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## **Spike protein genetic evolution in patients at high-risk of severe COVID-19 treated by monoclonal antibodies**

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1 **Spike protein genetic evolution in patients at high-risk of severe COVID-19 treated by**  
2 **monoclonal antibodies**

3 **Running title :** Antibody therapies drive Spike evolution

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23 **ABSTRACT**

24 **Background**

25 High-risk patients, often immunocompromised and not responding to vaccine, continue to  
26 experience severe COVID-19 and death. Monoclonal antibodies (mAbs) were shown  
27 effective to prevent severe COVID-19 for these patients. Nevertheless, concerns about the  
28 emergence of resistance mutations were raised.

29 **Methods**

30 We conducted a multicentric prospective cohort study, including 264 patients with mild-to  
31 moderate COVID-19 at high risk for progression to severe COVID-19 and treated early with  
32 Casirivimab/Imdevimab, Sotrovimab or Tixagevimab/Cilgavimab. We sequenced the SARS-  
33 CoV-2 genome during follow-up and searched for emerging Spike mutations.

34 **Results**

35 Immunocompromised patients have a 6-fold increased risk of developing mutations, which  
36 are associated with a prolonged duration of viral clearance but no clinical worsening.  
37 Emerging P337S/R/L/H, E340D/K/A/Q/V/G and K356T/R substitutions in patients treated  
38 with Sotrovimab are associated with higher viral RNA loads for up to 14 days post-treatment  
39 initiation. Tixagevimab/Cilgavimab is associated with a 5-fold increased risk of developing  
40 mutations. R346K/I/T/S and K444R/N/M substitutions associated with  
41 Tixagevimab/Cilgavimab have been identified in multiple SARS-CoV-2 lineages, including  
42 BQ.1 and XBB.

43 **Conclusions**

44 In conclusion, the probability of emerging mutations arising in response to mAbs is  
45 significant, emphasizing the crucial need to investigate these mutations thoroughly and  
46 assess their impact on patients and the evolutionary trajectory of the SARS-CoV-2.

47 **Key words**

48 SARS-CoV-2, Monoclonal antibodies, COVID-19, Immunocompromised, Resistance  
49 mutations.

## 50 **BACKGROUND**

51 Neutralizing mAbs target the Spike (S) glycoprotein on the surface of SARS-CoV-2, which  
52 mediate its entry into host cells via the hACE2 receptor (1). Several mAb therapies have been  
53 approved by the European Medicines Agency (EMA) since March 2021, including  
54 Casirivimab/Imdevimab, Sotrovimab, and Tixagevimab/Cilgavimab, for prevention and/or  
55 therapy of patients at high risk for progression to severe COVID-19, particularly  
56 immunocompromised patients who failed to respond to vaccine (2).

57 These antibodies target the receptor-binding domain (RBD) of the Spike protein in order to  
58 inhibit viral entry and can be classified into 4 distinct groups according to their structures  
59 and target epitopes (3,4). Casirivimab is a class 1 antibody whose epitope overlaps with the  
60 receptor binding motif (RBM) within the RBD and compete with binding of hACE2 host  
61 receptor (5). Imdevimab is a class 3 antibody that binds outside the RBM but close enough to  
62 hinder hACE2 interaction. Casirivimab/Imdevimab combination has demonstrated in vitro  
63 efficacy against Alpha and Delta variants, and also significantly reduces viral load in patients,  
64 as well as the risk of hospitalization and death (6,7). However, due to the large number of  
65 mutations in its Spike protein, these two antibodies became ineffective against Omicron  
66 variant, notably due to substitutions K417N, S477N, E484A, Q493R and G446S (8).  
67 Sotrovimab is a class 3 antibody that binds outside the RBM to a highly conserved epitope in  
68 sarbecoviruses and does not compete with binding of hACE2 (5). Sotrovimab has shown in  
69 vitro efficacy against Delta and, unlike Casirivimab/Imdevimab, retains activity, although  
70 reduced, against Omicron (9). In high-risk patients infected with Omicron, Sotrovimab  
71 effectively protects against progression to severe COVID-19 (10). Sotrovimab became  
72 ineffective with the emergence of BA.2 sub-variant displaying additional mutations in the

73 Spike protein (11). However, recent studies suggest that Sotrovimab may retain activity  
74 against Omicron sub-variants, notably BQ.1.1 and XBB.1.5, due to the antibody-dependent  
75 cell-mediated cytotoxicity (ADCC) of its crystallizable fragment (Fc) region (12). Tixagevimab  
76 and Cilgavimab are class 1 and 2 antibodies, respectively, whose epitopes overlap the RBM  
77 and compete with binding of hACE2 (9). This combination has been shown to be effective in  
78 preventing severe COVID-19, especially as pre-exposure prophylaxis for high-risk individuals  
79 (13). Tixagevimab/Cilgavimab was one of the last antibody therapies to maintain significant  
80 efficacy against BA.2 and BA.5, essentially due to Cilgavimab, until the emergence of the  
81 BQ.1 and XBB sub-variants (14–16).

82 While their efficacy and susceptibility to the various emerging variants of SARS-CoV-2 over  
83 time have been widely documented, concerns remain over the use of such antibody  
84 therapies in the development of resistance mutations and their impact on the genetic  
85 evolution of SARS-CoV-2. Our study aims to analyse the impact of these three mAb therapies  
86 on the genetic evolution of the S gene in high-risk patients by employing next-generation  
87 sequencing to detect viral population changes and minority variants emergence.

## 88 **METHODS**

### 89 **Study design**

90 Our study is based on the ongoing ANRS 0003S COCOPREV Study (NCT04885452) (10), a  
91 multicentric prospective cohort enrolling PCR-confirmed mild-to-moderate COVID-19  
92 patients at high risk of severe progression. Treatment was administered within the initial five  
93 days of symptom onset under emergency use authorization or early access at one of 32  
94 participating centers. Patients received either 600/600 mg or 300/300 mg of

95 Casirivimab/Imdevimab IV, 500 mg of Sotrovimab IV, or 300/300 mg of  
96 Tixagevimab/Cilgavimab IV, following French health authority guidelines and physician  
97 discretion. Nasopharyngeal swabs were collected on Day 0 and 7, with additional tests on  
98 Day 3 and 5 for hospitalized patients, and subsequently weekly while viral RNA loads  
99 remained positive.

#### 100 **SARS-CoV-2 viral RNA load**

101 Viral RNA was isolated from nasopharyngeal swab stored in universal transport medium on  
102 Nuclisens® Easymag™ (Biomérieux), with a starting volume of 300µl eluted in 70µl. Cycle  
103 threshold (Ct) values were estimated using the TaqPath™ COVID-19 RT-PCR kit  
104 (ThermoFisher). The SARS-CoV-2 ORF1ab, N and S genes were simultaneously amplified to  
105 generate cycle thresholds (Cts), which were then converted into viral copies per milliliter of  
106 sample (cp/ml) using a standard curve developed in our laboratory with standard samples  
107 quantified by droplet digital PCR (ddPCR).

#### 108 **Whole genome sequencing**

109 Patients with both an initial sample (Day 0) and at least one follow-up sample with Ct<31  
110 were included. Whole SARS-CoV-2 genome sequencing was performed according to the  
111 Oxford Nanopore “PCR tiling of SARS-CoV-2 virus Eco protocol”. Viral RNA was reverse  
112 transcribed and amplified by PCR with the ARTIC primer pool v4.1 (Integrated DNA  
113 Technologies). Samples were basecalled with super-accurate option and demultiplexed with  
114 GUPPY (v6+). Reads were mapped to the Wuhan-hu-1 (MN908947.3) reference genome with  
115 minimap2 (v2.24), and consensus were generated with BCFTools (v1.16). Clades and lineages

116 were assigned with Nextclade (v2+) and Pangolin (v4+). Single nucleotide variations (SNVs)  
117 calling was performed with PEPPER-Margin-DeepVariant pipeline (v0.8) (17).

## 118 **Statistical analysis**

119 We used multivariable logistic regression to identify variables associated with S protein  
120 substitution emergence. Initial univariate analyses were conducted, selecting variables with  
121 a P-Value <0.3 for subsequent multivariate analysis. To address interrelated categorical  
122 variables, we developed four distinct models, prioritizing the most robust ones. A  
123 significance threshold of 5% was applied for establishing associations. We assessed the  
124 impact of variant, treatment, and mutation emergence on SARS-CoV-2 viral RNA loads during  
125 follow-up. We employed the Kruskal-Wallis test and Wilcoxon rank sum tests for pairwise  
126 comparisons to identify significant categories influencing viral RNA load. All statistical  
127 analyses were carried out using R, and univariate comparison tests were two-tailed.

## 128 **General population**

129 We extracted 65,448 high-coverage SARS-CoV-2 genomes from France between August 2021  
130 and December 2022 from GISAID database. Genomes were aligned using MAFFT (v7.475),  
131 and we estimated intra-population frequencies of substitutions correlated with  
132 Casirivimab/Imdevimab, Sotrovimab, or Tixagevimab/Cilgavimab treatments over this  
133 period.

## 134 **RESULTS**

### 135 **Patients description**



136 Among the 264 patients analysed, 74 (28%) received Casirivimab/Imdevimab, 166 (63%)  
137 received Sotrovimab and 24 (9%) received Tixagevimab/Cilgavimab. All had mild-to-  
138 moderate COVID-19, and risk factors for severe COVID-19, including being  $\geq 65$  years-old  
139 (121/264, 46%) and/or being immunocompromised (207/264, 78%). Differences were  
140 observed between patients who received Casirivimab/Imdevimab, Sotrovimab or  
141 Tixagevimab/Cilgavimab as regards sex, hospitalisation, vaccination, certain types of  
142 immunodepression or comorbidities and treatment by pre-exposure prophylaxis with mAbs  
143 (Table 1). Patients treated with Casirivimab/Imdevimab had lower IgG anti-S levels at  
144 baseline (median 7 BAU/ml, 0-96 IQR) than patients treated by Sotrovimab (median 48  
145 BAU/ml, 0-809 IQR,  $p=0.017$ ) or Tixagevimab/Cilgavimab (median 359 BAU/ml, 56-638 IQR  
146  $p<0.001$ ). Delta infected patients received exclusively Casirivimab/Imdevimab (42/42, 100%),  
147 whereas BA.1/BA.2 and BA.5/BQ.1 infected patients received mainly Sotrovimab (166/182,  
148 91%) and Tixagevimab/Cilgavimab (21/21, 100%), respectively. At baseline, no significant  
149 differences in SARS-CoV-2 viral RNA loads could be found between patients treated with  
150 these three mAbs therapies ( $p=0.974$ ). Patients treated with Casirivimab/Imdevimab had  
151 lower viral RNA loads at day 7 (median 5.58, 4.96-6.41 IQR) than patients who received  
152 Sotrovimab (median 6.81, 6.07-7.64 IQR,  $p<0.001$ ) or Tixagevimab/Cilgavimab (median 6.52,  
153 5.81-7.66 IQR,  $p=0.022$ ), and day 14 (median 3.53, 2.97-4.11 IQR) than patients treated with  
154 Sotrovimab (median 5.50, 3.88-6.85 IQR,  $p<0.001$ ).

### 155 **Emerging mutational profiles**

156 Following the SARS-CoV-2 genome sequencing and SNVs calling, we have listed missense  
157 mutations who were absent at baseline but emerged in the S gene in patients' follow-up  
158 samples. Overall, amino acid substitutions occurred at 55 distinct residues in S protein under

159 treatment with mAbs. Among these, 5 residues in RBD and 1 residue in HR2 domain were  
160 subject to amino acid substitutions with a >5% prevalence in patients (Figure 1a). Among  
161 patients treated with Casirivimab/Imdevimab, 27% (20/74) had a L1186F amino acid  
162 substitution in HR2 domain in S2 subunit. Among patients who received Sotrovimab, 28%  
163 (47/166), 13% (21/166) and 10% (17/166) had E340D/K/A/Q/V/G, K356T/R and P337S/R/L/H  
164 amino acid substitutions, respectively. These 3 substitution sites are located within the RBD  
165 but outside the RBM. Among patients treated with Tixagevimab/Cilgavimab, 68% (16/24)  
166 and 21% (5/24) had R346K/I/T/S and K444R/N/M amino acid substitutions, respectively.  
167 These substitutions are located in the RBD, outside the RBM for R346 and within the RBM  
168 loop for K444. For downstream analysis, we chose to only consider the six above-mentioned  
169 amino acid substitution sites in RBD and HR2 domain with highest prevalence (Figure 1b).  
170 Tixagevimab/Cilgavimab was associated with a reduced median time to, and increased  
171 probability of, mutation emergence in S protein compared to Casirivimab/Imdevimab and  
172 Sotrovimab ( $p < 0.001$ ) (Figure 1c). Intra-host frequencies of missense mutations selected in  
173 patients who received Casirivimab/Imdevimab appeared to be lower (median 20%, 15%-24%  
174 IQR) compared to intra-host frequencies of mutation selected in patients treated with  
175 Sotrovimab (median 70%, 48%-91% IQR,  $p < 0.001$ ) or Tixagevimab/Cilgavimab (median 57%,  
176 51%-71% IQR,  $p < 0.001$ ) (Figure 1d).

### 177 **Factors associated with the emergence of mutation**

178 According to logistic regression models, immunodepression was associated with a 5.6-fold  
179 increased risk of emergence of considered mutations in S protein, regardless of sex, age,  
180 level of IgG anti-S, variant and mAbs treatment ( $p = 0.019$ , OR 5.64, 95%CI: 1.34-23.81)  
181 (Supplementary figure 1). Patients treated with immunosuppressive therapy (including

182 Rituximab and corticosteroids) have a 3-fold increased risk of mutation emergence (OR 2.94,  
183 95%CI 1.03-8.37, p=0.044) and solid organ transplant recipients also tend to have these  
184 mutations emerge more frequently (OR 2.97, 95%CI 0.87-10.2, p=0.083), compared to non-  
185 immunocompromised patients. Interestingly, patients who received Tixagevimab/Cilgavimab  
186 had a 5.3-fold increased risk of mutation emergence in S protein compared to patients  
187 treated with Casirivimab/Imdevimab (p=0.025, OR 5.28, 95%CI: 1.24-22.5), while patients  
188 who received Sotrovimab had a similar risk (p=0.726, OR 1.17, 95%CI: 0.49-2.80). Among  
189 patients who received Sotrovimab, the emergence of mutation in S protein was associated  
190 with increased viral RNA loads at day 7 (median 7.31, 6.55-7.98 IQR) and day 14 (median  
191 6.32, 4.87-7.05 IQR), compared to patients with no mutation emergence at day 7 (median  
192 6.5, 5.92-7.22 IQR, p<0.001) and at day 14 (median 4.25, 3.17-5.79 IQR, p<0.001) (Figure 2b).  
193 The emergence of mutations in patients who received Tixagevimab/Cilgavimab seemed to  
194 be associated with higher viral RNA loads at day 14, but this difference was not significant  
195 (p=0.373) due to the small number of patients in this group (Figure 2c).

196 Overall, the emergence of such mutations was correlated with a longer median time to a  
197 viral RNA load  $\leq 4.41 \log_{10}$  cp/ml ( $\geq 31$ Ct) (p<0.0001), regardless of mAbs treatment (Figure 3).  
198 Concerning hospitalisation at day 28 of follow-up, 4/105 (4%) patients with an emerging  
199 mutation in S protein and 7/159 (4%) patients without mutation emergence were  
200 hospitalised. Similarly, no significant difference was observed in the evolution of patients'  
201 symptoms, whether or not they developed a mutation in S protein during their follow-up  
202 (Supplementary material).

### 203 **Emerging mutations in the general population**

204 Amino acid substitutions of L1186, E340, K356 and P337 residues, associated with  
205 Casirivimab/Imdevimab and Sotrovimab therapies in the study, had low frequency levels  
206 (<1%) in the French general population, between August 2021 and December 2022 (data not  
207 showed). In contrast, amino acid substitution at the R346 site in the S protein, associated  
208 with Tixagevimab/Cilgavimab therapy, first emerged in the general population in December  
209 2021, concomitantly with BA.1 variant (Figure 4). By the end of January 2022, R346  
210 substitution has reached a frequency of 55% in the general population and eventually  
211 disappeared in March when BA.1 had been replaced by BA.2 variant. Finally, the R346  
212 substitution emerged a second time in August 2022, in association with the K444  
213 substitution, concomitantly with BQ.1 variant. By December 2022, these two substitutions  
214 have reached a frequency of 84% and 85% in the general population, respectively.

## 215 **DISCUSSION**

216 Overall, we reported six sites of amino acid substitutions in the Spike protein during follow-  
217 up of 264 high-risk patients treated with three different monoclonal antibody therapies. We  
218 assessed the impact of these substitutions on patient outcome and on the evolution of  
219 SARS-CoV-2.

220 Immunodepression is associated with an almost 6-fold increased risk of developing a  
221 mutation in S protein following mAb treatment, especially immunosuppression related to  
222 the use of immunosuppressive therapies (including rituximab and corticosteroids) and solid  
223 organ transplantation. Since the majority of the patients studied here are  
224 immunocompromised, it is difficult to establish a clear association between immune status  
225 and the acquisition of mutations. A non-immunocompromised control group of comparable  
226 size would enable definitive conclusions to be drawn. Regardless of patients' immune status

227 and the mAbs administered, mutation emergence is associated with prolonged SARS-CoV-2  
228 infection, increased viral RNA load levels, and delayed viral clearance. However, these  
229 mutations do not appear to affect patients' clinical progress or overall recovery. Although  
230 these mutations probably have an impact on the efficacy of mAbs, particularly those  
231 affecting the RBD, the three therapies still appear to be sufficiently active to prevent severe  
232 forms of COVID-19 in high-risk patients.

233 Casirivimab/Imdevimab is associated with the emergence of L1186F substitution in Delta-  
234 infected patients. This residue is conserved across coronaviruses within an E-L-L motif in the  
235 HR2 domain in S2 subunit that play a crucial role in fusion-mediated viral entry (18). There  
236 are no existing data showing that L1186F substitution may emerge with  
237 Casirivimab/Imdevimab or that it could confer resistance to these mAbs. Besides,  
238 Casirivimab (Class 1) binds to the RBM loop region (residues 471–491) and Imdevimab (Class  
239 3) binds outside the RBM in RBD lower left edge (19). Together with low intra-host  
240 frequencies observed in patients and its absence in the general population, this information  
241 suggested that selection of L1186F substitution at individual and populational levels is  
242 unlikely, and provided no evolutionary or immune escape benefits. Thus, we had no  
243 explanation for the L1186F substitution emergence found exclusively in a subset of patients  
244 who received Casirivimab/Imdevimab. The rapid drop in viral RNA loads in these patients  
245 tended to confirm that L1186F substitution confers no resistance to Casirivimab/Imdevimab.  
246 Overall, our results suggest that Casirivimab/Imdevimab combination is highly effective  
247 against Delta, sufficiently to prevent the emergence of resistance mutations. Two studies  
248 also showed that the Casirivimab/Imdevimab combination effectively prevented mutation  
249 selection, both *in vitro* on VSV-based pseudotyped viruses displaying the original Wuhan-hu-

250 1 Spike and *in vivo* in patients infected with Alpha, Delta and other pre-Omicron variants of  
251 concerns (VOCs) (20,21). Nevertheless, a third recent study reveals the selection of several  
252 mutations, all within the RBM, at residues E406, G446, Y453 and L455 in a small number of  
253 Delta-infected patients treated with Casirivimab/Imdevimab (22). In our study, we found  
254 only one patient with emerging G446V and one patient with emerging G446V+Y453F, both  
255 Delta-infected.

256 Emergence of P337 and E340 substitutions in RBD has been demonstrated several times in  
257 Delta- and Omicron-infected patients who received Sotrovimab and is associated with a 27-  
258 to 279-fold reduction in SARS-CoV-2 susceptibility to this mAb (23–25). In a recent study, the  
259 emergence of the K356T mutation was also observed in two Omicron-infected patients  
260 treated with Sotrovimab (26). A report from September 06, 2021 from the Japanese Ministry  
261 of Health alerts us that a 5.9-fold decrease in neutralization activity by Sotrovimab was  
262 estimated for pseudovirus particles carrying K356T and D614G mutations. In our study,  
263 68/166 (41%) of patients treated with Sotrovimab acquired at least one of these three  
264 substitutions. Higher viral RNA loads during the follow-up of these patients are consistent  
265 with a decreased susceptibility to Sotrovimab induced by P337, E340 and K356 substitutions.  
266 These three substitutions are located at the Sotrovimab epitope covering amino acids 337-  
267 344 and 356-361 outside the RBM (27). Interestingly, K356 substitution could be associated  
268 with the acquisition of an additional glycosylation site that could mask the antigenic epitope  
269 (28). Other than this resistance to Sotrovimab, there are no data to suggest that these  
270 substitutions are associated with increased transmissibility or any phenotypic change.  
271 Furthermore, we did not find any of these three mutations in the general population or in  
272 any of the SARS-CoV-2 VOCs.

273 Tixagevimab (Class 1) and Cilgavimab (Class 2) bind to two non-overlapping epitopes  
274 covering the RBM. More precisely, Tixagevimab epitope overlaps the RBM ridge (residues  
275 471-491), while Cilgavimab epitope overlaps the RBM loop (residues 443-450). Tixagevimab  
276 lost all efficacy with the advent of Omicron, partly due to the emergence of the Q493R and  
277 Q498R mutations, while Casirivimab demonstrated greater resilience, retaining reduced  
278 activity on the BA.2 and BA.5 sub-variants (29). Emergence of R346 or K444 substitutions  
279 were previously demonstrated both *in vitro* and in patients infected with BA.2 who received  
280 Tixagevimab/Cilgavimab (30,31). Interestingly, both substitutions have already been found in  
281 several SARS-CoV-2 lineages. First, R346K substitution (previously seen only in B.1.621 (32))  
282 occurred in the BA.1.1 sub-lineage of Omicron and is associated with a higher affinity for  
283 hACE2 and a 5- to 10-fold reduction in Cilgavimab activity (33–36). While these two mAbs  
284 were ineffective against BA.1, with BA.2 and the disappearance of R346K, Cilgavimab regains  
285 efficacy while Tixagevimab remains ineffective (37,38). Shortly thereafter, a multitude of  
286 Omicron sub-lineages display substitutions of the R346 residue, such as BA.4.6, BF.7,  
287 BA.5.2.6, BA.4.1.9, and BE.1.2 harbouring R346T ; BA.4.7 and BA.5.2.1 harbouring R346S ;  
288 and BA.5.9 with R346I. These sub-lineages with R346X mutations are completely resistant to  
289 Cilgavimab and induce a 2.4 to 2.6 fold reduction in plasma neutralizing activity from BA.5  
290 infection (39,40). Spike K444 substitutions have been found in several Omicron sub-lineages,  
291 such as BA.4.6.3 (K444N), BA.2.3.20 (K444R) or BA.5.2.7 (K444M). Furthermore, Ortega et al.  
292 showed that K444N/R mutations within the RBM loop were identified as stabilizing hACE2-  
293 Spike interaction and increasing the affinity for hACE2 (36). Together, R346 and K444  
294 substitutions are part of a convergent evolution of RBD in a multitude of Omicron sub-  
295 lineages with growth advantages over BA.5 (41). Mutations R346T and K444T have now

296 become widespread in the general population as they are found in the BQ.1.1 and XBB.1.5  
297 variants which became dominant in late 2022.

298 Interestingly, the risk of emergence of mutations was 5-fold higher in patients treated with  
299 Tixagevimab/Cilgavimab. Within this bi-therapy, only Cilgavimab retained activity on post-  
300 BA.1 variants and was finally used as a monotherapy (38). However, bi-therapy with  
301 Casirivimab/Imdevimab has shown greater efficacy against the Delta variant, while recent  
302 studies have shown that Sotrovimab may retain efficacy thanks to its Fc-effector functions,  
303 even with virus partially resistant to neutralization, unlike the other two mAb combinations  
304 (12,42,43). Together, these data suggest that mAb monotherapy, without Fc-effector  
305 functions, is highly sensitive to the emergence of mutations located in the targeted epitope  
306 reducing neutralizing activity and may explain the higher risk of mutation emergence with  
307 Tixagevimab/Cilgavimab.

308 In conclusion, our analysis highlights how using mAbs to treat high-risk COVID-19 patients  
309 can drive genetic evolution of SARS-CoV-2, potentially leading to treatment resistance  
310 through the rapid and frequent acquisition of mutations in Spike protein in  
311 immunocompromised patients. To mitigate this risk, our findings suggest that employing bi-  
312 therapies and mAbs featuring Fc-effector functions may be beneficial. Moreover, we have  
313 identified these resistance mutations across multiple SARS-CoV-2 lineages, including various  
314 VOCs, emphasizing the need to assess the impact of mAb treatments on SARS-CoV-2  
315 evolution more broadly within the population.

316



317 **AUTHOR CONTRIBUTIONS**

318 Conceptualization of the study: G.M-B, A-G.M, C.S, F.C, Y.Y, C.D ; Data curation: V.L, K.Z, M-  
319 L.M, C.L-N, G.M-B, A-G.M, C.S, F.C, C.D, Y.Y ; Data analysis: V.L, K.Z, A.F, C.S, G.M-B, A-G.M,  
320 F.C ; Technical experimentation : V.L, K.Z, E.G, S.S, C.S ; Statistical analysis : A.F, V.L ; Writing  
321 – original draft: V.L, K.Z, C.S, A.F, A-G.M, G.M-B ; Writing – review & editing: F.C, Y.Y.

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#### 378 **CONFLICT OF INTEREST STATEMENT**

379 All authors declare no conflicts of interest.

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509

510 **Figure 1: Mutational profiles and kinetics under mAbs therapies.**

511 (a) Prevalence of emerging amino acid substitutions in the spike protein under  
512 Casirivimab/Imdevimab, Sotrovimab and Tixagevimab/Cilgavimab therapies. (b) Positions of  
513 emerging amino acid substitutions with >5% prevalence in the Spike protein primary  
514 structure and Spike and RBD three-dimensional structures. (c) Survival curve analysis  
515 showing the evolution of the probability of mutation emergence in the Spike protein over  
516 time under treatment with Casirivimab/Imdevimab (grey), Sotrovimab (yellow) and  
517 Tixagevimab/Cilgavimab (blue). (d) Density plot representing intra-host frequencies of  
518 emergent substitutions in spike protein under treatment with Casirivimab/Imdevimab (grey),  
519 Sotrovimab (yellow) and Tixagevimab/Cilgavimab (blue). Mean frequencies are represented  
520 by dots for each measurement time.

521 **Figure 2: Viral load evolution over time depending on resistance mutation acquisition.**

522 Comparisons of viral loads (log<sub>10</sub> copies/ml) at day 0, day 7 and day 14 of patients treated  
523 with Casirivimab/Imdevimab (grey), Sotrovimab (yellow) and Tixagevimab/Cilgavimab (blue)  
524 according to whether they developed a resistance mutation during follow-up or not. Box  
525 plots represent the inter quartile range (IQR: 25%-75%), the line within box plots indicates  
526 the median and whiskers indicate the minimum (Q1 - 1.5IQR) and maximum (Q3 + 1.5IQR)  
527 values. Outliers are show as dots.

528 **Figure 3: Viral load persistence over time depending on resistance mutation acquisition.**

529 Survival curve analysis showing the evolution of the probability to have a SARS-CoV-2 viral  
530 load  $\geq 4.41 \log_{10} \text{ cp/ml}$  (or  $\leq 31\text{Ct}$ ) between patients without resistance mutation emergence  
531 during follow-up in Spike protein (green) and patients with resistance mutation emergence  
532 during follow-up in Spike protein (red). Patients with mutation emergence in Spike protein  
533 have a shorter median time to the measurement of a viral load bellow the fixed threshold  
534 ( $p < 0.0001$ ).

535 **Figure 4: Frequencies of Tixagevimab/Cilgavimab induced substitutions in the general**  
536 **population.**

537 Evolution of R346X (blue) and K444X (purple) substitutions frequencies associated with  
538 Tixagevimab/Cilgavimab treatment in the French general population from August 2021 to  
539 December 2022.



540 **Supplementary figure 1: Results of selected logistic regression models.**

541 Model #1 looks for associations between the emergence of resistance mutations and sex,  
542 age, type of immunodepression, mAb treatment and anti-S IgG levels. Model #2 looks for  
543 associations between the emergence of resistance mutations and sex, age, SARS-CoV-2  
544 variant, immunodepression and anti-S IgG levels. Positions of dots indicate whether the odds  
545 ratios are lower or greater than 1 for each of the variables studied. Whiskers indicate the  
546 95% confidence interval.

547 **Table 1** : Baseline characteristics of patients

	All N=264	Casirivimab Imdevimab N=74	Sotrovimab N=166	Tixagevimab Cilgavimab N=24	p-value
Age (median, Q1-Q3)	62.5 [50-73]	60.5 [48-75]	62 [50-73]	67.5 [62-75]	0.351
≥65 years (%)	121 (46)	31 (42)	74 (45)	16 (67)	
≥85 years (%)	18 (7)	6 (8)	10 (6)	2 (8)	
Male sex (%)	152 (58)	53 (72)	86 (52)	13 (54)	0.015
BMI (median, Q1-Q3)	25 [22-29]	25 [21-29]	25 [23-29]	25 [22-27]	0.376
Immunocompromised patients (%)	207 (78)	58 (78)	131 (79)	18 (75)	0.909
Including :					
Immunosuppressive therapy including rituximab (%)	114 (43)	34 (46)	69 (42)	11 (46)	0.788
Corticosteroids >10 mg/day for > 2 weeks (%)	31 (12)	18 (24)	12 (7)	1 (4)	< 0.001
Solid organ transplantation (%)	95 (36)	21 (28)	63 (38)	11 (46)	0.207
Cancer (%)	21 (8)	0 (0)	18 (11)	3 (13)	0.002
Ongoing chemotherapy for cancer or haematological malignancies (%)	36 (14)	9 (12)	26 (16)	1 (4)	0.338
Allogeneic hematopoietic stem cell transplantation (%)	10 (4)	2 (3)	8 (5)	0 (0)	0.686
Kidney failure with GFR < 30 mL/min or dialysis (%)	7 (3)	7 (9)	0 (0)	0 (0)	< 0.001
Systemic lupus or vasculitis with immunosuppressive medications (%)	7 (3)	1 (1)	5 (3)	1 (4)	0.475
Other immunosuppressive conditions (%)	1 (0)	1 (1)	0 (0)	0 (0)	0.371
Other risk factors for severe COVID-19 (%)	152 (58)	39 (53)	95 (57)	18 (75)	0.156
Including :					
Diabetes (type 1 and type 2, %)	61 (23)	19 (26)	32 (19)	10 (42)	0.043
Obesity (BMI>30, %)	55 (21)	12 (16)	38 (23)	5 (21)	0.501
COPD and chronic respiratory failure (%)	11 (4)	5 (7)	6 (4)	0 (0)	0.383
Chronic kidney disease (%)	42 (16)	14 (19)	22 (13)	6 (25)	0.217
Congestive heart failure (%)	11 (4)	3 (4)	6 (4)	2 (4)	0.488
High blood pressure (%)	47 (18)	12 (16)	30 (18)	5 (21)	0.880
Other chronic disease (%)	29 (11)	0 (0)	26 (16)	3 (13)	< 0.001
Vaccination, >1 dose (%)	224/254 (88)	55/67 (82)	152/164 (93)	17/23 (74)	0.006
Vaccination, ≥3 doses (%)	186/254 (73)	35/67 (52)	136/164 (83)	16/23 (70)	< 0.001
IgG anti-Spike > 260 BAU/ml at baseline (%)	83/257 (32)	9/71 (13)	59/163 (36)	15/23 (65)	< 0.001
IgG anti-Spike (BAU/ml) at baseline - median [Q1-Q3]	39 [0 - 403]	7 [0 - 96]	48 [0 - 809]	359 [56 - 638]	0.002
Pre-exposure prophylaxis (%)	12 (5)	0 (0)	8 (5)	4 (17)	0.004
Mild / Moderate COVID-19 (%)	228 (92) / 21 (8)	51 (82) / 11 (18)	153 (94) / 10 (6)	24 (100) / 0 (0)	0.006
Delta variant (%)	61 (23)	61 (82)	0 (0)	0 (0)	
BA.1 (%)	115 (44)	13 (18)	102 (61)	0 (0)	
BA.2 (%)	67 (25)	0 (0)	64 (39)	3 (12.5)	
BA.5 (%)	18 (7)	0 (0)	0 (0)	18 (75)	
BQ.1 (%)	3 (1)	0 (0)	0 (0)	3 (12.5)	
Viral RNA load [log <sub>10</sub> cp/ml] at baseline – median [Q1-Q3]	8.28 [7.31 - 8.89]	8.23 [7.30 - 8.85]	8.25 [7.31 - 8.97]	8.46 [7.33 - 8.79]	0.974
Viral RNA load [log <sub>10</sub> cp/ml] at day 7 – median [Q1-Q3]	6.46 [5.63 - 7.31]	5.58 [4.96 - 6.41]	6.81 [6.07 - 7.64]	6.52 [5.81 - 7.66]	< 0.001
Viral RNA load [log <sub>10</sub> cp/ml] at day 14 – median [Q1-Q3]	4.72 [3.50 - 6.53]	3.56 [2.96 - 4.11]	5.50 [3.88 - 6.85]	5.53 [4.45 - 6.13]	< 0.001
Covid-19-related hospitalisation at day 28 (%)	11 (4)	7 (9)	4 (2)	0 (0)	0.040

