



HAL
open science

Understanding Fractality: A Polyhedral Approach to the Koch Curve and its Complex Dimensions

Claire David, Michel L Lapidus

► **To cite this version:**

Claire David, Michel L Lapidus. Understanding Fractality: A Polyhedral Approach to the Koch Curve and its Complex Dimensions. 2024. hal-04348346v3

HAL Id: hal-04348346

<https://hal.sorbonne-universite.fr/hal-04348346v3>

Preprint submitted on 29 Jul 2024

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Understanding Fractality: A Polyhedral Approach to the Koch Curve and its Complex Dimensions

Claire David ¹ and Michel L. Lapidus ² *

July 29, 2024

¹ Sorbonne Université
CNRS, UMR 7598, Laboratoire Jacques-Louis Lions, 4, place Jussieu 75005, Paris, France

² University of California, Riverside, Department of Mathematics,
Skye Hall, 900 University Ave., Riverside, CA 92521-0135, USA

Abstract

We extend our results about the Weierstrass Curve to the Koch Curve and provide exact expressions of the volume of *polyhedral neighborhoods* for the sequence of prefractal graphs which converge to the Koch Curve. We also introduce the associated *local* and *global polyhedral fractal zeta functions*. The actual poles of the global polyhedral fractal zeta function, which are all simple, yield the set of exact Complex Dimensions of the Koch Curve, a result which had never been obtained before.

MSC Classification: 11M41, 28A12, 28A75, 28A80.

Keywords: Koch Curve, prefractal approximations, iterated fractal drum (IFD), Complex Dimensions of an IFD, box-counting (or Minkowski) dimension, fractal tube formula, effective local and global tube zeta function, effective local and global distance zeta function.

*The research of M. L. L. was supported by the Burton Jones Endowed Chair in Pure Mathematics, as well as by grants from the U. S. National Science Foundation.

Contents

1	Introduction	2
2	Geometric Framework	5
3	Polyhedral Neighborhoods – Cohomology Infinitesimal	9
4	Concluding Comments	23

1 Introduction

“ Une courbe sans tangente où l’apparence géométrique fut *en accord* avec le fait dont il s’agit.”

“ A curve without any tangent where the geometric appearance would be *in agreement* with the fact in question.”

Helge Von Koch [vK04]

The pathological, everywhere continuous, while nowhere differentiable function introduced by Karl Weierstrass [KH16], [Wei75], has struck the mind and the imagination of many mathematicians. And even the numerous examples and variants that later emerged – from the work of very famous mathematicians such as Jean Gaston Darboux [Dar75], [Dar79] and Ulisse Dini [Din77], [Din78], to name just a few – visibly did not seem satisfactory from the geometer’s point of view of Nils Fabian Helge von Koch. This might explain the introduction, in 1904, of the so-called Koch curve [vK04].

The initial idea seems very simple: take the unit line segment, divide it into three equal parts; then, replace the middle segment by the other two sides of an equilateral triangle. You then have a total of four line segments, to which you apply the same process: divide each segment into three equal parts, and replace the middle segment by the other two sides of an equilateral triangle; at each step, the sidelength of each of the line segments is divided by 3. And you iterate endlessly and infinitely So that, in the end, you obtain a nowhere differentiable curve! Unlike in the case of the Weierstrass Curve, or of the Darboux Curve, or else of the Dini Curve, the construction process is purely geometric. You do not have to rely on any complicated analytic expression – “ une expression analytique qui cache la nature géométrique de la courbe correspondante, de sorte qu’on ne voit pas, en se plaçant à ce point de vue, pourquoi la courbe n’a pas de tangente” (“an analytic expression which hides the geometric nature of the corresponding curve, in such a way that one does not see, from this point of view, why the curve does not have any tangent”), as was explained by Helge von Koch in [vK04], at a time when many mathematicians *écrivait en français* – wrote in French.

Since the tangent to a geometric curve is given by the limiting position of the *secant*, one easily understands why this curve does not – and cannot, have any tangent.

Things could have remained at that stage without Benoît Mandelbrot. In [Man77], [Man83], B. Mandelbrot highlighted the fractal nature of the Koch Curve. He also described the resulting variants which can be obtained by slightly changing the geometric process in the construction of the curve: for instance, the rectangular Koch curve is obtained by dividing the initial line segment into four equal parts – instead of three; then, the two middle segments are replaced by the three other sides of a square, in the construction of the curve, so that the resulting squares are opposite to each

other on either side of the initial line. And so on

Certainly thanks to Mandelbrot, the fractal Koch Curve became increasingly popular among scientists, while also gaining interest in the mathematical community. Analysis was back: how could one define function spaces in the case of this everywhere singular boundary? Those questions were particularly studied by Alf Jonsson and Hans Wallin in [JW84], [Wal91], for instance, who obtained trace theorems which are still very useful (see, for example, our work on the Weierstrass Curve [DL24b]).

Nearly at the same time, physicists came across anomalous diffusion, and unusual vibration modes in disordered media; see the works of Rammal Rammal and Gérard Toulouse in [RT83], Samuel H. Liu in [Liu86], or of Shlomo Havlin and Daniel Ben-Avraham [HBA87], [bA91]. As is explained in, [bA91], “the Koch curve can serve as a model for a linear polymer chain.” It goes without saying that, as a result, the Koch Curve gained in popularity; more and more people began to work on the subject, a phenomenon which went hand in hand with an exponential increase in the number of published research articles.

In this ocean of papers, our interest focuses on the very specific vibrational properties of the Koch Curve. As is pointed out in [LP95], Koch-like, fractal shaped coastlines, exhibit very powerful damping properties – as if fractal-shaped cells captured sound waves. This phenomena was experimentally verified by Bernard Sapoval and his collaborators; see, for instance, [Sap89], [SGM91], [SG93], [HS98]. It was later rigorously established in [LP95]. Further results along these lines have since been obtained by Nizar Riane in [Ria22].

From a theoretical point of view, our intuition is that those properties are directly connected to the Complex Dimensions of the Koch Curve. Recall that the theory of Complex Dimensions, developed for many years now by Michel L. Lapidus and his collaborators in [Lap91], [Lap93], [LP93], [LM95], [LP06], [Lap08], [LvF13], [LRŽ17a], [LRŽ17b], [Lap19], [HL21], [Lap24], makes the connection between the geometry of an object and its differentiability properties, by means of *geometric (or fractal) zeta functions*, which stand for the trace of a differential operator at a complex order s . The poles of those fractal zeta functions are called *the Fractal Complex Dimensions*. The existence of *nonreal Complex Dimensions* is a characteristic of fractality and gives rise (via explicit formulas) to *the oscillations* that are intrinsic to fractal geometries. However, in the case of the Koch Curve, the determination of the associated Complex Dimensions still remains an open problem. In [LP06], preliminary results give the approximate expression for the tubular volume, but one cannot deduce the values of the exact Complex Dimensions.

In [DL23b] (announced in [DL23a]), and building upon [DL22], [DL24c], [DL24b], we introduced new fractal zeta functions, associated with *polyhedral neighborhoods*, better suited to fractals when exact expressions of tubular neighborhoods cannot be computed (see also [DL24a]). In the model – and significant – case of the Weierstrass Curve $\Gamma_{\mathcal{W}}$, we therefore gave exact expressions of the volume of *polyhedral neighborhoods* for the sequence of prefractal graphs which converge to $\Gamma_{\mathcal{W}}$ – the so-called *Weierstrass Iterated Fractal Drums* (in short, Weierstrass IFDs). Those IFDs are associated with a suitable (and geometrically meaningful) sequence of small parameters tending to zero, also known as *the cohomology infinitesimal*, due to their connections with fractal cohomology [DL24c]. It happens that the associated *local* fractal (or polyhedral) zeta functions, which consist in the sequence of zeta functions associated with the sequence of polyhedral neighborhoods, satisfy a recurrence relation, which enables us to obtain the exact values of the poles of the limit fractal zeta function – called the *global* (polyhedral) zeta function, associated with the limit fractal object – and hence, to determine the exact (intrinsic) Complex Dimensions of the Weierstrass Curve.

We hereafter extend those results to the Koch Curve, thereby pursuing, in a completely different and new way, the seminal work of E. P. J. Pearse and the second author in [LP06] (well before

the development in [LRŽ17b] of the higher-dimensional theory of Complex Dimensions), where they obtained a corresponding (approximate) fractal tube formula for the Koch Curve. (We note that by using the tube zeta functions introduced in [LRŽ17b] and [LRŽ17a], one can nowadays deduce from the results of [LP06] the *possible* Complex Dimensions of the Koch Curve, in the sense of [LRŽ17b], and as given in Remark 3.3, on page 21 below.) For this purpose, given a sufficiently small positive parameter $\varepsilon \rightarrow 0^+$, they determined an approximation of the inner ε -neighborhood of the Koch Curve, consisting in rectangles, wedges and triangles. Due to the specific geometry of the Koch Curve, which involves what can be considered as a *fringe* – a region slightly larger than the exact ε -neighborhood had to be considered. Hence, it was not possible to obtain the exact value of the associated two-dimensional area. However, the authors gave very precise estimates for the corresponding error. Note that when this work was carried out, the use of tubular neighborhoods was a compulsory and unavoidable step when it came to computing fractal zeta functions (see [LvF13], [LRŽ17b], [LRŽ18]). They were thus the very first to provide values for *the possible* Complex Dimensions of the Koch Curve; see the above comment. As for the values of *the actual* Complex Dimensions of the Koch Curve, it has remained, up to now, an open problem to determine them precisely. As a matter of fact, we are now able to shed a new light on the values obtained by E. P. J. Pearse and the second author in [LP06] for the possible Complex Dimensions associated with the Minkowski dimension $D_{\mathfrak{RC}}$ of the Koch Curve \mathfrak{RC} . Our results go even further, since we obtain the full set of (actual) Complex Dimensions of the Koch Curve, as well as of its prefractal approximations.

In order to establish these results, we rely on the same method as the one we used in the case of the Weierstrass Curve [DL23b], [DL23a] – where things were at the same time more difficult – due to the very complicated geometry, and the nonlinear IFS involved – and easier, since we had to our disposal the Weierstrass function, which possesses an exact expansion expressed in terms of the cohomology infinitesimal and of the Complex Dimensions. More precisely, once we had determined the sequence of polyhedral neighborhoods, it was then possible to obtain the expression of the Lebesgue measure (i.e., the area) of each polyhedral neighborhood. Nothing like that can be done for the present case of the Koch Curve, since the only available analytic expression – the one given by Hans Sagan in [Sag94], does not (explicitly at least) involve any *Complex Dimensions*-like complex numbers.

More specifically, we prove in Theorem 3.5, on page 20, that the set of intrinsic Complex Dimensions is

$$\{D_{\mathfrak{RC}} - m(2 - D_{\mathfrak{RC}}) - im\mathbf{p}, D_{\mathfrak{RC}} - m(2 - D_{\mathfrak{RC}}) + im\mathbf{p}, \text{ with } m \in \mathbb{N}\}, \quad (\mathcal{R}1)$$

where $\mathbb{N} = \{0, 1, 2, \dots\}$, $D_{\mathfrak{RC}} = \frac{\ln 4}{\ln 3}$ is the Minkowski dimension of the Koch Curve \mathfrak{RC} (which also coincides with the Hausdorff dimension of \mathfrak{RC}), while $\mathbf{p} = \frac{\pi}{3 \ln 3}$ is the oscillatory period of \mathfrak{RC} . Each Complex Dimension in relation ($\mathcal{R}1$) is simple and exact; i.e., it is a simple and actual pole of the global polyhedral effective zeta function of the Koch iterated fractal drum (Koch IFD, in short).

For this reason, we had to think of something different here. The solution is, at each step of the prefractal approximation, to consider the triangular patterns which constitute the sequence of polyhedral neighborhoods, as the images of the initial triangular pattern. This enables us to involve the Complex Codimensions, in the expression of the associated sequence of two-dimensional areas. As was already the case in our previous work regarding the Weierstrass Curve, as shown in [DL23b], [DL23a], by considering the fractal zeta functions associated with the sequence of polygonal neighborhoods, called *the local polyhedral zeta functions*, we establish the recurrence relation between consecutive fractal zeta functions. This enables us to prove the existence of the limit fractal zeta function – *the global polyhedral zeta function*, associated with the limit fractal object, as well as to deduce from it the *exact* Complex Dimensions of the Koch Curve and establish an associated fractal power series expansion.

Our main results in the present setting can be found in the following places:

- i. In Definition 3.1, on page 9, where we introduce the sequence of polyhedral neighborhoods.
- ii. In Theorem 3.1, on page 11, where we give, for every $m \in \mathbb{N}$, the exact expression for the volume of the m^{th} polyhedral neighborhood.
- iii. In Theorem 3.3, on page 15, where we introduce *the local and global effective polyhedral zeta function* and show that the global zeta function is well defined, meromorphic in all of \mathbb{C} , and is given by an explicit fractal power series, expressed in terms of the underlying intrinsic scale (or cohomology infinitesimal).
- iii. In Theorem 3.5, on page 20, where we give the values of the actual Complex Dimensions of the Koch Curve $\mathfrak{K}\mathfrak{C}$ (or of the Koch IFD). See also Theorem 3.6, on page 21, providing the exact Complex Dimensions of the prefractal approximations $\mathfrak{K}\mathfrak{C}_m$ of $\mathfrak{K}\mathfrak{C}$, for all $m \geq m_0$, with m_0 large enough, as well as Corollary 3.7, on page 21, regarding the fractality of $\mathfrak{K}\mathfrak{C}$ and $\mathfrak{K}\mathfrak{C}_m$, again for all $m \geq m_0$.

2 Geometric Framework

Notation 1 (Minkowski Dimension of the Koch Curve).

Hereafter, we denote by $D_{\mathfrak{K}\mathfrak{C}} = \frac{\ln 4}{\ln 3} = \ln_3 4 \in]1, 2[$ the Minkowski dimension of the Koch Curve $\mathfrak{K}\mathfrak{C}$ (which also coincides with the Hausdorff dimension of $\mathfrak{K}\mathfrak{C}$).

Remark 2.1 (Best Hölder Exponent for the Koch Curve).

The codimension $2 - D_{\mathfrak{K}\mathfrak{C}} = 2 - \frac{\ln 4}{\ln 3} \in]0, 1[$ is also the optimal Hölder exponent of the Koch Curve $\mathfrak{K}\mathfrak{C}$ (viewed as a continuous parametrized curve).

Notation 2 (Rotation Matrix).

For $\theta \in \mathbb{R}$, we denote by $\mathcal{R}_{O,\theta}$ the following rotation matrix,

$$\mathcal{R}_{O,\theta} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}.$$

Property 2.1 (The Koch IFS; see, e.g., [HOP92]).

Following our previous work on the Weierstrass Curve [Dav18], we will approximate the Koch Curve $\mathfrak{K}\mathfrak{C}$ by a sequence of graphs, built via an iterative process. For this purpose, we use the linear

iterated function system (IFS) of the family of C^∞ maps – here, contracting similitudes – from \mathbb{R}^2 to \mathbb{R}^2 denoted by

$$\mathcal{T}^{\mathfrak{K}\mathfrak{C}} = \{T_0^{\mathfrak{K}\mathfrak{C}}, \dots, T_3^{\mathfrak{K}\mathfrak{C}}\},$$

where, for any point (x, y) of \mathbb{R}^2 ,

$$T_0^{\mathfrak{K}\mathfrak{C}}(x, y) = \frac{1}{3} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} -\frac{1}{\sqrt{3}} \\ \frac{1}{3} \end{pmatrix} \quad ; \quad T_1^{\mathfrak{K}\mathfrak{C}}(x, y) = \frac{1}{3} \mathcal{R}_{O, \frac{\pi}{3}} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} 0 \\ \frac{2}{3} \end{pmatrix} \quad ; \quad T_2^{\mathfrak{K}\mathfrak{C}}(x, y) = \frac{1}{3} \mathcal{R}_{O, -\frac{\pi}{3}} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} 0 \\ \frac{2}{3} \end{pmatrix} ;$$

$$T_3^{\mathfrak{K}\mathfrak{C}}(x, y) = \frac{1}{3} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} \frac{1}{\sqrt{3}} \\ \frac{1}{3} \end{pmatrix}$$

and where, for $\theta \in \mathbb{R}$, the rotation matrix $\mathcal{R}_{O, \theta}$ has been introduced in Notation 2, on page 5.

Note that the contracting similitudes $\{T_0^{\mathfrak{K}\mathfrak{C}}, \dots, T_3^{\mathfrak{K}\mathfrak{C}}\}$ can also be viewed as (complex) maps from \mathbb{C} to \mathbb{C} ; namely, for all $s \in \mathbb{C}$, we have that

$$T_0^{\mathfrak{K}\mathfrak{C}}(s) = \frac{1}{3} e^{i\theta_0} s + s_0^{\mathfrak{K}\mathfrak{C}} \quad ; \quad T_1^{\mathfrak{K}\mathfrak{C}}(s) = \frac{1}{3} e^{i\theta_1} s + s_1^{\mathfrak{K}\mathfrak{C}} = \varepsilon_{\mathfrak{K}\mathfrak{C}}^{\frac{i\pi}{2}} s + s_1^{\mathfrak{K}\mathfrak{C}} ;$$

$$T_2^{\mathfrak{K}\mathfrak{C}}(s) = \frac{1}{3} e^{i\theta_2} s + s_2^{\mathfrak{K}\mathfrak{C}} ;$$

$$T_3^{\mathfrak{K}\mathfrak{C}}(s) = \frac{1}{3} e^{i\theta_3} s + s_3^{\mathfrak{K}\mathfrak{C}} = \varepsilon_{\mathfrak{K}\mathfrak{C}}^{-\frac{i\pi}{2}} s + s_3^{\mathfrak{K}\mathfrak{C}} ,$$

where

$$\theta_0 = 0 \quad ; \quad \theta_1 = -\frac{\pi}{3} ; \quad \theta_2 = \frac{\pi}{3} \quad ; \quad \theta_3 = 0 ; \tag{\mathcal{R}2}$$

along with

$$s_0^{\mathfrak{K}\mathfrak{C}} = -\frac{1}{\sqrt{3}} + \frac{i}{3} \quad ; \quad s_1^{\mathfrak{K}\mathfrak{C}} = s_2^{\mathfrak{K}\mathfrak{C}} = \frac{2}{3} \quad ; \quad s_3^{\mathfrak{K}\mathfrak{C}} = \frac{1}{\sqrt{3}} + \frac{i}{3} . \tag{\mathcal{R}3}$$

The respective fixed points (in \mathbb{C}) of the similarities $\{T_0^{\mathfrak{K}\mathfrak{C}}, \dots, T_3^{\mathfrak{K}\mathfrak{C}}\}$ are denoted by $\{P_0^{\mathfrak{K}\mathfrak{C}}, \dots, P_3^{\mathfrak{K}\mathfrak{C}}\}$. Note that

$$P_0^{\mathfrak{K}\mathfrak{C}} = -\frac{\sqrt{3}}{2} + \frac{i}{2} \quad ; \quad P_1^{\mathfrak{K}\mathfrak{C}} = -\frac{\sqrt{3}}{7} + \frac{5i}{7} \quad ; \quad P_2^{\mathfrak{K}\mathfrak{C}} = \frac{\sqrt{3}}{7} + \frac{5i}{7} \quad ; \quad P_3^{\mathfrak{K}\mathfrak{C}} = \frac{\sqrt{3}}{2} + \frac{i}{2} .$$

Following standard terminology, $\mathcal{T}^{\mathfrak{K}\mathfrak{C}} = \{T_0^{\mathfrak{K}\mathfrak{C}}, \dots, T_3^{\mathfrak{K}\mathfrak{C}}\}$ is called the iterated function system (IFS, in short) determining the Koch Curve; see Property 2.2, on page 6.

Property 2.2 (Attractor of the Koch IFS).

The Koch Curve $\mathfrak{K}\mathfrak{C}$ is the attractor of the IFS $\mathcal{T}^{\mathfrak{K}\mathfrak{C}}$: $\mathfrak{K}\mathfrak{C} = \bigcup_{i=0}^3 T_i^{\mathfrak{K}\mathfrak{C}}(\mathfrak{K}\mathfrak{C})$.

Definition 2.1 (Sets of Vertices, Prefractals).

We define the *initial points*, respectively denoted by I and J , of respective coordinates

$$I = (0, 0) \quad , \quad J = (1, 0) .$$

We set

$$V_0 = \{I, J\} \quad \text{and} \quad \mathfrak{KC}_0 = [IJ] .$$

The line segment $[IJ]$ is called *the initial segment*, while V_0 is called *the set of vertices* of the initial prefractal graph \mathfrak{KC}_0 .

For any positive integer m (i.e., for all $m \in \mathbb{N}^*$), we set $V_m = \bigcup_{i=0}^3 T_i^{\mathfrak{KC}}(V_{m-1})$.

The set of points V_m , where two consecutive points are linked, is an oriented finite graph, denoted by \mathfrak{KC}_m and called *the m^{th} order Koch prefractal* (also called *the m^{th} prefractal approximation* of \mathfrak{KC}). Then, V_m is called *the set of vertices* of the prefractal \mathfrak{KC}_m ; see Figure 1, on page 7. By analogy with our work in [DL22], [DL23b], [DL23a], we call *Koch Iterated Fractal Drums (IFD)* the sequence of prefractal graphs which converge to the Koch Curve.

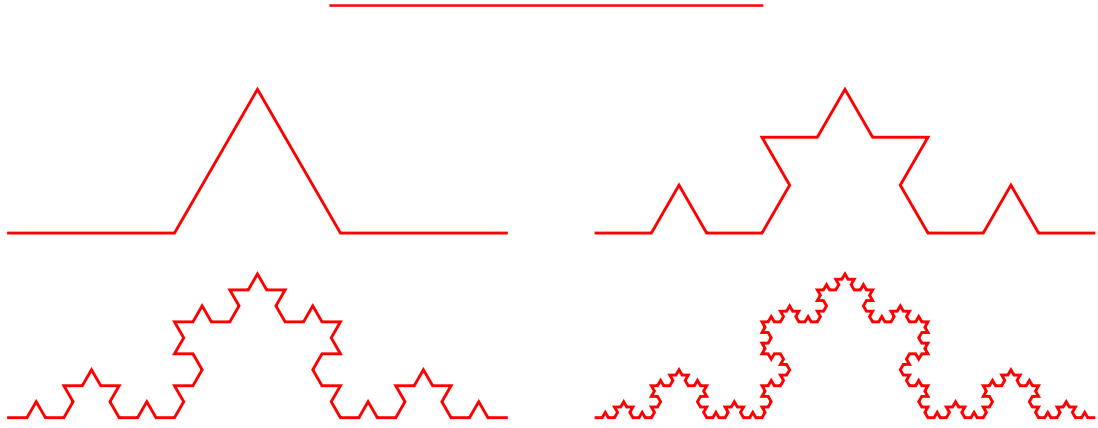


Figure 1: The prefractal graphs $\mathfrak{KC}_0, \mathfrak{KC}_1, \mathfrak{KC}_2, \mathfrak{KC}_3, \mathfrak{KC}_4$. For example, \mathfrak{KC}_1 is on the left side of the second row, while \mathfrak{KC}_4 is on the right side of the bottom row.

Definition 2.2 (Adjacent Vertices, Edge Relation).

For any $m \in \mathbb{N}$, two vertices X and Y belonging to V_m will be said to be *adjacent* (i.e., *neighboring* or *junction points*) if and only if the edge XY belongs to \mathfrak{KC}_m ; we then write $X \underset{m}{\sim} Y$. Note that this edge relation depends on m , which means that points adjacent in V_m might not remain adjacent in V_{m+1} .

Property 2.3. For any $m \in \mathbb{N}$, the following statements hold:

- i. $V_m \subset V_{m+1}$.

ii. When $m \geq 1$, $\#V_m = 4^m + 1$, where $\#V_m$ denotes the number of elements in the finite set V_m .

iii. The prefractal graph \mathfrak{RC}_m has exactly 4^m edges.

iv. The consecutive vertices of $V_{m+1} \setminus V_m$ are the vertices of 4^m equilateral triangles $\mathcal{P}_{j,m}^{\mathfrak{RC}}$, with $1 \leq j \leq 4^m$; see Figure 3, on page 8. As for $\mathcal{P}_0^{\mathfrak{RC}} = \mathfrak{RC}_0$, it can be considered as a flat (equilateral) triangle. The triangle $\mathcal{P}_{1,0}^{\mathfrak{RC}}$ is called the *initial triangle*; see Figure 2, on page 8.

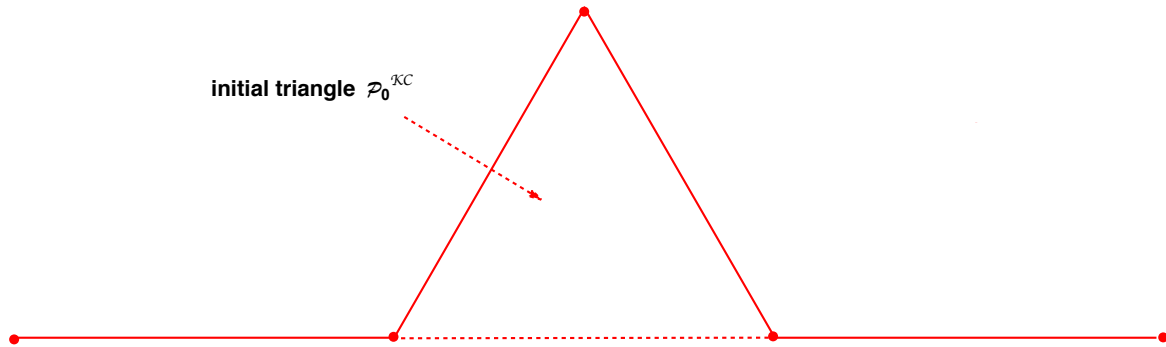


Figure 2: The initial triangle $\mathcal{P}_{1,0}^{\mathfrak{RC}}$.

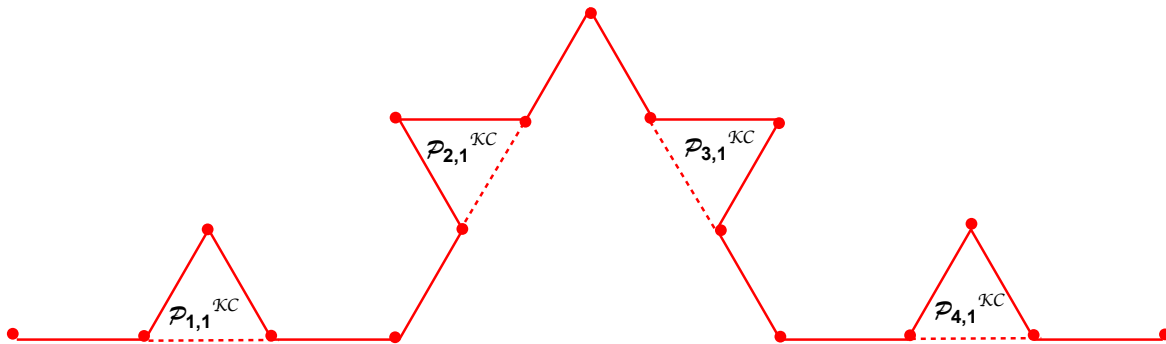


Figure 3: The triangles $\mathcal{P}_{1,1}^{\mathfrak{RC}}$, $\mathcal{P}_{2,1}^{\mathfrak{RC}}$, $\mathcal{P}_{3,1}^{\mathfrak{RC}}$ and $\mathcal{P}_{4,1}^{\mathfrak{RC}}$.

Proof.

i. By construction, the sequence of sets of vertices $(V_m)_{m \in \mathbb{N}}$ is an increasing sequence.

ii. The initial line segment $V_0 = [IJ]$ consists of an edge, and two points. By applying the maps $\{T_0^{\mathfrak{K}\mathcal{C}}, \dots, T_3^{\mathfrak{K}\mathcal{C}}\}$ of the IFS given in Property 2.1, on page 5, we then obtain $2 + (2 - 1) \times 3 = 5$ points in V_1 . By induction, we have that, for any integer $m \geq 2$,

$$\#V_m = (\#V_{m-1} - 1) \times 3 + \#V_{m-1} = 4 (\#V_{m-1}) - 3.$$

The sequence $(\#V_m)_{m \in \mathbb{N}^*}$ is an arithmetico-geometric sequence. We then have that, for any integer $m \geq 1$,

$$\#V_m = 4^m (\#V_0 - 1) + 1 = 4^m + 1.$$

iii. We immediately deduce from *ii.* just above that the prefractal graph $\mathfrak{K}\mathcal{C}_m$ has exactly 4^m edges.

iv. By construction, each edge of V_m gives birth to an equilateral triangle, whose vertices belong to $V_{m+1} \setminus V_m$. This immediately ensures that the consecutive vertices of $V_{m+1} \setminus V_m$ are the vertices of 4^m equilateral triangles $\mathcal{P}_{j,m+1}^{\mathfrak{K}\mathcal{C}}$, with $1 \leq j \leq 4^m$. □

3 Polyhedral Neighborhoods – Cohomology Infinitesimal

We first introduce the notion of polyhedral neighborhoods of the Koch Curve $\mathfrak{K}\mathcal{C}$, which will play a key role in the remainder of this paper – much as is the case for the polyhedral neighborhoods of the Weierstrass Curve in our earlier works [DL24b], [DL23b] [DL23a].

Definition 3.1 (Sequence of Polyhedral Neighborhoods of the Koch Curve).

We introduce *the sequence of polygonal neighborhoods of the Koch Curve*, as the sequence $(\mathcal{D}(\mathfrak{K}\mathcal{C}_m))_{m \in \mathbb{N}}$ of open, connected polygonal sets such that $\mathcal{D}(\mathfrak{K}\mathcal{C}_0) = \mathcal{P}_0^{\mathfrak{K}\mathcal{C}}$ and for each $m \in \mathbb{N}^*$,

$$\mathcal{D}(\mathfrak{K}\mathcal{C}_m) = \bigcup_{j=1}^{4^m} \mathcal{P}_{j,m}^{\mathfrak{K}\mathcal{C}} = \bigcup_{k_{0,m}+k_{1,m}+k_{2,m}+k_{3,m} \leq m} (T_0^{\mathfrak{K}\mathcal{C}})^{k_{0,m}} (T_1^{\mathfrak{K}\mathcal{C}})^{k_{1,m}} (T_2^{\mathfrak{K}\mathcal{C}})^{k_{2,m}} (T_3^{\mathfrak{K}\mathcal{C}})^{k_{3,m}} (\mathcal{P}_0^{\mathfrak{K}\mathcal{C}}), \quad (\mathcal{R}4)$$

where $\mathcal{P}_0^{\mathfrak{K}\mathcal{C}}$ is the initial prefractal graph introduced in part *iv.* of Property 2.3, on page 7 just above, $\{\mathcal{P}_{j,m}^{\mathfrak{K}\mathcal{C}}, 1 \leq j \leq 4^m\}$ is the family of 4^m equilateral triangles also introduced in part *iv.* of Property 2.3, on page 7, and where

$$\{T_0^{\mathfrak{K}\mathcal{C}}, \dots, T_3^{\mathfrak{K}\mathcal{C}}\} = \mathcal{T}^{\mathfrak{K}\mathcal{C}}$$

is the Koch IFS introduced in Property 2.1, on page 5. Furthermore, here (in relation $(\mathcal{R}4)$) and thereafter, $k_{0,m}, \dots, k_{3,m}$ are arbitrary nonnegative integers satisfying the indicated inequality.

Given $m \in \mathbb{N}$, we call $\mathcal{D}(\mathfrak{K}\mathcal{C}_m)$ the m^{th} *polyhedral neighborhood* (of the Koch Curve $\mathfrak{K}\mathcal{C}$).

Note that, for each integer $m \in \mathbb{N}^*$, $\mathcal{D}(\mathfrak{K}\mathcal{C}_m)$ is then comprised of the union of 4^m open equilateral triangles, of sidelength equal to $\frac{1}{3^{m+1}}$; accordingly, to each edge of the prefractal graph $\mathfrak{K}\mathcal{C}_m$ corresponds an open triangle $\mathcal{P}_{j,m}^{\mathfrak{K}\mathcal{C}}$. Indeed, the vertices of those triangles belong to $V_{m+1} \setminus V_m$, it thus makes sense to consider them as the polyhedral neighborhood of $\mathfrak{K}\mathcal{C}_m$. Also, it is because the vertices of those triangles belong to $V_{m+1} \setminus V_m$ that the sidelength of all equilateral triangles is equal to $\frac{1}{3^{m+1}}$.

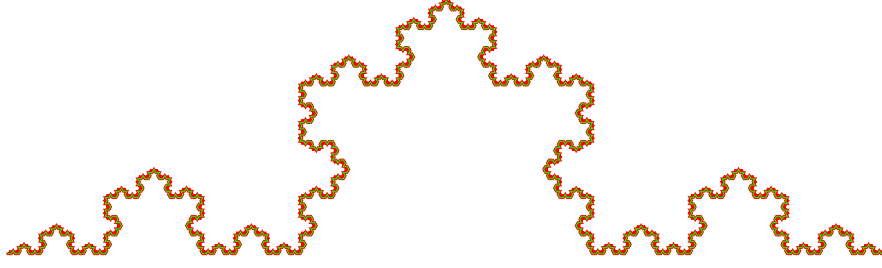


Figure 4: **The polygonal neighborhood $\mathcal{D}(\mathfrak{RC}_5)$ (in red) of the prefractal approximation \mathfrak{RC}_5 (in green).**

In our previous work – i.e., in the case of the Weierstrass Curve – things were at the same time more difficult – due to the very complicated geometry, and the nonlinear IFS involved – and easier, since we had to our disposal the Weierstrass function, which possesses an exact expansion in function of the cohomology infinitesimal and of the Complex Dimensions; see [DL23b] (announced in [DL23a]). More precisely, once we had determined the sequence of polyhedral neighborhoods, it was thus possible to obtain the expression of the Lebesgue measure (i.e., the area) of each polyhedral neighborhood. Nothing like that can be done with the Koch Curve, since the only available analytic expression – the one given by Hans Sagan in [Sag94], does not (explicitly at least) involve any *Complex Dimensions*–like complex numbers.

For this reason, we had to think of something different here. The solution is, at each step $m \in \mathbb{N}^*$ of the prefractal approximation, to consider the triangular patterns $\mathcal{P}_{j,m}^{\mathfrak{RC}}$, for $1 \leq j \leq 4^m$, which constitute the polyhedral neighborhood, as the images of the initial triangular pattern $\mathcal{P}_0^{\mathfrak{RC}}$, under the IFS given in Proposition 2.1, on page 5.

Definition 3.2 (m^{th} Intrinsic Koch Cohomology Infinitesimal).

We introduce *the intrinsic scale* as

$$\varepsilon_{\mathfrak{RC}} = \frac{1}{3}.$$

From now on, given any $m \in \mathbb{N}$, we call m^{th} *intrinsic Koch cohomology infinitesimal* the number $\varepsilon_{\mathfrak{RC}}^m = (\varepsilon_{\mathfrak{RC}})^m = \frac{1}{3^m}$. Obviously, $\varepsilon_{\mathfrak{RC}}^m$ satisfies the following asymptotic behavior,

$$\varepsilon_{\mathfrak{RC}}^m \rightarrow 0, \text{ as } m \rightarrow \infty .$$

Remark 3.1. Note that our definition is in perfect agreement with the one we gave in the case of the Weierstrass Curve $\Gamma_{\mathcal{W}}$, where the intrinsic scale was equal to $\frac{1}{N_b}$, with $N_b \geq 3$ denoting the number of divisions which occurred in the corresponding iterative process giving birth, also by means of the sequence of prefractals, to $\Gamma_{\mathcal{W}}$.

Theorem 3.1 (Exact Expression for the Volume of the m^{th} Polyhedral Neighborhood (or m^{th} Natural Polyhedral Volume)).

Much as in [LP06], we introduce the oscillatory period of the Koch Curve as

$$\mathbf{p} = \frac{\pi}{3 \ln 3}, \quad (\mathcal{R}5)$$

which, however, differs from the one $\left(\tilde{\mathbf{p}} = \frac{2\pi}{\ln 3}\right)$ in [LP06].

Then, for any $m \in \mathbb{N}$, the two-dimensional Lebesgue measure (or area) of the m^{th} polyhedral neighborhood $\mathcal{D}(\mathfrak{R}\mathfrak{C}_m)$ is given by

$$\begin{aligned} \mathcal{V}_m(\varepsilon_{\mathfrak{R}\mathfrak{C}}) &= \frac{\sqrt{3}}{8} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+1)} \sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j \leq \ell_{j,m} \leq j} \left(\varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i\ell_{j,m}\mathbf{p}} + \varepsilon_{\mathfrak{R}\mathfrak{C}}^{i\ell_{j,m}\mathbf{p}} \right) \right) \\ &= \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+1)} \mathcal{R}e \left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j \leq \ell_{j,m} \leq j} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i\ell_{j,m}\mathbf{p}} \right) \right), \end{aligned} \quad (\mathcal{R}6)$$

where $\varepsilon_{\mathfrak{R}\mathfrak{C}}$ is the intrinsic scale introduced in Definition 3.2, on page 10.

Given the form of the expression in relation (R6) just above, it is natural to introduce the associated m^{th} complex natural polyhedral volume $\mathcal{V}_{m,comp}(\varepsilon_{\mathfrak{R}\mathfrak{C}})$, such that

$$\mathcal{V}_{m,comp}(\varepsilon_{\mathfrak{R}\mathfrak{C}}) = \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+1)} \sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j \leq \ell_{j,m} \leq j} \left(\varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i\ell_{j,m}\mathbf{p}} + \varepsilon_{\mathfrak{R}\mathfrak{C}}^{i\ell_{j,m}\mathbf{p}} \right) \right). \quad (\mathcal{R}7)$$

For any integer $m \in \mathbb{N}$, we have the following recurrence relation:

$$\mathcal{V}_{m+1,comp}(\varepsilon_{\mathfrak{R}\mathfrak{C}}) = \frac{1}{9} \mathcal{V}_{m,comp}(\varepsilon_{\mathfrak{R}\mathfrak{C}}) + \frac{3\sqrt{3}}{64} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2-D_{\mathfrak{R}\mathfrak{C}}} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})} \left(\varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i(m+1)\mathbf{p}} + \varepsilon_{\mathfrak{R}\mathfrak{C}}^{i(m+1)\mathbf{p}} \right). \quad (\mathcal{R}8)$$

Remark 3.2. Note that the complex exponents corresponding to the right-hand side of relation (R7) of Theorem 3.1, on page 11, should be respectively interpreted as follows:

$$(m+1)(2-D_{\mathfrak{R}\mathfrak{C}}) - i\ell_{j,m}\mathbf{p} = 2 - (D_{\mathfrak{R}\mathfrak{C}} - m(2-D_{\mathfrak{R}\mathfrak{C}}) + i\ell_{j,m}\mathbf{p})$$

and

$$(m+1)(2-D_{\mathfrak{R}\mathfrak{C}}) + i\ell_{j,m}\mathbf{p} = 2 - (D_{\mathfrak{R}\mathfrak{C}} - m(2-D_{\mathfrak{R}\mathfrak{C}}) - i\ell_{j,m}\mathbf{p})$$

Accordingly, those exponents should be viewed as Complex Codimensions, respectively associated with the Complex Dimensions

$$D_{\mathfrak{R}\mathfrak{C}} - m(2-D_{\mathfrak{R}\mathfrak{C}}) + i\ell_{j,m}\mathbf{p}$$

and

$$D_{\mathfrak{R}\mathfrak{C}} - m(2-D_{\mathfrak{R}\mathfrak{C}}) - i\ell_{j,m}\mathbf{p}.$$

Proof. (of Theorem 3.1, given on page 11)

Recall that the angles $\theta_0, \dots, \theta_3$ and the complex numbers $s_0^{\Re \mathcal{C}}, \dots, s_3^{\Re \mathcal{C}}$ have respectively been introduced in relation (R2), on page 6, and in relation (R3) (see Property 2.1, on page 5).

Furthermore, recall that, for any $m \in \mathbb{N}$,

$$\mathcal{D}(\Re \mathcal{C}_m) = \bigcup_{j=1}^{4^m} \mathcal{P}_{j,m}^{\Re \mathcal{C}} = \bigcup_{k_{0,m}+k_{1,m}+k_{2,m}+k_{3,m} \leq m} (T_0^{\Re \mathcal{C}})^{k_{0,m}} (T_1^{\Re \mathcal{C}})^{k_{1,m}} (T_2^{\Re \mathcal{C}})^{k_{2,m}} (T_3^{\Re \mathcal{C}})^{k_{3,m}} (\mathcal{P}_0^{\Re \mathcal{C}}).$$

Now, since we are only concerned with the computation of the area – and thanks to the translation invariance of the two-dimensional Lebesgue measure – the terms coming from the translations involved in the maps

$$\mathcal{T}^{\Re \mathcal{C}} = \{T_0^{\Re \mathcal{C}}, \dots, T_3^{\Re \mathcal{C}}\},$$

do not play any role; so that we do not have to take them into account.

Thus, we simply have to compute the two-dimensional area of 4^m equilateral triangles, of sidelength equal to $\frac{1}{3^{m+1}}$ and of associated height

$$\sqrt{\frac{1}{9^{m+1}} - \frac{1}{4} \frac{1}{9^{m+1}}} = \frac{\sqrt{3}}{2} \frac{1}{3^{m+1}}.$$

We obtain that

$$\begin{aligned} \mu_{\mathcal{L}} \left(\bigcup_{j=1}^{4^m} \mathcal{P}_{j,m}^{\Re \mathcal{C}} \right) &= \mathcal{R}e \left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+k_{1,j,m}+k_{2,j,m}+k_{3,j,m}=j} \mu_{\mathcal{L}} \left((T_0^{\Re \mathcal{C}})^{k_{0,j,m}} (T_1^{\Re \mathcal{C}})^{k_{1,j,m}} (T_2^{\Re \mathcal{C}})^{k_{2,j,m}} (T_3^{\Re \mathcal{C}})^{k_{3,j,m}} \mathcal{P}_0^{\Re \mathcal{C}} \right) \right) \right) \\ &= \frac{\sqrt{3}}{4} \frac{1}{9^{m+1}} \mathcal{R}e \left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+k_{1,m}+k_{2,j,m}+k_{3,j,m}=j} e^{i(k_{1,j,m} \theta_1 + k_{2,j,m} \theta_2)} \right) \right) \\ &= \frac{\sqrt{3}}{4} \varepsilon_{\Re \mathcal{C}}^{2(m+1)} \mathcal{R}e \left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+k_{1,j,m}+k_{2,j,m}+k_{3,j,m}=j} e^{\frac{i \pi (k_{2,j,m} - k_{1,j,m})}{3}} \right) \right) \\ &= \frac{\sqrt{3}}{4} \varepsilon_{\Re \mathcal{C}}^{2(m+1)} \mathcal{R}e \left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j \leq \ell_{j,m} \leq j} e^{\frac{i \pi \ell_{j,m}}{3}} \right) \right) \\ &= \frac{\sqrt{3}}{4} \varepsilon_{\Re \mathcal{C}}^{2(m+1)} \sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j \leq \ell_{j,m} \leq j} \mathcal{R}e \left(\varepsilon_{\Re \mathcal{C}}^{-i \ell_{j,m} \mathbf{P}} \right) \\ &= \frac{\sqrt{3}}{8} \varepsilon_{\Re \mathcal{C}}^{2(m+1)} \sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j \leq \ell_{j,m} \leq j} \left(\varepsilon_{\Re \mathcal{C}}^{-i \ell_{j,m} \mathbf{P}} + \varepsilon_{\Re \mathcal{C}}^{i \ell_{j,m} \mathbf{P}} \right). \end{aligned} \tag{R9}$$

We also have that

$$\begin{aligned}
\mu_{\mathcal{L}}\left(\bigcup_{j=1}^{4^{m+1}} \mathcal{P}_{j,m}^{\mathfrak{R}\mathfrak{C}}\right) &= \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{j=0}^{m+1} \left(\sum_{k_{0,j,m+1}+k_{1,j,m+1}+k_{2,j,m+1}+k_{3,j,m+1}=j} e^{i(k_{1,j,m+1}\theta_1+k_{2,j,m+1}\theta_2)}\right)\right) \\
&= \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{j=0}^{m+1} \left(\sum_{k_{0,j,m+1}+k_{1,j,m+1}+k_{2,j,m+1}+k_{3,j,m+1}=j} e^{\frac{i(k_{2,j,m+1}-k_{1,j,m+1})\pi}{3}}\right)\right) \\
&= \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{j=0}^m \left(\sum_{k_{0,j,m+1}+k_{1,j,m+1}+k_{2,j,m+1}+k_{3,j,m+1}=j} e^{\frac{i(k_{2,j,m+1}-k_{1,j,m+1})\pi}{3}}\right)\right) \\
&\quad + \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{k_{0,j,m+1}+k_{1,j,m+1}+k_{2,j,m+1}+k_{3,j,m+1}=m+1} e^{\frac{i(k_{2,j,m+1}-k_{1,j,m+1})\pi}{3}}\right) \\
&= \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j\leq\ell_{j,m}\leq j} e^{\frac{i\ell_{j,m}\pi}{3}}\right)\right) \\
&\quad + \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{k_{0,j,m+1}+2k_{1,j,m+1}+\ell_{j,m+1}+k_{3,j,m+1}=j+1, \ell_{j,m+1}\in\{-m-1, m+1\}} e^{\frac{i\ell_{j,m+1}\pi}{3}}\right) \\
&= \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j\leq\ell_{j,m}\leq j} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i\ell_{j,m}\mathbf{P}}\right)\right) \\
&\quad + \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(4^{m+1} - 4^m\right) \left(\varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i(m+1)\mathbf{P}} + \varepsilon_{\mathfrak{R}\mathfrak{C}}^{i(m+1)\mathbf{P}}\right) \\
&= \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j\leq\ell_{j,m}\leq j} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i\ell_{j,m}\mathbf{P}}\right)\right) \\
&\quad + \frac{3\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} 4^m \mathcal{R}e\left(\varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i(m+1)\mathbf{P}} + \varepsilon_{\mathfrak{R}\mathfrak{C}}^{i(m+1)\mathbf{P}}\right) \\
&= \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j\leq\ell_{j,m}\leq j} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i\ell_{j,m}\mathbf{P}}\right)\right) \\
&\quad + \frac{3\sqrt{3}}{16} \varepsilon_{\mathfrak{R}\mathfrak{C}}^2 \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+1)} 4^{m+1} \mathcal{R}e\left(\varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i(m+1)\mathbf{P}} + \varepsilon_{\mathfrak{R}\mathfrak{C}}^{i(m+1)\mathbf{P}}\right) \\
&= \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+2)} \mathcal{R}e\left(\sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j\leq\ell_{j,m}\leq j} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i\ell_{j,m}\mathbf{P}}\right)\right) \\
&\quad + \frac{3\sqrt{3}}{64} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2-D_{\mathfrak{R}\mathfrak{C}}} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})} \mathcal{R}e\left(\varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i(m+1)\mathbf{P}} + \varepsilon_{\mathfrak{R}\mathfrak{C}}^{i(m+1)\mathbf{P}}\right),
\end{aligned}$$

(R 10)

where, in the second sum of the last equality, we have used the easily verified identities

$$4^{m+1} = \varepsilon_{\mathfrak{R}\mathfrak{C}}^{-(m+1)D_{\mathfrak{R}\mathfrak{C}}} \quad \text{and} \quad \varepsilon_{\mathfrak{R}\mathfrak{C}}^2 = \frac{\varepsilon_{\mathfrak{R}\mathfrak{C}}^{2-D_{\mathfrak{R}\mathfrak{C}}}}{4},$$

since

$$D_{\mathfrak{R}\mathfrak{C}} = \frac{\ln 4}{\ln 3}.$$

Furthermore, the triangles corresponding to $\ell_{j,m+1} = m+1$ (resp., $\ell_{j,m+1} = -m-1$) are obtained when $k_{1,j,m+1} = 0$ and $k_{2,j,m+1} = m+1$ (resp., when $k_{1,j,m+1} = m+1$ and $k_{2,j,m+1} = 0$). Hence, this amounts to the following number of triangles

$$4^{m+1} - 4^m = 3 \times 4^m = \frac{3}{4} \times 4^{m+1} = \frac{3}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{-(m+1)D_{\mathfrak{R}\mathfrak{C}}}.$$

By letting, as is stated in relation (R7), on page 11,

$$\mathcal{V}_{m,comp}(\varepsilon_{\mathfrak{R}\mathfrak{C}}) = \frac{\sqrt{3}}{4} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2(m+1)} \sum_{j=0}^m \left(\sum_{k_{0,j,m}+2k_{1,j,m}+\ell_{j,m}+k_{3,j,m}=j, -j \leq \ell_{j,m} \leq j} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i\ell_{j,m}\mathbf{p}} \right),$$

we then deduce that

$$\mathcal{V}_{m+1,comp}(\varepsilon_{\mathfrak{R}\mathfrak{C}}) = \varepsilon_{\mathfrak{R}\mathfrak{C}}^2 \mathcal{V}_{m,comp}(\varepsilon_{\mathfrak{R}\mathfrak{C}}) + \frac{3\sqrt{3}}{64} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{2-D_{\mathfrak{R}\mathfrak{C}}} \varepsilon_{\mathfrak{R}\mathfrak{C}}^{(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})} \left(\varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i(m+1)\mathbf{p}} + \varepsilon_{\mathfrak{R}\mathfrak{C}}^{i(m+1)\mathbf{p}} \right),$$

as is claimed in relation (R8), on page 11.

This completes the proof of the theorem.

For the sake of the forthcoming computation of the associated fractal zeta functions, we note that it is better to proceed with the equivalent following formula (in a manner equivalent to what is done in our previous works [DL23b], [DL23a]),

$$\mathcal{V}_{m+1,comp}(\varepsilon_{\mathfrak{R}\mathfrak{C}}) = \frac{1}{9} \mathcal{V}_{m,comp}(\varepsilon_{\mathfrak{R}\mathfrak{C}}) + \frac{3\sqrt{3}}{64} \varepsilon_{\mathfrak{R}\mathfrak{C}}^2 \varepsilon_{\mathfrak{R}\mathfrak{C}}^{(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})} \left(\varepsilon_{\mathfrak{R}\mathfrak{C}}^{-i(m+1)\mathbf{p}} + \varepsilon_{\mathfrak{R}\mathfrak{C}}^{i(m+1)\mathbf{p}} \right). \quad (\mathcal{R}11)$$

□

Note now that we are looking for a polyhedral neighborhood. Then, the 4^m aforementioned triangles constitute the upper polyhedral neighborhood of $\mathfrak{R}\mathfrak{C}_m$.

Lemma 3.2 (Natural Polyhedral Volume Extension Formula).

We introduce, for all sufficiently large $m \in \mathbb{N}^*$, $\tilde{\mathcal{V}}_m$ as the continuous function defined for all $t \in [0, \varepsilon_{\mathfrak{R}\mathfrak{C}}]$ by substituting t for $\varepsilon_{\mathfrak{R}\mathfrak{C}}$ in the recurrence relation (R8), on page 11 (or, equivalently, in relation (R11), on page 14), between $\mathcal{V}_m(\varepsilon_{\mathfrak{R}\mathfrak{C}})$ and $\mathcal{V}_{m+1}(\varepsilon_{\mathfrak{R}\mathfrak{C}})$.

As is explained in [DL22] (in the case of the ordinary Euclidean tubular volume), one can think of $\tilde{\mathcal{V}}_m(t)$ as being the polyhedral effective volume of the m^{th} prefractal approximation to the Koch Curve.

Notation 3 (Natural Polyhedral Complex Volume Extension).

For the sake of simplicity, given $m \in \mathbb{N}^*$ sufficiently large, we will from now on call *the m^{th} natural polyhedral complex volume extension*, the volume extension function $\tilde{\mathcal{V}}_{m,\text{comp}}$ associated with the m^{th} natural polyhedral complex volume $\mathcal{V}_{\text{comp}}$ introduced in Theorem 3.1, on page 11. Alternatively, $\tilde{\mathcal{V}}_{m,\text{comp}}$ will be called *the m^{th} polyhedral effective complex volume*.

In the same way, as is done in [DL22], and given $m \in \mathbb{N}^*$ large enough, we call *the m^{th} natural volume extension*, the volume extension function $\tilde{\mathcal{V}}_m^{\text{tube}}$ associated with the *the m^{th} tubular volume $\mathcal{V}_m^{\text{tube}}$* . Alternatively, $\tilde{\mathcal{V}}_m^{\text{tube}}$ will be called *the m^{th} effective tubular volume*.

Theorem 3.3 (Local and Global Polyhedral Effective Zeta Functions).

Given $m \in \mathbb{N}^*$ sufficiently large (i.e., for all $m \geq m_0$, for some $m_0 \in \mathbb{N}^*$), we introduce the m^{th} local polyhedral effective zeta function $\tilde{\zeta}_m^e$, such that, for all $s \in \mathbb{C}$ with $\text{Re}(s) > D_{\mathfrak{R}\mathfrak{C}}$,

$$\tilde{\zeta}_m^e(s) = \int_0^{\varepsilon_{\mathfrak{R}\mathfrak{C}}} t^{s-3} \tilde{\mathcal{V}}_{m,\text{comp}}(t) dt, \quad (\mathcal{R}12)$$

where $\tilde{\mathcal{V}}_{\text{partial},m,\text{comp}}$ is the m^{th} polyhedral effective complex volume, introduced in Notation 3, on page 15 above, and where $\varepsilon_{\mathfrak{R}\mathfrak{C}}$ is the intrinsic scale introduced in Definition 3.2, on page 10 above.

Then, for all $m \in \mathbb{N}^*$ sufficiently large (i.e., for all $m \geq m_0$, for some $m_0 \in \mathbb{N}^*$), $\tilde{\zeta}_m^e$ admits a (necessarily unique) meromorphic extension to all of \mathbb{C} , given, for all $s \in \mathbb{C}$, by the following explicit expression, which is a convergent fractal power series:

$$\begin{aligned} \tilde{\zeta}_m^e(s) = & \frac{3\sqrt{3}}{64} \sum_{k=0}^m \frac{\varepsilon_{\mathfrak{R}\mathfrak{C}}^{s-D_{\mathfrak{R}\mathfrak{C}}+(k+1)(2-D_{\mathfrak{R}\mathfrak{C}})-i(k+1)\mathbf{p}}}{s-D_{\mathfrak{R}\mathfrak{C}}+(k+1)(2-D_{\mathfrak{R}\mathfrak{C}})-i(k+1)\mathbf{p}} \\ & + \frac{3\sqrt{3}}{64} \sum_{k=0}^m \frac{\varepsilon_{\mathfrak{R}\mathfrak{C}}^{s-D_{\mathfrak{R}\mathfrak{C}}+(k+1)(2-D_{\mathfrak{R}\mathfrak{C}})+i(k+1)\mathbf{p}}}{s-D_{\mathfrak{R}\mathfrak{C}}+(k+1)(2-D_{\mathfrak{R}\mathfrak{C}})+i(k+1)\mathbf{p}}, \end{aligned} \quad (\mathcal{R}13)$$

where $\varepsilon_{\mathfrak{R}\mathfrak{C}}$ is the intrinsic scale introduced in Definition 3.2, on page 10).

More specifically, still for all $m \in \mathbb{N}^*$ sufficiently large, the function $\tilde{\zeta}_m^e$ is well defined and meromorphic in all of \mathbb{C} . Furthermore, its unique meromorphic extension (still denoted by $\tilde{\zeta}_m^e$) is given, for all $s \in \mathbb{C}$ by the expressions given in relation (R13) above.

Moreover, the associated sequence $(\tilde{\zeta}_m^e)_{m \in \mathbb{N}}$ – initially given (for $\text{Re}(s) > D_{\mathcal{W}}$) by the truncated Mellin transform in relation (R12), on page 15 – satisfies the following recurrence relation, for all values of the positive integer m sufficiently large, and for all $s \in \mathbb{C}$:

$$\begin{aligned}
\tilde{\zeta}_{m+1}^e(s) &= \varepsilon_{\mathfrak{RC}}^2 \tilde{\zeta}_m^e(s) \\
&+ \frac{3\sqrt{3}}{64} \frac{\varepsilon_{\mathfrak{RC}}^{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})-i(m+1)\mathbf{p}}}{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})-i(m+1)\mathbf{p}} \\
&+ \frac{3\sqrt{3}}{64} \frac{\varepsilon_{\mathfrak{RC}}^{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})+i(m+1)\mathbf{p}}}{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})+i(m+1)\mathbf{p}} \\
&= \varepsilon_{\mathfrak{RC}}^2 \tilde{\zeta}_m^e(s) \\
&+ \frac{3\sqrt{3}}{32} \operatorname{Re} \left(\frac{\varepsilon_{\mathfrak{RC}}^{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})-i(m+1)\mathbf{p}}}{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})-i(m+1)\mathbf{p}} \right).
\end{aligned} \tag{R14}$$

The expression for the m^{th} local polyhedral effective zeta function $\tilde{\zeta}_m^e$ given in relation (R13) above ensures the existence of the limit fractal zeta function $\tilde{\zeta}_{\mathfrak{RC}}^e$, i.e., the fractal zeta function associated with the Koch Curve \mathfrak{RC} (or, rather, with the Koch IFD), called the global polyhedral effective zeta function of \mathfrak{RC} , and given by the following convergent (and locally convergent) fractal power series:

$$\tilde{\zeta}_{\mathfrak{RC}}^e = \lim_{m \rightarrow \infty} \tilde{\zeta}_m^e,$$

where the convergence is locally uniform on \mathbb{C} , along with the existence of an integer $m_0 \in \mathbb{N}$ such that, for all $m \geq m_0$, the set of poles of $\tilde{\zeta}_{\mathfrak{RC}}^e$ consists of simple poles and contains the poles of the m^{th} fractal polyhedral effective zeta function $\tilde{\zeta}_m^e$. More specifically, $\tilde{\zeta}_{\mathfrak{RC}}^e$ is meromorphic in all of \mathbb{C} and its (necessarily unique) meromorphic extension (still denoted $\tilde{\zeta}_{\mathfrak{RC}}^e$) is given, for all $s \in \mathbb{C}$, by the following convergent fractal power series:

$$\begin{aligned}
\tilde{\zeta}_{\mathfrak{RC}}^e(s) &= \frac{3\sqrt{3}}{64} \sum_{m=0}^{\infty} \frac{\varepsilon_{\mathfrak{RC}}^{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})-i(m+1)\mathbf{p}}}{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})-i(m+1)\mathbf{p}} \\
&+ \frac{3\sqrt{3}}{64} \sum_{m=0}^{\infty} \frac{\varepsilon_{\mathfrak{RC}}^{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})+i(m+1)\mathbf{p}}}{s-D_{\mathfrak{RC}}+(m+1)(2-D_{\mathfrak{RC}})+i(m+1)\mathbf{p}}.
\end{aligned} \tag{R15}$$

The following lemma will be used in part *ii.* of the proof of Theorem 3.3.

Lemma 3.4 (A Preliminary Result About the Sets of Poles of the Local Polyhedral Zeta Functions).

Let us denote by $\mathcal{P}^* = \bigcup_{m \geq m_0} \mathcal{P}(\tilde{\zeta}_m^e)$ the union of the discrete sets of poles of the local polyhedral zeta functions $\tilde{\zeta}_m^e$, for all $m \in \mathbb{N}$ such that $m \geq m_0$. Then, the sequence $(\mathcal{P}(\tilde{\zeta}_m^e))_{m \geq m_0}$ of subsets of \mathbb{C} is increasing.

Furthermore, the set \mathcal{P}^* is discrete and countably infinite. It is, also, the set of poles of the global polyhedral zeta function $\tilde{\zeta}_{\mathfrak{RC}}^e$.

In addition, its complement \mathcal{U} in \mathbb{C} is an open, connected subset of \mathbb{C} (since \mathcal{P}^\star is necessarily closed).

Proof. (of Lemma 3.4)

As is done in [DL23b], the proof relies on the fact that, for all $m \in \mathbb{N}$ sufficiently large,

$$\mathcal{P}(\tilde{\zeta}_m^e) = \{D_{\mathcal{W}} - (k-1)(2-D_{\mathcal{W}}) \pm i \ell_{k,(N_b-1)j+q,m} \mathbf{p}, 1 \leq k \leq m\} \not\subseteq \mathcal{P}(\tilde{\zeta}_{m+1}^e).$$

This ensures that, as a countable union of discrete sets, and without any accumulating points (in \mathbb{C}), the set \mathcal{P}^\star is itself discrete and, hence, countably infinite. This ensures that its complement $\mathcal{U} \subset \mathbb{C}$ is an open, connected subset of \mathbb{C} . This implies that \mathcal{P}^\star intersects each compact set $\mathcal{K} \subset \mathbb{C}$ at a finite number of points.

The fact that \mathcal{P}^\star is the set of poles of the global polyhedral zeta function $\tilde{\zeta}_{\mathcal{W}}^e$ comes from the expression given in relation (R15), on page 16.

Also, the fact that, for all $m \geq m_0$, $\mathcal{P}(\tilde{\zeta}_m^e) \subseteq \mathcal{P}(\tilde{\zeta}_{m+1}^e)$, is established in the proof of Theorem 3.3 below. □

Proof. (Of Theorem 3.3, given on page 15)

i. We first give the explicit expression for the m^{th} local polyhedral effective zeta function $\tilde{\zeta}_m^e$.

We restrict ourselves to sufficiently large values of $m \in \mathbb{N}^\star$, i.e., to all $m \geq m_0$, for some suitable integer $m_0 \in \mathbb{N}^\star$.

Thanks to the recurrence relation (R8), on page 11, or, equivalently, to relation (R11), on page 14, between $\mathcal{V}_m(\varepsilon_{\mathbb{R}\mathfrak{C}})$ and $\mathcal{V}_{m+1}(\varepsilon_{\mathbb{R}\mathfrak{C}})$, we then have that, for all $s \in \mathbb{C}$ with $\Re(s) > D_{\mathbb{R}\mathfrak{C}}$,

$$\begin{aligned}
\tilde{\zeta}_{m+1}^e(s) &= \int_0^{\varepsilon_{\mathfrak{R}\mathfrak{C}}} t^{s-3} \tilde{\mathcal{V}}_{m+1,comp}(t) dt \\
&= \frac{1}{9} \int_0^{\varepsilon_{\mathfrak{R}\mathfrak{C}}} t^{s-1-D_{\mathfrak{R}\mathfrak{C}}} \tilde{\mathcal{V}}_{m,comp}(t) dt \\
&\quad + \frac{3\sqrt{3}}{64} \int_0^{\varepsilon_{\mathfrak{R}\mathfrak{C}}} t^{s-1-D_{\mathfrak{R}\mathfrak{C}}} t^{(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})} \left(t^{-i(m+1)\mathbf{p}} + t^{i(m+1)\mathbf{p}} \right) dt \\
&= \frac{1}{9} \tilde{\zeta}_m^e(s) \\
&\quad + \frac{3\sqrt{3}}{64} \left(\frac{\varepsilon_{\mathfrak{R}\mathfrak{C}}^{s-D_{\mathfrak{R}\mathfrak{C}}+(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})-i(m+1)\mathbf{p}}}{s-D_{\mathfrak{R}\mathfrak{C}}+(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})-i(m+1)\mathbf{p}} \right) \\
&\quad + \frac{3\sqrt{3}}{64} \left(\frac{\varepsilon_{\mathfrak{R}\mathfrak{C}}^{s-D_{\mathfrak{R}\mathfrak{C}}+(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})+i(m+1)\mathbf{p}}}{s-D_{\mathfrak{R}\mathfrak{C}}+(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})+i(m+1)\mathbf{p}} \right) \\
&= \varepsilon_{\mathfrak{R}\mathfrak{C}}^2 \tilde{\zeta}_m^e(s) \\
&\quad + \frac{3\sqrt{3}}{64} \left(\frac{\varepsilon_{\mathfrak{R}\mathfrak{C}}^{s-D_{\mathfrak{R}\mathfrak{C}}+(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})-i(m+1)\mathbf{p}}}{s-D_{\mathfrak{R}\mathfrak{C}}+(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})-i(m+1)\mathbf{p}} \right) \\
&\quad + \frac{3\sqrt{3}}{64} \left(\frac{\varepsilon_{\mathfrak{R}\mathfrak{C}}^{s-D_{\mathfrak{R}\mathfrak{C}}+(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})+i(m+1)\mathbf{p}}}{s-D_{\mathfrak{R}\mathfrak{C}}+(m+1)(2-D_{\mathfrak{R}\mathfrak{C}})+i(m+1)\mathbf{p}} \right).
\end{aligned}$$

(R 16)

By induction, for all $m \geq m_0$, we then obtain the poles of $\tilde{\zeta}_m^e$ as

$$s = D_{\mathfrak{R}\mathfrak{C}} - k(2 - D_{\mathfrak{R}\mathfrak{C}}) - ik\mathbf{p}$$

and

$$s = D_{\mathfrak{R}\mathfrak{C}} - k(2 - D_{\mathfrak{R}\mathfrak{C}}) + ik\mathbf{p},$$

where k is an arbitrary integer such that $0 \leq k \leq m$. These poles are all simple.

ii. We then place ourselves in a compact set $\mathcal{K} \subset \mathbb{C}$ contained in the connected open set $\mathcal{U} \subset \mathbb{C}$ which is the complement in \mathbb{C} of the discrete (and hence, closed) set $\mathcal{P}^\star = \bigcup_{n \in \mathbb{N}, n \geq m_0} \mathcal{P}(\tilde{\zeta}_n^e)$, the countable union of the sets of poles of the local polyhedral zeta functions $\tilde{\zeta}_n^e$, for all $n \geq m_0$ (see Lemma 3.4, on page 16).

The series of functions

$$\sum_{k=0}^m \frac{\varepsilon_{\mathfrak{R}\mathfrak{C}}^{s-D_{\mathfrak{R}\mathfrak{C}}+k(2-D_{\mathfrak{R}\mathfrak{C}})-ik\mathbf{p}}}{s-D_{\mathfrak{R}\mathfrak{C}}+k(2-D_{\mathfrak{R}\mathfrak{C}})-ik\mathbf{p}} \left(\text{resp.}, \sum_{k=0}^m \frac{\varepsilon_{\mathfrak{R}\mathfrak{C}}^{s-D_{\mathfrak{R}\mathfrak{C}}+k(2-D_{\mathfrak{R}\mathfrak{C}})+ik\mathbf{p}}}{s-D_{\mathfrak{R}\mathfrak{C}}+k(2-D_{\mathfrak{R}\mathfrak{C}})+ik\mathbf{p}} \right) \quad (\text{R } 17)$$

is (locally) normally convergent, and, hence, also (locally) uniformly convergent on \mathcal{K} . This ensures the existence of the limit effective fractal zeta function, i.e., the fractal zeta function associated with the Koch Curve $\mathfrak{R}\mathfrak{C}$ (or with the Koch IFD), given by

$$\begin{aligned}
\tilde{\zeta}_{\Re \mathfrak{C}}^e(s) &= \lim_{m \rightarrow \infty} \tilde{\zeta}_m^e(s) \\
&= \frac{3\sqrt{3}}{64} \sum_{m=0}^{\infty} \frac{\varepsilon_{\Re \mathfrak{C}}^{s-D_{\Re \mathfrak{C}}+(m+1)(2-D_{\Re \mathfrak{C}})-i(m+1)\mathbf{p}}}{s-D_{\Re \mathfrak{C}}+(m+1)(2-D_{\Re \mathfrak{C}})-i(m+1)\mathbf{p}} \\
&\quad + \frac{3\sqrt{3}}{64} \sum_{m=0}^{\infty} \frac{\varepsilon_{\Re \mathfrak{C}}^{s-D_{\Re \mathfrak{C}}+(m+1)(2-D_{\Re \mathfrak{C}})+i(m+1)\mathbf{p}}}{s-D_{\Re \mathfrak{C}}+(m+1)(2-D_{\Re \mathfrak{C}})+i(m+1)\mathbf{p}}.
\end{aligned} \tag{R18}$$

We note in passing that all the expressions involving the real parts in the statement of the theorem simply follow from the fact that for all $z \in \mathbb{C}$, $z + \bar{z} = 2\operatorname{Re}(z)$.

The remainder of our proof – in the case when we place ourselves in a compact set $\mathcal{K} \subset \mathbb{C}$ containing some of the potential poles (necessarily, finitely many of them, since \mathcal{K} is compact and the poles are isolated) of $\tilde{\zeta}_m^e$ – can be obtained by using the chordal metric.

For this purpose, a (complex-valued) meromorphic function f is viewed as a continuous function with values in $\mathbb{P}^1(\mathbb{C})$, equipped with the chordal metric, and such that, for any pole ω of f , $f(\omega)$ takes the value ∞ (for instance, as in [LvF13], Section 3.4 and Appendix C).

More precisely, if $\mathbb{P}^1(\mathbb{C}) = \mathbb{C} \cup \infty$ denotes the Riemann sphere (or complex projective line), we can show that, for *the chordal metric*, defined, for all $(z_1, z_2) \in (\mathbb{P}^1(\mathbb{C}))^2$ by

$$\|z_1, z_2\| = \frac{|z_1 - z_2|}{\sqrt{1 + |z_1^2|} \sqrt{1 + |z_2^2|}}, \text{ if } z_1 \neq \infty \text{ and } z_2 \neq \infty, \tag{R19}$$

and

$$\|z_1, \infty\| = \frac{1}{\sqrt{1 + |z_1^2|}}, \text{ if } z_1 \neq \infty. \tag{R20}$$

Since the discrete set $\mathcal{P}^* = \bigcup_{m \in \mathbb{N}} \mathcal{P}(\tilde{\zeta}_m^e)$ intersects each compact set $\mathcal{K} \subset \mathbb{C}$ at a countably finite number of points (see Lemma 3.4 above, on page 16), we can restrict ourselves to the case when \mathcal{K} contains one single pole of a given $\tilde{\zeta}_n^e$, for some $n \geq m_0$.

The (uniform) limit fractal zeta function $\tilde{\zeta}_{\mathcal{W}}^e$, is holomorphic on \mathcal{U} , as the (locally) uniform limit of a sequence of holomorphic functions on \mathcal{U} (the complement in \mathbb{C} of the discrete set $\mathcal{P}^* = \bigcup_{n \in \mathbb{N}, n \geq m_0} \mathcal{P}(\tilde{\zeta}_n^e)$, the countable union of the sets of poles of the local polyhedral zeta functions $\tilde{\zeta}_n^e$, for all $n \geq m_0$). By applying Weierstrass' Theorem, we then deduce that, for all $m \geq m_0$, the zeta function $\tilde{\zeta}_m^e$ is meromorphic on \mathbb{C} .

Moreover, the counterpart of the results obtained in [DL22] for the sequence of tube zeta functions associated with the Weierstrass IFD, which admit a meromorphic continuation to all of \mathbb{C} , obviously holds for the sequence of polyhedral tube zeta functions: hence, $\tilde{\zeta}_m^e$ is meromorphic on \mathbb{C} , with only simple poles, as specified in Theorem 3.5, on page 20 below.

More specifically, our earlier discussion (ending just after relation (R18), on page 19, shows the uniform convergence of $(\tilde{\zeta}_m^e)_{m \geq m_0}$ on \mathcal{K} – and, hence also, the existence of the global polyhedral effective zeta function $\tilde{\zeta}_{\mathcal{W}}^e$, whenever the compact set $\mathcal{K} \subset \mathbb{C}$ does not intersect \mathcal{P}^* (i.e., $\mathcal{K} \subseteq \mathcal{U} = \mathbb{C} \setminus \mathcal{P}^*$). The fact that $\tilde{\zeta}_{\mathcal{W}}^e$ is holomorphic on the (connected) open set $\mathcal{U} \subseteq \mathbb{C}$ then follows at once from Weierstrass' Theorem about the holomorphicity of a (locally) uniformly convergent sequence of holomorphic

functions (here, the sequence of holomorphic functions $(\tilde{\zeta}_m^e)_{m \geq m_0}$ on \mathcal{U}).

In the above case when $\mathcal{K} \cap \mathcal{P}^*$ is nonempty – and, without loss of generality, when $\mathcal{K} \cap \mathcal{P}^* = \{\omega\}$, where ω is a pole of $\tilde{\zeta}_{m_1}^e$, for some integer $m_1 \geq m_0$ (and, thus, for any $m \geq m_1$), our above argument shows that $\tilde{\zeta}_{\mathcal{W}}^e$ is well defined and is continuous on \mathcal{K} (as the uniform limit on the compact set \mathcal{K} of the sequence $(\tilde{\zeta}_m^e)_{m \geq m_0}$ of continuous functions on \mathcal{K} , with all the functions involved being viewed as taking their values in the compact – and thus, complete – metric space $(\mathbb{P}^1(\mathbb{C}), \|\cdot\|)$ (i.e., $\lim_{m \rightarrow \infty} \sup_{s \in \mathcal{K}} \|\tilde{\zeta}_m^e(s) - \tilde{\zeta}_{\mathcal{W}}^e(s)\| = 0$) and such that $\tilde{\zeta}_{\mathcal{W}}^e(\omega) = \infty$ since $\tilde{\zeta}_m^e(\omega) = \infty$, for all $m \geq m_1$. Consequently, $\tilde{\zeta}_{\mathcal{W}}^e$ is meromorphic on \mathcal{U} and ω is also a pole of $\tilde{\zeta}_{\mathcal{W}}^e$ (i.e., $\omega \in \mathcal{P}(\tilde{\zeta}_{\mathcal{W}}^e)$).

In summary, we deduce that $\tilde{\zeta}_{\mathcal{W}}^e$ is well defined (by the locally uniform limit in relation (R15), on page 16), is meromorphic on all of \mathbb{C} and $\mathcal{P}(\tilde{\zeta}_{\mathcal{W}}^e) = \mathcal{P}^*$.

This completes the proof of the theorem. □

Definition 3.3 (Intrinsic Complex Dimensions of the Koch Curve).

Since they are obtained via the polyhedral neighborhoods (instead of via the Euclidean tubular neighborhoods) of the Koch IFD, we call the poles of $\tilde{\zeta}_{\mathfrak{K}\mathfrak{C}}^e$ (resp., for all $m \geq m_0$, of $\tilde{\zeta}_m^e$) *the intrinsic Complex Dimensions* of the Koch Curve (resp., of its m^{th} prefractal approximation).

In the sequel, a Complex Dimension is said to be *exact* (or *actual*) if it is a pole of $\tilde{\zeta}_{\mathfrak{K}\mathfrak{C}}^e$ (resp., for all $m \geq m_0$, of $\tilde{\zeta}_m^e$). Otherwise, it is said to be *a possible* Complex Dimension – which allows for the possibility that $\text{res}(\tilde{\zeta}_{\mathfrak{K}\mathfrak{C}}^e, \omega) = 0$ (resp., that $\text{res}(\tilde{\zeta}_m^e, \omega) = 0$).

Theorem 3.5 (Complex Dimensions of the Koch Curve).

The intrinsic Complex Dimensions of the Koch Curve $\mathfrak{K}\mathfrak{C}$ (or of the Koch IFD), which are the poles of the global polyhedral effective zeta function $\tilde{\zeta}_{\mathfrak{K}\mathfrak{C}}^e$ given in relation (R15), on page 16, are given as follows:

$$D_{\mathfrak{K}\mathfrak{C}} - m(2 - D_{\mathfrak{K}\mathfrak{C}}) - im\mathbf{p},$$

and

$$D_{\mathfrak{K}\mathfrak{C}} - m(2 - D_{\mathfrak{K}\mathfrak{C}}) + im\mathbf{p},$$

where the integer $m \in \mathbb{N}$ is arbitrary.

Furthermore, the intrinsic Complex Dimensions of $\mathfrak{K}\mathfrak{C}$ are all simple and exact.

The following result is an immediate corollary of Theorem 3.3, on page 15, and Theorem 3.5, on page 20, respectively, as well as of their proofs.

Theorem 3.6 (Complex Dimensions of the Prefractal Approximations of $\mathfrak{K}\mathfrak{C}$).

For all (positive) integers sufficiently large (i.e., for all $m \geq m_0$, for some $m_0 \in \mathbb{N}^*$), the intrinsic Complex Dimensions of the m^{th} approximation $\mathfrak{K}\mathfrak{C}_m$ of $\mathfrak{K}\mathfrak{C}$ are all exact and simple. Furthermore, they are given as follows:

$$D_{\mathfrak{K}\mathfrak{C}} - k(2 - D_{\mathfrak{K}\mathfrak{C}}) - ik\mathbf{p}$$

and

$$D_{\mathfrak{K}\mathfrak{C}} - k(2 - D_{\mathfrak{K}\mathfrak{C}}) + ik\mathbf{p},$$

where k is an arbitrary integer such that $0 \leq k \leq m$ (see relation (R13) in Theorem 3.3, on page 15).

Recall that in the theory of Complex Dimensions (see, e.g., [LvF13], [LRŽ17b], [Lap19]), a geometric object is said to be *fractal* if it admits at least one *nonreal* Complex Dimension (defined as a pole of the associated geometric or fractal zeta function) – and hence, at least two nonreal complex conjugate poles. Furthermore, given a real number d , it is *fractal in dimension d* if it has at least one *nonreal* Complex Dimension with real part d .

Finally, it is said to be *principally fractal* if it is fractal in dimension $d_{\mathfrak{K}\mathfrak{C}}$, where $d_{\mathfrak{K}\mathfrak{C}}$ is the abscissa of convergence of the associated fractal zeta function (here, $\tilde{\zeta}_{\mathfrak{K}\mathfrak{C}}^e$ in the case of $\mathfrak{K}\mathfrak{C}$ or else, $\tilde{\zeta}_m^e$, with $m \geq m_0$, in the case of $\mathfrak{K}\mathfrak{C}_m$). Note that in either case, in light of Theorems 3.3, on page 15 (or of Theorem 3.5, on page 20, and Theorem 3.6, on page 21), we have that

$$d_{\mathfrak{K}\mathfrak{C}} = D_{\mathfrak{K}\mathfrak{C}} = \frac{\ln 4}{\ln 3},$$

the Minkowski dimension of the Koch Curve $\mathfrak{K}\mathfrak{C}$.

In light of the above definitions, we can now state the following immediate corollary of Theorem 3.5, on page 20 and Theorem 3.6, on page 21.

Corollary 3.7 (Fractality of the Koch Curve).

The Koch Curve $\mathfrak{K}\mathfrak{C}$ is fractal, and even principally fractal. Somewhat surprisingly, so are all of its prefractal approximations $\mathfrak{K}\mathfrak{C}_m$, for any $m \geq m_0$.

However, there is one important difference between $\mathfrak{K}\mathfrak{C}$ and any $\mathfrak{K}\mathfrak{C}_m$. Indeed, $\mathfrak{K}\mathfrak{C}$ is fractal in infinitely (and countably) many values of d (namely, $d = D_{\mathfrak{K}\mathfrak{C}} - m(2 - D_{\mathfrak{K}\mathfrak{C}})$, with $m \in \mathbb{N}$ arbitrary).

By contrast, for all $m \geq m_0$ sufficiently large, $\mathfrak{K}\mathfrak{C}_m$ is fractal in finitely many dimensions d (namely, $d = D_{\mathfrak{K}\mathfrak{C}} - k(2 - D_{\mathfrak{K}\mathfrak{C}})$, where k is an arbitrary integer such that $0 \leq k \leq m$).

Remark 3.3.

i. Our result in Theorem 3.5 extends the previous one of Erin P. J. Pearse and the second author in [LP06], where the possible Complex Dimensions of the Koch Curve were obtained as

$$\{D_{\mathfrak{R}\mathcal{C}} + i n \tilde{\mathbf{p}}, n \in \mathbb{Z}\} \cup \{i n \tilde{\mathbf{p}}, n \in \mathbb{Z}\},$$

where a different oscillatory period $\tilde{\mathbf{p}} = \frac{2\pi}{\ln 3}$ was involved. The difference between our oscillatory period $\mathbf{p} = \frac{\pi}{3 \ln 3}$ and $\tilde{\mathbf{p}} = \frac{2\pi}{\ln 3}$ comes from the fact that in [LP06], the oscillatory period is obtained via a Fourier series expansion involving the intrinsic scale $\varepsilon_{\mathfrak{R}\mathcal{C}} = \frac{1}{3}$, whereas ours comes from the rotations, of respective angles $-\frac{\pi}{3}$ and $\frac{\pi}{3}$.

We also note that, in [LP06], no fractal zeta function was used, as the higher-dimensional theory of Complex Dimensions (as expounded in [LRŽ17b]) was not yet developed. Instead, an approximate fractal tube formula was used, deduced for the volume (i.e., area) of the Euclidean tubular neighborhoods (instead of the polyhedral neighborhoods) of the Koch Curve – and then, the *possible* Complex Dimensions were derived by analogy with the one-dimensional theory of Complex Dimensions (i.e., with the case of fractal strings studied in detail in [LvF13]).

It would be interesting to determine the precise possible Complex Dimensions of the Koch IFD, by using the method developed in our earlier work [DL22], where Euclidean tubular neighborhoods were also used (in the case of the Weierstrass Curve) and to decide, in particular, whether their real parts are of the form $D_{\mathfrak{R}\mathcal{C}} - k(2 - D_{\mathfrak{R}\mathcal{C}})$, with $k \in \mathbb{N}$ arbitrary, and 0.

ii. Our result is also in perfect agreement with the results obtained in our previous works [DL23b], [DL23a] about the Weierstrass Curve, in which case the exact Complex Dimensions of the Weierstrass Curve (or of the Weierstrass IFD) are given as follows:

$$D_{\mathcal{W}} - m(2 - D_{\mathcal{W}}) + i \ell \mathbf{p}, \tag{R 21}$$

where the integers $m \in \mathbb{N}$ and $\ell \in \mathbb{Z}$ are arbitrary.

Remark 3.4 (Comparison with the Weierstrass Curve).

In the case of the Weierstrass Curve $\Gamma_{\mathcal{W}}$ (see our previous works [DL23b], [DL23a]), $\Gamma_{\mathcal{W}}$ is also fractal for infinitely many values of d , whereas, for all $m \geq m_0$, with $m_0 \in \mathbb{N}$ sufficiently large, the m^{th} prefractal approximation $\Gamma_{\mathcal{W}_m}$ to $\Gamma_{\mathcal{W}}$ is fractal for finitely many values of d (namely, $d = -m, \dots, m$) (and not in just one value of d , as is the case for the m^{th} prefractal approximation $\mathfrak{R}\mathcal{C}_m$ to the Koch Curve $\mathfrak{R}\mathcal{C}$; see Corollary 3.7, on page 21 above). Indeed, the Complex Dimensions of $\Gamma_{\mathcal{W}_m}$ (for any $m \geq m_0$) are all simple and exact; furthermore, they are given by

$$d = D_{\mathcal{W}} - k(2 - D_{\mathcal{W}}) + i \ell_k \mathbf{p},$$

where k is an arbitrary integer such that $0 \leq k \leq m$ and $\ell_k \in \mathbb{Z}$ is arbitrary.

This difference comes from the fact that the Weierstrass Curve $\Gamma_{\mathcal{W}}$ is not self-similar, contrary to the Koch Curve $\mathfrak{R}\mathcal{C}$. This results, in the case of the m^{th} prefractal approximation $\Gamma_{\mathcal{W}_m}$ to the Weierstrass Curve $\Gamma_{\mathcal{W}}$, in the kind of *memory effect* which keeps all the Complex Dimensions coming from all the previous prefractal approximations (namely, $\Gamma_{\mathcal{W}_0}, \dots, \Gamma_{\mathcal{W}_{m-1}}$).

4 Concluding Comments

The characterization of fractality by means of the Complex Dimensions now takes its full meaning: indeed, a unique and real value – in the fractal context, the sole Minkowski dimension – which only corresponds to the iterative division process, cannot represent the succession of geometric transformations which go hand in hand with this process. In this light, the Koch Curve is of particular significance, since it is obtained both by dividing line segments and by applying rotations. The information concerning both processes (the division process, and the geometric process associated with the rotations) is fully contained in the Complex Dimensions.

By using exact expressions for the polyhedral neighborhoods, we completely revisit the computation of the *exact Complex Dimensions* of a fractal curve, obtained by means of an i.f.s. Note that, with some effort, our method could clearly be extended not only to other Koch-type curves, but, also, to other planar curves, as the Devil’s staircase (the graph of the Cantor–Lebesgue function), the Bedford–McMullen (self-affine) carpets, the Peano and Hilbert (space-filling) curves, as well as a variety of fractal trees, along with Brownian paths and other random fractals, in two or higher dimensions. We expect to address this issue in later work.

Funding and/or Conflicts of interests/Competing interests

The research of M. L. L. was supported by the Burton Jones Endowed Chair in Pure Mathematics, as well as by grants from the U. S. National Science Foundation.

No potential competing interest is reported by the authors.

References

- [bA91] Daniel ben Avraham. Diffusion in disordered media. *Chemometrics and Intelligent Laboratory Systems*, 10(1):117–122, 1991. Proceedings of the Mathematics in Chemistry Conference. URL: <https://www.sciencedirect.com/science/article/pii/016974399180040W>.
- [Dar75] Gaston Darboux. Mémoire sur les fonctions discontinues. *Ann. Sci. École Norm. Sup. Sér. 2*, 4:57–112, 1875.
- [Dar79] Gaston Darboux. Addition au mémoire sur les fonctions discontinues. *Ann. Sci. École Norm. Sup. Sér. 2*, 8:195–202, 1879.
- [Dav18] Claire David. Bypassing dynamical systems: A simple way to get the box-counting dimension of the graph of the Weierstrass function. *Proceedings of the International Geometry Center*, 11(2):1–16, 2018. URL: <https://journals.onaft.edu.ua/index.php/geometry/article/view/1028>.
- [Din77] Ulisse Dini. Su alcune funzioni che in tutto un intervallo non hanno mai derivata. *Annali di Matematica*, 8:122–137, 1877.
- [Din78] Ulisse Dini. *Fondamenti per la teorica delle funzioni di variabili reali*. Pisa: Tipografia T. Nistri e C., 1878.
- [DL22] Claire David and Michel L. Lapidus. Weierstrass fractal drums - I - A glimpse of complex dimensions, 2022. URL: <https://hal.sorbonne-universite.fr/hal-03642326>.
- [DL23a] Claire David and Michel L. Lapidus. New insights for fractal zeta functions: Polyhedral neighborhoods vs tubular neighborhoods, 2023.

- [DL23b] Claire David and Michel L. Lapidus. Polyhedral neighborhoods vs tubular neighborhoods: New insights for fractal zeta functions, 2023. URL: <https://hal.science/hal-04153049>.
- [DL24a] Claire David and Michel L. Lapidus. Fractal complex dimensions and cohomology of the Weierstrass curve. In Patricia Alonso Ruiz, Michael Hinz, Kasso A. Okoudjou, Luke G. Rogers, and Alexander Teplyaev, editors, *From Classical Analysis to Analysis on Fractals: A Tribute to Robert Strichartz*, volume 2 of *Applied and Numerical Harmonic Analysis*. Birkhäuser, Boston, in press, 2024. URL: <https://hal.science/hal-03797595v2>.
- [DL24b] Claire David and Michel L. Lapidus. Iterated fractal drums ~ Some new perspectives: Polyhedral measures, atomic decompositions and Morse theory, in press. In Hafedh Herichi, Maria Rosaria Lancia, Therese-Basa Landry, Anna Rozanova-Pierrat, and Steffen Winter, editors, *Fractal Geometry in Pure and Applied Mathematics*, Contemporary Mathematics. Amer. Math. Soc., [Providence], RI, in press, 2024. URL: <https://hal.sorbonne-universite.fr/hal-03946104v3>.
- [DL24c] Claire David and Michel L. Lapidus. Weierstrass fractal drums - II - Towards a fractal cohomology. *Mathematische Zeitschrift*, in press, 2024. URL: <https://hal.science/hal-03758820>.
- [HBA87] Shlomo Havlin and Daniel Ben-Avraham. Diffusion in disordered media. *Advances in Physics*, 36:695–798, 1987.
- [HL21] Hafedh Herichi and Michel L. Lapidus. *Quantized Number Theory, Fractal Strings and the Riemann Hypothesis: From Spectral Operators to Phase Transitions and Universality*. World Scientific Publishing, Singapore and London, 2021.
- [HOP92] Dietmar Saupe Heinz-Otto Peitgen, Hartmut Jürgens. *Fractals for the Classroom. Part One: Introduction to Fractals and Chaos*. Number 1. Springer New York, NY, 1992.
- [HS98] Olivier Haeberlé and Bernard Sapoval. Observation of vibrational modes of irregular drums. *Applied Physic Letters*, 73:33–57, 1998.
- [JW84] Alf Jonsson and Hans Wallin. *Function Spaces on Subsets of \mathbb{R}^n* . Mathematical Reports (Chur, Switzerland). Harwood Academic Publishers, London, 1984.
- [KH16] Wolfgang König and Jürgen Sprekels Hrsg. *Karl Weierstrass (1815-1897) Aspekte seines Lebens und Werkes*. Wiesbaden: Springer, 2016.
- [Lap91] Michel L. Lapidus. Fractal drum, inverse spectral problems for elliptic operators and a partial resolution of the Weyl-Berry conjecture. *Transactions of the American Mathematical Society*, 325:465–529, 1991.
- [Lap93] Michel L. Lapidus. Vibrations of fractal drums, the Riemann hypothesis, waves in fractal media and the Weyl-Berry conjecture. In *Ordinary and Partial Differential Equations, Vol. IV (Dundee, 1992)*, volume 289 of *Pitman Research Notes Mathematical Series*, pages 126–209. Longman Sci. Tech., Harlow, 1993.
- [Lap08] Michel L. Lapidus. *In Search of the Riemann Zeros: Strings, Fractal Membranes and Non-commutative Spacetimes*. American Mathematical Society, Providence, RI, 2008.
- [Lap19] Michel L. Lapidus. An overview of complex fractal dimensions: From fractal strings to fractal drums, and back. In *Horizons of Fractal Geometry and Complex Dimensions* (R. G. Niemeyer, E. P. J. Pearse, J. A. Rock and T. Samuel, eds.), volume 731 of *Contemporary Mathematics*, pages 143–265. American Mathematical Society, Providence, RI, 2019. URL: <https://arxiv.org/abs/1803.10399>.

- [Lap24] Michel L. Lapidus. *From Complex Fractal Dimensions and Quantized Number Theory To Fractal Cohomology: A Tale of Oscillations, Unreality and Fractality, to appear*. World Scientific Publishing, Singapore and London, 2024.
- [Liu86] Samuel H. Liu. Fractals and their applications in condensed matter physics. *Solid State Physics*, 39(2):207–273, 1986.
- [LM95] Michel L. Lapidus and Helmut Maier. The Riemann hypothesis and inverse spectral problems for fractal strings. *Journal of the London Mathematical Society. Second Series*, 52(1):15–34, 1995.
- [LP93] Michel L. Lapidus and Carl Pomerance. The Riemann zeta-function and the one-dimensional Weyl-Berry conjecture for fractal drums. *Proceedings of the London Mathematical Society. Third Series*, 66(1):41–69, 1993.
- [LP95] Michel L. Lapidus and Michael M. H. Pang. Eigenfunctions of the Koch snowflake domain. *Communications in Mathematical Physics*, 172(2):359 – 376, 1995.
- [LP06] Michel L. Lapidus and Erin P. J. Pearse. A tube formula for the Koch snowflake curve, with applications to complex dimensions. *Journal of the London Mathematical Society. Second Series*, 74(2):397–414, 2006.
- [LRŽ17a] Michel L. Lapidus, Goran Radunović, and Darko Žubrinić. Distance and tube zeta functions of fractals and arbitrary compact sets. *Advances in Mathematics*, 307:1215–1267, 2017.
- [LRŽ17b] Michel L. Lapidus, Goran Radunović, and Darko Žubrinić. *Fractal Zeta Functions and Fractal Drums: Higher-Dimensional Theory of Complex Dimensions*. Springer Monographs in Mathematics. Springer, New York, 2017.
- [LRŽ18] Michel L. Lapidus, Goran Radunović, and Darko Žubrinić. Fractal tube formulas for compact sets and relative fractal drums: Oscillations, complex dimensions and fractality. *Journal of Fractal Geometry*, 5(1):1–119, 2018.
- [LvF13] Michel L. Lapidus and Machiel van Frankenhuysen. *Fractal Geometry, Complex Dimensions and Zeta Functions: Geometry and Spectra of Fractal Strings*. Springer Monographs in Mathematics. Springer, New York, second revised and enlarged edition (of the 2006 edition), 2013.
- [Man77] Benoît B. Mandelbrot. *Fractals: Form, Chance, and Dimension*. W. H. Freeman & Co, San Francisco, Calif., revised edition, 1977. Translated from the French.
- [Man83] Benoît B. Mandelbrot. *The Fractal Geometry of Nature*. English translation, revised and enlarged edition (of the 1977 edition). W. H. Freeman & Co, New York, 1983.
- [Ria22] Nizare Riane. *Analysis on fractals and applications*. Thèse de doctorat, Sorbonne Université, Paris, France, October 2022. URL: <https://theses.hal.science/tel-03865256>.
- [RT83] Rammal Rammal and Gérard Toulouse. Random walks on fractal structures and percolation clusters. *J. Physique Lett.*, 44(1):13–22, 1983. URL: <https://doi.org/10.1051/jphyslet:0198300440101300>.
- [Sag94] Hans Sagan. The taming of a monster: a parametrization of the von Koch Curve. *International Journal of Mathematical Education in Science and Technology*, 25(6):869–877, 1994.
- [Sap89] Bernard Sapoval. Experimental observation of local modes in fractal drums. *Physica D*, 38:296–298, 1989.

- [SG93] Bernard Sapoval and Thierry Gobron. Vibrations of strongly irregular or fractal resonators. *Physical Review E*, 47:3013–3024, May 1993. URL: <https://link.aps.org/doi/10.1103/PhysRevE.47.3013>.
- [SGM91] Bernard Sapoval, Thierry Gobron, and A. Margolina. Vibrations of fractal drums. *Physical Review Letters*, 67:2974–2977, Nov 1991.
- [vK04] Helge von Koch. Sur une courbe continue sans tangente obtenue par une construction géométrique élémentaire. *Arkiv för Matematik, Astronomy och Fysik*, 1, 1904.
- [Wal91] Hans Wallin. The trace to the boundary of Sobolev spaces on a snowflake. *Manuscripta Mathematica*, 73:117–125, 1991.
- [Wei75] Karl Weierstrass. Über continuirliche Funktionen eines reellen Arguments, die für keinen Werth des letzteren einen bestimmten Differential quotienten besitzen. *Journal für die reine und angewandte Mathematik*, 79:29–31, 1875.