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### Reduced Post-Transplant Cyclophosphamide Dose with Antithymocyte Globulin in Peripheral Blood Stem Cell Haploidentical Transplantation

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#### 1 ABSTRACT

2 Post-transplant cyclophosphamide (PT-Cy) is effective for graft-versus-host disease (GVHD) 3 prophylaxis, but it may cause dose-dependent toxicities, particularly in frail patients. 4 Therefore, we compared the outcomes with a reduced PT-Cy total dose (70 mg/kg) to those 5 with the standard PT-Cy dose (100 mg/kg) in haploidentical hematopoietic cell 6 transplantation (HCT) patients aged >65 years and those with cardiac comorbidities. All 7 consecutive patients with a hematological malignancy receiving peripheral blood stem cells 8 (PBSCs) after a thiotepa-based conditioning with low-dose antithymocyte globulin were 9 included. Thirty-three patients received PT-Cy at 70 mg/kg and 25 at 100 mg/kg. PT-Cy dose 10 reduction did not increase the risk of GVHD and was associated with faster neutrophil and platelet recovery and lower cumulative incidences of bacteremia (38% versus 72%, p=0.004) 11 and cardiac complications (12% versus 44%, p=0.028). At 2 years, GVHD-free, relapse-free 12 13 survival (GRFS) was higher with the reduced dose compared to the standard dose (60% 14 versus 33%, p=0.04). In conclusion, reducing PT-Cy total dose to 70 mg/kg is a safe and valid 15 approach for elderly patients and those with cardiac comorbidities underdoing haploidentical 16 HCT with PBSCs and low-dose antithymocyte globulin. The reduced PT-Cy dose was 17 associated with improved hematological count recovery, lower incidence of toxicities, and 18 higher GRFS.

#### **19 INTRODUCTION**

20 Since the first allogeneic hematopoietic cell transplantation (HCT) 65 years ago, remarkable 21 progress has been made in reducing transplant-related morbidity and mortality, thus 22 expanding the transplant option to older or more fragile patients (1). New sources of grafts 23 and donors can now be used, such as umbilical cord blood and haploidentical cells, allowing a 24 donor to be found for nearly all patients (2). The development of post-transplant 25 cyclophosphamide (PT-Cy) has contributed considerably to the increase in the number of 26 haploidentical HCT performed, particularly in Europe and the United States. PT-Cy has 27 emerged as a powerful platform to overcome the human leucocyte antigen (HLA) barrier and 28 reduce the incidences of acute and chronic graft-versus-host disease (GVHD) (3). PT-Cy is now a successful and widely used GVHD prophylaxis, considered the standard of care for 29 30 haploidentical HCT, and is actively being investigated for HCT with other donor sources (3-31 13). However, in the context of haploidentical HCT, PT-Cy is associated with toxicities and 32 organ damage, such as delayed engraftment and immune recovery (14,15), cardiac events 33 (16), and hemorrhagic cystitis (HC) (4,17–19), especially in elderly patients. While toxicities 34 can be correlated with the total cyclophosphamide dose (20), few studies have compared the safety and efficacy of different PT-Cy doses. 35

36

The tolerance induced by cyclophosphamide has been the subject of intensive research since the 1960s (21–24). Based on the findings of experimental studies, PT-Cy was evaluated in a phase I clinical trial in haploidentical HCT (25), and then in two phase II studies. One was conducted in Seattle, in 28 patients receiving a single PT-Cy dose of 50 mg/kg on day +3, and one in Baltimore, in 40 patients receiving two PT-Cy doses of 50 mg/kg on days +3 and +4 (3). PT-Cy dose was not associated with any significant difference in terms of overall survival (OS), relapse incidence or incidence of acute GVHD. Only the cumulative incidence of

- extensive chronic GVHD tended to be lower with two doses of PT-Cy at 50 mg/kg compared
  to one dose (hazard ratio 0.21, 95% confidence interval (CI 95%) 0.04-1.01, p=0.05).
- 46

47 Published in 2008 by Luznik et al., these studies established PT-Cy as a safe and efficient 48 platform for GVHD prophylaxis after haploidentical HCT (3). Most studies and clinical 49 applications have since used two doses of PT-Cy at 50 mg/kg. While advances have been 50 accomplished to further improve the results of haploidentical HCT, such as the development 51 of other conditioning regimens and the addition of antithymocyte globulin (ATG) (8,26–30), the optimal dose of PT-Cy to reduce the incidence of GVHD while lessening the risk of 52 53 toxicities has yet to be defined. Recent studies have shown that PT-Cy total dose can be safely 54 reduced to 80 mg/kg (divided into two doses of 40 mg/kg) without increasing the incidence of 55 GVHD in a haploidentical HCT setting with peripheral blood stem cells (PBSC) (31,32). 56 Compared to a PT-Cy total dose of 100 mg/kg, platelet and neutrophil recovery were 57 improved with 80 mg/kg. However, the dose reduction did not allow a significant reduction of 58 non-hematologic toxic events and was not associated with improved survival. Based on these 59 empirical evidences and laboratory findings (24), we hypothesized that a more substantial 60 dose reduction to 70 mg/kg might be needed to achieve significant benefits. 61

We therefore aimed to compare the outcomes with a reduced PT-Cy total dose of 70 mg/kg to those with the standard PT-Cy total dose (100 mg/kg) in elderly patients and in patients with cardiac comorbidities undergoing haploidentical HCT.

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#### 69 **METHODS**

#### 70 Patient selection

We report a retrospective cohort study conducted in our center (Sorbonne University, Saint 71 72 Antoine Hospital, Paris). All consecutive patients undergoing HCT from January 2014 to 73 February 2022 were included according to the following criteria: (1) age  $\geq 65$  years or 74 patients of any age with a history of a cardiac event, (2) hematological malignancy, (3) 75 haploidentical HCT, (4) PBSC, and (5) thiotepa-based conditioning regimen with ATG. Since 76 2014, our center policy for GVHD prophylaxis in haploidentical HCT has been PT-Cy at 100 77 mg/kg, divided into two doses, to all patients receiving PBSC. Since 2020, all patients who 78 are aged  $\geq 65$  years or have a cardiovascular event history have received PT-Cy at 35 79 mg/kg/day on day +3 and day +4. All patients provided written informed consent for the use 80 of their data for clinical research, in accordance with the modified Declaration of Helsinki and 81 the local Ethical Committee guidelines.

82

#### 83 Transplantation procedures

84 Conditioning regimens were thiotepa-based with a reduced intensity conditioning (RIC) regimen (thiotepa, busulfan, and fludarabine) in patients with controlled disease or 85 86 myelofibrosis, and Flamsa-like (thiotepa - etoposide - cyclophosphamide, followed by 87 fludarabine – busulfan) sequential conditioning in patients with progressive refractory disease, 88 as previously published (8,26,33,34). GVHD prophylaxis consisted of pretransplant low-dose 89 ATG (2.5 mg/kg in RIC and 5 mg/kg in sequential conditioning regimens), intravenous 90 cyclosporine A, mycophenolate mofetil, and PT-Cy in all patients. Cyclosporine A was 91 progressively tapered, starting from days +60 to +90 (depending on the disease risk and the 92 occurrence of GVHD), and mycophenolate mofetil was stopped on day +35 after HCT.

#### 94 Supportive care

95 All patients underwent systematic cardiac monitoring and received the same prophylaxis of 96 cyclophosphamide-induced cystitis, as detailed in the Supplementary Material. Patients 97 received recombinant human granulocyte colony-stimulating factor from the day after the 98 second dose of PT-Cy to engraftment. Antimicrobial prophylaxis consisted of valacyclovir (or 99 acyclovir) and fluconazole initiated at the start of the conditioning regimen, while amoxicillin 100 and trimethoprim-sulfamethoxazole (or atovaquone) were started after engraftment. From 101 March 2020, letermovir was given orally to cytomegalovirus (CMV)-seropositive patients 102 starting on day +5 and continued until day +100 after HCT. Blood samples were monitored by 103 quantitative real-time polymerase chain reaction (PCR) twice a week for CMV, and once a week for BK virus, Epstein-Barr virus (EBV), and Toxoplasma gondii until at least day +100 104 105 after HCT. Monitoring of BK virus in urine samples once a week by PCR, and invasive 106 fungal infections twice a week by galactomannan antigen and (1,3)- $\beta$ -d-glucan tests, was also 107 carried out during the same time period. PCR assays were repeated more frequently according 108 to biological and clinical signs, as previously reported (18,19,35).

109

#### 110 **Definitions of endpoints**

111 Neutrophil and platelet recovery were defined according to established guidelines (36). HCT-112 specific comorbidity index (HCT-CI) (37) and disease risk index (38) were assessed as 113 previously published. Acute and chronic GVHD were diagnosed and graded according to 114 standard criteria (39–41). OS was defined as the probability of survival (irrespective of the 115 disease state), progression-free survival (PFS) as survival with no evidence of relapse or 116 progression, GVHD-free, relapse-free survival (GRFS), as being alive with neither acute 117 grade III-IV GVHD nor chronic GVHD requiring immunosuppressive systemic treatment, 118 and without disease recurrence (42), and non-relapse mortality (NRM) as death without

evidence of relapse. The definitions of hematological count recovery, BK virus-associated
HC, cardiac toxicity, and cytokine release syndrome (CRS) are detailed in the *Supplementary Material*.

122

#### 123 Statistical analysis

124 Patients' characteristics were compared using the Mann-Whitney test for continuous

125 variables, and the chi-squared or Fisher's exact test for categorical variables. Cumulative

126 incidence was used to estimate the endpoints of GVHD, relapse, NRM, platelet recovery, HC,

127 CMV reactivation, EBV increased viral load > 5000 IU/mL, fungal infections, and cardiac

128 events to accommodate competing risks. The competing events were relapse and death to

129 study GVHD, relapse for NRM, and death for infectious or cardiac complications.

130 Probabilities of OS, PFS, and GRFS were calculated by the Kaplan–Meier method. Univariate

131 comparisons were performed using log-rank and Gray's tests for cumulative incidence

132 functions. Endpoints were censored at 2 years for all comparisons to take into account the

133 difference in follow-up between the 2 groups. Results are expressed as the estimated

percentage with a 95% CI. All tests were 2-sided. The type I error rate was fixed at 0.05 for

135 the determination of factors associated with time-to-event outcomes. Statistical analyses were

136 performed with R 4.0.2 (R Core Team (2020). R: A language and environment for statistical

137 computing. R Foundation for Statistical Computing, Vienna, Austria. URL https://www.R-

- 138 project.org/).
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- 143

#### 144 **RESULTS**

#### 145 **Patient characteristics and transplantation modalities**

146 Fifty-eight patients met the inclusion criteria: 33 received PT-Cy at 70 mg/kg and 25 at 100

147 mg/kg. Patient characteristics and transplantation modalities according to PT-Cy dose are

- 148 outlined in Table 1. No significant difference was found between the two groups (PT-Cy at 70
- 149 mg/kg versus 100 mg/kg) in terms of age, sex, HCT-CI score, cardiovascular risk factors, type
- 150 of hematological disease, disease risk index, disease status, type of conditioning regimen,
- 151 CD34+ cell dose, and cyclosporine A treatment duration.
- 152

#### 153 Hematological recovery

154 All patients achieved neutrophil engraftment, except one who died during the neutropenic

155 phase, 12 days after HCT. Neutrophil and platelet recovery were significantly improved with

- 156 PT-Cy at 70 mg/kg compared to 100 mg/kg. The median time from HCT to neutrophil
- recovery was 16 days (range, 13-19 days) with PT-Cy at 70 mg/kg, and 19 days (1-24 days)

158 with 100 mg/kg (p=0.006). At days +30, +60, and +90 after HCT, the cumulative incidences

159 of platelet recovery above 50 x  $10^{9}$ /L were 75%, 88%, and 91% with 70 mg/kg of PT-Cy,

- 160 respectively, compared to 36%, 64%, and 64% with 100 mg/kg of PT-Cy, respectively
- 161 (p=0.011). The differences were not significant for platelet recovery above  $20 \ge 10^9$ /L

162 (p=0.07) (Table 2).

163

#### 164 Transplantation-related cardiac and infectious complications

165 The main transplantation-related complications are summarized in Table 3. The 2-year

166 cumulative incidence of cardiac events after HCT was significantly lower in patients who

- 167 received 70 mg/kg of PT-Cy (12% versus 44%, p=0.028). Four cardiac events occurred
- 168 between the graft infusion (day 0) and PT-Cy first administration (three in patients receiving

169	70 mg/kg of PT-Cy and one in patients receiving 100 mg/kg). Cardiac events occurred
170	between PT-Cy first administration (day +3) and 2 years after HCT in one (3%) patient
171	receiving PT-Cy at 70 mg/kg, and in 10 (40%) patients receiving PT-Cy at 100 mg/kg. The
172	main complication observed was LVSD (2-year cumulative incidences: 9% versus 24% with
173	70 mg/kg and 100 mg/kg of PT-Cy, respectively, p=0.15). Among patients receiving PT-Cy at
174	70 mg/kg, only one (3%) patient developed LVSD after PT-Cy administration. This case
175	occurred on day +6 after HCT and was associated with arrhythmia, although no clinical
176	symptoms of heart failure were observed. In contrast, LSVD occurred in five (20%) patients
177	following the administration of 100 mg/kg of PT-Cy, between days +6 and +38 after HCT,
178	including two cases that were asymptomatic. The 2-year cumulative incidence of acute
179	pulmonary edema was 3% with 70 mg/kg of PT-Cy and 20% with 100 mg/kg (p=0.041).
180	Other cardiac events included arrhythmia (n=4, 7%), pericardial effusion (n=8, 14%) and
181	acute coronary syndrome (n=2, 3%) with no significant difference with respect to the PT-Cy
182	dose for these events. Cardiac events resolved in most cases (9 out of 11 with PT-Cy at 100
183	mg/kg and 4 out of 4 with 70 mg/kg) but was the direct cause of death of one patient who
184	received 100 mg/kg of PT-Cy. The cumulative incidence of CRS was 48% in patients
185	receiving 70 mg/kg of PT-Cy (grade 1: 13 patients, grade 2: 3 patients) and 40% in patients
186	receiving 100 mg/kg (grade 1: 6 patients, grade 2: 3 patients) (p=0.5991). No case of grade 3-
187	4 CRS were observed, and tocilizumab was not used.

189 At two years, the cumulative incidence of post-HCT bacteremia was significantly lower with

190 PT-Cy at 70 mg/kg than with 100 mg/kg (38% versus 72%, p=0.004). Bacteremia was caused

- 191 by gram-negative bacteria in 4 (12%) patients receiving PT-Cy reduced dose and 10 (40%)
- 192 patients receiving the standard dose (p=0.03). The cumulative incidence of BK virus-
- associated HC was 12% with 70 mg/kg of PT-Cy compared to 28% with 100 mg/kg of PT-Cy

194 (p=0.11). HC requiring bladder irrigation (grade  $\geq$  3) occurred in two (6%) and five (20%) 195 patients treated with 70 mg/kg and 100 mg/kg of PT-Cy, respectively. The cumulative 196 incidence of CMV reactivation was lower in patients who received 70 mg/kg of PT-Cy (19% 197 versus 48%). However, letermovir prophylaxis for CMV was not given to patients who 198 received 100 mg/kg of PT-Cy, while it was given to 28 (85%) patients receiving 70 mg/kg of 199 PT-Cy. There was no significant difference in terms of EBV increase of viral load or fungal 200 infections with respect to PT-Cy dose. Only one patient, who had received PT-Cy at 70 201 mg/kg, developed post-transplant lymphoproliferative disorder.

202

#### 203 Graft-versus-host disease

At day +180, the cumulative incidences of acute grade II-IV and grade III-IV GVHD were

205 18% and 0% with PT-Cy at 70 mg/kg compared to 17% and 5% with 100 mg/kg, respectively

206 (p=0.94 for grade II-IV and p=0.23 for grade III-IV acute GVHD). There was no difference in

the cumulative incidence of chronic GVHD at 2 years with 27% and 29% for PT-Cy at 70

208 mg/kg and 100 mg/kg, respectively (p=0.95) (Table 4). Moderate to severe chronic GVHD

209 occurred in five (9%) patients and required immunosuppressive systemic therapy in six (10%)

210 patients, with no significant difference with respect to PT-Cy dose.

### 211 Relapse and survival outcomes

212 Disease progression or relapse occurred in 12 (21%) patients, within a median time of 8

213 months (range, 1-28). The 2-year relapse incidence was 30% with 70 mg/kg of PT-Cy

compared to 21% with 100 mg/kg (p=0.89) (Table 4). At last-follow-up, 24 (41%) patients

215 had died. The causes of deaths were attributed to hematological malignancy relapse (n=4,

216 12%), infection (n=5, 15%), and neurological complication (n=1, 3%) in patients receiving

217 PT-Cy at 70 mg/kg, and hematological malignancy relapse (n=6, 24%), infection (n=4, 16%),

218 GVHD (n=1, 4%), neurological complication (n=1, 3%), veno-occlusive disease (n=1, 3%),

219	and cardiac failure (n=1, 3%) in patients receiving PT-Cy at 100 mg/kg. The median follow-
220	up among surviving patients was 17 months (interquartile range [IQR], 13-20) and 59 months
221	(IQR, 51-66) in patients receiving PT-Cy at 70 mg/kg and 100 mg/kg, respectively. At 2
222	years, NRM (18% versus 33%, p=0.13), PFS (65% versus 46%, p=0.09), and OS (68% versus
223	52%, p=0.31) were similar with the reduced and the standard PT-Cy doses. However, GRFS
224	was higher with 70 mg/kg of PT-Cy (60% versus 33%, p=0.04, Figure 1). Finally, the
225	probability of survival at 2 years without severe GVHD, cardiac event, HC, or relapse, was
226	improved with PT-Cy at 70 mg/kg (50% versus 25%, p=0.04).
227	

#### 229 **DISCUSSION**

The major findings of this study are that reducing the PT-Cy dose to 70 mg/kg is not only safe
but also associated with higher GRFS in patients aged over 65 years or with cardiac

232 comorbidities undergoing PBSC haploidentical transplantation compared to a standard PT-Cy

total dose of 100 mg/kg. This represents a significant improvement for the patients, as GRFS

is a composite endpoint that reflects health status and quality of life more precisely than OS or

235 PFS. The reduction in PT-Cy resulted in remarkable decreases in several toxicities commonly

236 induced or associated with high-dose cyclophosphamide, namely hematological count

237 recovery, cardiotoxicity, BK virus-associated HC, and bacteremia.

238

239 Previous studies have reported that cyclophosphamide-induced cardiac events may be dose-

240 dependent (43,20) and that PT-Cy may be associated with a higher incidence of such events in

241 haploidentical HCT (16). In the present study, reducing the dose of PT-Cy to 70 mg/kg was

- associated with a significantly lower cumulative incidence of cardiac events, while no
- significant benefits had been reported with PT-Cy at 80 mg/kg (32). The incidence of cardiac

244 events, particularly LVSD, was high among patients receiving 100 mg/kg of PT-Cy, as 245 expected in such a high-risk population. Notably, the observed incidence is in line with the 246 findings of a large retrospective study involving 331 patients, which established PT-Cy and 247 older age as major risk factors for early cardiac events (16), and another study reporting a 248 43% incidence of congestive heart failure in high-risk patients (44). Besides the high-risk 249 characteristics of the cohort, routine cardiac monitoring allowed for the detection of 250 asymptomatic cardiac events, which likely contributed to increase to overall incidence of 251 observed cardiac events. No difference in terms of cardiovascular risk factors, history of cardiac events, or anthracycline exposure between patients receiving PT-Cy at 70 mg/kg and 252 253 100 mg/kg was found to contribute to the reduction of cardiac complications. Overall, reducing PT-Cy translated into significant improvement in terms of cardiac morbidity, and 254 255 might also have favorably impacted survival since cardiac complications are associated, 256 directly or not, with lower OS (16).

257

258 Allogeneic HCT outcomes are substantially related to the achievement of an acceptable 259 hematological and immune restoration. Neutrophil engraftment constitutes an essential step in 260 the early phase after HCT, as prolonged neutropenia is associated with extended in-hospital 261 stay and increased incidences of severe infections and NRM (45). Although hematopoietic 262 stem cells are relatively spared by cyclophosphamide, due to high levels of expression of 263 aldehyde dehydrogenase (46), haploidentical HCT with PT-Cy is associated with a 264 significantly longer time to neutrophil and platelet recovery (6). Reducing PT-Cy dose to 70 265 mg/kg allowed faster hematological recovery for both neutrophils and platelets, compared to 266 100 mg/kg of PT-Cy. The shorter neutropenic phase might also contribute to the lower 267 incidence of bacteremia observed with PT-Cy dose reduction. In addition, delayed neutrophil

and platelet recovery can be risk factors, along with PT-Cy dose, GVHD, conditioning
regimen intensity, for the development of more severe BK virus-associated HC (47,48).

271 The latter is one of the major causes of morbidity and prolonged in-hospital stay after HCT 272 and is a possible cause of death. The reported incidence is 19 to 75% in haploidentical HCT 273 with PT-Cy (17–19,49). Reducing PT-Cy dose to 70 mg/kg was associated with a lower 274 cumulative incidence of BK virus-associated HC (12% versus 28% with 100 mg/kg of PT-275 Cy). Moreover, the incidence of HC requiring bladder irrigation was reduced by 3-fold. The 276 limited number of events may explain why this considerable difference did not reach 277 statistical significance. Although this result needs to be confirmed in larger cohort studies, it would allow a meaningful improvement in terms of quality of life for the patients. 278 279 280 In a high-risk population with a median age of 69 years,  $HCT-CI \ge 2$  in 67% of patients, 281 active disease in 70%, and high or very-high disease risk index in 64%, the 2-year incidence 282 of NRM was relatively low at 18% with 70 mg/kg of PT-Cy. Interestingly, the 100-day NRM 283 was nearly 3-fold lower in patients receiving 70 mg/kg PT-Cy compared to 100 mg/kg, and 284 overall NRM continued to climb in the latter to 33% at 2 years. Our results in patients 285 receiving 70 mg/kg of PT-Cy are in line with the NRM reported in studies using PT-Cy at 80

286 mg/kg (NRM of 16% to 18%) (31,32), and compare favorably with most studies using 100

287 mg/kg of PT-Cy in a haploidentical setting for elderly patients (NRM of 13% to 38%) (50-

288 53).

289

290 One of the main safety concerns when reducing PT-Cy dose is its impact on GVHD. In our 291 study, that reduction was not associated with a higher incidence of acute or chronic GVHD of 292 any grade. Patients receiving 70 mg/kg of PT-Cy had lower incidences of acute grade II-IV 293 GVHD and chronic GVHD than those receiving 80 mg/kg of PT-Cy in other studies (24-32% 294 of acute grade II-IV GVHD and 28-41% of chronic GVHD) (31,32). Patients receiving PT-Cy 295 at either 70 mg/kg or 100 mg/kg actually had lower incidences of GVHD compared to most 296 studies using PBSC in a haploidentical setting with PT-Cy (36-44% of acute grade II-IV 297 GVHD and 19-41% of chronic GVHD) (54–61). This result may be explained by the addition 298 of pre-transplant low-dose ATG to PT-Cy for all patients, which can reduce the risk of 299 GVHD, as shown in several studies (8,26,34,27). Regarding survival, reducing PT-Cy dose 300 tended to result in higher PFS (p=0.09) and was significantly associated with higher GRFS. 301 The 2-year OS (68%), PFS (65%), and GRFS (60%) in patients receiving 70 mg/kg of PT-Cy 302 was higher than in studies with 100 mg/kg of PT-Cy in a haploidentical setting for elderly patients (OS of 39 to 55%, PFS of 35 to 58%, and PFS of 26 to 32%) (50-53). These findings 303 304 confirm the safety and efficacy of reducing PT-Cy total dose to 70 mg/kg in this fragile 305 population.

306

307 Although our study is limited by the bias of its retrospective nature, the two comparative 308 groups did not have significant differences in terms of age, sex, cardiovascular risk factors, 309 HCT-CI score, type of hematological disease, disease risk index, type of conditioning 310 regimen, CD34+ cell dose, and cyclosporine A treatment duration. Another limitation is the 311 lack of temporal overlap between the two PT-Cy dose groups due to differences in the year of 312 transplant. However, key transplant modalities such as conditioning regimens, GVHD 313 prophylaxis associated with PT-Cy, supportive care procedures, and monitoring of infections 314 and toxicities remained consistent throughout the study period. The only exception was the 315 use of letermovir, which resulted in a lower incidence of CMV reactivation. The latter had no 316 significant impact on platelet recovery, chronic GVHD, or GRFS but was associated with a 317 higher risk of acute grade II-IV GVHD (p=0.05) (Supplementary Table S1). The number of

patients who received a reduced dose of PT-Cy is also limited, which might have precluded
the observation of statistically significant improvements in terms of PT-Cy-associated
toxicity, such as HC.

321

322 In conclusion, reducing PT-Cy dose to 70 mg/kg is a safe and valid approach in elderly 323 patients and those with cardiac comorbidities underdoing haploidentical HCT with PBSC and 324 low-dose ATG. Compared to PT-Cy at 100 mg/kg, neutrophil and platelet recoveries were 325 improved, cumulative incidences of bacteremia, BK virus-associated HC, and cardiac 326 complications were reduced, the risk of acute and chronic GVHD was not increased, and 327 GRFS was higher with PT-Cy at 70 mg/kg. These encouraging findings provide compelling 328 evidence to support the initiation of a prospective trial to evaluate the administration of PT-Cy 329 at a total dose of 70 mg/kg in this specific population. Further studies should also investigate 330 the potential benefits of reducing PT-Cy dose in patients aged younger than 60 years 331 undergoing haploidentical or even HLA-matched donor transplantation. These studies may 332 contribute to shed the light on crucial outcomes associated with the impact of PT-Cy dose 333 reduction, such as immune reconstitution and the overall quality of life experienced by 334 transplanted patients.

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#### 338 Author contributions

- 339 RD designed the study, recruited patients, collected, assembled, and analyzed data, performed
- 340 the statistical analysis, and wrote the manuscript. MM designed the study, supervised
- 341 research, analyzed data, and commented on the manuscript. SE, AC, and EC helped design
- 342 the study, performed cardiac evaluations of the patients, analyzed data, and commented on the
- 343 manuscript. ML analyzed data, performed the statistical analysis, and commented on the
- 344 manuscript. AB and TL contributed to patient care and commented on the manuscript.
- 345 FM, EB, AB, SS, RB, MC, ZVW, and OL recruited patients and commented on the
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#### 354 Availability of data and materials

The dataset supporting the conclusions of this article is available upon request to the corresponding author.

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598	Figure Legends
599	Figure 1. Kaplan-Meier estimates of graft-versus-host disease-free, relapse-free survival
600	(GRFS) according to the total dose of post-transplant cyclophosphamide (PT-Cy).
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629 Table 1. Patient characteristics and modalities of transplantation

	<b>PT-Cy</b> <b>70 mg/kg</b> (n=33)	PT-Cy 100 mg/kg (n=25)	p-value
Recipient age (years), median (range)	69 (21-74)	67 (15-76)	0.25
Recipient gender (male/female), n (%)	18 (55)/15 (45)	17 (68)/8 (32)	0.3
Diagnosis, n (%)			0.3
Acute myeloid leukemia	20 (61)	17 (68)	
Myelodysplastic syndrome	8 (24)	3 (12)	
Myeloproliferative neoplasm	2 (6)	0 (0)	
Lymphoma	3 (9)	5 (20)	
Complete remission at transplant, n (%)	10 (30)	8 (32)	0.89
Disease risk index, n (%)			0.68
Intermediate	12 (36)	10 (40)	
High	19 (58)	12 (48)	
Very-high	2 (6)	3 (12)	
HCT-specific comorbidity index <sup>₩</sup>			0.28
Median (range)	2 (0-5)	2 (0-3)	
Missing, n (%)	1 (3)	0 (0)	
Cardiovascular risk factors, n (%)			
None	2 (6)	1 (4)	1
Male $> 50$ years or female $> 60$ years old	27 (82)	21 (84)	0.83
Obesity	6 (18)	4 (16)	1
Hypertension	8 (24)	9 (36)	0.33
Dyslipidemia	2 (6)	3 (12)	0.42
Smoking <sup>‡</sup>	14 (42)	10 (40)	0.85
Diabetes	3 (9)	2 (8)	1
Anthracycline exposure before HCT, n (%)	21 (64)	20 (80)	0.18
Cardiac event prior to HCT*, n (%)	12 (36)	14 (56)	0.14
LVSD at transplant, n (%)	8 (24)	4 (16)	0.53
Conditioning regimen, n (%)			0.68
RIC: Thiotepa – busulfan – fludarabine	15 (45)	10 (40)	
Sequential thiotepa-based	18 (55)	15 (60)	
CD34+ cell dose (10 <sup>6</sup> /kg), median (range)	6.97 (1.91-11.17)	7.38 (3.28-11.21)	0.8
GVHD prophylaxis, n (%)			
Cyclosporine A, MMF, and ATG	33 (100)	25 (100)	1
Cyclosporine A treatment duration, median (IQR)	141 (114-178)	135 (49-185)	0.38

631 632 633 Abbreviations: PT-Cy: post-transplant cyclophosphamide, n: number of patients, HCT: hematopoietic cell

transplant, LVSD: left ventricular systolic dysfunction, RIC: reduced intensity conditioning, GVHD: graft-

versus-host disease, MMF: mycophenolate mofetil, ATG: antithymocyte globulin, IQR: interval quartile range. 634 <sup> $\Psi$ </sup>As previously published by Armand et al. (38)

635 <sup>‡</sup>Smoking was defined as ever-regular-, occasional- or ex-smoker, and compared to never-smoker

636 \*Cardiac events included LVSD (n=12), coronary syndromes (n=7), arrythmia (n=6), or pericardial effusion 637 (n=1).

**Table 2.** Neutrophil and platelet engraftment according to PT-Cy dose

	PT-Cy at 70 mg/kg	PT-Cy at 100 mg/kg	p-valu
Neutrophil engraftment, n (%)	32 (97)	25 (100)	1
Median time to ANC > $0.5 \times 10^9$ /L, days (range)	16 (13-19)	19 (1-24)	0.006
Platelet count > 20 x 10 <sup>9</sup> /L, % [95% CI]			
At day + 30	94% [74-99]	77% [52-90]	
At day + 60	94% [74-99]	86% [60-96]	
At day $+90$	97% [58-100]	86% [60-96]	0.07
Platelet count > 50 x 10 <sup>9</sup> /L, % [95% CI]			
At day $+30$	75% [55-87]	36% [18-55]	
At day $+ 60$	88% [68-96]	64% [41-80]	
At day + 90	91% [71-97]	64% [41-80]	0.011

Table 3. Cumulative incidence of cardiac and infectious complications at two years according

#### to PT-Cy dose

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	n	<b>PT-Cy at 70 mg/kg</b> % [95% CI]	n	<b>PT-Cy at 100 mg/kg</b> % [95% CI]	p-value
Cardiac event after HCT	4	12% [4-26]	11	44% [24-63]	0.028
Bacteremia	13	38% [21-54]	18	72% [49-86]	0.004
BK virus hemorrhagic cystitis	4	12% [4-26]	7	28% [12-46]	0.11
CMV reactivation <sup>‡</sup>	6	19% [8-34]	12	48% [27-66]	0.014
EBV increased viral load	14	43% [25-59]	14	56% [34-73]	0.25
Fungal infection*	5	16% [6-30]	3	12% [3-28]	0.67

Abbreviations: PT-Cy: post-transplant cyclophosphamide, n: number of patients, 95% CI: 95% confidence interval, HCT: hematopoietic cell transplantation, CMV: cytomegalovirus, EBV: Epstein-Barr virus. 

670 671 672 <sup>‡</sup>CMV prophylaxis with letermovir was administrated to 28 (85%) patients who received PT-Cy at 70 mg/kg and to none of those who received PT-Cy at 100 mg/kg (p < 0.001).

\*Fungal infections included possible or probable invasive aspergillosis in 7 (12%) patients and toxoplasmosis in 2 (3%) patients (one patient had both invasive aspergillosis and toxoplasmosis).

### **Table 4.** Cumulative incidence of graft-versus-host disease and clinical outcomes according

693 to PT-Cy dose

	<b>PT-Cy at 70 mg/kg</b> % [95% CI]	<b>PT-Cy at 100 mg/kg</b> % [95% CI]	p-value
Acute GVHD at day +180			
Grade II-IV	18% [7-33]	17% [5-34]	0.94
Grade III-IV	0%	5% [0-19]	0.23
Chronic GVHD at 2 years			
All grades	27% [12-43]	29% [12-49]	0.95
Requiring systemic treatment	6% [1-19]	17% [5-35]	0.36
Non-relapse mortality			
At day + 100	9% [2-22]	25% [10-44]	
At 2 years	18% [6-36]	33% [16-52]	0.13
Relapse incidence at 2 years	30% [7-58]	21% [7-39]	0.89
Progression-free survival at 2 years	65% [43-80]	46% [26-64]	0.09
Overall survival at 2 years	68% [30-78]	52% [31-59]	0.31
GRFS at 2 years	60% [39-75]	33% [16-52]	0.04

### **Figure 1.**

