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Jérémy Berthomieu, Romain Lebreton, Kevin Tran. Quasi-Linear Guessing of Minimal Lexicographic Gröbner Bases of Ideals of C-Relations of Random Bi-Indexed Sequences. 2025. hal-04937888

HAL Id: hal-04937888 https://hal.sorbonne-universite.fr/hal-04937888v1

Preprint submitted on 10 Feb 2025

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Quasi-Linear Guessing of Minimal Lexicographic Gröbner Bases of Ideals of C-Relations of Random Bi-Indexed Sequences

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ABSTRACT

Computing recurrence relations for sequences is a central problem in computer algebra, with applications in error-correcting codes, Gröbner basis computation, and sparse interpolation. While uniindexed C-recursive sequences benefit from quasi-linear algorithms leveraging the half-gcd method, the extension to multi-indexed sequences remains computationally challenging. Existing methods for bi-indexed sequences achieve quadratic complexity at best, limiting their practical use.

This paper presents a quasi-linear algorithm for computing lexicographic Gröbner bases of the ideal of C-relations associated to bi-indexed sequences. Our approach extends the half-gcd algorithm in $\mathbb{K}^{\mathbb{N}}[y]$ by integrating a pseudo-Euclidean division. This approach shows how to leverage the bi-Hankel structure of the matrix, significantly improving the efficiency of computing minimal C-relations closing the complexity gap between the uni- and biindexed cases. Our algorithm is restricted to bi-indexed sequences whose associated bi-Hankel matrix has generic row rank profile.

KEYWORDS

multi-indexed sequences, linear relation guessing, Hankel matrices, Gröbner bases, half-gcd algorithm, quasi-linear algorithm

1 INTRODUCTION

Context. Guessing the minimal linear recurrence relation with constant coefficients (C-relation) of order *d* of a sequence $(u_i)_{i \in \mathbb{N}}$ is a fundamental problem in computer algebra and error correcting codes. It is for instance one of the latter steps of the Wiedemann algorithm [23] for computing the minimal polynomial of a matrix or solving a sparse linear system. The multi-indexed analogue, that is with a sequence $(u_{i_1,...,i_n})_{(i_1,...,i_n) \in \mathbb{N}^n}$ is at the root of *n*-dimensional cyclic codes and also the SPARSE-FGLM variant [10] of the FGLM algorithm [9] for Gröbner bases change of order.

Given the D + 1 first terms u_0, \ldots, u_D of a uni-indexed sequence, the problem of computing the minimal C-relation can be modeled through a kernel computation of a *Hankel* matrix. It computes the correct relation as long as $D \ge 2d$. This Hankel structure leads to non-naive algorithms with complexity much better than $O(D^{\omega})$, where $2 \le \omega < 3$ is the matrix multiplication exponent, relying on the extended Euclidean algorithm called on polynomials x^{D+1} and $\sum_{i=0}^{D} u_i x^{D-i}$. The first instance of such a non-naive algorithm is due independently to Berlekamp [1] and Massey [14], both targeting an application to error correcting codes, and is now known as the BERLEKAMP-MASSEY algorithm. Thanks to quasi-linear algorithms for computing the extended Euclidean algorithm [7, 15], see also [22, Chap. 11], the complexity of computing such a minimal C-relation of order d is $\tilde{O}(D)$, as long as $D \ge 2d$.

Related work. The case of an *n*-indexed sequence, $n \ge 2$, u = $(u_{i_1,\ldots,i_n})_{(i_1,\ldots,i_n)\in\mathbb{N}^n}$ is more involved. The set of relations of uforms an ideal, denoted $I(\mathbf{u})$, which is 0-dimensional whenever \mathbf{u} is C-recursive. Guessing consists in computing a representation of this ideal, that is a <-Gröbner basis for a given monomial order < in the context of this paper. Denoting S_u the \prec -staircase of I(u), *i.e.* the monomials that are not \prec -leading monomials of $I(\boldsymbol{u})$, and \mathcal{G}_{u} the \prec -reduced Gröbner basis of I(u), the complexity of the problem must depend on the number of given terms of *u*, and on $|\mathcal{G}_u|$ and $|\mathcal{S}_u|$, in order to encode the output in the monomial basis. The first algorithm to guess such a Gröbner basis is due to Sakata and extends the BERLEKAMP-MASSEY algorithm, leading the author to calling it the BERLEKAMP-MASSEY-SAKATA algorithm [18-20]. More recent algorithms were proposed based on linear algebra, i.e. computing the kernel of a multi-Hankel matrix [2, 3] or using a Gram-Schmidt process [16]. Another approach is based on multivariate polynomial arithmetic, especially division of polynomials such as [4, 5], or specifically for the bivariate case [11] using an approach similar to the uni-indexed case as they work on the polynomial $\sum_{j=0}^{D_y} (u_{i,j})_{i \in \mathbb{N}} y^{D_y - j} \in \mathbb{K}^{\mathbb{N}}[y]$. Finally, let us mention a bivariate Padé approximation method [17].

The complexity analysis of all these algorithms is not an easy task. Restricting ourselves to the case where the number of known terms of u is minimal to ensure the correctness of the output allows us to express their complexities more easily. In the uni-indexed case, this would imply $D = \Theta(d)$, so that the complexity is $\tilde{O}(d)$.

In [20], the complexity of the BERLEKAMP-MASSEY-SAKATA algorithm is $O(|S_u|^2 \cdot |G_u|)$, though the output need not be a reduced Gröbner basis. The complexity of the algorithm of [2, 3] is $O((|S_{\boldsymbol{u}}|^{\omega} + |S_{\boldsymbol{u}}|^2 \cdot |\mathcal{G}_{\boldsymbol{u}}|)$ and the output is reduced. The algorithm of [16] has complexity $O(|S_u|^2 \cdot (|S_u| + |\mathcal{B}_u|))$, where \mathcal{B}_u is a *border basis*, and thus has larger size than \mathcal{G}_{u} , while the algorithm [4, 5] has a similar complexity $O(|S_u|^2 \cdot (|S_u + |G_u|))$. Furthermore, they all need the sequence terms u_{i_1,\ldots,i_n} where $x_1^{i_1}\cdots x_n^{i_n}$ is in the Minkowski sum of S_u with itself, denoted $2S_u$. If we simplify further to the bi-indexed case and we denote d_x (resp. d_y) the maximal degree in x (resp. y) of \mathcal{G}_u , these complexity upper bounds become at least $O(\max(d_x, d_y)^2 | \mathcal{G}_u|)$ using the fact that $|S_u| \ge d_x + d_y - 1$. Now, on the one hand, all the monomials $x^i y^j$ for $0 \le i < d_x$ and $0 \le j < d_y$ are in $2S_u$ and, on the other hand, all monomials in $2S_u$ have degree in x (resp. y) at most $2d_x - 2$ (resp. $2d_y - 2$). Hence, all these algorithms need exactly $\Theta(d_x d_y)$ terms. Finally, using also $\Theta(d_x d_y)$ terms of u, the algorithm of [11] computes a Gröbner basis of I(u) in $\tilde{O}(d_x^{\omega+1}d_y)$ operations, while [17] requires $\tilde{O}(\min(d_x, d_y)^{\omega} d_x d_y)$ operations.

Contribution. The main contribution of this paper is GUESSING-BIVAR, an algorithm that takes as an input the $(D_x + 1)(D_y + 1)$ sequence terms $u_{i,j}$ for $0 \le i \le D_x$ and $0 \le j \le D_y$ and returns a minimal lexicographic Gröbner basis of $I(\boldsymbol{u})$, with support in $\{x^i y^j \mid 0 \le i \le d_x, 0 \le j \le d_y\}$ for $\boldsymbol{u} = (u_{i,j})_{(i,j)\in\mathbb{N}^2}$ using $\tilde{O}(D_x D_y + d_x d_y | \mathcal{G}_{\boldsymbol{u}}|)$ operations. This algorithm works under the assumption that the multi-Hankel matrix $(u_{i+k,j+\ell})_{x^i y^j, x^k y^\ell \in \mathcal{S}_{\boldsymbol{u}}}$ has a LU decomposition without pivoting. This condition is experimentally always satisfied whenever the terms $(u_{i,j})_{x^i y^j \in \mathcal{S}_{\boldsymbol{u}}}$ are picked at random. As a consequence, this closes the complexity gap between the uni-indexed case and the bi-indexed one.

Organization of the paper. In §2, we recall the polynomial representation of C-relations, and also how to relate their guessing to linear algebra and univariate gcd computation. In §3, we extend this viewpoint to bi-indexed sequences under the aforementioned assumption on the associated multi-Hankel matrix. In §4, we design a half-gcd-like algorithm on bivariate polynomials and how it can be used as a subroutine of GUESSINGBIVAR for guessing. Finally, our benchmarks in §5 confirm the efficiency of our algorithm.

2 PRELIMINARIES

In this section, we recall all basic definitions and results on matrices, C-recursive multi-indexed sequences, polynomials and Gröbner bases. We consider \mathbb{N} as the set of all natural numbers including 0, also consider that deg(0) = $-\infty$. We note $\mathbf{x} = (x_1, \ldots, x_n)$ the variables used for polynomials and $\mathbf{i} = (i_1, \ldots, i_n) \in \mathbb{N}^n$. We note $\mathbf{x}^i = x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$. If there is no ambiguity on the number of variables or indices we denote $\mathbb{K}[\mathbf{x}] = \mathbb{K}[x_1, \ldots, x_n]$ and $\mathbf{u} = (u_i)_{i \in \mathbb{N}^n}$.

2.1 Uni-indexed sequences

For uni-indexed sequences, C-recursive sequences are the ones satisfying linear recurrences with constant coefficients.

Definition 2.1.1. A sequence $(u_i)_{i \in \mathbb{N}}$ is C-recursive if there exist $g_0, \ldots, g_{d-1} \in \mathbb{K}$ such that for $i \in \mathbb{N}$, $u_{i+d} = g_{d-1}u_{i+d-1} + \ldots + g_0u_i$.

Such a combination is called *C*-relation and can be represented as a polynomial $g = x^d - \sum_{i=0}^{d-1} g_i x^i \in \mathbb{K}[x]$. Computing a C-relation can be reduced to a linear system solving problem.

The Hankel matrix of size d associated to the sequence $u = (u_i)_{i \in \mathbb{N}}$ is $\mathcal{H} = (u_{i+j})_{0 \le i,j < d} \in \mathbb{K}^{d \times d}$. Moreover, one can compute the C-relation $g = x^d - \sum_{i=0}^{d-1} g_i x^i$ by solving the linear system $\begin{bmatrix} g_0 & g_1 & \dots & g_{d-1} \end{bmatrix} \mathcal{H} = \begin{bmatrix} u_d & \dots & u_{2d-1} \end{bmatrix}$. For a polynomial $g = x^d - \sum_{i=0}^{d-1} g_i x^i \in \mathbb{K}[x]$, we define $\hat{g} = \begin{bmatrix} x^d - \sum_{i=0}^{d-1} g_i x^i \in \mathbb{K}[x] \end{bmatrix}$.

For a polynomial $g = x^d - \sum_{i=0}^{d-1} g_i x^i \in \mathbb{K}[x]$, we define $\hat{g} = x^d g(1/x) \in \mathbb{K}[x]$ as the mirror of g. Another approach is done using generating series $S = \sum_{i \in \mathbb{N}} u_i x^i \in \mathbb{K}[[x]]$. The generating series of a C-recursive sequence admits a finite representation. Indeed, for such series S there exists $p, q \in \mathbb{K}[x]$ such that qS = pwith deg(p) < d and $q = \hat{g}$. From the degree constraint on p and q, one can recover p and q from the relation $qS = p \mod x^{D+1}$ with $D \ge 2d$. This modular equation can be rewritten as a Bézout's identity $qS + rx^{D+1} = p$ with $r \in \mathbb{K}[x]$ and computing $q = \hat{g}$ comes down to computing a Truncated Extended Euclidean algorithm. A fast computation of this relation can be done through a call to the half-gcd algorithm [12, 15, 21]. The half-gcd algorithm is based on a fast reduction algorithm.

Lemma 2.1.2. Let $a, b \in \mathbb{K}[x]$ with $\deg(a) = D$ and $\deg(b) = d$ such that $D \ge d$. Computing $q, r \in \mathbb{K}[x]$ satisfying a = qb + r with $\deg(r) < d$ can be done in $\tilde{O}(D)$ operations in \mathbb{K} . The transpose of this operation called the extension is computed in the same complexity by the Tellegen's principle [6]. This operation corresponds to the extension of C-recursive sequences $(u_i)_{i \in \mathbb{N}}$ by the C-relation $g = x^d - \sum_{i=0}^{d-1} g_i x^i$, for $S = \sum_{i=0}^{d-1} u_i x^i$, it computes $\tilde{S} = \sum_{i=0}^{D} u_i x^i$ using $u_{i+d} = g_{d-1}u_{i+d-1} + \dots + g_0u_i$ for $i \ge 0$.

Recall that $\tilde{O}(\cdot)$ means that polylogarithmic factors are omitted.

Theorem 2.1.3. Computing the *C*-relation $g \in \mathbb{K}[x]$ on $(u_i)_{i \in \mathbb{N}}$ of degree *d*, knowing the D + 1 initial terms of $(u_i)_{i \in \mathbb{N}}$ with $D \ge 2d$, can be done in $\tilde{O}(D)$ operations in \mathbb{K} .

The half-gcd algorithm can also be derived to a Hankel system solving of size d - 1 (see [7]) and can be done in $\tilde{O}(d)$ operations.

2.2 Multivariate polynomial rings

For multi-indexed sequences, we use multivariate polynomials to represent the C-relations. For a polynomial $f \in \mathbb{K}[\mathbf{x}]$ and $\boldsymbol{\alpha} \in \mathbb{N}^n$, we note $f_{\boldsymbol{\alpha}}$ the coefficient of f associated to the monomial $\mathbf{x}^{\boldsymbol{\alpha}}$, the support of f is the monomial set $\supp(f) = \{\mathbf{x}^{\boldsymbol{\alpha}} \mid f_{\boldsymbol{\alpha}} \neq 0\}$.

We define the box monomial set of parameter $d \in \mathbb{N}^n$ as d-box := $\{x^{\alpha} \mid 0 \le \alpha_j \le d_j \text{ for all } 1 \le j \le n\}$. Also, we denote by $\mathbb{K}[x]_{\le d}$ the set of polynomials with support in d-box.

For polynomials $f, g \in \mathbb{K}[x]_{\leq d}$, the addition of f + g can be computed using $O(\prod_{i=1}^{n} d_i)$ operations in \mathbb{K} and the multiplication fg can be computed using $\tilde{O}(\prod_{i=1}^{n} (2d_i))$ operations.

For multivariate polynomials, we have to define a total order on the monomial set. In our study, we are only interested on the lexicographic order, we refer to [8] for more general consideration. We note < the lexicographic order on $\mathbb{K}[x_1, \ldots, x_n]$ with $x_1 < \ldots < x_n$ and such that $\mathbf{x}^{\boldsymbol{\alpha}} < \mathbf{x}^{\boldsymbol{\beta}}$ if there exists $1 \le k \le n$ such that for any $j < k, \alpha_j = \beta_j$ and $\alpha_k < \beta_k$. For a nonzero polynomial $f \in \mathbb{K}[\mathbf{x}]$, the leading monomial of f w.r.t. < is noted $\operatorname{Im}(f)$ and corresponds to the maximum monomial of f ordered by <. The leading coefficient of f w.r.t. < is noted $\operatorname{lc}(f) \in \mathbb{K}$ is the coefficient associated to $\operatorname{Im}(f)$. The leading term of f w.r.t. < is noted $\operatorname{It}(f) = \operatorname{lc}(f) \operatorname{Im}(f)$.

An ideal of $\mathbb{K}[\mathbf{x}]$ can be generated by a finite set of polynomials. Gröbner bases are particular sets of generators with interesting computational properties. For an ideal $I \subseteq \mathbb{K}[\mathbf{x}]$, a Gröbner basis \mathcal{G} of I for the lexicographic order is a finite generating set of I such that $\langle \operatorname{lm}(\mathcal{G}) \rangle = \langle \operatorname{lm}(I) \rangle$, *i.e.* it spans $\operatorname{lm}(I)$ as a monomial set. A minimal Gröbner basis \mathcal{G} is a Gröbner basis such that no $\operatorname{lm}(\mathcal{G}) \in \operatorname{lm}(\mathcal{G})$ is divisible by an element in $\operatorname{lm}(\mathcal{G} \setminus \{g\})$. The (unique) reduced Gröbner basis \mathcal{G} is a minimal Gröbner basis such that for all $g \in \mathcal{G}$, the monomials $m \in \operatorname{supp}(g)$ are not divisible by any $\operatorname{lm}(\mathcal{G} \setminus \{g\})$.

The staircase S associated to an ideal I is $S := \{x^{\alpha} \mid x^{\alpha} \notin lm(I)\}$. It forms a K-vector space basis of the quotient ring $\mathbb{K}[x]/I$. The polynomial division with remainder by a Gröbner basis (defined in [8, Chapter 2.7]) gives a unique polynomial r with support in the staircase S. For $f \in \mathbb{K}[x]$, we denote by $r = f \operatorname{rem}(\mathcal{G}) \in \mathbb{K}[x]$ with $\operatorname{supp}(r) \subset S$ the unique remainder of f by a Gröbner basis \mathcal{G} . The polynomial division with remainder of $f \in \mathbb{K}[x]$ by a Gröbner basis \mathcal{G} , as defined in [8, Chapter 2.7], yields a unique polynomial denoted $r = f \operatorname{rem}(\mathcal{G}) \in \mathbb{K}[x]$ with support in the staircase S.

We recall the notion of colon ideal by one polynomial. A more general description can be found in [8, Chapter 4.4]. Let *I* be an ideal of $\mathbb{K}[\mathbf{x}]$ and let $f \in \mathbb{K}[\mathbf{x}]$, the colon ideal of *I* by *f* is $I : \langle f \rangle = \{g \in \mathbb{K}[\mathbf{x}] \mid gf \in I\}$.

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2.3 Multi-indexed C-recursive sequences

For n > 0, the set $\mathbb{K}^{\mathbb{N}^n}$ corresponds to the set of *n*-indexed sequence $u = (u_i)_{i \in \mathbb{N}^n}$ with terms in \mathbb{K} . We denote the zero sequence by $\mathbf{0} = (0)_{i \in \mathbb{N}^n}$. For C-recursive sequences, we allow two types of operations: index shifts and scalar multiplications on sequences. These operations can be described by the action \star of $\mathbb{K}[x]$ in $\mathbb{K}^{\mathbb{N}^n}$ such that $x_j^d \star u = (u_{i_1,\dots,i_j+d,\dots,i_n})_{(i_1,\dots,i_n) \in \mathbb{N}^n}$ for $1 \le j \le n$ and $d \in \mathbb{N}$, and extended by linearity to $\mathbb{K}[x]$.

For a sequence $u = (u_i)_{i \in \mathbb{N}^n}$, a C-relation g on u is a polynomial $g \in \mathbb{K}[x]$ that satisfies $g \star u = 0$. We note by $I(u) = \{g \in \mathbb{K}[x] \mid g \star u = 0\}$ the ideal of relations of u. A sequence u is C-recursive if the ideal of relations I(u) is 0-dimensional *i.e.* dim_{\mathbb{K}}($\mathbb{K}[x]/I(u)$) < ∞ .

For a sequence u, we denote by \mathcal{G}_u the reduced Gröbner basis w.r.t. \prec of the ideal of relations I(u), and \mathcal{S}_u the staircase w.r.t. \prec of I(u) also we note $\mathcal{S}_{u,\prec m} = \{x^{\alpha} \in \mathcal{S}_u \mid x^{\alpha} \prec m\}$. We note the exponents set of \mathcal{S}_u by $\mathcal{E}_u = \{\alpha \in \mathbb{N}^n \mid x^{\alpha} \in \mathcal{S}_u\}$ and $\mathcal{E}_{u,\prec e} = \{\alpha \in \mathcal{E}_u \mid x^{\alpha} \prec x^e\}$.

For u a C-recursive sequence and \mathcal{G} a Gröbner basis of I(u), any term of u can be computed from the relations in \mathcal{G} and the initial terms in \mathcal{S}_u [19]. A C-recursive sequence is uniquely determined by the terms associated to the exponents from the staircase \mathcal{S}_u , as the other terms are linear combinations of the ones in the staircase.

Lemma 2.3.1 ([20, §2]). Fix I(u) and \mathcal{G} a Gröbner basis of I(u)w.r.t. the order \prec . Then $I(u) \subset I(v)$ iff for all $\boldsymbol{\beta} \in \text{Im}(I(u))$, we have $v_{\boldsymbol{\beta}} = \sum_{\boldsymbol{\alpha} \in \mathcal{E}_{u}} c_{\boldsymbol{\alpha}} v_{\boldsymbol{\alpha}}$ with $x^{\boldsymbol{\beta}} \text{rem}(\mathcal{G}) = \sum_{\boldsymbol{\alpha} \in \mathcal{E}_{u}} c_{\boldsymbol{\alpha}} x^{\boldsymbol{\alpha}}$.

For n > 0 and $u \in \mathbb{K}^{\mathbb{N}^n}$ C-recursive, we define the K-linear subspace $L_u := \{h \star u \mid h \in \mathbb{K}[x]\} \subset \mathbb{K}^{\mathbb{N}^n}$ and consider the linear application $\phi(h) = h \star u$ from $\mathbb{K}[x]$ to L_u . By construction, ϕ is surjective. As ker $\phi = I(u)$, we can define the isomorphism $\overline{\phi} : \mathbb{K}[x]/I(u) \to L_u$ from ϕ . We define $\mathcal{F} = \{e_i\}_{i \in \mathcal{E}_u} \subset \mathbb{K}^{\mathbb{N}^n}$ with e_i defined for $j \in \mathcal{E}_u$ such that $(e_i)_j = 0$ if $j \neq i$ and $(e_i)_i = 1$ and outside \mathcal{E}_u we extend the terms of e_i in \mathcal{E}_u by the relations in I(u).

Lemma 2.3.2. If $I(\boldsymbol{u}) \subset I(\boldsymbol{v})$ then $\boldsymbol{v} \in \operatorname{span}_{\mathbb{K}}(\mathcal{F})$.

PROOF. Let $\mathbf{w} = \mathbf{v} - \sum_{i \in \mathcal{E}_u} v_i e_i$. For $j \in \mathcal{E}_u$, we have by construction $w_j = 0$. From Lm. 2.3.1, we have $I(\mathbf{u}) \subset I(e_i)$ for any $i \in \mathcal{E}_u$. Since $f \in I(\mathbf{u})$ is in $I(\mathbf{v})$ and all $I(e_i)$, we deduce that $I(\mathbf{u}) \subset I(\mathbf{w})$. Hence, $\mathbf{w} = \mathbf{0}$ and $\mathbf{v} = \sum_{i \in \mathcal{E}_u} v_i e_i$.

Lemma 2.3.3. The family \mathcal{F} is a basis of L_u .

PROOF. By construction, \mathcal{F} is linearly independent. Let $h \star u \in L_u$, since for $f \in I(u)$, $(fh) \star u = f \star (h \star u) = 0$, we have $I(u) \subset I(h \star u)$. So we apply Lm. 2.3.2 and show that $L_u \subset \operatorname{span}_{\mathbb{K}}(\mathcal{F})$. Since $\dim_{\mathbb{K}}(L_u) = \dim_{\mathbb{K}}(\mathbb{K}[x]/I(u)) = |S_u|$, we conclude that \mathcal{F} is a basis of L_u .

We note \mathcal{H}_{S_u} the matrix associated to $\overline{\phi}$, with the basis S_u for $\mathbb{K}[\mathbf{x}]/I(\mathbf{u})$ and \mathcal{F} for \mathbf{L}_u both ordered w.r.t. \prec . The application $\overline{\phi}$ is an isomorphism so the matrix \mathcal{H}_{S_u} is invertible.

Theorem 2.3.4. Let u and v be two *C*-recursive sequences. The following statements are equivalent:

- (a) $\exists ! h \in \mathbb{K}[x]$ with support in S_u such that $v = h \star u$;
- (b) $\exists h \in \mathbb{K}[\mathbf{x}]$ such that $I(\mathbf{v}) = I(\mathbf{u}) : \langle h \rangle$;

(c) $I(\boldsymbol{u}) \subset I(\boldsymbol{v})$.

PROOF. For $(a) \Rightarrow (b)$, we have $g \in I(v) \Leftrightarrow 0 = g \star v = (gh) \star u \Leftrightarrow g \in I(u) : \langle h \rangle$. For $(b) \Rightarrow (c)$, it is direct by definition. For $(c) \Rightarrow (a)$, since $I(u) \subset I(v)$ we can write $v = \sum_{i \in \mathcal{E}_u} v_i e_i$ so $v \in L_u$ by Lms. 2.3.2 and 2.3.3. For uniqueness, let $h' \in \mathbb{K}[x]$ st. $v = h' \star u$ and supp $(h') \subset S_u$. We get $(h-h') \star u = 0$ so $h-h' \in I(u)$ and $h - h' \operatorname{rem}(\mathcal{G}_u) = 0$. Since $\operatorname{supp}(h)$, $\operatorname{supp}(h') \subset S_u$ by the linearity of the reduction we obtain $h = h \operatorname{rem}(\mathcal{G}_u) = h' \operatorname{rem}(\mathcal{G}_u) = h'$. \Box

3 BI-INDEXED SEQUENCES

In this section, we restrict ourselves to C-recursive bi-indexed sequences $v = (v_{i,j})_{i,j \in \mathbb{N}}$. We denote by $d_x, d_y \in \mathbb{N}$ the exponents satisfying $x^{d_x}, y^{d_y} \in \text{Im}(\mathcal{G}_v)$.

3.1 Hankel matrix and LU decomposition

For a bi-indexed sequence v and $j \in \mathbb{N}$, we note the sub-sequences $v_{*,j} = (v_{i,j})_{i \in \mathbb{N}} \in \mathbb{K}^{\mathbb{N}}$. Sub-sequences does not necessarily contain enough information to recover the ideal $I(v) \cap \mathbb{K}[x]$.

Example 3.1.1. Let $v = ((-1)^{ij})_{i,j \in \mathbb{N}}$, then $I(v_{*,j}) = \langle x - (-1)^j \rangle$, but $I(v) \cap \mathbb{K}[x] = \langle x^2 - 1 \rangle$.

To overcome the problem posed by Ex. 3.1.1, we make the following assumption on the sequence v.

Assumption A. The matrix \mathcal{H}_{S_v} defined in §2.3 for the bi-indexed sequence v admits a LU decomposition.

For a matrix $\mathcal{M} \in \mathbb{K}^{n \times n}$, the *principal* $r \times r$ *submatrix* $\mathcal{M}_r \in \mathbb{K}^{r \times r}$ is the matrix built from the first r rows and columns of \mathcal{M} . Recall that an invertible matrix $\mathcal{M} \in \mathbb{K}^{n \times n}$ admits a LU decomposition iff for $1 \le r \le n$, the submatrix \mathcal{M}_r is invertible.

Consider $\mathcal{H}_{\mathcal{S}_{\boldsymbol{v}}} = LU$ with L a lower triangular matrix with ones on the diagonal and U an upper triangular matrix. We note the rows of $L^{-1} = [\ell_m]_{m \in \mathcal{S}_{\boldsymbol{v}}}$ with $\ell_m \in \mathbb{K}^{1 \times |\mathcal{S}_{\boldsymbol{v}}|}$. We note $p_m \in \mathbb{K}[x, y]$ the polynomial representing ℓ_m in the basis $\mathcal{S}_{\boldsymbol{v}}$. The matrix L^{-1} is lower triangular with ones on its diagonal, so lt $(p_m) = m$ for all $m \in \mathcal{S}_{\boldsymbol{v}}$. For $y^j \in \mathcal{S}_{\boldsymbol{v}}$, we denote by $\boldsymbol{v}^{(j)}$ the sequence $p_{u^j} \star \boldsymbol{v}$.

Lemma 3.1.2. For $0 \le j < d_y$, $v^{(j)}$ satisfies $v^{(j)}_{*,k} = 0$, for $0 \le k < j$.

PROOF. Let $i \in \mathbb{N}$, k < j and consider the term $\boldsymbol{v}_{i,k}^{(j)}$ of $\boldsymbol{v}^{(j)}$. By construction, the row of U indexed by y^j contains terms of $\boldsymbol{v}^{(j)}$ and in particular $(\boldsymbol{v}^{(j)})_{r,s} = 0$ for $(r,s) \in \mathcal{E}_{\boldsymbol{v},<(0,j)}$. Now, since $\boldsymbol{v}_{i,k}^{(j)} = (x^i y^k \star \boldsymbol{v}^{(j)})_{0,0} = ((x^i y^k \operatorname{rem}(\mathcal{G}_{\boldsymbol{v}})) \star \boldsymbol{v}^{(j)})_{0,0}$, we express $\boldsymbol{v}_{i,k}^{(j)}$ as a linear combination of $\boldsymbol{v}_{r,s}^{(j)} = 0$ for $(r,s) \in \mathcal{E}_{\boldsymbol{v},<(0,j)}$. \Box **Lemma 3.1.3.** Let $j \in \mathbb{N}$ and $t \in \mathbb{K}[x, y]$ such that $(t \star \boldsymbol{v})_{r,s} = 0$ for $(r,s) \in \mathcal{E}_{\boldsymbol{v},<(0,j)}$. If deg $_y(t) < j$, then $t \in I(\boldsymbol{v})$. Otherwise, if $\operatorname{lt}(t) = y^j$ for $0 \leq j < d_y$, then $p_{y^j} = t \operatorname{rem}(\mathcal{G}_{\boldsymbol{v}})$.

PROOF. Let $\mathcal{H}_{S_{v,\leq y^j}}$ be the principal submatrix of \mathcal{H}_{S_v} with rows indexed by $S_{v,\leq y^j}$ and columns by $(e_i)_{i\in \mathcal{E}_{v,\leq (0,j)}}$. If deg $_y(t) < j$, we can represent the polynomial $\tilde{t} := t \operatorname{rem}(\mathcal{G}_v)$ by a vector ℓ in the basis $S_{v,\leq y^j}$. Since $(\tilde{t} \star v)_{r,s} = 0$ for $(r,s) \in \mathcal{E}_{v,<(0,j)}$, ℓ satisfies $\ell \mathcal{H}_{S_{v,\leq y^j}} = 0$. As v satisfies Asm. A, $\mathcal{H}_{S_{v,\leq y^j}}$ is invertible so $\ell = 0$, and $t \in I(v)$. Now, if $\operatorname{lt}(t) = y^j$ for $0 \leq j < d_y$, then $\tilde{t} := t - p_{y^j}$ satisfies the hypotheses and deg $_y(\tilde{t}) < j$, so $\tilde{t} \in I(u)$ and $p_{u^j} = p_{u^j} \operatorname{rem}(\mathcal{G}_v) = t \operatorname{rem}(\mathcal{G}_v)$. PROOF. Let $x^i y^j \in S_v$ and consider $g = x^i p_{y^j} \operatorname{rem}(\mathcal{G}_v)$. Since $\operatorname{lt}(x^i p_{y^j}) = x^i y^j \in S_v$, we have $g = x^i y^j + \sum_{(r,s) \in \mathcal{E}_{v,<(i,j)}} c_{r,s} x^r y^s$. Hence, the change-of-basis matrix between the bases S_v and \mathcal{P} is lower triangular with ones on its diagonal.

We define the matrix $\mathcal{H}_{\mathcal{P}}$ representing the application $\overline{\phi}$ (see §2.3) with row basis \mathcal{P} and column basis \mathcal{F} defined in §2.3.

Lemma 3.1.5. The matrix $\mathcal{H}_{\mathcal{P}}$ is block upper triangular and its diagonal blocks are invertible, i.e.

$$\mathcal{H}_{\mathcal{P}} = \begin{bmatrix} \frac{\mathcal{H}_{0} & \mathcal{H}_{1} & \cdots & \mathcal{H}_{d_{y}-1} \\ \hline 0 & \mathcal{H}_{1}^{(1)} & \cdots & \mathcal{H}_{d_{y}-1}^{(1)} \\ \hline \vdots & \ddots & \ddots & \vdots \\ \hline 0 & 0 & \cdots & \mathcal{H}_{d_{y}-1}^{(d_{y}-1)} \end{bmatrix}$$

PROOF. For $0 \leq j < d_y$, the *j*th row block starts with *j* zero matrices since $v_{*,k}^{(j)} = 0$ for $0 \leq k < j$ from Lm. 3.1.2 thus the matrix $\mathcal{H}_{\mathcal{P}}$ is block upper triangular matrix. The matrix $\mathcal{H}_{\mathcal{P}}$ is invertible since the linear application $\overline{\phi}$ is an isomorphism, hence the block diagonal matrices $\mathcal{H}_i^{(j)}$ are invertible.

Theorem 3.1.6. For $0 \le j < d_y$ and $d_j \in \mathbb{N}$, there exists $g \in I(v)$ s.t. $\lim(g) = x^{d_j}y^j$ iff there exists $f_j \in I(v^{(j)}) \cap \mathbb{K}[x]$ s.t. $\lim(f_j) = x^{d_j}$.

PROOF. Let $f_j \in I(v^{(j)}) \cap \mathbb{K}[x]$ with $\operatorname{Im}(f_j) = x^{d_j}$, by definition $\mathbf{0} = f_j \star v^{(j)} = (f_j p_{y^j}) \star v$ so $g = f_j p_{y^j} \in I(v)$ and $\operatorname{Im}(g) = x^{d_j} y^j$. Let $g \in I(v)$ with $\operatorname{Im}(g) = x^{d_j} y^j \notin S_v$ by definition. Consider the sequence $(x^{d_j} p_{y^j}) \star v = x^{d_j} \star v^{(j)}$ from Lm. 3.1.2 we have for k < j, $(x^{d_j} \star v^{(j)})_{*,k} = \mathbf{0}$. From Lm. 3.1.5, the matrix $\mathcal{H}_j^{(j)}$ is invertible, so there exists a polynomial $f \in \mathbb{K}[x]$ with $\operatorname{supp}(fy^j) \subset S_v$ satisfying $(f \star v^{(j)})_{r,j} = (x^{d_j} \star v^{(j)})_{r,j}$ for $(r, j) \in \mathcal{E}_v$. By construction, the polynomial $t = (x^{d_j} - f)p_{y^j}$ is such that $(t \star v)_{r,s} = 0$ for $(r,s) \in \mathcal{E}_{v,<(0,j+1)}$ and $\deg_y(t) = j$. So by Lm. 3.1.3, $t \in I(v)$ and $f_j = x^{d_j} - f \in I(v^{(j)})$. Note that $x^{d_j}y^j \notin S_v$ and $\operatorname{supp}(fy^j) \subset S_v$, so $\operatorname{lm}((x^{d_j} - f)p_{y^j}) = \operatorname{lm}(x^{d_j}p_{y^j}) = x^{d_j}y^j$ and $\operatorname{lm}(f_j) = x^{d_j}$. \Box

Theorem 3.1.7. For $0 \le j < d_y$, the sequence $\boldsymbol{v}_{*,j}^{(j)} \in \mathbb{K}^{\mathbb{N}}$ is such that $I(\boldsymbol{v}_{*,j}^{(j)}) = \bigcap_{k \ge j} I(\boldsymbol{v}_{*,k}^{(j)})$ i.e. $\boldsymbol{v}_{*,k}^{(j)} \in L_{\boldsymbol{v}_{*,j}^{(j)}}$ for $k \ge j$.

PROOF. The inclusion $\bigcap_{k \ge j} I(\boldsymbol{v}_{*,k}^{(j)}) \subset I(\boldsymbol{v}_{*,j}^{(j)})$ is direct. For the reverse inclusion, let $g \in I(\boldsymbol{v}_{*,j}^{(j)})$. The polynomial $t = gp_{y^j}$ is such that $(t \star \boldsymbol{v})_{r,s} = 0$ for $(r,s) \in \mathcal{E}_{\boldsymbol{v},\prec(0,j+1)}$, since it is zero for s < j by Lm. 3.1.2, and for s = j by definition of g. Since deg $_y(t) \le j$ (as $\operatorname{lt}(p_{y^j}) = y^j$), we have $t \in I(\boldsymbol{v})$ using Lm. 3.1.3, and thus $g \star \boldsymbol{v}^{(j)} = t \star \boldsymbol{v} = \mathbf{0}$ so $g \in \bigcap_{k \ge j} I(\boldsymbol{v}_{*,k}^{(j)})$.

From this property, we can find a relation between the sequences $(\boldsymbol{v}^{(j)})_{0 \le j \le d_y}$ with $\boldsymbol{v}^{(d_y)} = \mathbf{0}$.

Theorem 3.1.8. For $0 \le j < d_y$, we have $v_{*,j+1}^{(j+1)} \in L_{v_{*,j}^{(j)}}$ and there exists $(\overline{a}_j, \overline{b}_j) \in \mathbb{K}[x]^2$ with $\operatorname{supp}(\overline{a}_j) \subset S_{v_{*,j-1}^{(j-1)}}$ and $\operatorname{supp}(\overline{b}_j) \subset V_{*,j-1}^{(j-1)}$

 $S_{\boldsymbol{v}_{*,j}^{(j)}} \text{ satisfying } \boldsymbol{v}^{(j+1)} = \overline{a}_j \star \boldsymbol{v}^{(j-1)} + (y - \overline{b}_j) \star \boldsymbol{v}^{(j)} \text{ if } j \neq 0 \text{ and} \\ \boldsymbol{v}^{(1)} = (y - \overline{b}_0) \star \boldsymbol{v} \text{ if } j = 0.$

PROOF. We prove the statement by induction on *j*. For j = 0, we have by definition $v^{(1)} = p_y \star v$ with $\operatorname{lt}(p_y) = y$ and $\operatorname{supp}(p_y) \subset S_v$ so $p_y = y - \overline{b}_0$ with supp $(\overline{b}_0) \subset S_{v_{*,0}}$. From this relation, we deduce that $\boldsymbol{v}_{*,1}^{(1)} = \boldsymbol{v}_{*,0} - \overline{b}_0 \star \boldsymbol{v}_{*,1}$ and by Thm. 3.1.7 it results that $\boldsymbol{v}_{*,1}^{(1)} \in$ $L_{v_{*,0}}$. For $1 \le j < d_y - 1$, we suppose that the statement is true at step j-1 and prove that it holds at step j. Consider $(\overline{a}_j, \overline{b}_j) \in \mathbb{K}[x]^2$ with $\mathrm{supp}(\overline{a}_j) \subset \mathcal{S}_{\boldsymbol{v}_{*,i-1}^{(j-1)}} \text{ and } \mathrm{supp}(\overline{b}_j) \subset \mathcal{S}_{\boldsymbol{v}_{*,i}^{(j)}} \text{ satisfying } \overline{a}_j \star \boldsymbol{v}_{*,j-1}^{(j-1)} =$ $-\boldsymbol{v}_{*,j}^{(j)}$ and $\overline{a}_j \star \boldsymbol{v}_{*,j}^{(j-1)} + \boldsymbol{v}_{*,j+1}^{(j)} = \overline{b}_j \star \boldsymbol{v}_{*,j}^{(j)}$. There exists \overline{a}_j satisfying the first equality by the induction hypothesis $v_{*,j}^{(j)} \in L_{v_{*,j}^{(j-1)}}$. For \overline{b}_j , by Thm. 3.1.7 we have $\overline{a}_j \star v_{*,j}^{(j-1)} \in \mathcal{L}_{\overline{a}_j \star v_{*,j-1}^{(j-1)}} = \mathcal{L}_{v_{*,j}^{(j)}}$ hence from Thm. 2.3.4 we can find \overline{b}_i satisfying the conditions. Let w = $\overline{a}_i \star v^{(j-1)} + (y - \overline{b}_i) \star v^{(j)}$. By construction of w, we have $w_{*k} = 0$ for k < j + 1 and $w = t \star v$ with $t = (\overline{a}_j p_{u^{j-1}} + (y - \overline{b}_j) p_{u^j})$. If $j \neq d_y - 1$ then by Lm. 3.1.3 since $lt(t) = y^{j+1}$ we deduce that $p_{u^{j+1}} = t \operatorname{rem}(\mathcal{G}_v)$ and $v^{(j+1)} = w$, otherwise if $j = d_y - 1$ then $\mathbf{w}_{*,k} = \mathbf{0}$ for $k \leq d_y$ so $\mathbf{w} = \mathbf{0} = \mathbf{v}^{(d_y)}$. Finally, the relation $\mathbf{v}_{*,j+1}^{(j+1)} = \overline{a}_j \star \mathbf{v}_{*,j+1}^{(j-1)} + \mathbf{v}_{*,j}^{(j)} - \overline{b}_j \star \mathbf{v}_{*,j+1}^{(j)}$ gives $\mathbf{v}_{*,j+1}^{(j+1)} \in \mathbf{L}_{\mathbf{v}_{*,j}^{(j)}}$ with the same arguments used to prove the existence of \overline{b}_j . П

Lemma 3.1.9. For $0 \le j < d_y$, if we define $\begin{bmatrix} \overline{s}_j & \overline{t}_j \\ \overline{s}_{j+1} & \overline{t}_{j+1} \end{bmatrix} = \overline{Q}_j \cdots \overline{Q}_0$ with $\overline{Q}_k = \begin{bmatrix} 0 & 1 \\ \overline{a}_k & y - \overline{b}_k \end{bmatrix}$ then $p_{y^j} = \overline{t}_j \operatorname{rem}(\mathcal{G}_v)$ and $\overline{t}_{d_y} \in I(v)$. PROOF. For $0 \le j < d_y$, we have $\begin{bmatrix} v^{(j)} \\ v^{(j+1)} \end{bmatrix} = \overline{Q}_j \cdots \overline{Q}_1 \begin{bmatrix} v^{(0)} \\ v^{(1)} \end{bmatrix}$. If we note $R_j = \overline{Q}_j \cdots \overline{Q}_1 = \begin{bmatrix} \alpha_j & \beta_j \\ \gamma_j & \delta_j \end{bmatrix}$ then $\begin{bmatrix} \overline{s}_j & \overline{t}_j \\ \overline{s}_{j+1} & \overline{t}_{j+1} \end{bmatrix} = R_j \begin{bmatrix} 0 & 1 \\ \overline{a}_0 & (y-\overline{b}_0) \end{bmatrix}$ and $\overline{t}_j = \alpha_j + (y - \overline{b}_0)\beta_j$. From Thm. 3.1.8, we have $v^{(1)} = (y - \overline{b}_0) \star v^{(0)}$ so $\overline{t}_j \star v = \alpha_j \star v + \beta_j \star v^{(1)} = v^{(j)}$. By the same reasoning, we obtain $\overline{t}_{j+1} \star v = v^{(j+1)}$. Therefore, $(p_{y^j} - \overline{t}_j) \star v = 0$ so $(p_{y^j} - \overline{t}_j \operatorname{rem}(\mathcal{G}_v)) = 0$ and by linearity of the reduction we get $p_{y^j} = p_{y^j} \operatorname{rem}(\mathcal{G}_v) = \overline{t}_j \operatorname{rem}(\mathcal{G}_v)$. For $j = d_y$, we have $v^{(d_y)} = \mathbf{0} = \overline{t}_{d_y} \star v$ so $\overline{t}_{d_y} \in I(v)$.

3.2 Pseudo-Euclidean division

In this subsection, following [11, Sec. 6], we work with polynomials in $\mathbb{K}^{\mathbb{N}}[y]$, the set $\mathbb{K}^{\mathbb{N}}$ is not a ring but is a \mathbb{K} -vector space. We define an arithmetic on $\mathbb{K}^{\mathbb{N}}[y]$ that mimics the action \star on sequences. **Definition 3.2.1.** Let $r = \sum_{j=0}^{D} r_j y^j \in \mathbb{K}^{\mathbb{N}}[y]$. We define two operations: for $g \in \mathbb{K}[x]$, $g \cdot r = \sum_{j=0}^{D} (g \star r_j) y^j$ and $y^d \cdot r = \sum_{j=0}^{D} r_j y^{j+d}$ and extend linearly the operation \cdot for polynomials in $\mathbb{K}[x, y]$.

As in the uni-indexed case, our goal is to reduce the guessing problem to the computation of successive remainders for that we define a pseudo-Euclidean division in $\mathbb{K}^{\mathbb{N}}[y]$.

Theorem 3.2.2. Let $f = \sum_{j=0}^{d} f_j y^j$ and $g = \sum_{j=0}^{d-1} g_j y^j$ be two polynomials in $\mathbb{K}^{\mathbb{N}}[y]$ of respective degree d and d-1 with $d \ge 1$.

$$\begin{split} & If(i) \ g_{d-1} \in \mathcal{L}_{f_d}, (ii) \ f_{d-1} \in \mathcal{L}_{f_d}, (iii) \ g_{d-2} \in \mathcal{L}_{g_{d-1}} \ with \ f_d \ C-\\ & recursive \ then \ \exists !(a,b) \in \mathbb{K}[x]^2 \ with \ \mathrm{supp}(a) \subset \mathcal{S}_{f_d} \ and \ \mathrm{supp}(b) \subset \\ & \mathcal{S}_{g_{d-1}} \ satisfying \ a \cdot f = (-y+b) \cdot g + r \ with \ \deg_y(r) < \deg_y(g). \end{split}$$

When the conditions (*i*), (*ii*), (*iii*) of the previous theorem are satisfied, we say that the pseudo-Euclidean division of f by g is well-defined and that its result is (a, b, r).

PROOF. Consider such polynomials $f, g \in \mathbb{K}^{\mathbb{N}}[y]$, since $g_{d-1} \in L_{f_d}$ from Thm. 2.3.4 there exists a unique polynomial $a \in \mathbb{K}[x]$ with $\operatorname{supp}(a) \subset S_{f_d}$ such that $g_{d-1} = -a \star f_d$. We can construct $\tilde{g} = a \cdot f + y \cdot g = \sum_{j=1}^{d-1} (a \star f_j + g_{j-1}) y^j + a \star f_0$. If $\deg_y(\tilde{g}) < d-1$, then $\tilde{g} = r$ and the pair (a, b) = (a, 0) satisfies the conditions. Otherwise, we have lc $(\tilde{g}) = a \star f_{d-1} + g_{d-2}$ since $a \star f_{d-1} \in L_{a \star f_d} = L_{g_{d-1}}$ and $g_{d-2} \in L_{g_{d-1}}$ we deduce that lc $(\tilde{g}) \in L_{g_{d-1}}$. From Thm. 2.3.4, there exists a unique polynomial $b \in \mathbb{K}[x]$ with $\operatorname{supp}(b) \subset S_{g_{d-1}}$ such that lc $(\tilde{g}) = b \star g_{d-1}$. Hence, by construction $r = a \cdot f + (y - b) \cdot g$ has degree $< \deg_y(g)$. For the uniqueness of (a, b), consider (a', b') another pair, which gives $\deg_y((a-a') \cdot f + (b'-b) \cdot g) < \deg_y(g)$. So, $(a-a') \star f_d = 0$ and a = a' by Thm. 2.3.4. Finally, we must have $(b - b') \star g_{d-1} = 0$, so b = b' again by Thm. 2.3.4.

Definition 3.2.3. For $0 \le j < d_y$, we consider the reverse truncated formal power series $S_j = \sum_{k=j}^{D_y} v_{*,k}^{(j)} y^{D_y-k}$ representing the sequence $v^{(j)}$ at precision D_y with $D_y \ge 2d_y$. Also, we note $S_{-1} = v_{*,0}^{(0)} y^{D_y+1}$.

Lemma 3.2.4. For $0 \le j < d_y$, the pseudo-Euclidean division of S_{j-1} by S_j is well-defined.

PROOF. For $1 \le j < d_y$, from Thms. 3.1.7 and 3.1.8 and the construction of S_{j-1} and S_j we deduce that the hypotheses of Thm. 3.2.2 are satisfied. For j = 0, by construction of S_{-1} the hypotheses of Thm. 3.2.2 are also satisfied. Hence for $0 \le j < d_y$, the pseudo-Euclidean division of S_{j-1} by S_j is well-defined.

The remainder of the pseudo-Euclidean division of S_{j-1} by S_j is not exactly S_{j+1} but has the same leading terms.

Definition 3.2.5 ([22, §11.1]). For a polynomial $p = \sum_{j=0}^{d} p_j y^j \in \mathbb{K}^{\mathbb{N}}[y]$ of degree d in y and $k \leq d$, we note $p \upharpoonright_k = \sum_{j=0}^{k} p_{d-j} y^{k-j}$ and $p \upharpoonright_k = y^{k-d}p$ when k > d.

Lemma 3.2.6. Let $k \ge 1$. For $g \in \mathbb{K}[x, y]$ with $\deg_y(g) = d \le k \le \deg_y(S_0) = D_y$, we have $g \cdot S_0 \upharpoonright_k = f_{< d} + \sum_{j=0}^{k-d} \mathbf{w}_{*,j} y^{k-j} + f_{>k}$ with $\deg_y(f_{< d}) < d$ and y^{k+1} divides $f_{>k}$.

PROOF. Let $p = g \cdot S_0 \upharpoonright_k \in \mathbb{K}^{\mathbb{N}}[y]$. If we note $g_{*,\ell}$ the polynomial in $\mathbb{K}[x]$ associated to the monomial y^{ℓ} then from the arithmetic on $\mathbb{K}^{\mathbb{N}}[y]$ defined in Def. 3.2.1, we have $p_{k-j} = \sum_{\ell=0}^{d} g_{*,\ell} \star v_{*,j+\ell} = (g \star v)_{*,j}$ for $0 \leq j \leq k - d$.

Lemma 3.2.7. For $0 \le j < d_y - 1$, if the pseudo-Euclidean division of S_{j-1} by S_j is $(c_j, d_j, \tilde{S}_{j+1})$ then we have $c_j = \overline{a}_j, d_j = \overline{b}_j$ with $(\overline{a}_j, \overline{b}_j)$ defined in Thm. 3.1.8 and $\tilde{S}_{j+1} \upharpoonright_{D_y - (j+1) - 1} = S_{j+1} \upharpoonright_{D_y - (j+1) - 1}$.

PROOF. For $j \neq 0$, the leading terms of S_{j-1} are $v_{*,j-1}^{(j-1)}y^{D_y-j+1} + v_{*,j-2}^{(j-1)}y^{D_y-j}$ and similarly for S_j we deduce from the proof of Thm. 3.2.2 that $c_j = \overline{a}_j$ and $d_j = \overline{b}_j$. For j = 0, we have $S_{-1} = v_{*,0}y^{D_y+1}$ and S_0 has leading terms $v_{*,0}y^{D_y} + v_{*,1}y^{D_y-1}$ so we deduce that $c_0 = \overline{a}_0$ and $d_0 = \overline{b}_0$.

For $1 \leq j < d_y - 1$, we have on the one hand the relation $\boldsymbol{v}_{*,k}^{(j+1)} = \overline{a}_j \star \boldsymbol{v}_{*,k}^{(j-1)} + \boldsymbol{v}_{*,k-1}^{(j)} - \overline{b}_j \star \boldsymbol{v}_{*,k}^{(j)}$ for k > 0 from Thm. 3.1.8. On the other hand, we have $\tilde{S}_{j+1} = \overline{a}_j S_{j-1} + (y - \overline{b}_j) S_j$ which gives $\tilde{S}_{j+1} = (\overline{a}_j \star \boldsymbol{v}_{*,j-1}^{(j-1)} + \boldsymbol{v}_{*,j}^{(j)}) y^{D_y - j+1} + \sum_{k=j}^{D_y - 1} (\overline{a}_j \star \boldsymbol{v}_{*,k}^{(j-1)} + \boldsymbol{v}_{*,k-1}^{(j)} - \overline{b}_j \star \boldsymbol{v}_{*,D_y}^{(j)})$. By definition of \overline{a}_j and from Thm. 3.1.8, we deduce that $\deg_y(\tilde{S}_{j+1}) = D_y - j - 1$ thus $\tilde{S}_{j+1} \upharpoonright_{D_y - (j+1)-1} = S_{j+1} \upharpoonright_{D_y - (j+1)-1}$. For j = 0, we apply the same arguments and obtain $\tilde{S}_1 \upharpoonright_{D_y - 2} = S_1 \upharpoonright_{D_y - 2}$.

For the purposes of Lms. 3.2.8 and 3.2.9, let $r_0, r_1, r'_0, r'_1 \in \mathbb{K}^{\mathbb{N}}[y]$ and $k \ge 1$ such that $r_0 \upharpoonright_{2k} = r'_0 \upharpoonright_{2k}$ and $r_1 \upharpoonright_{2k-1} = r'_1 \upharpoonright_{2k-1}$. Assume that $d := \deg_u(r_0) = \deg_u(r_1) + 1$ and $d' := \deg_u(r'_0) = \deg_u(r'_1) + 1$.

Lemma 3.2.8. Suppose that the pseudo-Euclidean division (a_1, b_1, r_2) of r_0 by r_1 is well-defined, and that $\deg_y(r_2) = d-2$. Then, the pseudo-Euclidean division (a'_1, b'_1, r'_2) of r'_0 by r'_1 is also well-defined, and satisfies $a_1 = a'_1$, $b_1 = b'_1$, and $r_2 \upharpoonright_{2(k-1)-1} = r'_2 \upharpoonright_{2(k-1)-1}$. Moreover, $\deg_y(r'_2) = d' - 2$ provided that $k \ge 2$.

PROOF. By assumption, the two leading terms of r_0 and r'_0 match, and the same for r_1 and r'_1 . Yet, the conditions (i), (ii), (iii) of Thm. 3.2.2 which determine if a pseudo-Euclidean division is welldefined only depends on the two leading terms of the dividend and the divisor. In fact, a_1, b_1 only depend on those same two leading terms. As a consequence, the pseudo-Euclidean division of r'_0 by r'_1 is well-defined, and $a_1 = a'_1$, $b_1 = b'_1$.

Assume w.l.o.g. $d' \leq d$. The hypothesis $r_0 \upharpoonright_{2k} = r'_0 \upharpoonright_{2k}$ can be rewritten as $\deg_y(r_0 - r'_0 y^{d-d'}) \leq d - 2k - 1$. Likewise, $\deg_y(r_1 - r'_1 y^{d-d'}) \leq d - 2k - 1$. Considering that $r_2 = a_1 r_0 + (y - b_1) r_1$ and similarly for r'_2 , we obtain that $\deg_y(r_2 - r'_2 y^{d-d'}) \leq d - 2k$. Whenever $k \geq 2$, $\deg_y(r_2) = d - 2 > d - 2k \geq \deg_y(r_2 - r'_2 y^{d-d'})$, which can only happen when $\deg_y(r_2) = \deg_y(r'_2) + d - d'$, *i.e.* $\deg_y(r'_2) = d' - 2$, and $r_2 \upharpoonright_{2(k-1)-1} = r'_2 \upharpoonright_{2(k-1)-1}$.

Lemma 3.2.9. Suppose that the first k pseudo-Euclidean divisions $(a_j, b_j, r_{j+1})_{1 \le j \le k}$ starting from r_0 and r_1 are well-defined, and that $\deg_u(r_j) = d - j$ for $1 \le j \le k$.

Then the first k pseudo-Euclidean divisions $(a'_j, b'_j, r'_{j+1})_{1 \le j \le k}$ starting from r'_0 and r'_1 are also well-defined, and $a_j = a'_j$, $b_j = b'_j$, $\deg_y(r'_j) = d' - j$ for $1 \le j \le k$. Moreover, $r_{j+1} \upharpoonright_{2(k-j)-1} = r'_{j+1} \upharpoonright_{2(k-j)-1}$ for $1 \le j < k$.

PROOF. Let us prove this statement by induction on k. The base case k = 1 is a direct consequence of Lm. 3.2.8. For the induction step, suppose that $k \ge 2$ and that the lemma holds for k-1. Lm. 3.2.8 states that the first pseudo-Euclidean divisions (a'_1, b'_1, r'_2) starting from r'_0 and r'_1 is well-defined, deg $_y(r'_2) = d' - 2$, $a'_1 = a_1$, $b'_1 = b_1$, and $r_2 \upharpoonright_{2(k-1)-1} = r'_2 \upharpoonright_{2(k-1)-1}$. It remains to apply our induction hypothesis to k-1 and r_1, r_2, r'_1, r'_2 to conclude.

If the *k* pseudo-Euclidean divisions $(a_j, b_j, r_{j+1})_{0 \le j < k}$ starting from r_{-1} and r_0 are well-defined then we have for $0 \le j < k$ the matrix relations $\begin{bmatrix} r_j \\ r_{j+1} \end{bmatrix} = Q_j \begin{bmatrix} r_{j-1} \\ r_j \end{bmatrix}$ where $Q_j := \begin{bmatrix} 0 & 1 \\ a_j & y-b_j \end{bmatrix}$. Thus,

 $\begin{bmatrix} r_j \\ r_{j+1} \end{bmatrix} = Q_j \cdots Q_0 \begin{bmatrix} r_{-1} \\ r_0 \end{bmatrix} \text{ and by defining } \begin{bmatrix} s_j & t_j \\ s_{j+1} & t_{j+1} \end{bmatrix} = Q_j \cdots Q_0,$ we have $s_j r_{-1} + t_j r_0 = r_j$ for $0 \le j \le k$.

Theorem 3.2.10. Let $k \ge 1$, $2k - 1 \le D_y$ and r_{-1} , $r_0 \in \mathbb{K}^{\mathbb{N}}[y]$ such that $r_{-1} = S_{-1} \upharpoonright_{2k}$ and $r_0 = S_0 \upharpoonright_{2k-1}$. Then, for all $0 \le j < \min(k, d_y) - 1$, the pseudo-Euclidean division (a_j, b_j, r_{j+1}) of r_{j-1} by r_j is well-defined, $a_j = \overline{a}_j$ and $b_j = \overline{b}_j$ defined in Thm. 3.1.8, and also $r_j \upharpoonright_{2(k-j-1)} = S_j \upharpoonright_{2(k-j-1)}$ and $r_{j+1} \upharpoonright_{2(k-j-1)-1} = S_{j+1} \upharpoonright_{2(k-j-1)-1}$ with $\deg_y(r_{j+1}) = \deg_y(r_0) - (j+1)$. When $j = d_y - 1$ and $2k - 1 \ge 2d_y$, the pseudo-Euclidean division $(a_{d_y-1}, b_{d_y-1}, r_{d_y})$ on r_{d_y-2} by r_{d_y-1} is well-defined and $\deg_y(r_{d_y}) < \deg_y(r_0) - d_y$.

PROOF. We prove by induction for $0 \le j < \min(k, d_y) - 1$ that the pseudo-Euclidean division (a_i, b_i, r_{i+1}) of r_{i-1} by r_i is well-defined and $r_j \upharpoonright_{2(k-j-1)} = S_j \upharpoonright_{2(k-j-1)}$ and $r_{j+1} \upharpoonright_{2(k-j-1)-1} =$ $S_{j+1} \upharpoonright_{2(k-j-1)-1}$. For j > 0, we suppose that the statement is true at step j - 1 and we prove that it holds at step j. For every j, we have that $r_{j-1} \upharpoonright_{2(k-j)} = S_{j-1} \upharpoonright_{2(k-j)}$ and $r_j \upharpoonright_{2(k-j)-1} = S_j \upharpoonright_{2(k-j)-1}$ also from Lm. 3.2.7 the pseudo-Euclidean division $(\overline{a}_i, \overline{b}_i, \tilde{S}_{i+1})$ of S_{j-1} and S_j is well-defined. Since j < k - 1, we have $k - j \ge 2$ also by construction $\deg_u(S_j) = \deg_u(S_{j-1}) - 1$ so we can apply Lm. 3.2.8 and get that the pseudo-Euclidean (a_j, b_j, r_{j+1}) division of r_{j-1} by r_j is well-defined. On the one hand from Lm. 3.2.8, we have $r_{j+1} \upharpoonright_{2(k-j-1)-1} = \tilde{S}_{j+1} \upharpoonright_{2(k-j-1)-1}$. On the other hand from Lm. 3.2.7, we have the equality $\tilde{S}_{j+1}\upharpoonright_{D_y-(j+1)-1} = S_{j+1}\upharpoonright_{D_y-(j+1)-1}$. Since $2k - 1 \le D_y$ and $j \ge 0$, we have $2k - 1 - 2j - 2 \le D_y - j - 2$ so we conclude that $r_{j+1} \upharpoonright_{2(k-j-1)-1} = S_{j+1} \upharpoonright_{2(k-j-1)-1}$. Also from Lm. 3.2.8, we get $a_j = \overline{a}_j$ and $b_j = b_j$ and $\deg_u(r_{j+1}) =$ $\deg_{u}(r_0) - (j+1).$

When $j = d_y - 1$ and $2k - 1 \ge 2d_y$ and the pseudo-Euclidean division $(\overline{a}_{dy-1}, \overline{b}_{dy-1}, r_{dy})$ of r_{dy-2} by r_{dy-1} is well-defined by the same arguments so we have the relation $\overline{s}_{dy}r_{-1} + \overline{t}_{dy}r_0 = r_{dy}$. By hypothesis, we have $r_0 = S_0 \upharpoonright_{2k}$ so from Lm. 3.2.6 we can rewrite $\overline{t}_{dy}r_0 = f_{<dy} + \sum_{j=0}^{2k-1-dy} v_{*,j}^{(dy)} y^{2k-1-j} + f_{>2k-1}$ with $\deg_y(f_{<dy}) < dy$ and y^{2k} divides $f_{>2k-1}$. We deduce from the division property that $\deg_y(r_{dy}) \le \deg_y(r_{dy-1}) - 1 = 2k - 1 - d_y$ so by identification on the monomial basis we deduce that $\overline{s}_j r_{-1} = f_{>2k-1}$ also since $v^{(d_y)} = \mathbf{0}$ we have $\deg_y(r_{dy}) < \deg_y(f_{<dy}) < dy$. Since $2k - 1 \ge 2d_y$, it implies that $d_y \le 2k - 1 - d_y = \deg_y(r_0) - d_y$ hence $\deg_y(r_{dy}) < \deg_y(r_0) - d_y$.

3.3 From successive remainders to C-relations

Let $r_{-1} = S_{-1}$ and $r_0 = S_0$. The definition of S_{-1} is motivated by Thm. 3.2.2 and Lm. 3.2.6. Consider the successive remainders $(r_{-1}, r_0, \dots, r_{d_u})$ and relations $r_j = s_j r_{-1} + t_j r_0$.

Lemma 3.3.1. For $0 \le j < d_y$, we have $I(lc(r_j)) = (I(\boldsymbol{v}) : \langle t_j \rangle) \cap \mathbb{K}[x]$ and $\langle 1 \rangle = \mathbb{K}[x] = (I(\boldsymbol{v}) : \langle t_{d_u} \rangle) \cap \mathbb{K}[x]$.

PROOF. Let $0 \le j < d_y$, from Lm. 3.1.9 and Thm. 3.2.10 we have $v^{(j)} = t_j \star v$ and $lc(r_j) = v_{*,j}^{(j)}$. From Thm. 3.1.7, we deduce that $I(lc(r_j)) = I(v_{*,j}^{(j)}) = I(v^{(j)}) \cap \mathbb{K}[x] = (I(v) : \langle t_j \rangle) \cap \mathbb{K}[x]$. Also from Lm. 3.1.9, since $t_{d_y} \in I(v)$ we deduce the equality. \Box

For $0 \le j < d_y$, we note f_j be s.t. $\langle f_j \rangle = I(\operatorname{lc}(r_j))$ and $f_{d_y} = 1$.

Theorem 3.3.2. The set $\{f_j t_j\}_{0 \le j \le d_u}$ is a Gröbner basis of I(v).

PROOF. We verify that $f_jt_j \in I(v)$ and $(\operatorname{Im}(f_jt_j))_j = \operatorname{Im}(I(v))$. For $0 \leq j \leq d_y$, from Lm. 3.3.1 the polynomial $f_jt_j \in I(v)$. For $x^ry^s \in \operatorname{Im}(I(v))$, if $s \geq d_y$ then $x^ry^s = \operatorname{Im}(x^ry^{s-d_y}t_{d_y})$. Otherwise, if $s < d_y$, by Thm. 3.1.6 we can find $f_s \in \mathbb{K}[x]$ such that $f_sp_{y^s} \in I(v)$ and $\operatorname{Im}(f_sp_{y^s}) = x^ry^s$. Since $p_{y^s} = t_s \operatorname{rem}(\mathcal{G}_v)$ by Thm. 3.2.10, we deduce that $f_st_s \in I(v)$. Note that since $\operatorname{Im}(t_s) = y^s = \operatorname{Im}(p_{y^s})$, f_st_s still has leading term x^ry^s .

From a Gröbner basis of I(v), one can compute a minimal Gröbner basis of I(v) with the following corollary.

Corollary 3.3.3. For $1 \le j \le d_y$, either $\operatorname{Im}(f_j t_j) \in \operatorname{Im}(\mathcal{G}_v)$ or $\operatorname{deg}(f_{j-1}) = \operatorname{deg}(f_j)$.

PROOF. From the definition of minimal Gröbner basis, if $\ell \neq j$ and $\operatorname{Im}(f_{\ell}t_{\ell})$ divides $\operatorname{Im}(f_{j}t_{j})$ then $\ell \leq j$ and $\operatorname{deg}(f_{\ell}) \leq \operatorname{deg}(f_{j})$. If $(\operatorname{deg}(f_{j}))_{j}$ is a decreasing sequence then it proves the claim.

First, we prove that $\deg(f_j) = \min(\{r \mid x^r y^j \in \operatorname{Im}(I(v))\})$. By definition $\langle f_j \rangle = I(\operatorname{lc}(r_j)) = (I(v) : \langle t_j \rangle) \cap \mathbb{K}[x]$ by Lm. 3.3.1 so $\langle f_j \rangle = I(v^{(j)}) \cap \mathbb{K}[x]$ from Thm. 2.3.4 and $v^{(j)} = t_j \star v$. Finally by Thm. 3.1.6, we can deduce that $I(v^{(j)}) \cap \mathbb{K}[x] = \{r \mid x^r y^j \in \operatorname{Im}(I(v))\}$. To conclude, if $x^{d_j} = \deg(f_j)$ then we have $\operatorname{Im}(f_j t_j) = (x^{d_j} y^j) y = x^{d_j} y^{j+1} \in \operatorname{Im}(I(v))$ so $\deg(f_{j+1}) \leq \deg(f_j)$.

4 ALGORITHMS

In the previous sections, we have considered bi-indexed sequences either as plain sequences $\boldsymbol{v} = (v_{i,j})_{i,j \in \mathbb{N}} \in \mathbb{K}^{\mathbb{N}^2}$, or as polynomials with sequence coefficients $\mathbb{K}^{\mathbb{N}}[y]$ in order to get relations out of a pseudo-Euclidean algorithm. At the moment, with the aim of fully describing our algorithms, we need to specify how the operations in $\mathbb{K}^{\mathbb{N}}[y]$ are to be performed. Finite exact representations of univariate sequence include the representation by the initial d_x terms and the minimal relation, or the representation with the first $D_x \geq 2d_x$ terms, so that we can recover the relation. We choose the latter representation, and map these first D_x terms in a reverse truncated formal power series as in [4, 5]. Doing so, the action $t \star \boldsymbol{v}$ can be computed using bivariate polynomial multiplication, which allows us to design efficient algorithms.

4.1 A finite polynomial representation

Let $v = (v_{i,j})_{i,j \in \mathbb{N}}$ be a C-recursive sequence s.t. $x^{d_x}, y^{d_y} \in \text{Im}(\mathcal{G}_v)$ and consider bounds $D_x \ge 2d_x$ and $D_y \ge 2d_y$.

Definition 4.1.1. Fix $D_x \ge 2d_x$ and $D_y \ge 2d_y$. A polynomial $r \in \mathbb{K}[x, y]$ is a representation of v at precision (d, δ) if $r \in \mathbb{K}[x, y]_{\le (D_x, D_y)}$ and $r_{D_x - i, D_y - j} = v_{i, j}$ for $0 \le i \le d$ and $0 \le j \le \delta$.

For a representation q of u at precision (D_x, Δ) , the addition term by term gives q + r, a representation of u + v at precision $(D_x, \min(\delta, \Delta))$. However, for the multiplication by polynomial in $\mathbb{K}[x, y]$, we have to handle the same problem as in $\mathbb{K}^{\mathbb{N}}[y]$ described in Lm. 3.2.6.

Lemma 4.1.2. Let r be a representation of v at precision (d, δ) and $t \in \mathbb{K}[x, y]_{\leq (e, f)}$. The polynomial $p = tr \operatorname{rem}(\{x^{D_x+1}, y^{D_y+1}\})$ is a representation of $t \star v$ at precision $(d - e, \delta - f)$.

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PROOF. For $0 \le i \le d - e$ and $0 \le j \le \delta - f$, we have

$$p_{D_x - i, D_y - j} = \sum_{0 \le k \le e} \sum_{0 \le \ell \le f} t_{k,\ell} \ r_{D_x - i - k, D_y - j - \ell}$$

and $r_{D_x-i-k,D_y-j-\ell} = v_{i+k,j+\ell}$ by definition of a representation, so $p_{D_x-i,D_y-j} = (t \star v)_{i,j}$. By construction, $p \in \mathbb{K}[x,y]_{\leq (D_x,D_y)}$. \Box

To handle the problem of decreasing precision in *x*, we can use fast univariate algorithmic to recover precision in x when the Crelation $f_0 \in \mathcal{G}_{\boldsymbol{v}} \cap \mathbb{K}[x]$ is known.

Theorem 4.1.3. Let *r* be a representation of v at precision (D_x, δ) and $t \in \mathbb{K}[x, y]_{\leq (e, f)}$ with $e \leq D_x$ and $f \leq \delta$. From r, t and f_0 , one can compute a representation p, also denoted $t \cdot_{f_0} r$, of $w \coloneqq t \star v$ at precision $(D_x, \delta - f)$ in $\tilde{O}(D_x\delta)$ operations in \mathbb{K} .

PROOF. Let $\overline{t} = t \operatorname{rem}(f_0)$ with $\deg_x(\overline{t}) < d_x$. Since $f_0 \in I(v)$, we deduce that $w = \overline{t} \star v$. The reduction of *t* by f_0 requires $\tilde{O}(D_x \delta)$ operations by Lm. 2.1.2. Then, computing a representation p of wat precision $(D_x - d_x, \delta - f)$ has complexity in $O(D_x \delta)$ using \overline{t} and *r*. Since $D_x - d_x \ge d_x$, we can extend *p* with f_0 from the univariate extension of Lm. 2.1.2 in $\tilde{O}(D_x\delta)$ operations.

We extend this definition of \cdot_{f_0} to matrix-vector multiplication with entries in $\mathbb{K}[x, y]$. With this new operations on representation in $\mathbb{K}[x, y]$, we can mimic the $\mathbb{K}[x, y]$ action on $\mathbb{K}^{\mathbb{N}}[y]$ and apply a pseudo-Euclidean algorithm to solve the guessing problem on *v*.

4.2 **Quotient algorithm**

We now define the quotient algorithm of our pseudo-Euclidean division. For that, we need two subroutines for C-recursive uniindexed sequences. The first one, GUESSINGUNIVAR(r) takes a representation $r \in \mathbb{K}[x]$ of a C-recursive sequence u at precision D_x with $D_x \geq 2d_x$ and outputs the C-relation $f \in \mathbb{K}[x]_{\leq d_x}$ satisfying $\langle f \rangle = I(u)$. The other one, HANKELSOLVER(q, r, f), takes a representation $q \in \mathbb{K}[x]$ of a C-recursive sequence u at precision $D_x \ge 2(d_x - 1)$; a representation $r \in \mathbb{K}[x]$ of $v \in L_u$ at precision $d \geq d_x - 1$ and a C-relation $f \in \mathbb{K}[x]_{\leq d_x}$ s.t. $\langle f \rangle = I(u)$, and outputs $b \in \mathbb{K}[x]_{\leq d_x}$ satisfying $b \star u = v$. Both subroutines have complexities $\tilde{O}(D_x)$ (see §2.1).

Algorithm 1 QuoBivar(f, q)

Input: Polynomials $f = \sum_{j=0}^{d} f_j(x) y^j$ and $g = \sum_{j=0}^{d-1} g_j(x) y^j$ sate
is fying the hypotheses of Thm. 3.2.2 when viewed in $\mathbb{K}^{\mathbb{N}}[y]$
using the representation of D_x + 1 initial terms.
Output: $Q \in \mathbb{K}[x, y]^{2 \times 2}, \{p_1\} \subset \mathbb{K}[x]$ be s.t. $\begin{bmatrix} g \\ r \end{bmatrix} = Q \cdot_{p_0} \begin{bmatrix} f \\ g \end{bmatrix}$
with $\deg_{y}(r) < \deg_{y}(g)$ and $\langle p_1 \rangle = I(g_{d-1})$.
1: $p_0 \leftarrow \text{GuessingUnivar}(f_d(x))$
2: $a \leftarrow \text{HankelSolver}(-f_d(x), g_{d-1}(x), p_0)$
3: $h(x) \leftarrow a \cdot_{p_0} f_{d-1}(x) + g_{d-2}(x)$
4: $p_1 \leftarrow \text{GuessingUnivar}(g_{d-1}(x))$
5: $b \leftarrow \text{HankelSolver}(g_{d-1}(x), h(x), p_1)$
6: return $\begin{bmatrix} 0 & 1 \\ a & y-b \end{bmatrix}$, $\{p_1\}$

Lemma 4.2.1. *QUOBIVAR is correct and has complexity in* $\tilde{O}(D_x)$ *.*

PROOF. The polynomials f, g viewed in $\mathbb{K}^{\mathbb{N}}[y]$ satisfy the hypotheses of Thm. 3.2.2 so we consider $f_d, f_{d-1}, g_{d-1}, g_{d-2} \in \mathbb{K}^{\mathbb{N}}$ the sequences represented by $f_d(x), f_{d-1}(x), g_d(x), g_{d-1}(x) \in \mathbb{K}[x]$. Thm. 3.2.2 shows that there exists $a \in \mathbb{K}[x]_{\deg(p_0)}$ such that $-a \star f_d = g_{d-1}$, that the call to HankelSolver $(-f_d(x), g_{d-1}(x), p_0)$ computes. The update polynomial h(x) represents the sequence $a \star f_{d-1} + g_{d-2}$. From Thm. 3.2.2, we can compute $b \in \mathbb{K}[x]_{\leq \deg(p_1)}$ such that $b \star g_{d-1} = a \star f_{d-1} + g_{d-2}$ also computed by the call to HANKELSOLVER($g_{d-1}(x), h(x), p_1$). By hypothesis of Thm. 3.2.2, p_0 is a C-relation on f_d , f_{d-1} , g_{d-1} , g_{d-2} , which ensures that $\deg_u(r) <$ $\deg_{\mu}(g)$ by construction of the quotient matrix Q. Also, $\langle p_1 \rangle =$ $I(g_{d-1})$ from the correctness of GUESSINGUNIVAR.

Computing $p_0, p_1 \in \mathbb{K}[x]_{\leq d_x}$ and $a, b \in \mathbb{K}[x]_{< d_x}$ have complexity in $\tilde{O}(D_x)$. The computation of h(x) corresponds to univariate polynomial multiplication and addition of degree at most D_x so it requires $\tilde{O}(D_x)$. Hence, we can bound the complexity of QUOBIVAR(f, g) in $\tilde{O}(D_x)$.

4.3 Recursive pseudo-Euclidean algorithm

Based on the half-gcd algorithm, we build a divide and conquer pseudo-Euclidean algorithm, following the exposition of [22, Alg. 11.4]. Since our pseudo-Euclidean division has specific hypotheses, we define an assumption on the input of our algorithm.

Assumption B. For the input (r_{-1}, r_0, f_0, k) , $f_0 \in \mathbb{K}[x]$ is a Crelation on the sequences represented by r_{-1} and r_0 , and there exists $0 \leq \ell \leq k$ such that the ℓ firsts pseudo-Euclidean division of r_{-1} by r_0 are well-defined, and $\deg_u(r_{\ell-1}) - 1 > \deg_u(r_\ell)$ if $\ell < k$.

Algorithm 2 HALF-GCD-SEQ (r_{-1}, r_0, f_0, k)

Input: Representations $r_{-1}, r_0 \in \mathbb{K}[x, y]$, a C-relation $f_0 \in \mathbb{K}[x]$ and $k \in \mathbb{N}$ satisfying Asm. B.

Output: $R \in \mathbb{K}[x,y]^{2\times 2}$ s.t. $\begin{bmatrix} r_{\ell-1} \\ r_{\ell} \end{bmatrix} = R \cdot f_0 \begin{bmatrix} r_{-1} \\ r_0 \end{bmatrix}, T = [Q_0,\ldots,Q_{\ell-1}] \in (\mathbb{K}[x,y]^{2\times 2})^{\ell}$ s.t. $R = Q_{\ell-1}\cdots Q_0 \operatorname{rem}(f_0)$ and $\mathcal{F} = [f_0, \dots, f_{\ell-1}] \subset \mathbb{K}[x]$ s.t. $\langle f_j \rangle = I(\mathbf{w}_{*i}^{(j)})$ with $\mathbf{w}^{(j)}$ corresponds to the sequence represented by r_i .

- 1: **if** k = 0 **then return** $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$, [], []
- 2: $d \leftarrow \lceil k/2 \rceil, d^* \leftarrow k d$
- 3: $R, T, \mathcal{F}_0 \leftarrow \text{Half-gcd-seq}(r_{-1} \upharpoonright_{2(d-1)}, r_0 \upharpoonright_{2(d-1)-1}, f_0, d-1)$
- 4: $\begin{bmatrix} r_{d-2} \\ r_{d-1} \end{bmatrix} \leftarrow R \cdot_{f_0} \begin{bmatrix} r_{-1} \\ r_0 \end{bmatrix}$
- 5: if $\deg_u(r_{d-2}) 1 > \deg_u(r_{d-1})$ then return R, T, \mathcal{F}_0
- 6: $Q_{d-1}, \{f_{d-1}\} \leftarrow \text{QuoBivar}(r_{d-2} \upharpoonright_2, r_{d-1} \upharpoonright_1)$

7:
$$\begin{bmatrix} r_{d-1} \\ r_d \end{bmatrix} \leftarrow Q_{d-1} \cdot f_0 \begin{bmatrix} r_{d-2} \\ r_{d-1} \end{bmatrix}$$

- 7: $\begin{bmatrix} r_{d-1} \\ r_d \end{bmatrix} \leftarrow Q_{d-1} \cdot f_0 \begin{bmatrix} r_{d-2} \\ r_{d-1} \end{bmatrix}$ 8: $S, U, \mathcal{F}_1 \leftarrow \text{HALF-GCD-SEQ}(r_{d-1} \upharpoonright_{2d^*}, r_d \upharpoonright_{2d^*-1}, f_0, d^*)$
- 9: **return** $(SQ_{d-1}R)$ rem (f_0) , $[T, Q_{d-1}, U]$, $[\mathcal{F}_0, f_{d-1}, \mathcal{F}_1]$

Theorem 4.3.1. HALF-GCD-SEQ is correct. If D_x (resp. $D_y + 1$) is the maximum degree in x (resp. y) of r_{-1} , r_0 and $\lfloor D_y/2 \rfloor \le k \le D_y$ then HALF-GCD-SEQ (r_{-1}, r_0, f_0, k) requires $\tilde{O}(D_x D_y)$ operations in \mathbb{K} .

PROOF. We prove by induction on *j*, for any input (r_{-1}, r_0, f_0, j) satisfying Asm. B, HALF-GCD-SEQ is correct. For any input $(r_{-1}, r_0, f_0, f_0, f_0)$ 0) satisfying Asm. B, the algorithm outputs $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$, [], []) which satisfies all the conditions of the algorithm output.

For $j \in \mathbb{N}$, we suppose the induction hypothesis at each step i < j and we prove that the algorithm is correct for the input

 (r_{-1}, r_0, f_0, j) satisfying Asm. B. Consider the first ℓ pseudo-Euclidean divisions $(a_i, b_i, r_{i+1})_{0 \le i \le \ell - 1}$ of r_{-1} by r_0 with $0 \le \ell \le j$. Lm. 3.2.9 ensures that $(r_{-1} \upharpoonright_{2(d-1)}, r_0 \upharpoonright_{2(d-1)-1}, f_0, d-1)$ satisfies Asm. B so by the induction hypothesis we have the same quotient matrices $Q_i = \begin{bmatrix} 0 & 1 \\ a_i & y-b_i \end{bmatrix}$ for $0 \le i < \min(d-1, \ell)$. Since, f_0 is a C-relation on the sequences represented by r_{-1} and r_0 , it is a C-relation on r_i due to $r_i = s_i r_{-1} + t_i r_0$ for $0 \le i < \min(d, \ell + 1)$. If $\ell \le d - 1$ then $R \cdot f_0 \begin{bmatrix} r_{-1} \\ r_0 \end{bmatrix} = \begin{bmatrix} r_{\ell-1} \\ r_\ell \end{bmatrix}$ at Step 4 and (R, T, \mathcal{F}_0) is the correct output. Otherwise, r_{d-2} and r_{d-1} are correctly computed at Step 4 from r_{-1} , r_0 and R at precision D_x in x. So, we can compute the quotient matrix Q_d from $r_{d-2} \upharpoonright_2$ and $r_{d-1} \upharpoonright_1$ since the quotient algorithm only need the first two leading terms of each polynomial. The computation of r_d from r_{d-2} , r_{d-1} and f_0 is computed at full precision in *x*. From Lm. 3.2.9, since $j \ge \ell$, we have $d^* \ge \ell - d$, so the $(\ell - d)$ first pseudo-Euclidean division of $r_{d-1} \upharpoonright_{2d^*}$ by $r_d \upharpoonright_{2d^*-1}$ give the same results as the ones of r_{d-1} and r_d . The second recursive call gives $S = Q_{\ell-1} \cdots Q_d \operatorname{rem}(f_0), U = [Q_d, \dots, Q_{\ell-1}]$ and $\mathcal{F} = [f_d, \dots, f_{\ell-1}]$. Therefore, HALF-GCD-SEQ is correct.

For the complexity analysis, we suppose that k is a power of 2 and we note C(k) the cost of the computation. The base case requires O(1) operations in \mathbb{K} . In the others cases due to the condition $k \in \Theta(D_y)$, the costs of the matrix multiplication \cdot_{f_0} is in $\tilde{O}(D_x k)$ and the call to QUOBIVAR is in $\tilde{O}(D_x)$ by Lm. 4.2.1. Finally, since the quotient matrices have all degree 1 in y, we deduce that $\deg_y(S)$ and $\deg_y(R)$ are less or equal to k/2 and the degree in x is bounded by $O(D_x)$ since the matrices are reduced by the relation f_0 . Hence, the last matrix multiplication is in $\tilde{O}(D_x k)$. Note that the recursive calls continue to verify the condition $\lfloor D_y/2 \rfloor \leq k \leq D_y$. Thus, the cost C(k) follows the recurrence $C(k) = 2C(k/2) + \tilde{O}(D_x k)$ and by the Master theorem we conclude that $C(k) = \tilde{O}(D_x D_y)$.

4.4 Guessing of bi-indexed sequences

From the result of Thm. 4.3.1, it remains to compute the cofactors t_i from the quotient matrices to obtain a Gröbner basis of I(v).

The product of matrices can be done recursively, we define RecursiveMATRIXPRODUCT (T, k, f_0) with $T = [Q_0, \ldots, Q_{\ell-1}] \in \mathbb{K}[x, y]^{2 \times 2}$ s.t. $\deg_y(Q_j) = 1, 0 \le k < \ell$ and $f_0 \in \mathbb{K}[x]_{\le d_x}$ which computes the matrix $R = Q_k \cdots Q_0$ rem (f_0) . A call to Recursive-MATRIXPRODUCT (T, k, f_0) requires $\tilde{O}(d_x k)$ operations.

By combining the algorithms HALF-GCD-SEQ and RECURSIVE-MATRIXPRODUCT, we obtain a quasi-linear guessing algorithm for C-recursive bi-indexed sequences w.r.t. the lexicographic ordering.

Theorem 4.4.1. GUESSINGBIVAR is correct and has complexity in $\tilde{O}(D_x D_y + |\mathcal{G}_v|d_x d_y)$.

PROOF. For the correctness, the polynomial f_0 computed from the call to GUESSINGONEVAR is in $\mathcal{G}_{v} \cap \mathbb{K}[x]$ since $I(v_{*,0}) = I(v) \cap \mathbb{K}[x]$ by Thm. 3.1.7. From Thm. 3.2.10, we have that (r_{-1}, r_0, f_0, k) satisfies Asm. B with $\ell = d_y$ and by Thm. 4.3.1, we deduce that $R = Q_{d_y-1} \cdots Q_0 \operatorname{rem}(f_0), T = [Q_0, \ldots, Q_{d_y-1}]$ and $\mathcal{G}_x = [f_0, \ldots, f_{d_y-1}]$ with $f_j \in I(v_{*,j}^{(j)}) = I(v^{(j)}) \cap \mathbb{K}[x]$ by Thm. 3.1.7. From Cor. 3.3.3, we only have to compute the relations which are not divisible by a previous one. For that, we distinguish them by the degree of f_j and compute the corresponding cofactor t_j when $\deg(f_{j-1}) \neq \deg(f_j)$. Finally, we get t_{d_y} from the matrix R. Hence, GUESSINGBIVAR outputs a minimal Gröbner basis of I(v) in \mathcal{G} .

Algorithm 3 GUESSINGBIVAR(*v*)

Input: The initial terms $(v_{i,j})_{0 \le i \le D_x, 0 \le j \le D_y}$ of a C-recursive sequence v satisfying Asm. A with $D_x \ge 2d_x$ and $D_y \ge 2d_y$.

Output: \mathcal{G} a minimal Gröbner basis of $I(\boldsymbol{v})$ w.r.t. the order <. 1: $k \leftarrow \lfloor D_y/2 \rfloor$ 2: $r_{-1} \leftarrow \sum_{i=0}^{D_x} v_{i,0} x^{D_x - i} y^{D_y + 1}, r_0 \leftarrow \sum_{j=0}^{D_y} \sum_{i=0}^{D_x} v_{i,j} x^{D_x - i} y^{D_y - j}$ 3: $f_0 \leftarrow \text{GUESSINGUNIVAR}(\sum_{i=0}^{D_x} v_{i,0} x^{D_x - i})$ 4: $R, T, \mathcal{G}_x \leftarrow \text{HALF-GCD-SEQ}(r_{-1}, r_0, f_0, k)$ 5: $d \leftarrow D_x + 1, \mathcal{G} \leftarrow \{\}, j \leftarrow 0$ 6: for $f \in \mathcal{G}_x$ do 7: if deg(f) < d then 8: $\begin{bmatrix} s_j & t_j \\ s_{j+1} & t_{j+1} \end{bmatrix} \leftarrow \text{RecursiveMATRIXPRODUCT}(T, j, f_0)$ 9: $\mathcal{G} \leftarrow \mathcal{G} \cup \{(t_j f) \text{ rem}(f_0)\}, d \leftarrow \text{deg}(f)$ 10: $j \leftarrow j + 1$ 11: $\mathcal{G} \leftarrow \mathcal{G} \cup \{t_d_y\}$ $\triangleright R = \begin{bmatrix} s_{dy^{-1}} & t_{dy^{-1}} \\ s_{dy} & t_{dy} \end{bmatrix} \text{rem}(f_0)$ 12: return \mathcal{G}

For the complexity analysis, calling HALF-GCD-SEQ is in $\tilde{O}(D_x D_y)$ by Thm. 4.3.1. The loops on the polynomials of \mathcal{G}_x add computations only if they compute a new polynomial in the minimal Gröbner basis and do at most $\tilde{O}(d_x d_y)$ operations. Finally, all the others instructions of the algorithm are in $\tilde{O}(D_x D_y)$. Hence, the complexity of GUESSINGBIVAR is in $\tilde{O}(D_x D_y + |\mathcal{G}_y| d_x d_y)$.

5 BENCHMARKS

The quasi-linearity of our guessing algorithm can be observed in practice from our implementation in MAPLE (https://github.com/ktran11/CrecbiseqGuessing). We compare the timings of our implementation also in MAPLE of guessing algorithms from [3, 16, 19]. For some we have to specialize the implementation for the lexicographic ordering with weighted degree ordering. For [3], we consider the adaptive version of the algorithms. We do not compare with [5], as under Asm. A, the computations are the same as in [3].

In our examples, we consider different shapes of staircase using Lazard's structure theorem [13] to build $\operatorname{Im}(\mathcal{G}_{v})$. We distinguish two particular shapes: *simplex* with $\operatorname{Im}(\mathcal{G}_{v}) = \{x^{d_{x}-j}y^{j}\}_{0 \le j \le d_{x}}$ and *L*-shape with $\operatorname{Im}(\mathcal{G}_{v}) = \{x^{d_{x}}, xy, y^{d_{y}}\}$.

To begin with, we consider that we know d_x , d_y and give exactly $D_x = 2d_x$ and $D_y = 2d_y$ in order to compute a minimal Gröbner basis of I(v). The quantity size(\mathcal{G}_v) corresponds to the number of coefficients in $\mathbb{K} = \mathbb{F}_{2^{16}+1}$ to represents \mathcal{G}_v . The timings are in seconds, if the timing is greater than one day we use the symbol ∞ .

For *simplex*, Fig. 1 shows a quasi-linear growth on the timings of Alg. 3 following the growth of the quantity $|\mathcal{G}_v|d_x d_y$.

For *L-shape*, the timings of Alg. 3 also follow the complexity found following the growth of the quantity $D_x D_y$. But it is outperformed by the adaptive version of the different algorithms.

Next, for the second row of the Fig. 1 we now consider more initial terms of the sequence v than $D_x = 2d_x$ and $D_y = 2d_y$ by taking $(D_x, D_y) = (kd_x, kd_y)$ with $k \in \{10, 20, \dots, 50\}$.

On Fig. 2 when $k \ge 30$, there is a crossover point on which the adaptive algorithm performs better, it is explained by the fact that these adaptive versions do not depend on the number of initial terms $D_x D_y$.

Quasi-Linear Guessing of Minimal Lexicographic Gröbner Bases of C-Relations of Random Bi-Indexed Sequences

	$ \mathcal{G}_v d_x d_y$	$D_x D_y$	$size(G_v)$	[19]	[3]	[16]	Alg. 3
simplex	27900	3600	9951	287.8	20.5	4	4.2
	127500	10000	44250	4530	777.2	22.1	14.6
	347900	19600	119348	>10h	17857.2	79.1	35.6
	737100	32400	251248	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	206.6	71.7
	1343100	48400	455937	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	∞	455.6	136.8
	2213900	67600	749439	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	922.9	231.6
	3397500	90000	1147735	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	1696.2	381.5
	4941900	115600	1666819	∞	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	2871	650.5
L-shape	21600	28800	250	∞	1.2	489.5	37.184
	117600	156800	570	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	12.8	34739.9	389.5
	290400	387200	890	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	67.5	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	1825.8
	540000	720000	1210	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	209.8	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	3666.9
	866400	1155200	1530	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	534.6	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	6113.2
	1269600	1692800	1850	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	1201.9	∞	11422.7

Figure 1: MAPLE implementation of several examples with initial terms $(D_x, D_y) = (2d_x, 2d_y)$, timings in seconds.

	$ \mathcal{G}_{v} d_{x}d_{y}$	$D_x D_y$	k	[19]	[3]	[16]	Alg. 3
simplex	127500	2500	2	4530	777.2	22.1	14.6
	127500	250000	10	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	777.2	5675.9	43.3
	127500	1000000	20	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	777.2	00	202.7
	127500	2250000	30	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	777.2	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	491.1
	127500	4000000	40	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	777.2	00	924.2
	127500	6250000	50	∞	777.2	8	1870.8

Figure 2: MAPLE implementation of one example with initial terms $(D_x, D_y) = (kd_x, kd_y)$, timings in seconds.

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