 **3.2.** Intrinsic tensile strength and material fracture toughness

The methodology described in Section 2.1 based on the SCB specimens with a notch root radius of 350 microns (high concentrator) and the ring specimens with inner radii of 13–15mm (low concentrator) is applied in Fig. 8 for the four rocks investigated. According to Eq. (1), the intersection point of the tensile stress distributions at the onset of failure for both ring and SCB specimens provides both the inherent tensile strength and the cohesive zone length. The fracture toughness value is then obtained from Eq. (3) using the D–B relationship. The results obtained for the four rocks investigated are summarized in Table 1.

214 The validity of the proposed methodology is now tested. First, we compare the fracture toughness value 215 predicted by Eq. (3) with the fracture toughness value measured directly from the notched SCB specimen, after taking into account the effect of its finite notch root radius. For this purpose, the value of σ_c determined 216 previously is used in Eq. (11), providing the ratio $K_{\rm Ic}/K_{\rm Ic}^{\rm U}$ between the inherent fracture toughness and the 217 218 apparent one, as explained in Sec. 2.4. The comparison shown in Table 2 is excellent. We then compare in Table 219 3 the cohesive zone length as measured from our method using the intersection point between both stress distributions at the onset of failure (see Fig. 8) with the one predicted from D–B Formula using the fracture 220 221 toughness determined directly from the notched SCB tests and modified for the rounded notch tip effect. Here 222 also, the agreement is very good. Last but not least, we did proceed to an independent measurement of the process zone length from statistical fractography, a technique that consists in analysing the statistics of fracture 223 224 surface roughness to extract the characteristic size of the damage processes taking place at the crack tip vicinity during propagation, and found values comparable to the one determined in this study, i.e. in the range 0.7 - 1225 226 mm.

227 These results call for a few comments. First, the intrinsic tensile strength varies in the range 8 - 25 MPa 228 for the different rock materials investigated. This is somehow larger, however comparable to the values reported in the literature for such materials.^{6, 44} Note that using smaller hole radius for the low stress concentrator gives 229 230 larger values of σ_c , as inferred from Fig. 9 where the tensile stress distribution at the onset of failure is 231 represented for the different specimen geometries. First, considering stronger stress concentrator is not 232 compatible with the justification of Eq. (1) that requires the combination of a high and a low stress concentrator 233 (see Section 2.1). Second, it leads to smaller values of cohesive length, of the order of a few hundred of microns, 234 that do not match with the results inferred from the statistical analysis of the fracture surfaces.

We then would like to discuss the fracture toughness values measured for the four rocks investigated. Our methodology provides accurate fracture toughness values, in agreement with values of K_{Ic} determined directly from the notched SCB specimens using the ISRM suggested method. Afterwards, it turns out that the value of the apparent fracture toughness obtained with a notch root radius less than 500 microns as suggested by ISRM already provides a rather good estimate of K_{Ic} for the rocks investigated. Overall, precision achieved by both methods is remarkable.

3.3. Discussion

So far, the results are interpreted and it is concluded that PM is successful in order to measuring intrinsic tensile strength and material fracture toughness, especially when the D–B formula is being used to determine the material fracture toughness. This conclusion can open new doors for future researches and needs further enlightening. The main questions should be answered concerning these results are: 1) Why PM is successful? 2) Why D–B formula is giving better results?

248 To answer these fundamental questions, first, we need to give a brief background of PM, and both original 249 and developed methods used to calculate the characteristic length L. As discussed in section 2, Eq. (1) introduced by Peterson⁴⁵ is the main failure criterion of PM. This formula is considering a material dependent characteristic 250 251 length inferring the estimated stress for a particular geometry at a distance L/2 from its concentrator is equal to inherent tensile strength of the material. In this argument, L is a constant characteristic length depends on 252 253 intrinsic properties of a material, and is independent from geometry of specimen. Therefore, for homogeneous 254 materials, stress distribution, as a function of distance from concentrator, of any two different geometries would 255 intersect at a point showing material properties. The abscissa of this point is half of the material characteristic 256 length and its ordinate is intrinsic tensile strength.

257 Although PM is successful in practice, from above presentation, there are two major facts lacking applicability and supportive theoretical arguments. First, materials are not homogeneous and there should be 258 259 always some rooms for experimental calibrations, even though one uses the highest and lowest possible stress 260 concentrators for determining the intersection point as it is done in this study. Second, how the L should be 261 determined to further estimate material fracture toughness and why L/2 is corresponding to material tensile 262 strength. The first issue concerning applicability of this model is out of scope of this study and will be addressed 263 in a future work. From the results of this study, the second issue turns out to be very important and can increase 264 the accuracy of PM with some modifications. Not solid, but it is reasonable to consider the stress at half of the 265 characteristic length L would be equal to intrinsic tensile strength. It is somehow representing the average stress 266 over L that lead to failure of material. It is notable that this argument is close to CZM assumptions for derivation 267 of Eq. 3 (refer to Appendix A).

Barenblatt ⁹ and Dugdale ³¹ separately and at the same time have developed basis for the CZM. Their 268 269 models have different theoretical arguments and physics but treat the problem with similar procedures. 270 Barenblatt model is looking at the problem at microscopic scale and considers inter-molecular cohesive stresses 271 at a large enough area for applying continuum fracture mechanics, and is suitable for brittle materials. Dugdale 272 model is a macroscopic model and considers perfectly plastic material behaviour inside the process zone ahead 273 of crack tip. In these models, the process zone (the cohesive zone in Barenblatt model or the plastic zone in 274 Dugdale model) in direction of applied load (y) is small compared to its length in crack propagation direction 275 (x). Moreover, in Barenblat model the length of cohesive zone is small in comparison to crack length $\ell_c \ll a$, and the distribution of cohesive stress σ_c in the cohesive zone for a given material is always the same and independent of the external load.⁴⁶ These two models in the most simplified scenario (strip or line model) will be end up with the same closed form solution, and this is why Eq. (3) referred to as D–B formula (refer to Appendix A).

Overall, considering CZM and PM descriptions it makes sense to employ D–B formula instead of Eq. (2) for calculating the characteristic length. On the one hand, PM asserts *L* is material dependent and can be determined by testing specimens from same material but different geometries. On the other hand, Barenblatt model argues distribution of σ_c in the cohesive zone for a given material is always the same and depends on material properties. Finally, although these formulas considering different stress distributions over *L* or ℓ_c , both Eq. (1) and Eq. (3) are considering average stress at *L*/2 at the moment of failure, and it seems D–B model assumptions are closer to reality.

287

288 4 Conclusions

In this study, a TCD based methodology is examined to determine two key mechanical properties of rock materials namely intrinsic tensile strength and material fracture toughness. The first and foremost conclusion is that PM form of TCD is a suitable means to reliably determine intrinsic tensile strength and material fracture toughness of different rock types. According to our results, PM is very reliable if the cohesive length ℓ_c is considered as the characteristic length *L* in this method.

294 Following the results of this study, it turns out that plane disk specimens without stress concentrators cannot 295 be used to measure tensile strength of rock materials, and tensile strength is underestimated if plane specimens 296 are used. However, it could provide engineers with a safe and conservative estimation despite the fact that it 297 would often increase the costs of a project. From the observations in the course of ring experiments, it can be 298 concluded that ring test is a suitable means to measure apparent tensile strength of rock materials. Tensile strength of rocks revealed to depend on their structural properties due to the facts discussed by Hudson.¹¹ 299 300 However, if a specific value should be reported for a particular rock type and is needed by analytical or 301 numerical solutions, then intrinsic tensile strength of the rock can be determined following the procedure in this 302 study with the aid of newly developed PM.

Brittle nature of rock materials is a major issue for fabricating sharp notch in SCB specimens to successfully determine material fracture toughness. In this study, notch mechanics and practical developments in similar materials were introduced to circumvent this difficulty. From the experimental observations and comparison with different methods, it is being suggested that Gomez et al.²⁵ formula can be used to successfully rectify the notch root radius effect on determining fracture toughness of rock materials. However, if the notch root radius is smaller than the cohesive length, the ISRM suggested method ²⁸ is a reliable method for determining fracture toughness of rock materials. Based on the results of this study, the cohesive length is around 1mm for rock materials. Therefore, if the notch width is less than 1mm or notch root radius is less than 500 microns, as specified in the ISRM suggested method,²⁸ then the material fracture toughness measured by this method is reasonably close to the real value.

313 Although, the results are satisfying, there is a mismatch between the actual location and the considered intersection point for estimating the intrinsic tensile strength because of material heterogeneities and theoretical 314 315 assumptions. This is why fracture toughness values estimated from SCB tests modified for notch root effect and 316 developed PM are a bit different. The question remains open in this study is that how this issue can be rectified and if there is any way to measure L or ℓ_c for different materials to get the best possible results. In other words, 317 the length of the fracture process zone in the direction of crack propagation or the cohesive length ℓ_c should be 318 quantified to determine the actual stress at the tip of cohesive zone right before failure of a material in order to 319 320 precisely measure the material fracture toughness. Further investigations and future researches are required to 321 exactly quantify the length of fracture process zone and answer this question.

322

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328

329 Declaration of competing interest

330 The authors would like to declare that there is no conflict of interests regarding publication of this article.

331

332 Appendix A

Following Taylor,³⁰ derivation of Eq. (2) starts by Westergaard's equation ⁴⁷ that provides estimation of tensile stress $\sigma(r)$ in the direction of crack propagation as a function of distance *r* from the crack tip, for a through-thickness crack of a half-length *a* in an infinite body. The equation can be read as:

$$\sigma(r) = \sigma \sqrt{\frac{a}{2r}}$$
(A1)

336 if $r \ll a$ i.e. for the comparatively close points to the crack tip for an applied tensile stress σ .

337 According to LEFM for the same conditions mode I stress intensity factor $K_{\rm I}$ can be calculated:

$$K_{\rm I} = \sigma \sqrt{\pi a} \tag{A2}$$

At the moment of failure $K_{\rm I}$ and σ can be replaced by critical mode one stress intensity factor or fracture toughness $K_{\rm Ic}$ and tensile failure stress σ_f , respectively:

$$K_{\rm Ic} = \sigma_f \sqrt{\pi a} \tag{A3}$$

Finally, combining the PM criterion Eq. (1) with Eqs. (A1 and A3), σ_f^2 is equal to both side of the Eq. (A4):

$$\frac{L\sigma_0^2}{a} = \frac{K_{Ic}^2}{\pi a}$$
(A4)

341 which is another form of the Eq. (2).

Derivation of Eq. (3) can be summarized as follows. If a crack or notch with length *a* as shown in Fig. A1 is considered, then distribution of $\sigma_c(x, 0)$ along ℓ_c ranged from the physical crack tip or notch tip to fictitious crack tip would be non-linear. The general formula for calculating mode I stress-intensity factor associated with such cohesive stresses K_I^c for a straight crack in an infinite body can be formulated as follows:¹

$$K_{\rm I}^{\rm c} = -2\sqrt{(c/\pi)} \int_0^c \frac{\sigma_{\rm c}(x,0)}{\sqrt{c^2 - x^2}} dx$$
(A5)

where $c = a + \ell_c$ and $\sqrt{c^2 - x^2}$ is Green's function. There is no close form solution for this equation since the distribution of σ_c over ℓ_c is unknown. The D–B formula is derived by simplifying this condition. If we consider σ_c over ℓ_c has a constant value (strip model), then Eq. (A5) will transform to:

$$K_{\rm I}^{\rm c} = -\sqrt{(2/\pi)} \int_a^c \frac{\sigma_{\rm c}(x,0)}{\sqrt{x}} dx \tag{A6}$$

Fig. A2 shows this simplified situation for a crack of length $2(a + \ell_c) = 2c$ in an infinite body under uniaxial tensile stress σ . Then, using superposition of the problem, and right before crack propagation, the following equilibrium could be reached:

$$K_{\rm I} = -K_{\rm I}^{\rm c} \tag{A7}$$

where $K_{\rm I}$ is given in Eq. (A2) and $K_{\rm I}^{\rm c}$ can be solved using Eq. A6. Now, the equilibrium can be rewritten as follows:

$$\sigma \sqrt{\pi c} = 2\sigma_{\rm c} \sqrt{\frac{c}{\pi}} \cos^{-1} \frac{a}{c};$$

$$\rightarrow \sqrt{\pi c} \left(\sigma - \frac{2\sigma_{\rm c}}{\pi} \cos^{-1} \frac{a}{c} \right) = 0;$$

$$\therefore \frac{a}{a + \ell_{\rm c}} = \cos \left(\frac{\pi \sigma}{2\sigma_{\rm c}} \right)$$
(A8)

Finally, by two reasonable assumptions including $\ell_c \ll a$ and $\sigma \ll \sigma_c$ this equilibrium can be solved for ℓ_c :

$$1 - \frac{\ell_{\rm c}}{a} = 1 - \frac{\pi^2 \sigma^2}{8\sigma_{\rm c}^2};$$

$$\rightarrow \ell_{\rm c} = \frac{\pi \sigma^2 \pi a}{8\sigma_{\rm c}^2}$$
(A9)

that is another form of Eq. (3).

356

357 Appendix B

358 The iterative method for estimating material fracture toughness K_{Ic} from Gomez et al.²⁵ practical formula 359 (Eq. 11) can be presented as follows. First of all, Eq. 11 can be divided in the two following formulas:

$$\frac{K_{lc}^{U}}{K_{lc}} = \sqrt{1 + \frac{\pi}{4} \frac{\rho}{l_{ch}}}$$
(B1)

360 where l_{ch} is a characteristic length given in Eq. B2:

$$l_{\rm ch} = (K_{\rm Ic}/\sigma_{\rm c})^2. \tag{B2}$$

361 Then, an iterative process for estimating K_{Ic} can be presented in four steps as follows: a) estimating the l_{ch} using Eq. (B2) by assuming K_{Ic} is equal to the measured generalized fracture 362 363 toughness from experiment; 364 b) estimating the material fracture toughness by replacing the measured generalized fracture toughness from experiment, notch tip radius ρ , and the calculated l_{ch} from the first step into Eq. 365 366 (B1); c) updating the l_{ch} by replacing the estimated material fracture toughness from the second step into 367 368 Eq. (B2); and 369 d) repeating this loop several times until old and new l_{ch} values and accordingly material fracture 370 toughness values converge. The larger the ρ or the smaller the l_{ch} , the greater the number of required iterations for convergence (see Fig. 371 **4-**a). 372 373 This method has a limitation that is connected to the ratio of ρ/l_{ch} . Based on some numerical examples, it turns out that this iterative process works well if ρ is smaller or slightly larger than l_{ch} . It is notable that if ρ = 374 $l_{\rm ch}$, then $K_{\rm Ic}/K_{\rm c}^{\rm U} \approx 0.5$ (after convergence). 375 376 References 377

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(c)

(d)

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526



0 µs

200 µs



400 µs



(a)



(b)

Fig. 5. (a) Sequence of high-speed images taken from a fine-grained granite SCB specimen showing crack
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552 Fig. A2. Equilibrium for derivation of D–B formula as superposition of applied tensile and cohesive stresses.

Table 1. The intrinsic tensile strength, the cohesive half-length and the material fracture toughness, determinedby the developed PM.

| Rock type | σ _c [MPa] | ℓ _c /2 [mm] | K _{Ic} [MPa.m ^{0.5}] |
|------------------------|----------------------|------------------------|---|
| Sandstone | 8.4 | 0.53 | 0.44 |
| Marble | 15.4 | 0.51 | 0.78 |
| Fine grained granite | 24.0 | 0.39 | 1.07 |
| Coarse grained granite | 19.8 | 0.48 | 0.98 |

Table 2. Comparison of measured generalized fracture toughness $K_{\rm Ic}^{\rm U}$ [MPa.m^{0.5}] and modified fracture toughness $K_{\rm Ic}$ [MPa.m^{0.5}] values with those obtained using the common and developed PMs.

| Rock type | $K_{\rm Ic}^{\rm U}$ (ISRM ²⁸) | $K_{\rm Ic}$ (Gomez et al. ²⁵) | <i>K</i> _{Ic} (common PM) | $K_{\rm Ic}$ (developed PM) |
|------------------------|--|--|------------------------------------|-----------------------------|
| Sandstone | 0.45 | 0.43 | 0.49 | 0.44 |
| Marble | 0.80 | 0.76 | 0.86 | 0.78 |
| Fine grained granite | 1.08 | 1.00 | 1.19 | 1.07 |
| Coarse grained granite | 1.02 | 0.97 | 1.11 | 0.98 |

Table 3. The cohesive length ℓ_c [mm] as per D–B formula determined both from SCB tests modified for the rounded notch tip effect and the developed PM.

| Rock type | Experimental | Developed PM |
|------------------------|--------------|--------------|
| Sandstone | 1.03 | 1.07 |
| Marble | 0.96 | 1.01 |
| Fine grained granite | 0.68 | 0.78 |
| Coarse grained granite | 0.94 | 0.96 |

Graphical Abstract PDF Performing experiments

Applying Point Method

SCB test: High stress concentration

Ring test: Low stress concentration

Modifying PM

$\sigma(\ell_{\rm c}/2) = \sigma_{\rm c}$

Estimating fracture toughness

$K_{Ic} = f(\ell_c, \sigma_c)$

Validating the results

 K_{Ic} (Experimental) $\approx K_{Ic}$ (Developed PM)