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      Detection of varicella zoster virus DNA in blood from immunocompromised patients during the
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12 Abstract

Objective: To assess whether varicella zoster virus (VZV) DNA can be detected in blood before herpes
 zoster (HZ) rash onset.

Method: Monocentric retrospective study from January 2019 to March 2023 including patients with HZ and stored blood samples performed during the week preceding the onset of HZ rash. Blood samples were retrospectively analyzed for VZV DNA by quantitative PCR.

Results: Among the 138 patients with HZ during the study period, stored blood samples performed during the week preceding the onset of HZ rash were available for 13 of them. Twelve (92%) patients were immunosuppressed, mostly due to solid organ transplantation (38%), solid malignancy (31%) or autoimmune disease (23%). During the week preceding HZ onset, VZV DNA was detected in blood from 10 (77%) patients, with a median value of 3.6 log (copies/mL) (IQR 3.3-3.9). At the time of HZ onset, all VZV PCR performed in available blood samples were positive.

Conclusion: Our findings demonstrates that VZV DNA can be commonly detected in blood from immunocompromised patients during the prodromal phase of HZ. Early screening of VZV DNA in blood from high-risk immunocompromised patients might improve HZ therapeutic management.

27

28 **Keywords**: VZV, herpes zoster, virus reactivation, blood, viral DNA, immunosuppression.

30 Introduction

31 Herpes zoster (HZ), also known as shingles, results from the reactivation of latent varicella zoster virus (VZV) in sensory ganglia [1]. It presents as a characteristic unilateral dermatomal vesicular rash 32 [2], preceded, among 50% to 70% of patients, by pain or abnormal sensations in the affected area for 33 34 3 to 7 days [3–5]. The main complication of HZ is post-herpetic neuralgia (PHN), defined as the 35 persistence of pain for at least 3 months after rash onset [6]. Incidence of HZ increases with age, with 36 up to a third of people living in developed countries expected to experience HZ in their lifetime [7,8]. 37 Early antiviral therapy significantly accelerate the resolution of HZ-associated pain [9]. Therefore, 38 early detection of VZV, before the appearance of rash, might improve the therapeutic management 39 and prognosis of HZ.

40 Detection of VZV genome in blood from immunocompetent or immunocompromised patients with localized HZ is common [2,10–12]. However, data concerning the potential detection of VZV genome 41 42 during the prodromal phase remain scarce and controversial. Two recent retrospective studies 43 suggested that VZV genome might be detected by PCR before rash onset of HZ [13,14]. The first 44 study assessed VZV detection in bronchoalveolar lavage fluids only, while the second study described 45 a single case of a heavily immunocompromised patient with one VZV positive blood sample. 46 However, another study reported no evidence of a preceding phase of detectable VZV in blood in 47 hematopoietic stem cell transplant recipients [13]. The objective of this study was to assess whether 48 VZV genome could be detected in peripheral blood before rash onset among patients with HZ.

49 Methods

50 From January 2019 to March 2023, patients with HZ in Pitié-Salpêtrière Hospital (Paris, France) were 51 identified according to the results of VZV PCR performed on cutaneous swabs in the Virology 52 Department. Patients from whom blood samples had been collected for routine standard clinical 53 management and stored at -80°C during the week preceding HZ rash onset were included in the 54 present study. DNA extraction was performed retrospectively from those stored samples using QIAsymphony DSP DNA mini kit. Quantitative VZV PCR was performed using HSV1&2 VZV R-GENE kit
(BIOMERIEUX), The limit of detection was 2.7 log (copies/mL). Baseline characteristics of patients
were collected from the medical records. Statistical analyses were performed using R software.
Categorical variables were expressed as numbers (percentages) and continuous variables as medians
(interquartile ranges [IQR]). Univariate analyses were performed using Mann-Whitney U test with
p<0.05 considered to be statistically significant.

61 Results

62 During the study period, a total of 15,218 clinical samples were tested by VZV PCR, out of which 268 63 (1.7%) were positive. These VZV positive samples included 64 blood samples and 173 mucocutaneous 64 swabs, corresponding to 138 distinct patients with HZ. Characteristics of the patients and the 65 samples are summarized in supplementary table 1. Of the 64 blood VZV positive samples (0.98% of 66 blood PCR), 6 (9.4%) were performed after varicella and 47 (73%) after HZ. One (1.5%) patient was 67 hospitalized for encephalitis, 1 (1.5%) for a bilateral retinitis with encephalitis, 2 (3%) patients had 68 acute hepatitis, 2 (3%) toxidermia, 1 (1.5%) was hospitalized for myocardial infarction, and data was 69 missing for the last 4 (6.3%) patients. Stored blood samples performed during the week preceding 70 the onset of HZ rash were available for 13 patients.

Table 1 shows the baseline characteristics of the study population. Twelve patients (92%) had prior immunosuppression, while the latter was hospitalized in intensive care unit for multiple-organ failure with septic shock due to mesenteric ischemia. Immunosuppression was mainly due to solid organ transplantation (38%), solid malignancy (31%), and autoimmune disease (23%). Therefore, most patients received immunosuppressive drugs: corticosteroids (69%), mycophenolate mofetil (46%).

During the week preceding HZ onset, VZV DNA was detected in blood from 10/13 (77%) patients (Table 2) with a median delay of 4 days (IQR 2.2-5.7) before HZ onset. Median VZV load was 3.6 log (copies/mL) (IQR 3.3-3.9). At the time of HZ onset, all VZV PCR performed in available blood samples (n=11) were positive, with a median value of 3.3 log (copies/mL) (IQR 3.0-4.2). VZV loads did not

significantly differ before and at the time of HZ onset (3.6 *versus* 3.3 log[copies/mL], p = 0.78, 95%CI
for the difference [-1.2;1.2]). Likewise, no statistically significant difference was seen between
metameric and disseminated HZ (3.3 *versus* 4.9 log [copies/mL], p=0.076, 95% CI for the difference [2.8;0.15]).

Two patients had several available stored blood samples during the 2 weeks preceding the rash onset. For both of them, VZV loads exhibited an upward trend as they neared the onset of HZ. For patient 3, VZV load was undetectable 15 days before HZ, and then raised to 2.7 log (copies/mL) 8 days before HZ onset and 3.9 log (copies/mL) 5 days before HZ onset. Similarly, VZV load in blood from patient 8 raised from undetectable 8 days before HZ onset to 3.3 log (copies/mL) one day before HZ onset.

Of note, 3 patients had nasal swabs available the week before HZ onset, primarily done for Covid-19 testing (all negative). Patient 1 had a positive VZV nasal swab one day before HZ, Patient 6 a positive VZV nasal swab 7 days before HZ, and patient 3 had a negative nasal swab 3 days before. Since patient 3 had a positive blood VZV PCR 2 days before the nasal swab, and since this type of sample is known to be traumatic, the virus might have come from blood, rather than a local oropharyngeal replication.

Patient 5 developed HZ despite valacyclovir prophylaxis. Treatment of HZ consisted of either
intravenous acyclovir or oral valacyclovir, except for patient 10, who received intravenous ganciclovir
because of a concomitant cytomegalovirus infection (Table 2).

99 During the study period, another patient with a low VZV load in blood (2.7 log [copies/mL]) was
100 identified. This immunocompetent patient, hospitalized for myocardial infarction, did not develop HZ
101 despite the lack of antiviral prophylaxis.

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103 Discussion

104 Our findings demonstrate for the first time that VZV DNA can be commonly detected in blood from 105 immunocompromised patients during the prodromal phase of HZ. Thus, 77% of patients had 106 detectable VZV DNA during the week preceding HZ onset, which is in line with the case of a kidney 107 transplant recipient previously reported [14]. We also observed the constant presence of VZV DNA in 108 blood at the onset of HZ, in accordance with previous studies including both immunocompetent or 109 immunocompromised patients [10–12,15]. In this study, we also described the detection of VZV DNA 110 in blood from an asymptomatic immunocompetent patient who did not develop further HZ despite 111 lack of antiviral prophylaxis. The detection of VZV DNA in blood from patients without symptoms of 112 VZV infection has also been described in immunocompetent children under severe stress [16], or 113 adults with autoimmune diseases or human immunodeficiency virus infection [17].

114 From an anatomopathological perspective, VZV reactivation results in infecting and destroying many 115 neurons in the sensory ganglion, together with an hemorrhagic necrosis, allowing the virus to spread 116 throughout the sensitive ganglion and within bloodstream [18–21]. This is why, in contrast to herpes 117 simplex virus reactivation that is restricted to individuals neurons and leads to a reduced number of 118 vesicles on the skin, VZV reactivation leads to vesicles within an extensive portion of a dermatome 119 [22]. In our study, the frequent VZV detection in blood before HZ onset supports the hypothesis that 120 VZV spread to surrounding neurons and into bloodstream happens at an early stage after viral 121 reactivation, several days before skin involvement. It also suggests that VZV DNAemia during HZ may 122 not originate solely from viral replication in the skin, but also directly from the sensory ganglion 123 during early stages of VZV reactivation. This early viral spread allows triggering VZV-specific cellular 124 immunity (CMI). According to the level of CMI, VZV reactivation will result from 125 asymptomatic/subclinical reactivation to metameric and disseminated HZ (Figure 1). Taken together, 126 these observations remarkably align with the hypotheses made by Hope-Simpson regarding HZ in 127 1965 [1]. He postulated that VZV reactivations were frequent and could occur with or without (i.e., 128 subclinical reactivation) symptoms, depending on the immunity level of individuals.

Our study has several limitations. First, only a small fraction of patients with HZ had a blood sample available during the week preceding the onset of HZ. As a consequence, few patients were included. Moreover, it included patients with heavy comorbidities. Therefore, our results should be extrapolated to other population of patients with caution. Moreover, prodromal symptoms were not reported in most medical records, so we were unable to establish a link with VZV DNAemia before HZ onset.

In conclusion, VZV DNA can be commonly detected in blood during the week preceding HZ onset in immunocompromised patients, suggesting that viral diffusion through the sensitive ganglion and into the bloodstream happens at an early stage of VZV reactivation. Further studies are needed to address the relevance of an early screening of high-risk immunocompromised patients by blood VZV PCR.

141	LE	Eth	ics

- 142 This study complies with Good Clinical Practices and ethical principles of the Helsinki declaration. All
- 143 data were anonymized before analysis. Patients were systematically notified of any supplementary
- biological analyses on frozen samples, initially collected as part of routine clinical practice.
- 145 Declaration of competing interests: The authors declare no conflict of interest in relation with this146 study.

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226 Fig 1: Pathogenesis of HZ. VZV reactivation allows the virus to spread early throughout the sensitive

227 ganglion and within bloodstream, triggering VZV-specific cellular immunity (CMI). According to the

- 228 level of CMI, VZV reactivation result from asymptomatic/subclinical reactivation to metameric and
- disseminated HZ.

231	Table 1: Baseline	characteristics of	the study population
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C	Characteristics	Patients (n=13)
Age, years, median (IQR)		60 (51-76)
Male sex, n (%)		10 (77%)
Tobacco smoker, n (%)		2 (12%)
BMI, kg/m ² , median (IQR)		26.1 (20.7-29.8)
Prior immunosuppression ^a , n (%)		12 (92%)
Solid organ transplantation, n (%)		5 (38%)
HIV infection, n(%)		1 (8%)
Solid malignancy, n (%)		4 (31%)
Hematological malignancy, n (%)		1 (8%)
Autoimmune disease, n (%)		3 (23%)
Corticosteroids, n (%)		9 (69%)
Calcineurine inhibitor, n (%)		4 (13%)
Mycophenolate mofetil, n (%)		6 (46%)
Chronic kidney disease, n (%)		5 (38%)
Arterial hypertension, n (%)		8 (61%)
Diabetes mellitus, n (%)		3 (23%)
Dyslipidemia, n (%)		9 (69%)

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233 Results are expressed as numbers (n) and percentages for categorical variables or medians and

234 interquartile ranges (IQR) for continuous variables.

^aImmunosuppression: use of corticosteroids, solid organ or hematopoietic stem cell transplantation,

HIV infection, autoimmune disease.

237 ^b expressed in log (copies/mL)

BMI: body mass index; HIV: human immunodeficiency virus; HZ: herpes zoster; VZV: varicella-zoster

239 virus

N° 2 3 4 5 6 7 8 9 10 11 12 13 1 Patients 22 Age, y 58 51 73 49 84 29 58 76 85 60 78 70 F Μ Μ Μ Μ Μ F Μ Μ F Μ Μ Μ Sex BMI (kg/m²) 21.8 34.9 27.6 29.1 19.9 26.1 20.7 31 39.8 29.8 18.1 24.6 18.3 Prior immunosuppression^a Yes Yes No Yes Cause of Kidney Tx and Kidney No Hemato-Anti-HIV Heart Tx Metastatic Metastatic Heart Tx Vesical Kidney Tx Lupus immunosuppression prostate synthetase infection epidermoid carcinoma and poietic stem prostate cancer heart Tx cell Tx syndrome cancer carcinoma Corticosteroid dose^b 5 5 10 10 40 17.5 No No No 20 10 150 No Calcineurine inhibitor No No Yes No No No No Yes No No Yes No Yes Mycophenolate mofetil No Yes Yes No Yes No No Yes No No Yes No Yes History of cardiovascular No Ischemic No Peripheral No No No No Ischemic No No No Ischemic event heart disease artery heart heart disease disease disease Hypertension No Yes Yes Yes No Yes No No Yes Yes No Yes Yes Dyslipidemia No Yes Yes Yes No Yes No No Yes Yes No Yes Yes Diabetes mellitus No No No Yes Yes No Yes No No No No No No Death No No No Multiple No No No No No Multiple No No Acute organ respiratory organ failure failure failure Herpes zoster (HZ) HZO Localization Sacrum Disseminated Arm Abdomen Disseminated Thorax Sacrum Arm Genital Thorax Leg Shoulder Time from blood VZV PCR 1 4 5 7 3 7 6 1 6 4 5 2 3 to HZ onset (davs) Blood VZV load^c before HZ 3.6 4.2 3.9 3.3 2.7 3.7 Neg 3.3 3.7 4.7 Neg 2.7 Neg onset Blood VZV load at HZ onset^c 3.3 5.5 4.1 4.5 2.7 4.3 NA 2.9 NA 3.1 3.6 2.7 3.3 Pre-eruptive symptoms NA Abdominal NA NA None Fever, NA None NA Genital pain NA None None dysphagia, pain oral pain Antiviral prophylaxis None None None None VACV None None None None None None None None Antiviral treatment ACV VACV ACV ACV VACV ACV VACV VACV VACV GCV VACV VACV ACV

Table 2: Demographic, clinical, virological and therapeutic features of the 13 patients from whom blood samples were tested for VZV during the week preceding HZ

^aprior to HZ; ^bmg equivalent prednisone; ^cexpressed in log (copies/mL);

ACV: acyclovir; BMI: body mass index; F: female; GCV: ganciclovir; HIV: human immunodeficiency virus; HZ: herpes zoster; HZO: herpes zoster ophthalmicus; M: male; NA: not available; Neg: negative; Tx: transplantation; VACV: valcyclovir; VZV: varicella-zoster virus