

### DLK1/DIO3 locus upregulation by a $\beta$ -catenin-dependent enhancer drives cell proliferation and liver tumorigenesis

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30	Short title: The DLK1/DIO3 locus in primary liver cancers
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#### 51 Abstract

The *CTNNB1* gene, encoding  $\beta$ -catenin, is frequently mutated in hepatocellular carcinoma 52 53 (HCC, ~30%) and in hepatoblastoma (HB, >80%), in which *DLK1/DIO3* locus induction is 54 correlated with CTNNB1 mutations. Here, we aim to decipher how sustained β-catenin 55 activation regulates DLK1/DIO3 locus expression and the role this locus plays in HB and HCC development in mouse models deleted for Apc (Apc<sup> $\Delta$ hep</sup>) or Ctnnb1-exon 3 ( $\beta$ -56 catenin<sup> $\Delta$ Exon3</sup>) and in human *CTNNB1*-mutated hepatic cancer cells. We identified an enhancer 57 site bound by TCF-4/β-catenin complexes in an open conformation upon sustained β-catenin 58 59 activation (DLK1-WRE) and increasing DLK1/DIO3 locus transcription in β-catenin-mutated 60 human HB and mouse models. DLK1-WRE editing by CRISPR/Cas9 approach impaired DLK1/DIO3 locus expression and slowed tumor growth in subcutaneous CTNNB1-mutated 61 tumor cell grafts, Apc<sup> $\Delta$ hep</sup> HB and  $\beta$ -catenin<sup> $\Delta$ Exon3</sup> HCC. Tumor growth inhibition resulted 62 either from increased FADD expression and subsequent caspase-3 cleavage in the first case, 63 64 or from decreased expression of cell cycle actors regulated by FoxM1 in the others. 65 Therefore, the DLK1/DIO3 locus is an essential determinant of FoxM1-dependent cell 66 proliferation during β-catenin-driven liver tumorigenesis. Targeting the DLK1-WRE enhancer 67 to silence the *DLK1/DIO3* locus might thus represent an interesting therapeutic strategy to restrict tumor growth in primary liver cancers with CTNNB1 mutations. 68

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#### 76 Introduction

77 The two most common primary liver tumors, hepatocellular carcinoma (HCC) in adults and hepatoblastoma (HB) in children, are both characterized by mutations in the Wnt/β-78 catenin pathway. Somatic point mutations in the CTNNB1 gene, encoding  $\beta$ -catenin, are 79 encountered in approximately 30% of HCC<sup>1</sup> and CTNNB1 exon 3 deletions occur in more 80 than 80% of HB.<sup>2,3</sup> CTNNB1 mutations prevent  $\beta$ -catenin phosphorylation and its subsequent 81 82 proteasomal degradation orchestrated by a complex containing APC, AXIN1, GSK3B, and 83 CK1. This leads to β-catenin stabilization and translocation into the nucleus, where, in 84 hepatocytes, it interacts primarily with TCF-4 before recruitment at Wnt responsive elements (WRE).<sup>4</sup> This recruitment allows the regulation of a specific gene repertoire acting on 85 metabolic and proliferative pathways.<sup>5,6</sup> To finely tune its gene repertoire,  $\beta$ -catenin is able to 86 cooperate with a plethora of histone modifiers and chromatin remodelers,<sup>7</sup> numbers of them 87 being mutated in HCC.<sup>8</sup> 88

89 In HB, additional mutations can also affect chromatin modifiers or long noncoding(lnc)RNAs produced from parentally imprinted clusters, such as H19 or MEG3.<sup>9,10</sup> The 90 91 DLK1/DIO3 locus encodes the largest cluster of non-coding(nc)RNAs, including 54 92 micro(mi)RNAs, several small nucleolar(sno)RNAs and lncRNAs (e.g., MEG3, MEG8/RIAN, 93 MEG9/MIRG) expressed from the maternal allele, but also encoding paternally-expressed 94 RNAs such as DLK1, RTL1 and DIO3 (Fig.1A). The expression of the DLK1/DIO3 locus is mainly regulated by methylation of three differentially methylated regions (DMRs), named 95 DLK1-, IG-, and MEG3-DMRs, with different regulatory functions.<sup>11</sup> This imprinted locus is 96 crucial for cell pluripotency<sup>12</sup> and liver metabolic adaptation.<sup>13</sup> RNAs produced from the 97 DLK1/DIO3 locus are frequently under-expressed in cancers,14 either under- or over-98 expressed in HCC,15,16 while DLK1/DIO3 locus induction in HB is associated with poor 99 prognosis<sup>17,18</sup> and *CTNNB1* mutations.<sup>18</sup> 100

101 In the present study, we decipher the molecular mechanisms whereby sustained  $\beta$ -102 catenin activation affects gene expression at the DLK1/DIO3 locus and the role that this 103 activation plays in the development of primary liver cancers. We used two mouse models that 104 develop HCC or HB following oncogenic activation of β-catenin signaling through either inducible and liver-specific loss-of-function of Apc (Apc<sup> $\Delta hep$ </sup>),<sup>5,19-22</sup> or deletion of *Ctnnb1-exon* 105 3 ( $\beta$ -catenin<sup> $\Delta$ Exon3</sup>).<sup>23</sup> Using *in vivo* CRISPR/Cas9 editing, we identified a new regulatory site 106 107 upstream of Meg3 (DLK1-WRE site) bound by oncogenic β-catenin/TCF-4 complexes and 108 responsible for *Dlk1/Dio3* locus induction. We also demonstrated the crucial pro-tumorigenic 109 role of the DLK1/DIO3 locus in the regulation of apoptosis and FoxM1-driven cell cycle 110 progression during liver carcinogenesis mediated by  $\beta$ -catenin in both mouse models and in 111 hepatic cancer cell lines.

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113 **Results** 

### The *Dlk1/Dio3* locus is induced after sustained β-catenin activation in mouse livers and tumors

116 Our team has created two mouse models that recapitulate liver cancer development with 117 sustained  $\beta$ -catenin activation, either Cre-Lox-based *Apc* excision (Apc<sup>Δhep</sup>) or CRISPR/Cas9 118 deletion of *Ctnnb1-exon 3* ( $\beta$ -catenin<sup>ΔExon3</sup>) (Fig.S1A-C).<sup>23</sup> In both models, two tumor types 119 can emerge from healthy livers: either well differentiated HCC similar to human G5-G6 HCC, 120 or poorly differentiated tumors close to human HB. Human tumors and mouse tumors from 121 both models share dysregulated transcriptional programs.<sup>23</sup>

122 RNAseq and small-RNAseq data showed that coding RNAs and ncRNAs within the 123 Dlk1/Dio3 locus were ones of the most significantly overexpressed RNAs in preneoplastic 124 Apc<sup> $\Delta$ hep</sup> hepatocytes compared to wild-type (wt) (Tables S1-S2).<sup>5,21</sup> Induction of *Meg3* and 125 miR-127 was confirmed by *in situ* hybridization in Apc<sup> $\Delta$ hep</sup> hepatocytes as well as in Apc<sup> $\Delta$ hep</sup>

and  $\beta$ -catenin<sup> $\Delta$ Exon3</sup> HCC and HB-like tumors (Fig.1B). Upregulation of *Mirg*, *Rian*, and miR-126 127 was confirmed by RT-qPCR in Apc<sup> $\Delta$ hep</sup> tumors (TUM) relative to adjacent non-tumor 127 tissues (NT) (Fig.1C). It was found stronger in HCC compared to HB (Fig.1D) in agreement 128 with the maintenance of metabolic targets in HCC harboring hepatocyte features.<sup>23,24</sup> The 129 locus induction appeared also higher in Apc<sup> $\Delta$ hep</sup> HCC compared to  $\beta$ -catenin<sup> $\Delta$ Exon3</sup> HCC 130 131 (compare Fig.1D/1E). It is also noteworthy that strong correlations between RNA expression 132 levels of *Rian*, *Mirg*, miR-127, and *Glul*, a canonical β-catenin target, were found both in Apc<sup> $\Delta$ hep</sup> (Fig.S2A) and  $\beta$ -catenin<sup> $\Delta$ Exon3</sup> tumors (Fig.S2B). In DEN-induced livers tumors 133 134 without *Glul* induction, the expression of *Rian*, *Mirg*, and miR-127 was not modified (Fig. 135 1F) and no correlation with *Glul* was observed (Fig. S2C).

Using a Cre-GFP adenovirus, we sorted GFP+ Apc<sup>Δhep</sup> hepatocytes during the earliest steps of liver tumorigenesis (Fig.S1D). RNA-seq data showed that all RNAs within the *Dlk1/Dio3* locus were induced between 6 and 15 days after *Apc* inactivation compared to non-activated GFP- hepatocytes (Fig.S3A), similarly to canonical β-catenin targets such as *Glul* or *Axin2* (Fig.S3B).

141 These results indicate that sustained  $\beta$ -catenin activation correlates with coordinated 142 upregulation of ncRNAs within the *Dlk1/Dio3* locus in preneoplastic hepatocytes and mouse 143 tumors.

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#### 145 TCF-4/β-catenin complexes bind upstream of *Meg3* promoting an enhancer activation

Our next objective was to decipher how β-catenin activation promotes Dlk1/Dio3 locus expression. Our ChIP-seq data targeting the β-catenin cofactor TCF-4 in Apc<sup>Δhep</sup> hepatocytes showed that TCF-4 bound upstream of *Meg3* to a site containing two canonical WRE motifs (named DLK1-WRE) (Fig.2A, Fig.S5A). TCF-4 binding was conserved in the human HepG2 cell line with activating *CTNNB1* mutations (public dataset GSM782122) (Fig.3A, Fig.S5H). 151 TCF-4 did not bind to the DLK1-WRE site in hepatocytes isolated from a mouse model invalidated for  $\beta$ -catenin ( $\beta$ -cat<sup> $\Delta$ hep</sup> in Fig. 2A). Impaired expression of non-coding RNAs 152 within the *Dlk1/Dio3* locus was subsequently noticed in  $\beta$ -cat<sup> $\Delta$ hep</sup> hepatocytes (Tables S1-S2). 153 154 ChIP-qPCR targeting DLK1-WRE site confirmed increased binding of both TCF-4 (Fig.2B) and  $\beta$ -catenin (Fig.S4A) in Apc<sup> $\Delta$ hep</sup> hepatocytes compared to wt hepatocytes. ATAC-seq 155 (Fig.2A) and ATAC-qPCR experiments (Fig.2C) indicated an open chromatin configuration 156 at the DLK1-WRE site in Apc<sup> $\Delta$ hep</sup> hepatocytes. Identical results were obtained from  $\beta$ -157 catenin<sup> $\Delta$ exon<sup>3</sup></sup> hepatocytes for TCF-4 binding and open chromatin conformation (Fig.S4B). 158

159 H3K4me1 and H3K27ac ChIP-qPCR experiments showed that these typical marks of enhancers were both found significantly increased at the DLK1-WRE site in Apc<sup> $\Delta$ hep</sup> and  $\beta$ -160 catenin<sup>Δexon3</sup> hepatocytes compared to wt hepatocytes (Fig.2D and S4B). No significant 161 162 differences were found for the H3K4me3 mark associated with transcriptionally active 163 chromatin at transcription start sites (Fig.S4C). Increased TCF-4 binding was also detected at the DLK1-WRE site in Apc<sup> $\Delta$ hep</sup> and  $\beta$ -catenin<sup> $\Delta$ exon3</sup> tumors compared to non-tumor tissues 164 165 (Fig. S4D). Remarkably, no modification in DNA methylation profiles and TCF-4 binding was observed at the Ig-DMR in Apc<sup> $\Delta$ hep</sup> hepatocytes compared to wt hepatocytes (Fig.S4E-166 F).<sup>22</sup> 167

Interestingly, HNF4 $\alpha$ , a transcriptional factor involved in hepatocyte differentiation, bound to the DLK1-WRE site in Apc<sup>Δhep</sup> hepatocytes and independently of TCF-4 binding since its binding was not affected in Apc<sup>Δhep</sup> DLK1<sup>ΔWRE</sup> hepatocytes (Fig.S4G). In addition, HNF4 $\alpha$ was not co-immunoprecipitated with TCF-4 at the DLK1-WRE site in sequential ChIP experiments (Fig.S4H). HNF4 $\alpha$  binding favored *Meg3* and *miR-127* expression since their expression was impaired in HNF4 $\alpha$ <sup>Δhep</sup> hepatocytes (Fig. S4I) - consistently with previous data.<sup>25</sup> HNF4 $\alpha$  binding could therefore contribute in the higher expression of the locus 175 observed in HCC (Fig.1C), in which HNF4 $\alpha$  expression is maintained in contrast to HB 176 (PRJEB44400 dataset).<sup>23</sup>

177 Globally, these results demonstrate that, upon sustained β-catenin activation, a DLK1-178 WRE site located upstream of *Meg3*, bound by TCF-4/β-catenin complexes, is in an open 179 configuration and marked by histone modifications typical of active enhancers. We can 180 assume that TCF-4/β-catenin binding at this site promotes the formation of an active enhancer 181 favoring transcription of the entire *Dlk1/Dio3* locus.

182 Besides its transcriptional role,  $\beta$ -catenin is able to bridge distal DNA regions by chromatin looping.<sup>7</sup> The tridimensional organization of the *DLK1/DIO3* locus is also known to be highly 183 184 dynamic according to its expression patterns during embryonic development.<sup>26,27</sup> We thus 185 investigated whether, following oncogenic TCF-4/β-catenin binding, the activation of the 186 putative DLK1-WRE enhancer could affect the tridimensional organization of the 187 DLK1/DIO3 locus. To this aim, we performed a 3C-qPCR analysis centered on the DLK1-188 WRE site and covering the region between DLK1 and miR-136. The relative contact 189 frequencies measured all along the locus allow to determine specific interactions: the higher 190 the frequency, the closer the DNA region is relative to the DLK1-WRE site (vertical blue bar 191 in Fig.2E). In wt hepatocytes (Fig.2E, green dots), six major regions are interacting with the 192 DLK1-WRE site: one located upstream of Dlk1, two regions in the vicinity of the DLK1-193 WRE site (sites 1 and 2), one site within the Ig-DMR (site 3), one within Meg3 (site 4) and one in the miR-136 region (site 6). In Apc<sup> $\Delta$ hep</sup> hepatocytes (Fig.2E, blue dots), binding of 194 195 TCF-4 to the DLK1-WRE site resulted in drastic DNA loop remodeling. Interactions with 196 sites 1, 2, and 4 were lost, while looping with the Ig-DMR (site 3) and the miR-136 region 197 (site 6) were reinforced. Remarkably, these two loops coincided with two open chromatin regions observed by ATAC-seq in Apc<sup> $\Delta$ hep</sup> hepatocytes (sites 3 and 6 highlighted in pink in 198 199 Fig.2A).

We conclude that, in Apc<sup> $\Delta$ hep</sup> hepatocytes, oncogenic  $\beta$ -catenin/TCF-4 complexes bound at the DLK1-WRE site largely modify 3D chromatin organization and favor interactions with coding regions located after the Ig-DMR rather than upstream regions. This important reorganization may thus contribute to upregulate the expression of the non-coding RNAs encoded in the downstream part of the *DLK1/DIO3* locus.

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#### 206 The DLK1-WRE regulatory site is conserved in human hepatoblastoma

207 Consistent with our observations in mouse models and as mentioned above, we identified a 208 TCF-4 binding site upstream of MEG3 in HepG2 cells, a human hepatoblastoma cell line 209 harboring a CTNNB1 deletion (Fig.3A and S5H) (GSM782122). Multiome single nucleus 210 ATAC-seq experiments conducted on samples from two HB patients harboring CTNNB1 211 mutations showed that the human DLK1-WRE site was in an open conformation in HB 212 compared to their paired adjacent non-tumor tissues (Fig.3A). The expression of miRNAs, as 213 well as lncRNAs and coding RNAs, from the DLK1/DIO3 locus was increased in both 20 214 CTNNB1-mutated HB (yellow and orange squares in Fig.3B) and 2 non-mutated HB (white 215 square in Fig.3B) compared to their paired adjacent non-tumor tissues (Table S3). 216 Interestingly, a strong correlation was observed between expressions of the different ncRNAs 217 (Fig.3C) – supporting the idea of a global induction of this region in human HB. 218 Overexpression of these ncRNAs was confirmed in an independent larger collection of 219 primary (n=83) and recurrent HB (n=17) (Fig.3D, Table S4), and regardless of the HB 220 subgroups (Fig.3E). In this collection containing a higher number of non-mutated HB (n=7), 221 we found that RIAN and DIO3OS expression was even significantly higher in CTNNB1-222 mutated HB compared to non-mutated HB, with the same tendency noticed for MEG3 (Fig.3F). Therefore, the upregulation of the DLK1/DIO3 locus appears to be associated with 223 224 chromatin opening at the DLK1-WRE site in human HB with CTNNB1 mutations.

#### 226 β-catenin/TCF-4 binding to DLK1-WRE is required for its optimal enhancer activity

227 To decipher if the DLK1-WRE site is the key region underlying  $\beta$ -catenin regulation, we 228 designed two small guide RNAs (sgRNAs) to remove the DLK1-WRE site by CRISPR/Cas9 editing (DLK1/DIO3<sup> $\Delta$ WRE</sup>) without potential off-targets (Fig.S5A). Then, sgRNAs were 229 230 integrated into plasmids containing the saCas9 sequence and inverted terminal repeats, allowing in vivo editing using AAV8 particles.<sup>28</sup> Once subcloned, these constructs were 231 232 validated in the murine Hepa1-6 cell line with *Ctnnb1* mutation: DNA editing in one stable 233 clone (Fig.S5A) was successfully associated with a decrease in *Rian*, *Mirg*, *Meg3*, and *Rtl1* 234 expression (Fig.S5B), as well as down-regulation in DLK1 protein level (Fig.S5C).

235 Once validated, we genetically edited the DLK1-WRE site in vivo with AAV8 constructs in Apc<sup> $\Delta$ hep</sup> mice, with the inactive Rosa26 locus as controls (Fig.S1A). As expected, DLK1-236 WRE editing was only detected in Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> hepatocytes but not in non-237 238 parenchymal cells (Fig.S5D-E). This resulted in a drastic decrease in all RNAs produced from the *Dlk1/Dio3* locus in both RNA-seq and RT-qPCR experiments in Apc<sup> $\Delta$ hep</sup>-239 DLK1/DIO3<sup> $\Delta$ WRE</sup> hepatocytes (Tables S5-S6, Fig.S5F), with no impact in wt livers (Fig.S5G). 240 Additionally, impairment of TCF-4 binding at the DLK1-WRE site in Apc<sup> $\Delta$ hep</sup>-241 DLK1/DIO3<sup> $\Delta$ WRE</sup> hepatocytes (Fig.2F) was associated with a decrease in both H3K4me1 and 242 H3K27ac marks (Fig.2G) and less chromatin opening (Fig.2H). 3C experiments in Apc<sup> $\Delta$ hep-</sup>-243 DLK1/DIO3<sup> $\Delta$ WRE</sup> hepatocytes showed that the 3D-chromatin organization at the DLK1-WRE 244 245 site got closer to that detected in wt hepatocytes: interactions between the DLK1-WRE site 246 and the site 2 were reinforced, while looping with the site 3 containing the Ig-DMR and the site 6 near miR-136 was lost (pink triangles in Fig.2E). 247

Altogether, DLK1-WRE site suppression by CRISPR/Cas9 editing *in vivo* indicates that TCF-4/ $\beta$ -catenin binding at the DLK1-WRE site drives its enhancer activity and the subsequent upregulation of the entire *Dlk1/Dio3* locus.

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# DLK1-WRE site editing in Apc<sup>∆hep</sup> hepatocytes primarily affects regulators of mitotic entry and progression

Phenotypically, Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> livers demonstrated significant decrease in the 254 number of Ki-67+ hepatocytes (Fig.4A) associated with a reduced hepatomegaly<sup>20</sup> (Fig.4B) 255 compared to Apc<sup> $\Delta$ hep</sup>-Rosa26 controls. Comparisons of RNA-seq data from Apc<sup> $\Delta$ hep</sup>-256 DLK1/DIO3<sup> $\Delta$ WRE</sup> versus Apc<sup> $\Delta$ hep</sup>-Rosa26 hepatocytes showed that, among the top 50 most 257 258 significantly dysregulated genes, several genes implicated in cell proliferation and division 259 were downregulated: Ccna2, Ccnb1, Kif20a, Kif20b, Ckap2, Top2a, Cdc2, Racgap1, Kif4a, 260 Hmmr, Nusap1, and Nuf2 (Table S7, some of which having been confirmed by RT-qPCR in Fig.4C). These genes were upregulated in  $Apc^{\Delta hep}$  hepatocytes compared to wt hepatocytes 261 (Table S8). Comparing Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> versus Apc<sup> $\Delta$ hep</sup>-Rosa26 hepatocytes, gene 262 263 ontology identified genes related to microtubule cytoskeleton, mitotic spindle, cytokinesin 264 and cyclin B1/CDK1 complex (Fig.4D-E) and STRING analysis unveiled a hub of genes 265 related to mitotic sister chromatid segregation and cyclin-associated events during G2/M 266 transition (Fig.4F).

FoxM1, a typical proliferation-associated transcription factor, is known to regulate the expression of genes involved in G2/M-transition and M-phase progression,<sup>29</sup> including *Kif20a, Ccna2, Cdc2,* or *Cenpf.* Therefore, we hypothesized that FoxM1 might be the keystone linking the *DLK1/DIO3* locus to proliferative actors. While we did not observe any difference in *Foxm1* expression (Fig.5A) or nuclear localization (Fig.5B) between all conditions, we noticed that FoxM1 binding was decreased at *Ccna2, Kif20a,* and *Cdc2*  273 promoters in Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> hepatocytes compared to Apc<sup> $\Delta$ hep</sup>-Rosa26 hepatocytes 274 (Fig.5C). We hypothesized that one lncRNA within the locus, either *Meg3*, *Rian*, or *Mirg* 275 could act as a guide for FoxM1 at these specific promoter regions. RNA immunoprecipitation 276 (RIP) experiments showed that *Meg3* was co-immunoprecipitated with FoxM1 in Apc<sup> $\Delta$ hep</sup>-277 Rosa26 hepatocytes, while this association was undetectable in Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> 278 hepatocytes (Fig.5D).

279 Altogether, these results indicate that the upregulation of the *DLK1/DIO3* locus, driven by 280 the  $\beta$ -catenin-dependent DLK1-WRE enhancer, favors FoxM1-mediated cell proliferation in 281 hepatocytes.

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## DLK1-WRE site editing impairs Apc<sup>Ahep</sup> HB and HCC growth through cell autonomous mechanisms and immune remodeling

We studied the effect of DLK1-WRE editing on Apc<sup> $\Delta$ hep</sup> and  $\beta$ -catenin<sup> $\Delta$ exon3</sup> tumor 285 286 development (Fig.S1B-C). At sacrifice, we obtained 50% HCC and 50% HB-like tumors 287 (Fig.6A and S6A). Around 50% of tumors were not edited at the DLK1-WRE site as an 288 expected consequence of the probability rule for concomitant invalidation of both Apc and the DLK1-WRE site in the same hepatocyte (Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup>WT</sup>) (Fig.6B and S6B). 289 Retrospective analysis of tumor areas obtained by ultrasonography follow-up<sup>21,22</sup> evidenced 290 that HB-like tumor growth was reduced for Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> subgroups (Fig.6C, 291 pink plots) compared to Apc<sup>Δhep</sup>-Rosa26 (Fig.6C, blue plots) and Apc<sup>Δhep</sup>-DLK1/DIO3<sup>WT</sup> 292 293 (Fig.6C, green plots) HB-like tumors.

The slower growth of  $Apc^{\Delta hep}$ -DLK1/DIO3<sup> $\Delta WRE$ </sup> HB-like tumors was associated with less Ki-67+ staining (Fig.6D). *Rian, Mirg, Mki67, Ccna2, Nuf2, Top2a, Kif20b, Ckap2, Cenpf*, and *Nusap1* were under-expressed in  $Apc^{\Delta hep}$ -DLK1/DIO3<sup> $\Delta WRE$ </sup> HB-like tumors compared to Apc<sup> $\Delta hep$ -Rosa26 HB-like tumors and, in most cases, compared to  $Apc^{\Delta hep}$ -DLK1/DIO3<sup>WT</sup> HB-</sup> 298 like tumors (Fig.6E-F). Moreover, *Axin2* was also decreased in Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> HB-299 like tumors compared to Apc<sup> $\Delta$ hep</sup>-Rosa26 HB-like tumors (Fig.6F), which probably reflects 300 decreased  $\beta$ -catenin signaling in these tumors.

In both Apc<sup> $\Delta$ hep</sup> and  $\beta$ -catenin<sup> $\Delta$ exon<sup>3</sup></sup> HCC (Fig.S6C and S7C, respectively), we observed a 301 decrease in tumor growth for DLK1/DIO3<sup>ΔWRE</sup> HCC (pink/purple plots) compared to Rosa26 302 303 HCC (blue plots). DLK1-WRE editing was associated with lower Ki-67 staining (Fig.S7C) and decreased *Mki67*, *Top2a*, and *Kif20b* expression levels (Fig.S7D) in  $\beta$ -catenin<sup> $\Delta$ exon3</sup>-304 DLK1/DIO3<sup> $\Delta$ WRE</sup> HCC in agreement with the significant reductions in *Rian*, *Mirg*, and miR-305 127 expressions (Fig.S7E). In Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> HCC, DLK1-WRE editing in the 306 307 tumors tended to reduce Rian and Mirg expression (Fig.S6D), in a non-significant manner probably because of the higher basal level observed in Dlk1/Dio3 locus expression in Apc<sup> $\Delta$ hep</sup> 308 309 HCC (Fig.1C and E). This mild repression of the Dlk1/Dio3 locus was associated with 310 comparable number of Ki67+-cells (Fig.S6E) and Mki67, Ccna2, Top2a, and Kif20a expression levels (Fig.S6F). However, Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup>WT</sup> tumor size and growth were 311 significantly impaired compared to  $Apc^{\Delta hep}$ -Rosa26 tumors (Fig.S6C, green plot). 312 Unfortunately, we could not obtain  $\beta$ -catenin<sup> $\Delta$ exon<sup>3</sup></sup>-DLK1/DIO3<sup>WT</sup> HCC to confirm this 313 314 observation, as a consequence of the concomitant injection and subsequent co-entry of CRISPR/Cas9 constructs into hepatocytes. By comparing Apc<sup> $\Delta$ hep</sup> and  $\beta$ -catenin<sup> $\Delta$ exon3</sup> models, 315 316 we nevertheless observed significant differences in Mki67, Ccna2, and Top2a expression, which were drastically higher in  $\beta$ -catenin<sup> $\Delta$ exon3</sup> non-tumor tissues compared to Apc<sup> $\Delta$ hep</sup> non-317 318 tumor tissues (Fig.S7F). This could suggest that the proliferative index of the adjacent non-319 tumor tissue could also influence β-catenin-driven HCC growth and efficacy of DLK1-WRE 320 editing.

321 Next, since oncogenic  $\beta$ -catenin is known to trigger an inflammatory response associated 322 with a significant infiltration of immune cells in Apc<sup>Ahep</sup> livers,<sup>30</sup> we analyzed immune cell 323 proportions by flow cytometry. In both Apc<sup>Δhep</sup> and β-catenin<sup>Δexon3</sup> DLK1/DIO3<sup>ΔWRE</sup> tumors, 324 monocyte infiltration was reduced as compared to Rosa-26 controls (Fig.S8A and C), in 325 association with decreased expression in *Ccl2*, *Ccl5* and *Csf1* mRNAs, encoding three 326 chemokines essential for monocyte recruitment (Fig. S8B and D). This suggest that the 327 immune response fostered by oncogenic β-catenin signaling could arise in part downstream 328 from the activation of the *DLK1/DIO3* locus, and potentially contribute to its tumor-329 promoting effect.

Altogether, our results show that  $\beta$ -catenin-driven HB growth is mainly dependent on the level of activation of the *DLK1/DIO3* locus in the tumor itself while  $\beta$ -catenin-driven HCC growth seems to be affected by the *DLK1/DIO3* level, not only in the tumor, but also in the surrounding tissue. They also point to the activation of the *DLK1/DIO3* locus as a key intermediate in the oncogenic  $\beta$ -catenin-dependent infiltration of monocytes in the tumors.

335

### 336 Editing of the DLK1-WRE site impairs the pro-tumorigenic capacities of human and 337 mouse hepatic cancer cell lines harboring β-catenin-mutations

338 Finally, we investigated the impact of DLK1-WRE editing in transformed cell lines from mouse and human hepatic cancers harboring  $\beta$ -catenin mutations. The DLK1/DIO3<sup> $\Delta$ WRE</sup> 339 340 Hepa1-6 clones, characterized in Fig.S5A-C, were less proliferative than the Rosa26 clones (Fig.7A). This was accompanied by a significant increase in the number of DLK1/DIO3 $^{\Delta WRE}$ 341 342 cells in G2/M phase (Fig.7B) together with a decrease in cyclin B1 protein level, a protein required for mitotic initiation (Fig.7C). As observed in Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> hepatocytes 343 344 (Fig. 5D), RIP experiments showed that Meg3 co-immunoprecipitation with FoxM1 was decreased in Hepa1-6-DLK1/DIO3<sup> $\Delta$ WRE</sup> clones compared to Hepa1-6-Rosa26 clones (Fig. 345 7D). Consistent with *in vitro* data, Hepa1-6-DLK1/DIO3<sup> $\Delta$ WRE</sup> clones also exhibited decreased 346 tumorigenic capacity compared to Hepa1-6-Rosa26 clones after subcutaneous allografting 347

into Nu/Nu mice. Tumor progression was significantly slower for Hepa1-6-DLK1/DIO3 $^{\Delta WRE}$ 348 349 clones (Fig.7E) with 2.6-fold lower mean tumor volume and 4-fold lower weight at the time of sacrifice (Fig.7F). Impaired DLK1/DIO3<sup> $\Delta$ WRE</sup> tumor progression in Nu/Nu mice was 350 351 consistent with less Ki-67+ staining (Fig.7G) and more cells harboring cleaved caspase-3 352 (Fig.7H) compared to Rosa26 tumors. According to Targetscan and Dianalab algorithms, 353 FADD, a pro-apoptotic actor, is a potential target of miR-134 produced within the *DLK1/DIO3* locus. We found that Fadd was increased in DLK1/DIO3<sup> $\Delta$ WRE</sup> Hepa1-6 tumors at 354 355 both the mRNA and protein level (Fig.7I-J), and could favor caspase 3 cleavage.

356 The active DLK1-WRE site being conserved in human hepatic cell lines and HB tumors (Fig.3A and S5H), we also generated DLK1/DIO3<sup> $\Delta$ WRE</sup> clones from the Huh6 cell line, a 357 358 human hepatoblastoma cell line with a CTNNB1 mutation (Fig.S5H). Editing of the DLK1-WRE site led to reduced expression of RIAN, MIRG, MEG3, and RTL-1 (Fig.S5I). 359 Proliferation of DLK1/DIO3<sup> $\Delta$ WRE</sup> Huh6 clones was slower than those of control clones 360 (Fig.8A). In line, a significant increase in the number of DLK1/DIO3<sup> $\Delta$ WRE</sup> cells in G2/M 361 phase was noticed (Fig.8B). DLK1/DIO3<sup> $\Delta$ WRE</sup> clones had a significantly reduced capacity to 362 grow as spheroids (Fig.8C). In vivo, DLK1/DIO3<sup>ΔWRE</sup> Huh6 clones exhibited decreased 363 tumorigenic capacity compared to control clones after subcutaneous xenografting into Nu/Nu 364 365 mice (Fig.8D). A 4-fold lower mean tumor volume and weight were observed at sacrifice (Fig.8D-E). DLK1/DIO3<sup> $\Delta$ WRE</sup> Huh6 tumors displayed a reduced number of Ki67+ cells 366 (Fig.8F) and decreased MKI67 expression (Fig.8G). Importantly, as previously observed in 367 mouse Apc<sup> $\Delta$ hep</sup> hepatocytes (Fig.5C), FOXM1 was found to bind CCNA2, CDC2 and KIF20A 368 369 promoters in human Huh6 clones (Fig.8H, blue squares), but was not recruited in DLK1/DIO3<sup>ΔWRE</sup> Huh6 clones (Fig.8H, pink dots). DLK1/DIO3<sup>ΔWRE</sup> Huh6 tumors also 370 371 displayed a higher number of cells harboring cleaved caspase-3 (Fig.8I) and increased FADD mRNA and protein levels (Fig.8J-K). In both DLK1/DIO3<sup>ΔWRE</sup> Hepa1-6 (Fig.7K) and Huh6 372

373 grafted tumors (Fig.8L), *GLUL* expression was decreased, in agreement with inhibition of  $\beta$ -374 catenin signaling in DLK1/DIO3<sup> $\Delta$ WRE</sup> tumors, as previously noticed for mouse HB-like tumors 375 (Fig.6F).

376 In conclusion, the *DLK1/DIO3* locus contributes to the pro-tumorigenic capacities of 377 transformed human hepatic cancer cells harboring  $\beta$ -catenin mutations by enhancing their 378 proliferation through the FOXM1 axis and by decreasing FADD-dependent apoptotic 379 programs both *in vitro* and *in vivo*.

380

#### 381 Discussion

382 Imprinted loci play major roles in cellular plasticity and cell reprogramming during cancer. 383 More particularly, the DLK1/DIO3 locus, also known as the 14q32.2 cluster, is crucial for cell proliferation and metabolic adaptation in the liver.<sup>13</sup> In human and mouse HB, we found that 384 385 RNAs within the DLK1/DIO3 locus are highly expressed and correlated with oncogenic activation of  $\beta$ -catenin,<sup>3,31</sup> as reported by others.<sup>18</sup> Here, we have identified a regulatory site, 386 387 existing in mouse and human, responsible for the DLK1/DIO3 locus induction driven by 388 oncogenic TCF-4/β-catenin complexes and unveiled its functional impact on cell proliferation 389 and apoptosis (Fig.S9). We have also shown that dysregulation of the *Dlk1/Dio3* locus occurs 390 in mouse HCC emerging from healthy livers. Importantly, we have demonstrated that part of 391 the oncogenic role of the DLK1/DIO3 locus involves the DLK1-WRE enhancer site in vivo. 392 Altogether, our work provides strong arguments for the therapeutic benefit of a targeted 393 repression of the DLK1-WRE enhancer.

In our study, we have identified the regulatory region activated in case of sustained  $\beta$ catenin activation during the very early steps of liver tumorigenesis and determined how this region promotes the *DLK1/DIO3* locus transcription. This transcriptional regulation requires the binding of oncogenic  $\beta$ -catenin/TCF-4 complexes at the DLK1-WRE site, which then

398 becomes an active enhancer. This site is also engaged in chromatin remodeling and long-399 range chromatin interactions to bridge the enhancer region with other regulatory sites at the 400 Ig-DMR and in downstream regions. This DNA looping role for  $\beta$ -catenin/TCF-4 complexes 401 echoes two works published by Yochum *et al.* showing that  $\beta$ -catenin coordinates chromatin 402 looping at an enhancer site upstream of MYC, a canonical  $\beta$ -catenin target in colon cancer.<sup>32,33</sup> Furthermore, this regulatory mechanism is also reminiscent of chromatin looping 403 404 and enhancer-promoter bridging as a way to escape silencing for the imprinted DLK1/DIO3 locus.<sup>34</sup> Determining the allele of origin for the regulatory functions that we unveiled at the 405 406 DLK1-WRE site need to generate hybrids of our transgenic mice. Nevertheless, we did not find any change in DNA methylation status at the Ig-DMR region in Apc<sup> $\Delta$ hep</sup> hepatocytes, 407 408 which is rather in favor of an absence of imprinting loss.

409 Besides increasing knowledge on the regulation of the DLK1/DIO3 locus, our editing 410 strategy has also deciphered the pro-tumorigenic events subsequent to its activation by  $\beta$ -411 catenin signaling. Using a CRISPR/Cas9 strategy in mouse, we showed that editing of the 412 DLK1-WRE site inhibited HB and HCC growth in healthy livers. Nevertheless, the antitumor activity of DLK1-WRE editing was the strongest in Apc<sup> $\Delta$ hep</sup> HB-like tumors. We also 413 observed a decrease in HCC growth, notably in  $\beta$ -catenin<sup> $\Delta$ exon3</sup> HCC with moderate activation 414 of the *Dlk1/Dio3* locus. In Apc<sup> $\Delta$ hep</sup> HCC, it appears that tumor growth is highly dependent on 415 416 Dlk1/Dio3 locus expression in the tumor microenvironment: inhibition of tumor growth of Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup>WT</sup> HCC is similar to that of Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> HCC, arguing that 417 418 an impairment of the DLK1/DIO3 locus in non-tumor tissues could also modulate HCC 419 progression. HCC is the paradigm of inflammation-associated cancer emerging on cirrhotic 420 livers in 80% of cases. The DLK1/DIO3 locus expression has been found to be upregulated in response to several types of stresses, particularly in hepatocytes under metabolic disorders<sup>35</sup> 421 and lipid overload<sup>36</sup>. We have exploited public RNA-seq datasets generated on samples from 422

patients with diseased livers at high risk of HCC (GSE126848 and GSE142530). 423 424 Interestingly, we found that the expression of *RTL1*, within the locus, and *AXIN2*, a canonical 425 target of β-catenin, was upregulated during alcoholic hepatitis and metabolic dysfunctionassociated steatohepatitis (MASH). This presumes an activation of  $\beta$ -catenin signaling in 426 427 diseased livers, as reported by other studies showing that CTNNB1-mutated HCC are significantly over-represented under MASH context.<sup>37,38</sup> These results are also in agreement 428 with data obtained by others in db/db and ob/ob mice<sup>36,39</sup>, as well as data generated in our lab 429 430 with mice fed with choline-deficient diet and methionine-choline deficient diets, showing an 431 increased expression in Mirg, Rian, and Meg3 (unpublished data).

432 Besides their roles in hepatocytes, the dysregulation of ncRNAs within the locus has also been reported in immune cells during inflammation, particularly in macrophages.<sup>40,41</sup> Here, 433 we exemplified that DLK1-WRE editing in both Apc<sup> $\Delta$ hep</sup> and  $\beta$ -catenin<sup> $\Delta$ exon3</sup> tumors impaired 434 435 monocyte infiltration and expression of Ccl2, Ccl5 and Csf1 encoding chemokines essential for monocyte recruitment. This could open new perspectives regarding the role played by the 436 437 locus in the control of immune cell populations, with the underlying molecular mechanisms 438 remaining to be deciphered. This also reinforces the interest to impair locus expression during 439 chronic liver diseases preceding HCC.

Our data obtained on  $DLK1/DIO3^{\Delta WRE}$  hepatocytes and transformed hepatic cell lines 440 441 revealed that inhibition of cell proliferation and tumor progression was associated with a 442 decrease in the mRNA levels of several actors involved in cytokinesis and G2/M phase, the cell phase during which the levels of  $\beta$ -catenin rise to a peak.<sup>42</sup> Interestingly, a hub between 443 444 cell cycle actors and miRNAs within the DLK1/DIO3 locus has also been inferred by bioinformatics analysis of HB datasets.<sup>43</sup> Here, our study supports that the proliferative 445 impact of the *DLK1/DIO3* locus in preneoplastic hepatocytes is dependent on the  $\beta$ -catenin-446 447 driven DLK1-WRE enhancer and the subsequent redistribution of FoxM1 at the promoters of cell cycle actors. *Meg3* appears as a potential guiding partner for FoxM1 at these promoters to regulate their transcription. FOXM1 is involved in the progression of several cancers including hepatoblastoma<sup>44</sup> and compounds inhibiting FOXM1 have recently demonstrated encouraging antitumor activities.<sup>45</sup> This could open new innovative treatment strategies against FOXM1 as an alternative target for the treatment of *CTNNB1*-mutated cancers.

453 Altogether, our results unveil how a sustained activation of  $\beta$ -catenin signaling can 454 remodel the epigenetic and chromatin landscape of one of its key oncogenic targets with a 455 subsequent effect on proliferative gene signatures. We put forward the idea that targeting the 456 DLK1-WRE site represents a potent strategy to specifically repress the DLK1/DIO3 locus in 457 liver tumors harboring  $\beta$ -catenin mutations. Regarding the crucial role of  $\beta$ -catenin in tissue 458 homeostasis and repair after injury, many drugs targeting this pathway have failed in 459 treatments because of their toxicities. Targeting downstream events, as we have outlined here 460 with the *DLK1/DIO3* locus, appears as a promising option. This could be performed by gene 461 editing therapies with zinc-finger nucleases, which gave promising results in haemophilia B 462 (NCT02695160 ongoing). The development of in silico approaches to predict genome wide 463 off-targets will open up new horizons for gene editing therapy, which could benefit to our 464 proposed strategy against the DLK1/DIO3 enhancer in particular in HB, but also probably 465 during chronic liver diseases and HCC.

466

#### 467 Material and methods

468 In vivo CRISPR/Cas9 design and gene editing analysis.

469 Small guides RNAs (sgRNAs) against the DLK1-WRE site were designed as previously for 470 β-catenin<sup>ΔExon3</sup> (Table S9).<sup>23</sup> DNA were extracted from edited cells, livers and tumors and 471 analyzed as previously (Table S9).<sup>23</sup> PCR products were run on E-Gel 2% for 10 minutes 472 (Thermofischer, Waltham, MA) and bands quantified with ImageJ.

#### 474 Murine models

The Apc<sup>lox/lox</sup> model was edited for the DLK1-WRE site with 3.6x10<sup>11</sup> Vg of sg2 plus 475 1.9x10<sup>11</sup> Vg of sg5 (DLK1/DIO3<sup> $\Delta$ WRE</sup>), one month before  $\beta$ -catenin activation as reported 476 elsewhere.<sup>23</sup> Intraperitoneal injection of 2mg tamoxifen (MP Biomedicals, Irvine, CA) in 477  $Apc^{lox/lox}$  TTR-Cre mice resulted in Apc deletion in  $\geq 90\%$  of hepatocytes for preneoplastic 478 studies six days post-injection (pretumoral Apc<sup>Δhep</sup> model, Fig.S1A).<sup>19-21</sup> A unique injection 479 of 1x10<sup>9</sup>Vg of an Ad5-Cre-GFP adenovirus led to tumors within 4-6 months,<sup>20</sup> which were 480 481 monitored by 2D-ultrasound (Vevo 2100, Visualsonics, Toronto, Canada), as previously published (Fig. S1B).<sup>21</sup> The tamoxifen procedure to inactivate  $\beta$ -catenin in mice carrying a 482 biallelic floxed *Ctnnb1* gene with loxP sites located between exons 2 and 6 and a TTR-Cre<sup>Tam</sup> 483  $(\beta cat^{\Delta hep})$  was reported elsewhere.<sup>5,46,47</sup> Diethylnitrosamine (DEN)-induced livers tumors were 484 obtained by intraperitoneal injection of 0.25mg DEN (Sigma Aldrich, Saint-Louis, MO) in 485 14-day-old male mice. The HNF4 $\alpha^{\Delta hep}$  model was obtained as described earlier.<sup>48,49</sup> 486 For  $\beta$ -catenin<sup> $\Delta$ Exon3</sup> model, AAV8 against the DLK1-WRE site and the exon 3 of *Ctnnb1*<sup>23</sup> 487

488 were concomitantly administered and mice were monitored as described above (Fig. S1C).

489 Kinetic hepatocyte sorting was performed after retro-orbital injection of  $1.5 \times 10^9$  particles of

Ad5-Cre-GFP adenovirus, liver perfusion with collagenase as described previously<sup>21</sup> and GFP
sorting on an ARIA3 (BD, Franklin Lakes, NJ) (Fig.S1D).

492 Subcutaneous allografts were performed with  $2x10^6$  cells on both flanks from 5-weeks old 493 female Nu/Nu nude mice as reported elsewhere.<sup>50</sup> All animal procedures were carried out 494 according to French legal regulations and approved by an ethical committee (agreements 17-495 082, 14009 and 16420).

496

497 Human samples

498 For HB cohort 1 (N=22, Table S3), RNA-seq was performed on total RNA extracted from 499 tumoral and adjacent non-tumor tissues surgically resected from 22 patients using the 500 mirVana kit (Thermofischer, Waltham, MA) according to the supplier's protocol. The RNA 501 integrity number was evaluated by Agilent 2100 Bioanalyzer. Two µg were used for 502 generation of each small RNA library with an Illumina TruSeg®Small RNA Sample Prep Kit 503 according to standard protocol (Illumina, San Diego, CA). Single read 50 nt sequencing was 504 performed by MGX-Montpellier GenomiX platform on an Illumina HiSeq 2000 using the 505 Sequence By Synthesis technique. Adapter sequences were trimmed from small RNA reads 506 using the Cutadapt (version 1.4.1) tool [http://code.google.com/p/cutadapt/], retaining reads of 507 the size 16-25 nt. Reads were then mapped to the human hairpin sequences (mirBase version 508 21) with Bowtie (v1.0). The number of reads mapping in the sense orientation to each hairpin 509 in each patient was used as an input for further analysis. Data were analyzed using DESeq2 package in R studio. The miRNA expression file was loaded in format .txt to obtain a matrix 510 511 with the value in i-th row corresponding to miRNA names and value in j-th column corresponding to patient samples. We followed the DESeq2 manual,<sup>51</sup> performed differential 512 miRNA expression analysis (DE) and produced output files including heatmaps and tables. 513 514 After the DE analysis, DESeq2 produces a set of values: base mean, log2 fold change and 515 adjusted pvalue for each miRNA between tumoral and non-tumor samples. Once exported, 516 our data were classified in an excel file and analyzed with the help of miRBase and UCSC 517 Browser [https://genome.ucsc.edu/].

518 For the HB cohort 2 (N=100, Table S4), RNA sequencing was performed as previously 519 described.<sup>3,52,53</sup> Gene expression levels were calculated using the variance stabilizing 520 transformation (VERSUST) and the raw count matrix. Gene expression-based classification 521 of HB was done as previously described.<sup>3</sup> Statistical analysis and data visualization were performed using R software version 3.6.1 (R Foundation for Statistical Computing, Vienna,
Austria. https://www.R-project.org) and Bioconductor packages.

524 RNAseq data from a collection of livers with varying degrees of non-alcoholic fatty liver 525 disease compared with healthy livers from normal-weight individuals (GSE126848) and liver 526 biopsies from 28 patients with alcoholic hepatitis, cirrhosis compared to healthy controls 527 (GSE142530) were retrieved with GEO and analysed using the R package DESeq2 (v.1.38).<sup>51</sup>

528 *Cell culture* 

529 Hepatocytes were isolated four days after tamoxifen injection in  $Apc^{lox/lox}$  TTR-Cre mice and 530 maintained at 37°C in a humidified atmosphere containing 5% CO<sub>2</sub> as reported elsewhere.<sup>21</sup>

Hepa1-6 cells were obtained from the American Type Culture Collection and Huh6 cells from C. Perret's lab.<sup>1</sup> They were grown in DMEM medium supplemented with 10% fetal bovine serum (FBS) and 50U/mL penicillin-streptomycin at 37 °C in a humidified atmosphere containing 5%  $CO_2$  (Thermofischer, Waltham, MA). Stable clones were obtained following co-transfections of sgRNA- and pmax-GFP plasmids, GFP-based sorting (ARIA3) and amplification of selected clones.

537

#### 538 Proliferation/ Cell cycle analysis

Cell proliferation was measured on 8,000 cells with the xCELLigence system (Agilent, Santa Clara, CA) (N=3), as previously described.<sup>21</sup> Cell cycle analysis was performed on  $5x10^5$  synchronized cells (with 24h FBS deprivation for Huh6 cells and 10µg/mL colchicine for Hepa1-6 cells), fixed 48h later in PBS-20%/Ethanol-80% at -20°C for 15min and stained with FxCycle PI/RNAse solution (Thermofischer, Waltham, MA) for 30 min at room temperature before analysis (Fortessa, BD).

545

546 Sphere formation assay

One thousand Huh6 clones were grown during 14 days onto ultra-low attachment 6-well
plates (Corning, Corning, NY) in DMEM/F12 medium supplemented with B27, 20ng/mL
EGF, 20ng/mL basic FGF and 100µg/mL gentamycin (Thermofischer, Waltham, MA) (N=3).

000

551 RNA extraction and RT-qPCR

Levels of miRNAs and mRNAs were determined on total RNA extracted with Trizol reagent
(Thermofischer, Waltham, MA), as previously reported (Table S9).<sup>21</sup>

554

555 *Quantitative chromosome conformation capture (3C-qPCR)* 

3C-qPCR experiment was adapted from <sup>26,54</sup> and conducted on 5 million nuclei isolated from 556 557 hepatocytes lysed in homogenization buffer with an ultraturrax during 20min on ice and 558 centrifuged for 1h at 20,000xg. 3C assays were performed with EcoRI digestion, product ligation and secondary XbaI digestion (N≥3).<sup>26</sup> Sample purity and DNA content were 559 determined with internal primers against Gapdh and digestion efficiency with three primer 560 sets (Table S9). For all 3C experiments, gPCR primers used were as previously published 561 562 with the anchor F16 located at the beginning of the DLK1-WRE site and thus not affected by the CRISPR/Cas9 constructs used.<sup>55</sup> Their efficiencies were determined on equimolar 563 564 amounts of 3C-ligation products generated from BAC RPCI-23 clone 117C15 (Thermofischer, Waltham, MA) covering the genome segment between DLK1 and miR-136 565 genes. 3C data were normalized to the "Basal Interaction Level" using the previously 566 published algorithm.<sup>26</sup> 567

568

569 ATAC-seq and ATAC-qPCR

570 50,000 isolated hepatocytes were transposed for 30min in 50μL reaction mix containing
571 4.5μL transposase (kit #FC-121-103, Illumina, San Diego, CA) and 0.1% digitonin (adapted

from <sup>56</sup>) and the initial protocol was followed after transposition <sup>57</sup> for ATAC-seq and ATACqPCR experiments (Table S9). For liver samples, omni-ATAC-seq was performed on 50,000 nuclei, isolated as for 3C assays, according to Corces *et al.*<sup>58</sup> in 50 $\mu$ L reaction mix with 2.5 $\mu$ L transposase, 0.01% digitonin and 0.1% Tween20 for 30min. The following steps were according to the initial protocol.<sup>57</sup>

577 Libraries were controlled using a 2100 Bioanalyzer. and an aliquot of each library was 578 sequenced at low depth onto a MiSeq platform to control duplicate level and estimate DNA 579 concentration. Each library was then paired-end sequenced  $(2 \times 100 \text{ bp})$  on a HiSeq 580 instrument to get 40 million read pairs on average. As ATAC-seq libraries are composed in 581 large part of short genomic DNA fragments, and in order to reduce costs, we sequenced our 582 recent libraries on a Nextseq instrument (2 x 38bp). Our analysis showed that reducing read 583 length to 38bp does not affect mapping efficiency. Reads were first cleaned using 584 trimmomatic (removing of adaptors and low-quality bases). Trimmed reads were then aligned 585 to the mouse genome (mm9) using Bowtie2 with the parameter -X2000, and with 2-586 mismatches permitted in the seed (default value). The -X2000 option allows the fragments < 587 2kb to align. Duplicated reads were removed with picard-tools. Resulted bam datasets were 588 then converted to BigWig, a coverage track adapted to visualize datasets in UCSC Genome 589 Browser or IGV. Conversion was performed using bamCoverage command from deepTools 590 with the parameters -- binSize 10 -- normalizeUsing RPKM -- extendReads. The parameter --591 normalizeUsing RPKM is used to normalize each dataset. We selected the normalization 592 method based on RPKM (Reads Per Kilobase per Million mapped reads), which calculates the 593 number of reads per bin / number of mapped reads (in millions). The parameter --extendReads 594 allows the extension of reads to fragment size. The default value is estimated from the data 595 (mean of the fragment size of all mate reads).

In human HB, Multiome approach was performed by Integragen SA (Evry, France) on matched non-tumor livers (n=2) and hepatoblastomas (n=3) of two patients, according to the commercial "Chromium Single Cell Multiome ATAC + Gene Expression" protocol. We used 10X Genomics Cell Ranger ARC 2.0.0 to align snATAC-seq reads to the human genome (GrCh38/hg38) (Roerhig *et al.* in revision).

601

602 Chromatin Immunoprecipitation (ChIP) and RNA immunoprecipitation (RIP)

603 ChIP was performed as previously described<sup>21</sup> on  $25\mu g$  chromatin with  $30\mu l$  protein 604 A/G(v/v)-dynabeads with antibodies of interest (Table S10). For tumors, samples were 605 homogenized with an ultra-turrax in 1% formaldehyde for 1 min. For RIP experiments, beads 606 were reverse crosslinked before RNA isolation by Trizol (Thermofischer, Waltham, MA).

607

#### 608 RNA-seq/smallRNA-seq

609 RNA-seq and small-RNAseq were performed on 1µg total RNA extracted from Apc<sup> $\Delta$ hep-</sup> 610 ROSA26 versus DLK1/DIO3<sup> $\Delta$ WRE</sup> hepatocytes (N≥4) respectively with TruSeq Stranded after 611 ribodepletion and TruSeq Small RNA and sequenced with Nextseq 500 (150b) (Illumina, San 612 Diego, CA).

613 Fastq files were then aligned using STAR algorithm (version 2.7.6a), on the Ensembl Mus 614 musculus GRCm38 reference release 96. Reads were then count using RSEM (v1.3.1) and the 615 statistical analyses on the read counts were performed with R (version 3.6.3) and the DESeq2 616 package (DESeq2 1.26.0) to determine the proportion of differentially expressed genes 617 between two conditions. We used the standard DESeq2 normalization method (DESeq2's 618 median of ratios with the DESeq function), with a pre-filter of reads and genes (reads 619 uniquely mapped on the genome, or up to 10 different loci with a count adjustment and genes 620 with at least 10 reads in at least 3 different samples). Following the package recommendations, we used the Wald test with the contrast function and the Benjamini-Hochberg FDR control procedure to identify the differentially expressed genes. R scripts and parameters are available on GitHub (<u>https://github.com/BSGenomique/genomic-rnaseq-</u> <u>pipeline/releases/tag/v1.0420</u>). For miRNA-Seq data analysis, Fastq files were uploaded on Qiagen geneglobe analysis software for alignment and counting. Then, UMI matrix were used as raw data for our R & DESeq2 pipeline.

627 Kinetic RNAseq was performed on HiSeq4000 in paired-end on at least three independent 628 samples of sorted GFP+  $Apc^{\Delta hep}$  hepatocytes at day 6, 15, 21 after injection compared to GFP-629 hepatocytes.

630

631 Immunostaining/In situ hybridization

632 Paraffin-embedded liver sections were treated and labeled as previously<sup>21,23</sup> with antibodies
633 and probes of interest (Tables S9-S10).

634

635 Western-blot

636 Experiments were conducted on 20µg total proteins as reported elsewhere (Table S10).<sup>21</sup>

637

638 Flow cytometry

Livers and tumors were minced with scissors in DMEM containing collagenase IV (2.5 mg/mL, Sigma Aldrich, Saint-Louis, MO) and incubated for 30 min at 37°C. Cell suspensions were passed through a 100µm filter and stained with appropriate antibodies for 30 min on ice as previously (Table S10).<sup>59</sup> Data were acquired on a BD LSR Fortessa flow cytometer (BD Franklin Lakes, NJ) and analyzed with FlowJo software. Absolute cell count was calculated as previously with nonfluorescent beads and expressed as a number of cells per milligram of tissue. <sup>59</sup>

#### 647 Statistical analysis

We assessed the significance of differences between two groups of samples using Mann-Whitney tests and between three groups of samples using Kruskal-Wallis. p<0.05 was considered statistically significant. For human samples, difference in gene expression levels, in two or more than two groups, was tested using Wilcoxon or Kruskal-Wallis tests, respectively. Correlation analysis was performed using Pearson r correlation when both variables were normally distributed with the assumptions of linearity and homoscedasticity or Spearman's rank-order correlation.

655

#### 656 Data availability

657 All data were deposited on GEO: RNAseq and smallRNAseq comparing  $Apc^{\Delta hep}$ 658 ROSA/DLK1/DIO3<sup> $\Delta WRE$ </sup> hepatocytes on GSE206262, ATAC-seq on GSE211930, kinetic 659 RNAseq on GSE210482), MeDIP-seq on GSE239777. Others have been previously published 660 (Project: PRJNA150641 in ENA).<sup>5,21,23</sup>

661

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681

#### 682 Author contributions

683 Julie Sanceau: investigation, formal analysis, methodology, validation, writing - original 684 draft; Lucie Poupel: investigation, formal analysis, methodology, validation; Camille Joubel: 685 formal analysis, investigation, validation; Isabelle Lagoutte: investigation; Stefano Caruso: 686 formal analysis; Sandra Pinto: investigation, Christèle Desbois-Mouthon: investigation; 687 Cécile Godard: investigation, methodology; Akila Iddir: investigation; Enzo Montmory: 688 investigation, Cécile Dulary: investigation, Sophie Chantalat: investigation, methodology, 689 formal analysis, Amélie Roehrig: investigation, formal analysis; Kevin Muret: formal 690 analysis; Benjamin Saint-Pierre: formal analysis, Jean-François Deleuze: funding acquisition, 691 Sophie Mouillet-Richard: resources, formal analysis; Thierry Forné: methodology, formal 692 analysis, writing- original draft; Christophe F. Grosset: resources, formal analysis, Jessica 693 Zucman-Rossi: resources; Sabine Colnot: conceptualization, funding acquisition, supervision

694	and Angélique Gougelet: investigation, formal analysis, methodology, validation, writing -
695	original draft; conceptualization, funding acquisition and supervision.

#### 697 **Competing interests:**

- Two patents PCT/EP2023/053419 and EP22305162.4 have been deposited by JS, LP, SC andAG. The other authors declare no conflict of interest.
- 700
- 701 **Keywords:** primary liver cancers, transgenic mice, *in vivo* CRISPR/Cas9, β-catenin, enhancer
- 702 site, non-coding RNAs, targeted therapies
- 703

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## Figure 1: The *Dlk1/Dio3* locus is induced in mouse HCC and HB-like tumors driven by β-catenin

936 **A:** Schematic representation of the *DLK1/DIO3* locus; **B:** *In situ* hybridization of *Meg3* and 937 miR-127 with staining of glutamine synthetase (GS) or active β-catenin in wt and Apc<sup>Δhep</sup> 938 livers and in Apc<sup>Δhep</sup> and β-catenin<sup>ΔExon3</sup> HCC or HB-like tumors; CV: central vein, PV: portal 939 vein; **C-F:** Expression of *Rian*, *Mirg*, and miR-127 by RT-qPCR in Apc<sup>Δhep</sup> tumors (TUM) 940 compared to adjacent non-tumor (NT) tissue (C); in Apc<sup>Δhep</sup> HCC and HB-like tumors (**D**); in 941 β-catenin<sup>ΔExon3</sup> tumors (**E**); in DEN tumors without β-catenin activation (**F**). Levels of 942 significance: \*p<0.05, \*\* p<0.01, \*\*\*p<0.005, \*\*\*\*p<0.0001, ns: non-significant (Mann-943 Whitney).

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# 945Figure 2: β-catenin binding at the DLK1-WRE site opens chromatin and exerts946enhancer activity in Apc<sup>Δhep</sup> hepatocytes

A: ChIP-seq targeting TCF-4 in wt, Apc<sup> $\Delta$ hep</sup> and  $\beta$ -cat<sup> $\Delta$ hep</sup> hepatocytes and ATAC-seq data in 947 wt and  $Apc^{\Delta hep}$  hepatocytes. TCF-4 binding site is framed in the blue box (DLK1-WRE) and 948 949 sites common with 3C in pink; **B**, **D**, **F**-**G**: ChIP-qPCR analysis at the DLK1-WRE site for TCF-4, H3K4me1 and H3K27ac relative to isotype control in Apc<sup> $\Delta$ hep</sup> hepatocytes compared 950 to wt (**B**, **D**) and compared to Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> hepatocytes (**F-G**); **C**, **H**: ATAC-951 qPCR analysis at the DLK1-WRE site compared to wt (C) and to Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> 952 953 hepatocytes (H); E: Relative contact frequencies in arbitrary unit (A.U.) between the DLK1-954 WRE site (blue vertical bar) and 19 genomic sites (small vertical black bars on the map below) measured in 3C experiments performed on wt, Apc<sup> $\Delta$ hep</sup>-Rosa26 and Apc<sup> $\Delta$ hep</sup>-955 DLK1/DIO3<sup> $\Delta$ WRE</sup> liver nuclei with error bars representing s.e.m. of 6, 5 and 3 biological 956 957 replicates, respectively. Regions of interest (highlighted in pink) are numbered from 1 to 6. 958 The lower panel illustrates the different chromatin loops distributed into six interaction zones: 959 the darkest the pink, the strongest the interaction. Figure made with Biorender. Levels of significance: \*p<0.05, \*\* p<0.01, \*\*\*p<0.005, \*\*\*\*p<0.0001, ns: non-significant (Mann-960 961 Whitney).

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## Figure 3: The *DLK1/DIO3* locus is induced in human hepatoblastoma in correlation with *CTNNB1* mutations and DLK1-WRE opening

965 A: Pseudo bulk snATAC-seq aggregated with Cellranger-atac of 3 human HB (T) and their 966 adjacent non-tumor tissue (N) at the DLK1-WRE site; the pink panel represents ChIP-seq data 967 targeting TCF-4 in the human hepatoblastoma HepG2 cell line (Gsm782122); B: RNAseq expression data for the entire DLK1/DIO3 locus in HB normalized to their adjacent non-968 969 tumor tissue (NT) (N=22, cohort 1). A white square in the  $\beta$ -catenin lane indicates HB with 970 intact CTNNB1, a yellow square HB with point mutation in CTNNB1, an orange square HB 971 with CTNNB1 exon 3 deletion; C: correlation between RIAN, MIRG, DIO3OS, DIO3, MEG3, 972 miR-411 and miR-136 expressions in cohort 1; D: RIAN, RTL-1, and MEG3 expression 973 determined by RT-qPCR in primary (N=83) and recurrent HB (N=17) versus non tumor liver 974 (NTL) (N=100, cohort 2); E: Expression of DIO3OS, MEG3, and RIAN determined by RT-975 qPCR in the different subgroups of HB: embryonal (green), fetal hepatocytic 1 (pink), fetal 976 hepatocytic 2 (yellow) and mesenchymal (purple); F: Expression of RIAN, DIO3OS, and *MEG3* determined by RT-qPCR in primary HB with *CTNNB1* mutations (CTNNB1<sup>mut</sup>, N=76) 977 978 or with intact CTNNB1 (CTNNB1<sup>wt</sup>, N=7). Levels of significance: \*p<0.05, \*\*\*p<0.005, 979 \*\*\*\*p<0.001, ns: non-significant (Kruskal-Wallis).

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## Figure 4: DLK1-WRE editing affects Apc<sup>Δhep</sup> hepatocyte proliferation through inhibition of mitosis and cytokinesis regulators

A: Percentage of Ki-67+ hepatocytes in wt,  $Apc^{\Delta hep}$ -Rosa26 and  $Apc^{\Delta hep}$ -DLK1/DIO3 $^{\Delta WRE}$ livers; **B**: Percentage of liver to body weight ratio in wt,  $Apc^{\Delta hep}$ -Rosa26 and  $Apc^{\Delta hep}$ -DLK1/DIO3 $^{\Delta WRE}$  livers; **C**: Expression of *Top2a*, *Kif20b*, *Nuf2*, and *Nusap1* in  $Apc^{\Delta hep}$ -DLK1/DIO3 $^{\Delta WRE}$  hepatocytes relative to  $Apc^{\Delta hep}$ -Rosa26 hepatocytes; **D**-**F**: RNA-seq analysis on  $Apc^{\Delta hep}$ -DLK1/DIO3 $^{\Delta WRE}$  and  $Apc^{\Delta hep}$ -Rosa26 hepatocytes; **D**: The histograms summarize ratio obtained with GSEA between the number of genes in the intersection of the query set with a set from MSigDB (k/K), with p-value and FDR q-values for each item; **E**: Schematic representation of the most significantly deregulated RNAs; **F:** Main hub obtained by STRING analysis. Levels of significance: p<0.05, p<0.01, p<0.01, p<0.001, ns: non-significant (Mann-Whitney).

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## 994 Figure 5: DLK1-WRE editing impairs FoxM1 binding at *Ccna2*, *Kif20a* and *Cdc2*995 promoters

A: RT-qPCR analysis of *Foxm1* expression in Apc<sup> $\Delta$ hep</sup>-Rosa26 and Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> 996 997 hepatocytes compared to wt hepatocytes; B: Number of Foxm1+ nuclei in IHC; C: 998 Representative images of ChIP-PCR targeting FoxM1 at Ccna2, Kif20a, Cdc2 and Cenpf promoters compared to isotype control in Apc<sup> $\Delta$ hep</sup>-Rosa26 and Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> 999 1000 hepatocytes and inputs; the lower panel represents the PCR band quantification with ImageJ 1001 of all ChIP experiments against FoxM1 relative to isotype control; for the cdc2 promoter in  $Apc^{\Delta hep}$ -DLK1/DIO3<sup> $\Delta WRE$ </sup> hepatocytes, the cropped images are for two different mice 1002 1003 analyzed on two gels with the same conditions of exposure. D: Quantification of Meg3 RNA co-immunoprecipitated with FoxM1 in RIP-qPCR in Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> (n=4) 1004 compared to Apc<sup> $\Delta$ hep</sup>-Rosa26 hepatocytes (n=2); data are represented as the relative binding 1005 1006 compared to 18S. Figure made with Biorender. Levels of significance: \*p<0.05, \*\* p<0.01, 1007 ns: non-significant (Kruskal-Wallis or Mann-Whitney).

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# 1009Figure 6: DLK1-WRE site editing slows tumor growth of $Apc^{\Delta hep}$ HB through decreased1010expression of mitotic entry regulators

1011 A: Examples of glutamine synthetase staining of  $Apc^{\Delta hep}$  HB showing a heterogeneous 1012 staining with many stromal cells; HB cells losing several metabolic features of mature 1013 hepatocytes express low level of glutamine synthetase compared to  $Apc^{\Delta hep}$  HCC cells; B: 1014 Analysis of tumor editing by PCR band quantification with ImageJ in  $Apc^{\Delta hep}$  HB. The upper 1015 panel is a representative image obtained from non-tumor tissue (NT) and tumors (T); C: Progression of cumulative tumor areas in Apc<sup> $\Delta$ hep</sup>-DLK1/DIO3<sup> $\Delta$ WRE</sup> HB and Apc<sup> $\Delta$ hep</sup>-1016  $DLK1/DIO3^{WT}$  compared to Apc<sup> $\Delta hep$ </sup>-Rosa26 HB with cumulative area at sacrifice indicated 1017 in the right panel; **D**: Representative images of ki-67 staining on Apc<sup> $\Delta$ hep</sup> HB with high or low 1018 1019 proliferation rate (left panel) and Quantification of Ki-67+ hepatocytes in percentage for all tumors (right panel); E: RT-qPCR analysis of *Rian* and *Mirg* in Apc<sup> $\Delta$ hep</sup> HB compared to their 1020 1021 NT tissues; F: RT-qPCR analysis of Mki67, Ccna2, Nuf2, Top2a, Axin2, Kif20b, Nusap1, 1022 Cenpf, and Ckap2 relative to their NT tissues. Levels of significance: \*p<0.05, \*\* p<0.01, \*\*\*p<0.005, \*\*\*\*p<0.001, ns: non-significant (Kruskal-Wallis). 1023

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### Figure 7: DLK1-WRE site editing impairs the protumorigenic capacities of murine hepatoma Hepa1-6 cells mutated for *Ctnnb1*

A-D: Analysis of DLK1/DIO3<sup> $\Delta$ WRE</sup> Hepa1-6 clones versus Rosa26 control clones; 1027 1028 Proliferation rate at 48h (A); Percentage of cells in G2/M phase determined by flow 1029 cytometry (B); Cyclin B1 and A2 protein level determined by Western-blot (C); 1030 Representative quantification of Meg3 RNA co-immunoprecipitated with FoxM1 in RIP-1031 qPCR (n=2); data are represented as the relative binding compared to 18S (D); E-K: Analysis of DLK1/DIO3<sup> $\Delta$ WRE</sup> Hepa1-6 tumors versus Rosa26 tumors; Tumor volumes measured every 1032 1033 two days (E) and tumor weights at sacrifice (F); Percentage of Ki67+ tumor cells (G); 1034 Percentage of tumor cells with cleaved-caspase 3 (H); Fadd level determined by RT-qPCR 1035 (I); FADD protein level determined by Western-blot (J); *Glul* expression determined by RTqPCR (K). Levels of significance \*p<0.05, \*\*p<0.01, \*\*\*p<0.005, \*\*\*\*p<0.0001 (Mann-1036 1037 Whitney).

### Figure 8: DLK1-WRE site editing impairs the protumorigenic capacities of human hepatoblastoma Huh6 cells mutated for *CTNNB1*

- A-C: Analysis of DLK1/DIO3<sup> $\Delta$ WRE</sup> Huh6 clones versus non-edited clones; Proliferation rate 1041 1042 at 48h (A); Percentage of cells in G2/M phase determined by flow cytometry (B); Number of spheroids (C); D-L: Analysis of DLK1/DIO3<sup> $\Delta$ WRE</sup> Huh6 tumors versus non-edited tumors; 1043 1044 Tumor volumes measured every two days (**D**); tumor weights at sacrifice (**E**); Percentage of 1045 Ki67+ tumor cells (F); MKI67 level determined by RT-qPCR (G); Percentage of tumor cells 1046 with cleaved-caspase 3 (H); FADD mRNA level determined by RT-qPCR (I); FADD protein 1047 level determined by Western-blot (J), Representative FOXM1 binding at CCNA2, KIF20A 1048 and *CDC2* promoters normalized to isotype control in ChIP-qPCR experiments (n=2) (**K**); GLUL expression determined by RT-qPCR (L); \*p<0.05, \*\* p<0.01, \*\*\*p<0.005, 1049 \*\*\*\*p<0.0001 (Mann-Whitney). 1050
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